



max planck institut  
informatik





# Extending an Isabelle Formalisation of CDCL to Optimising CDCL

Mathias Fleury

joint work with Christoph Weidenbach

# Let's find a model with minimal weight



	10	→		4
	20	→		13

Optimal partial model: 

Optimal total model: →  

# How reliable is the theory?

## Conference version

Branch and Bound for Boolean Optimization and  
the Generation of Optimality Certificates

Javier Larrosa, Robert Nieuwenhuis, Albert Oliveras, and Enric Rodríguez-Carbonell (SAT 2009)

A literal  $l$  is *true* in  $I$  if  $l \in I$ , *false* in  $I$  if  $\neg l \in I$ , and *undefined* in  $I$  otherwise.

A clause set  $S$  is true in  $I$  if all its clauses are true in  $I$ . Then  $I$  is called a *model* of  $S$ , and we write  $I \models S$  (and similarly if a literal or clause is true in  $I$ ).

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A clause set  $S$  is true in  $I$  if all its clauses are true in  $I$ . Then  $I$  is called a *model* of  $S$ , and we write  $I \models S$  (and similarly if a literal or clause is true in  $I$ ).

## Journal version

A Framework for Certified Boolean Branch-and-Bound Optimization

Javier Larrosa, Robert Nieuwenhuis, Albert Oliveras, and Enric Rodríguez-Carbonell (JAR 2011)

literals of a clause  $C$  are false in  $I$ . A clause set  $S$  is true in  $I$  if all its clauses are true in  $I$ ; if  $I$  is also total, then  $I$  is called a *total model* of  $S$ , and we write  $I \models S$ .

# How reliable is the theory?

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Automation of Logic (Chapman & Hall/CRC Studies in Informatics) Hardcover – 22. July 2019

by Christoph Weidenbach (Autor)

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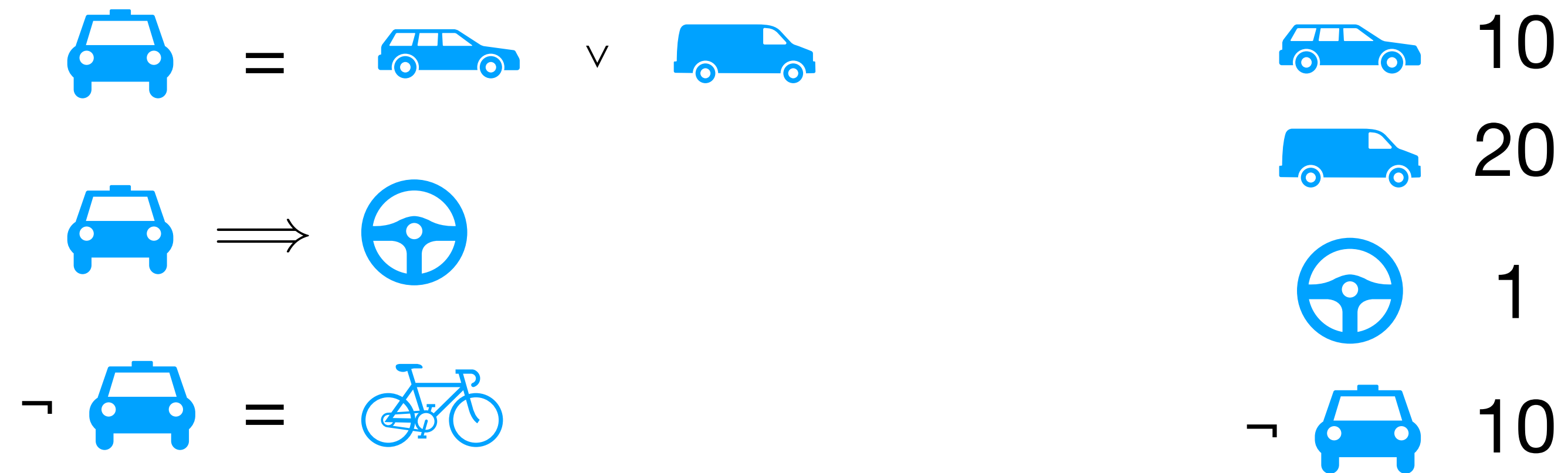
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**Lemma 2.15.4.** The OCDCL calculus with a reasonable strategy has only 2 normal forms:  $(M; N; U; 0; \perp; O)$  where  $O \neq \epsilon$ ,  $O \models N$  and  $\text{cost}(O)$  is optimal, and  $(M; N; U; 0; \perp; \epsilon)$  where  $N$  is unsatisfiable.

# Let's optimise our problem



# Let's optimise our problem

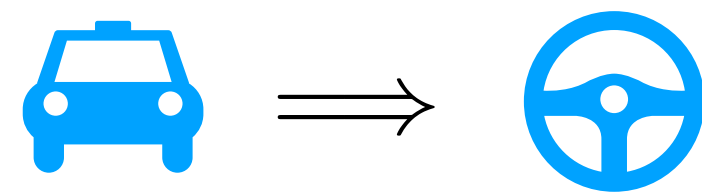






# Let's optimise our problem

OCDCL = CDCL + identify better models  
+ conflicts based on weights



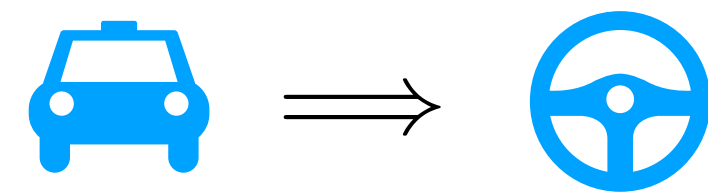
# Let's optimise our problem







	10
	20
	1
$\neg$ 	10

Optimal model

# Let's optimise our problem

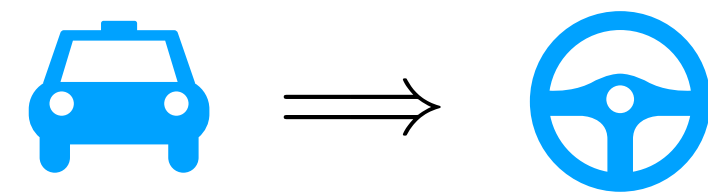






	10
	20
	1
$\neg$ 	10

Optimal model



# Let's optimise our problem

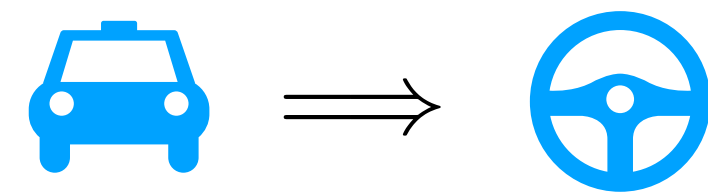






	10
	20
	1
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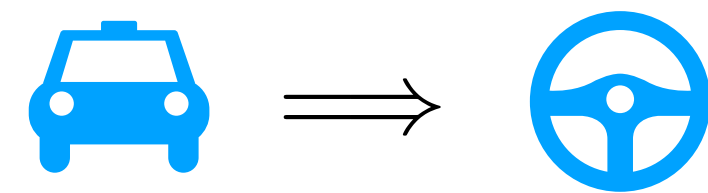






	10
	20
	1
$\neg$ 	10

Optimal model



# Let's optimise our problem

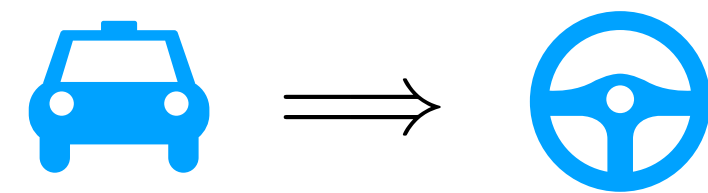






	10
	20
	1
$\neg$ 	10

Optimal model

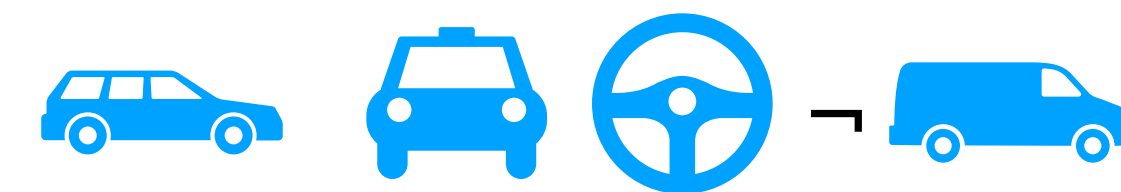


# Let's optimise our problem

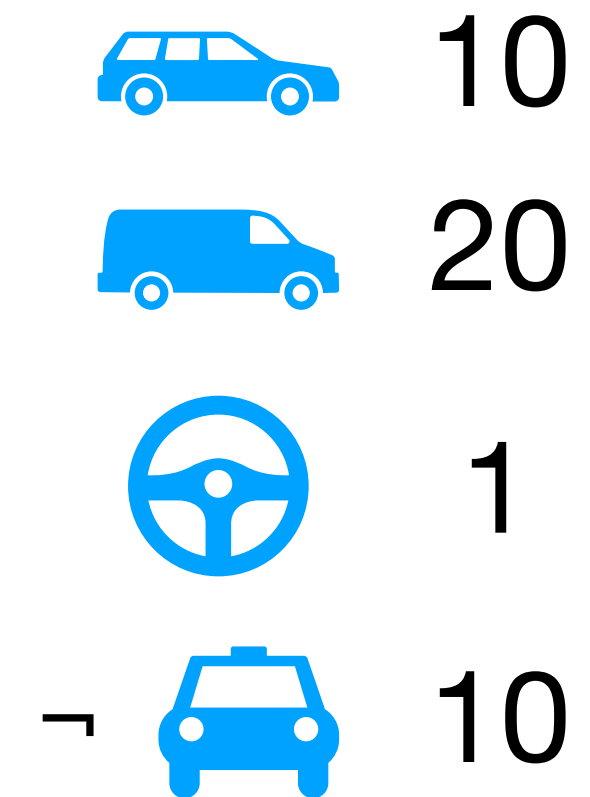
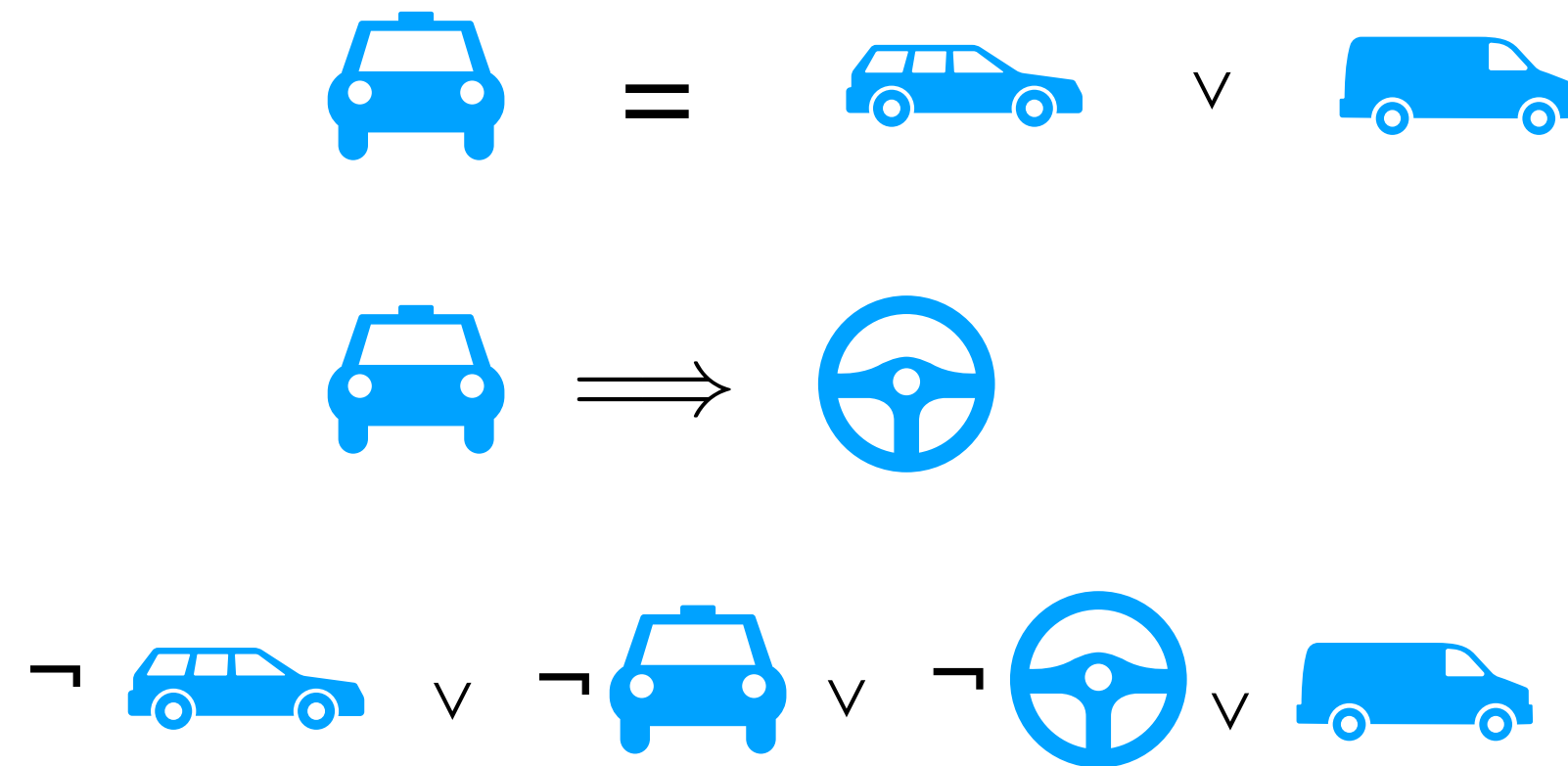


	10
	20
	1
$\neg$ 	10

Optimal model 11



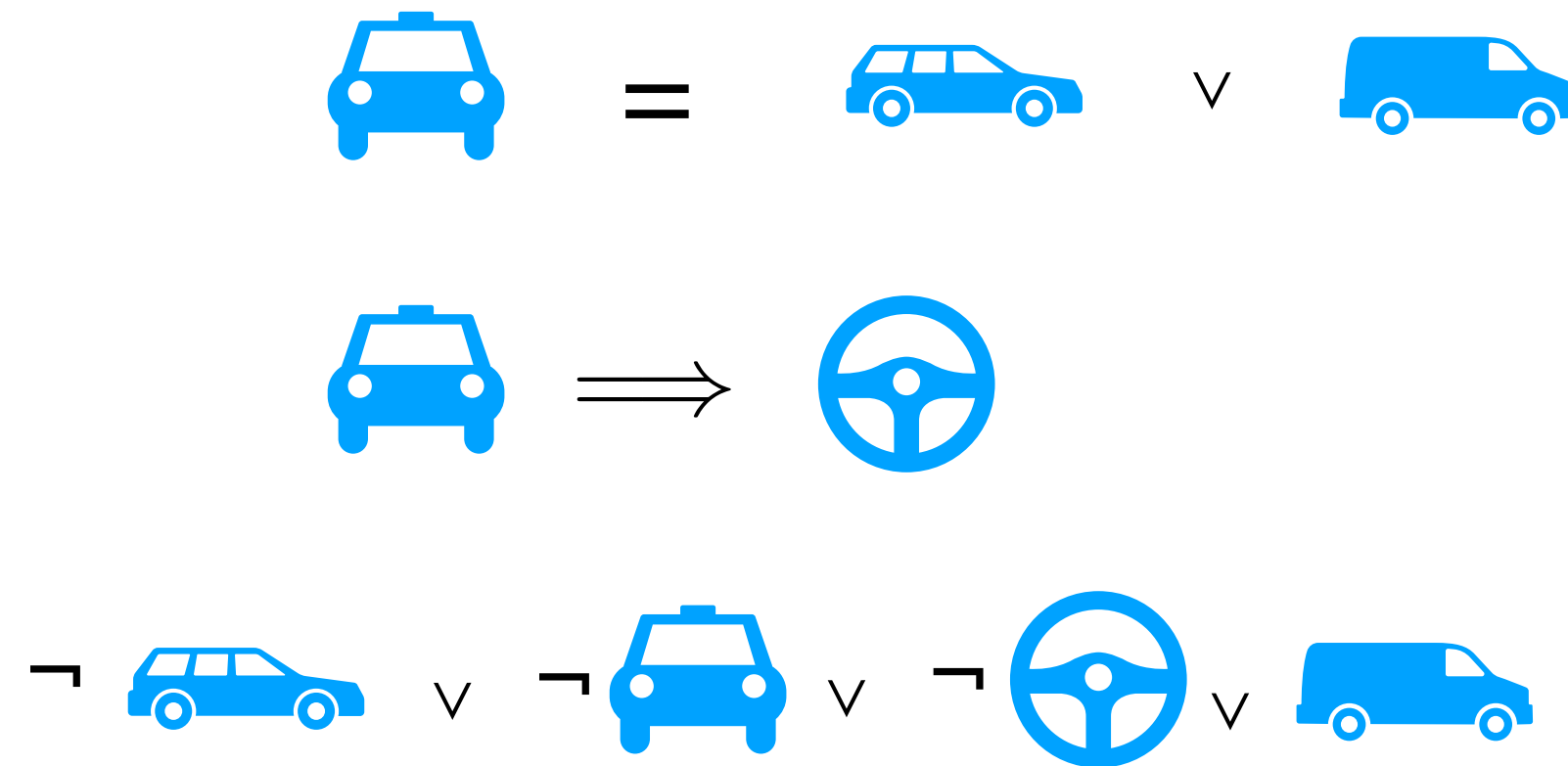
# Let's optimise our problem



Optimal model 11

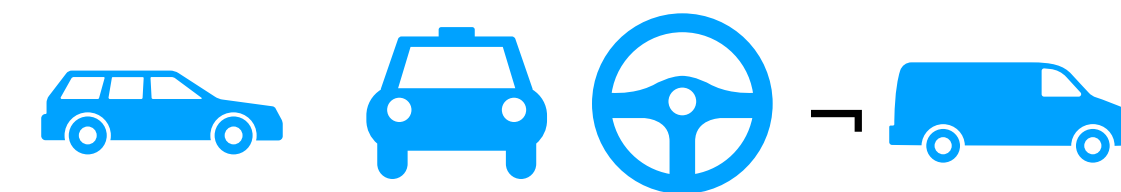


# Let's optimise our problem



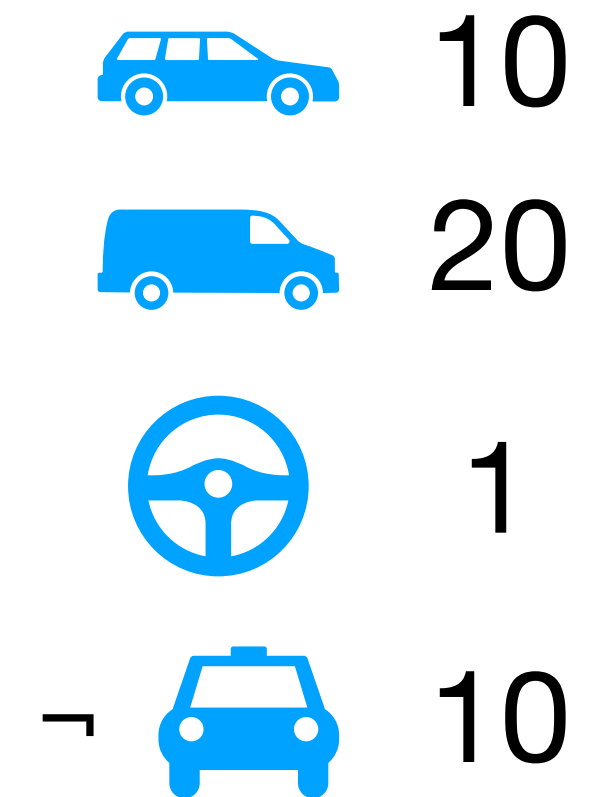
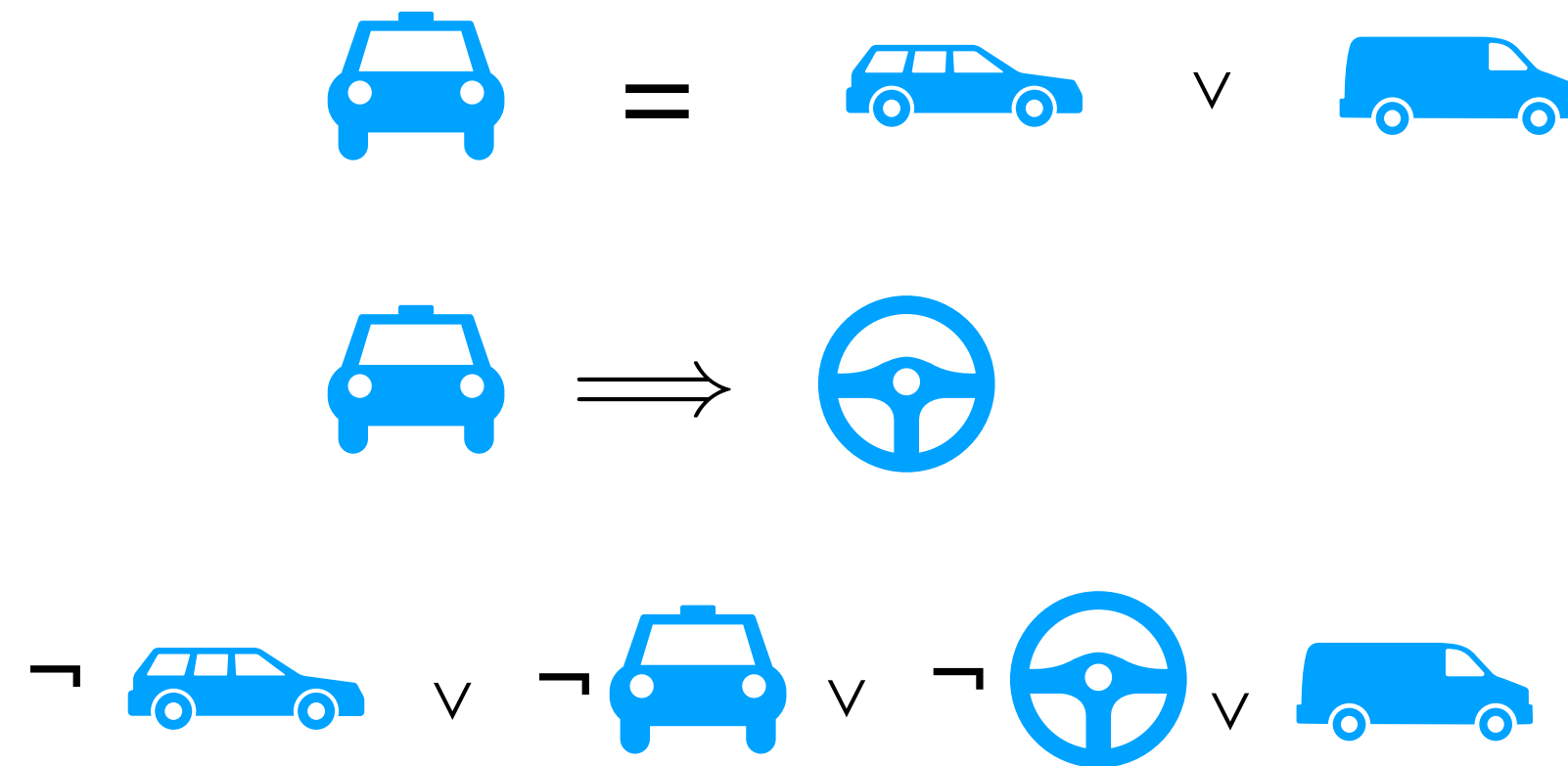
	10
	20
	1
	10

Optimal model 11





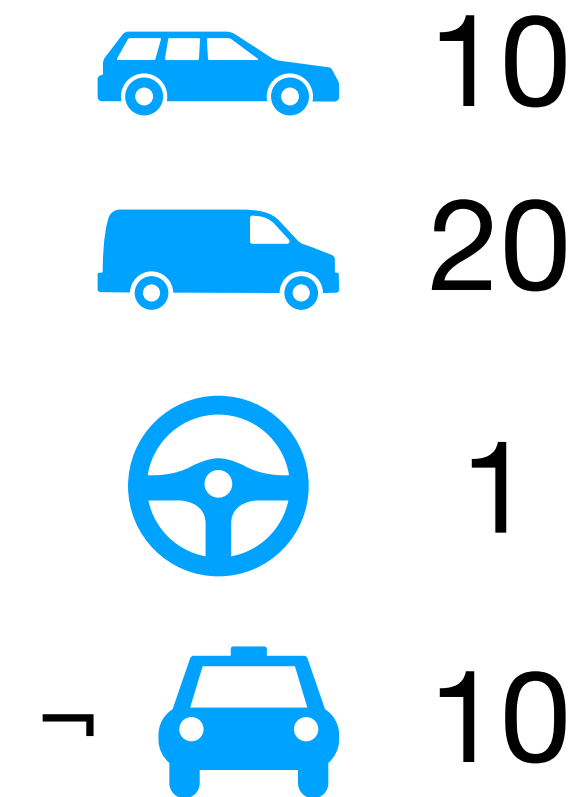
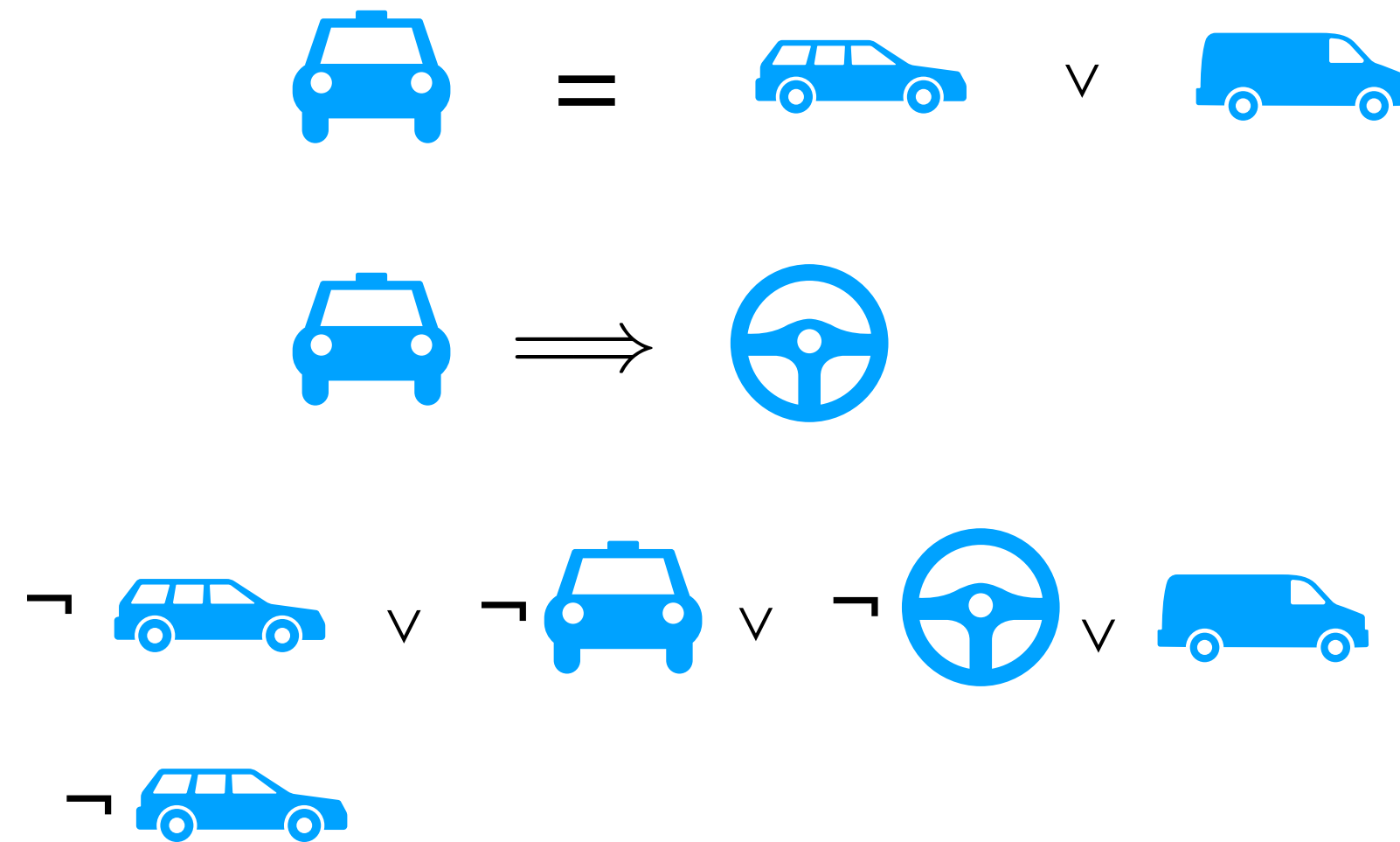
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Optimal model 11



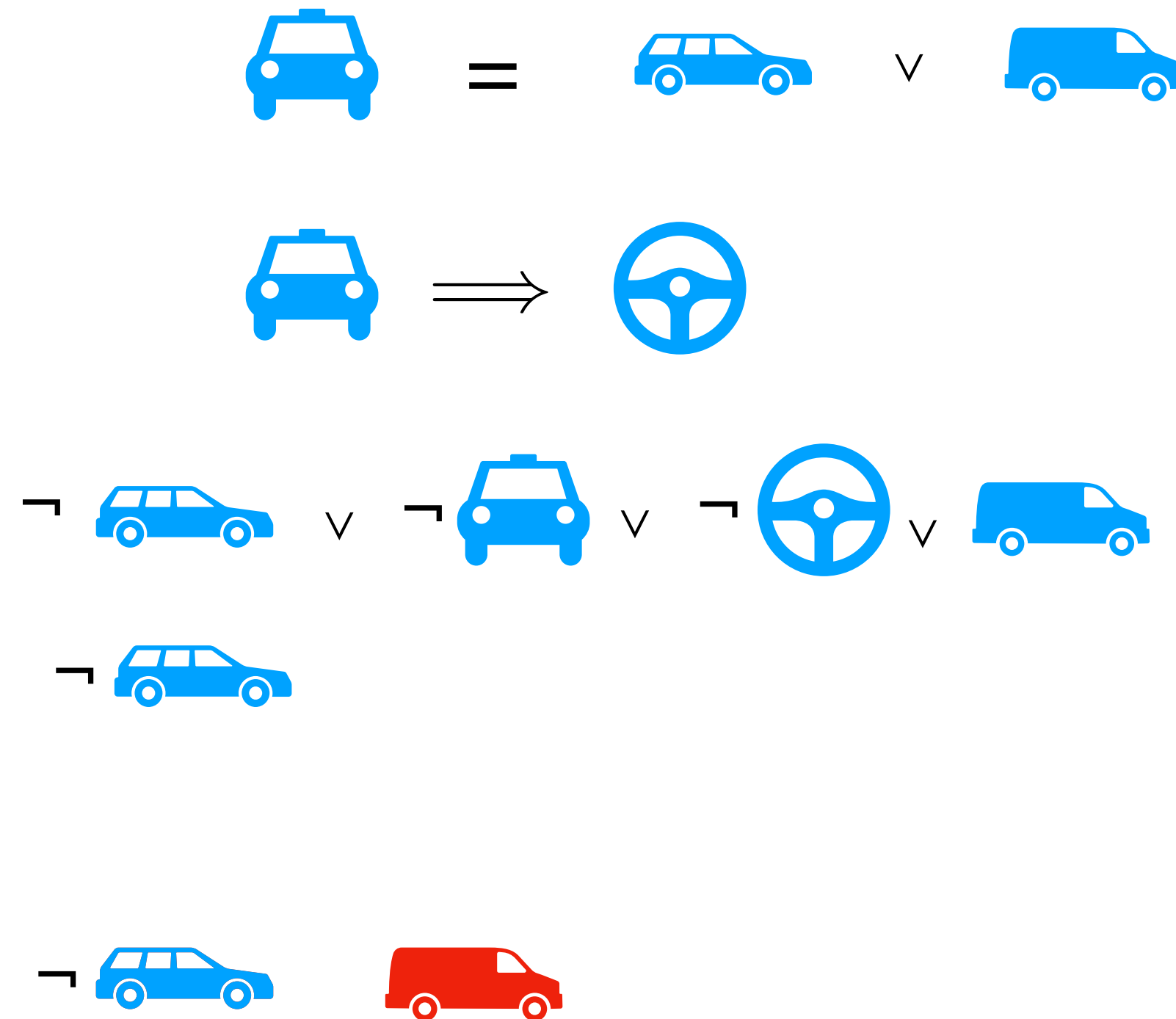
# Let's optimise our problem



Optimal model 11

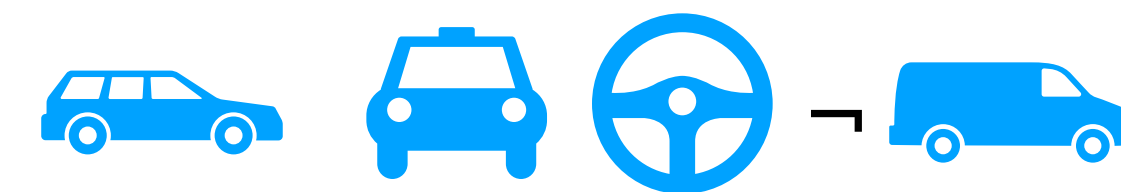


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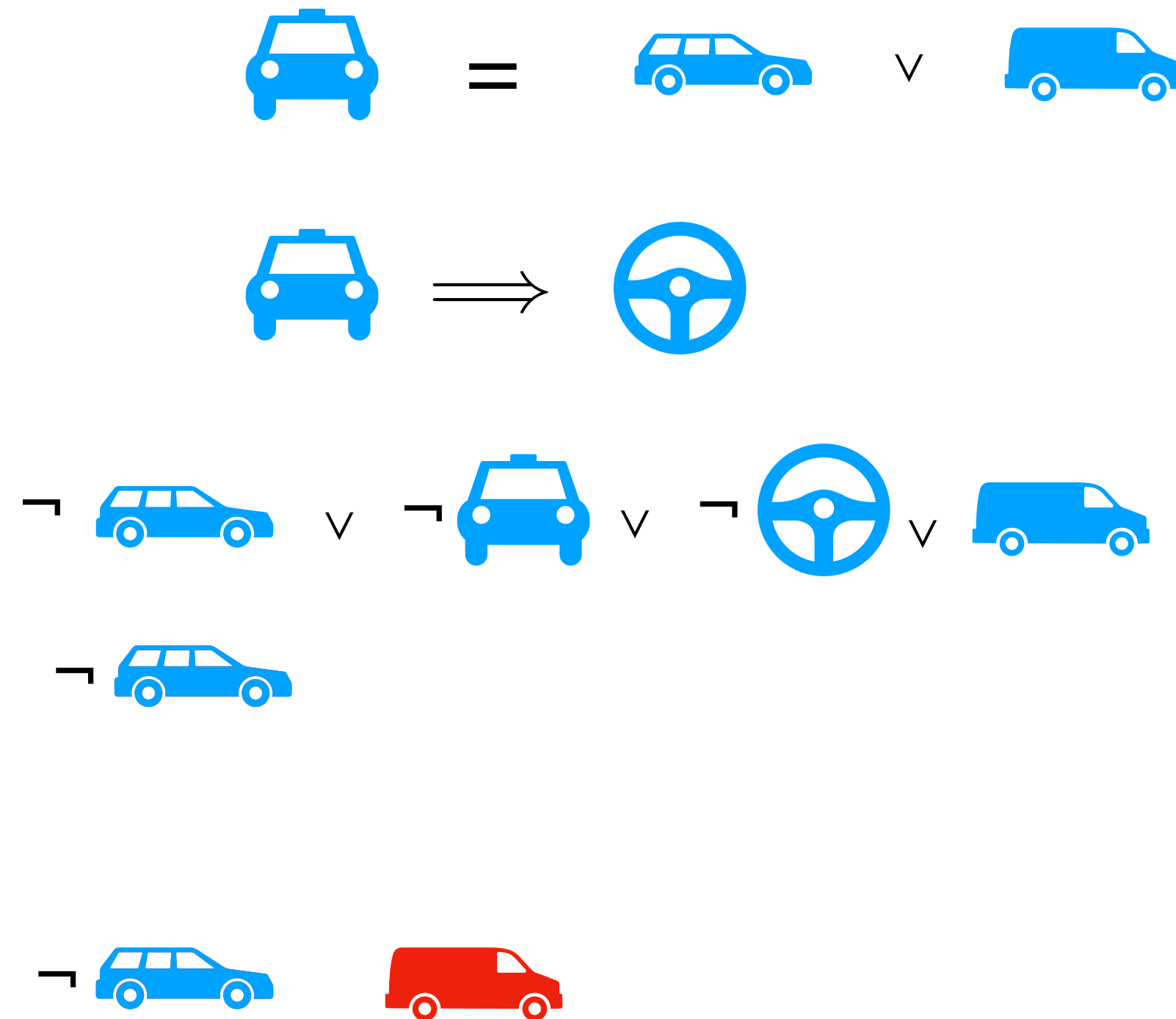


	10
	20
	1
$\neg$	10

Optimal model 11

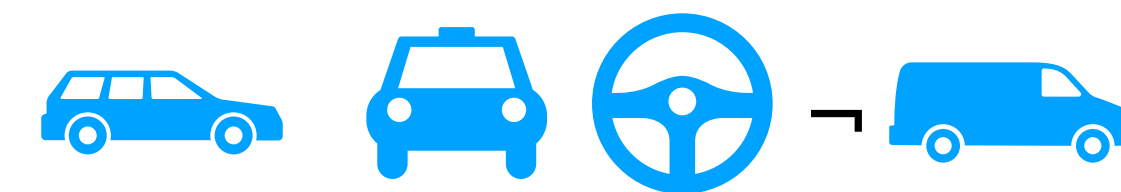


# Let's optimise our problem



	10
	20
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$\neg$	10

Optimal model 11



# How lazy do you like your formalisation?

Christoph's view:

$\text{OCDCL}_W = \text{CDCL} + \text{improve} + \text{conflict rules}$

copy-paste of proofs

# How lazy do you like your formalisation?

Christoph's view:

$\text{OCDCL}_W = \text{CDCL} + \text{improve} + \text{conflict rules}$

copy-paste of proofs

My first idea:

$\text{OCDCL} = \text{CDCL} + \text{improve} +$   
 $\{-M. \text{ cost } M \geq \text{min\_cost}\}$

reuse CDCL proofs

# How lazy do you like your formalisation?

My first idea:

$$\text{OCDCL} = \text{CDCL} + \text{improve} + \{-M. \text{ cost } M \geq \text{min\_cost}\}$$

reuse CDCL proofs

My second idea:

$$\text{CDCL}_{\text{bnb}} = \text{CDCL} + \text{improve} + \mathcal{T}(\text{min\_cost})$$

reuse CDCL proofs

# How lazy do you like your formalisation?

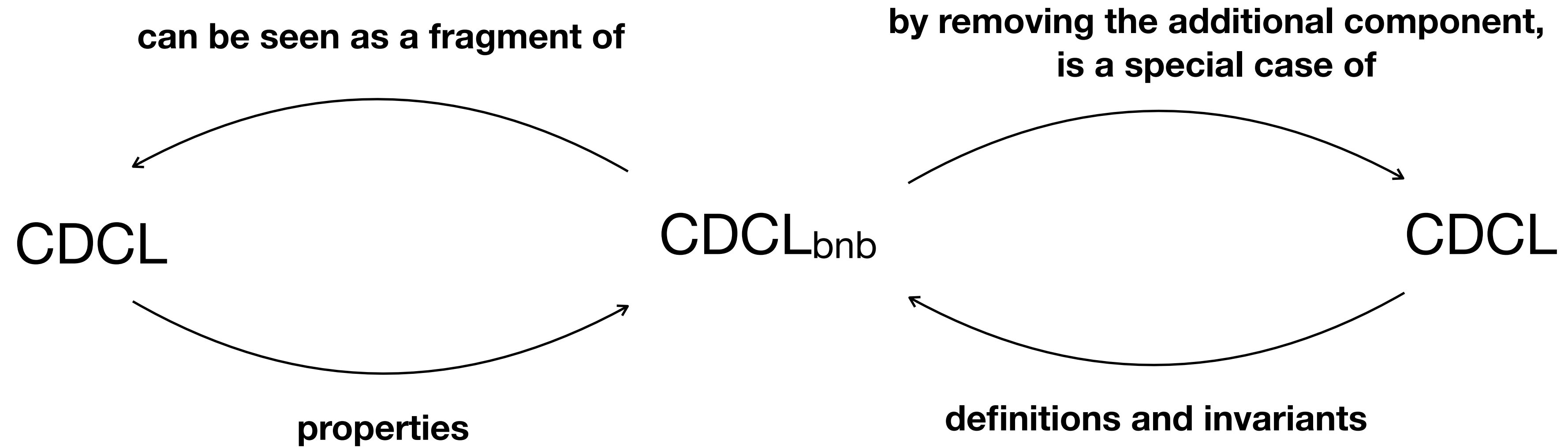
$$\text{CDCL}_{\text{bnb}} = \text{CDCL} + \text{improve} + \mathcal{T}(\text{min\_cost})$$

$$\text{OCDCL} = \text{CDCL}_{\text{bnb}} \text{ where } \mathcal{T}(\text{min\_cost}) = \{-M. \text{cost} \mid M \geq \text{min\_cost}\}$$

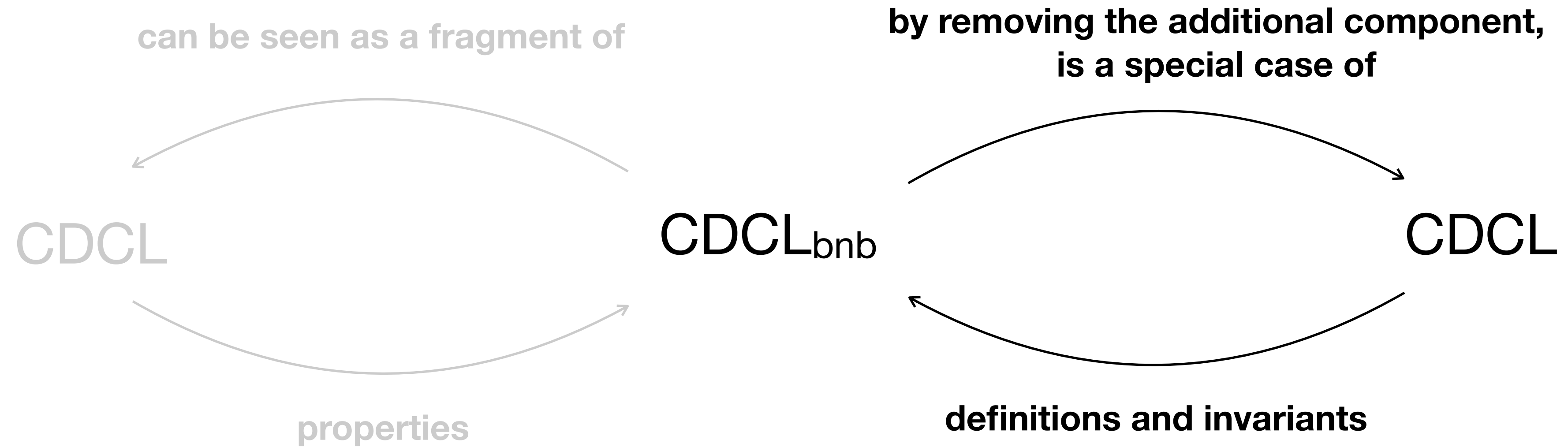
$$\text{OCDCL}_W = \text{OCDCL} + \text{restrictions}$$



# Reuse!



# Reuse!



# Reuse!

Propagate rule

in Isabelle

$$\begin{aligned} C \vee L \in N \implies M \models_{\text{as}} \neg C \implies \text{undefined\_lit } M \ L \implies \\ (M, N) \Rightarrow_{\text{CDCL}} (L \# M, N) \end{aligned}$$

Propagate rule

in Isabelle

$$\begin{aligned} C \vee L \in N \implies M \models_{\text{as}} \neg C \implies \text{undefined\_lit } M \ L \implies \\ (M, N, 0) \Rightarrow_{\text{CDCLbnb}} (L \# M, N, 0) \end{aligned}$$

# Reuse!

Propagate rule

in Isabelle

$$\begin{aligned} C \vee L \in N \implies M \models_{as} \neg C \implies \text{undefined\_lit } M \ L \implies \\ (M, N) \Rightarrow_{\text{CDCL}} (L \# M, N) \end{aligned}$$

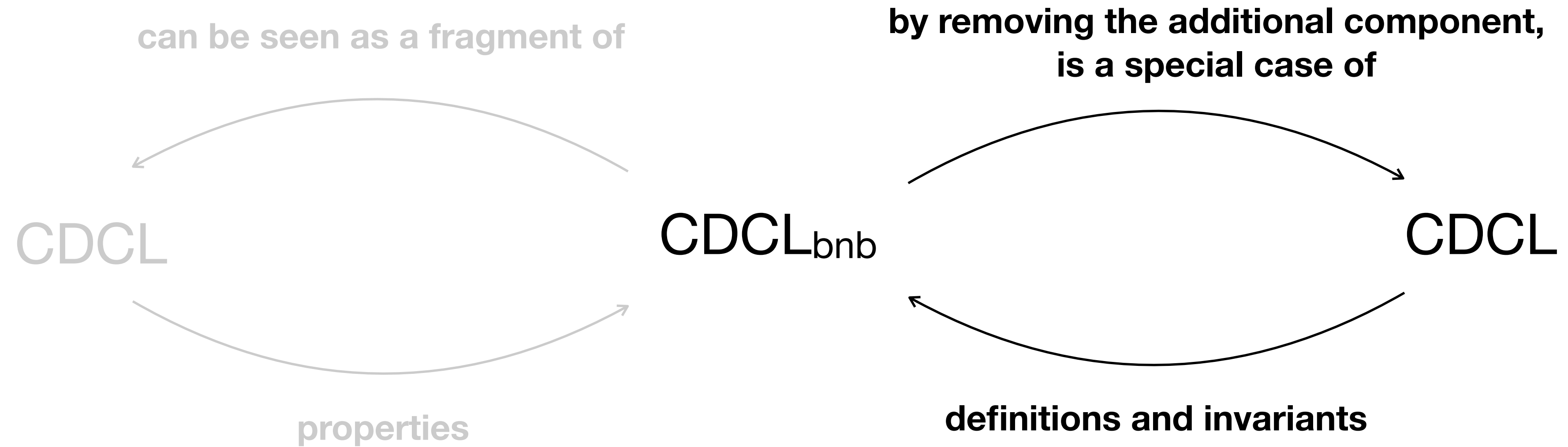
**obtained for free, thanks to abstraction over the state!  
also invariants and theorems can be reused**

Propagate rule

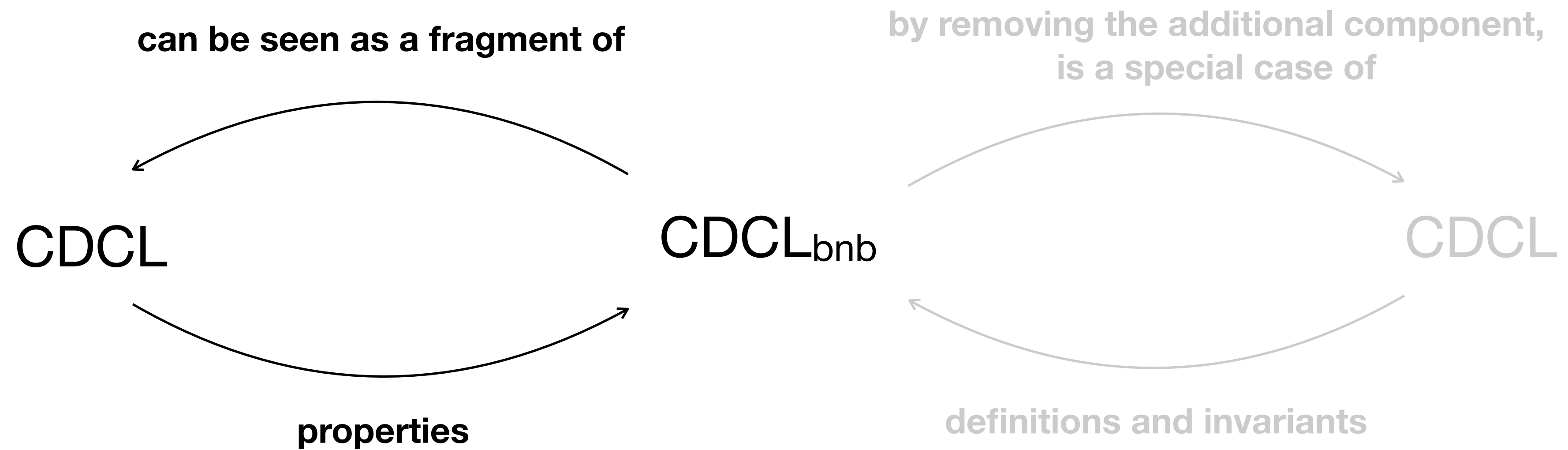
in Isabelle

$$\begin{aligned} C \vee L \in N \implies M \models_{as} \neg C \implies \text{undefined\_lit } M \ L \implies \\ (M, N, 0) \Rightarrow_{\text{CDCLbnb}} (L \# M, N, 0) \end{aligned}$$

# Reuse!



# Reuse!



# Translate to reuse

Propagate rule

in Isabelle

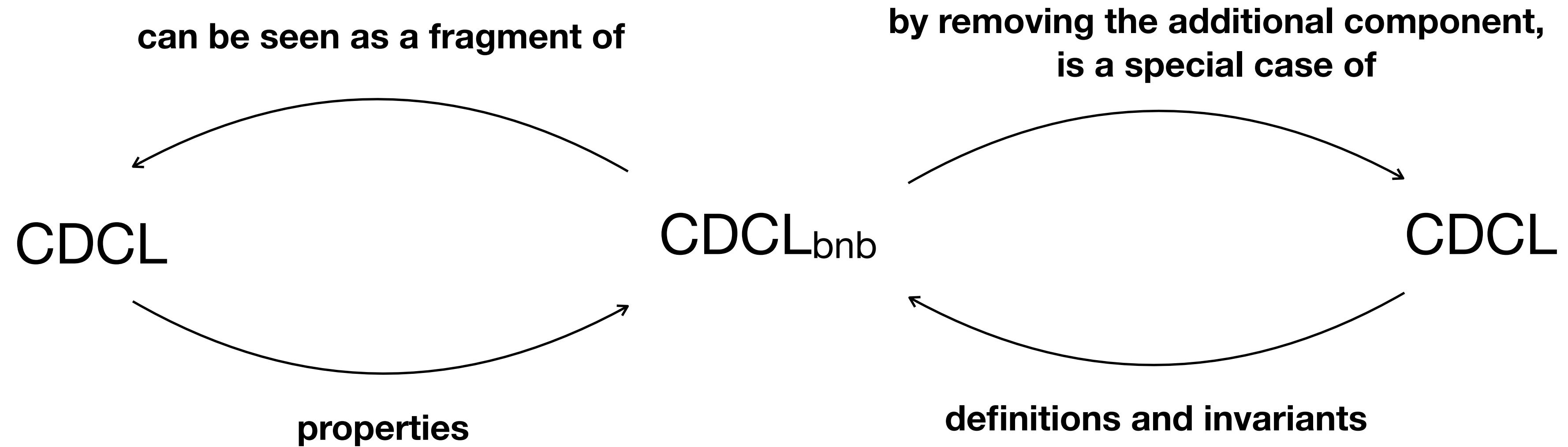
$$C \vee L \in N \implies M \models_{\text{as}} \neg C \implies \text{undefined\_lit } M \ L \implies \\ (M, N, 0) \Rightarrow_{\text{CDCLbnb}} (L \# M, N, 0)$$

Propagate rule

in Isabelle

$$C \vee L \in N + \mathcal{I}(\text{min\_cost}) \implies M \models_{\text{as}} \neg C \implies \\ \text{undefined\_lit } M \ L \implies \\ (M, N + \mathcal{I}(\text{min\_cost}), 0) \Rightarrow_{\text{CDCL}} \\ (L \# M, N + \mathcal{I}(\text{min\_cost}), 0)$$

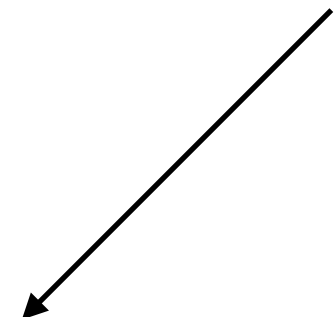
# Reuse!





# Reuse in practise!

ignore the additional  
component



$$\text{CDCL}_{\text{bnb}} = \text{CDCL} + \text{improve} + \mathcal{I}(\text{min\_cost})$$

Inherited:

Definitions (for free)

# Reuse in practise!

no strategy  
but terminating

well-founded  
for most applications

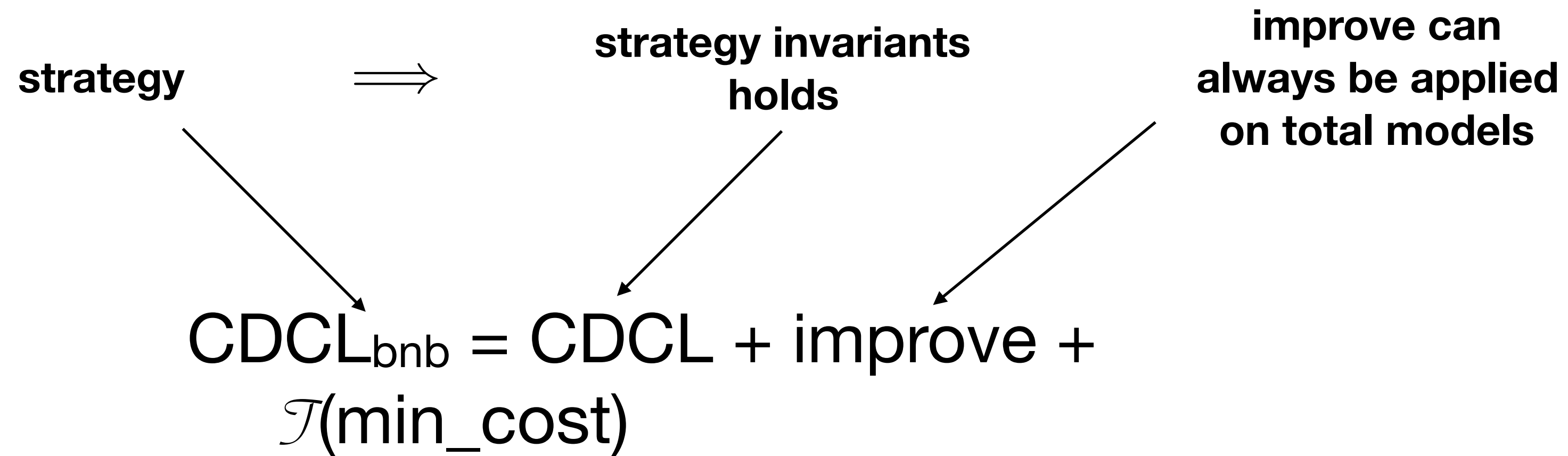
$$\text{CDCL}_{\text{bnb}} = \text{CDCL} + \text{improve} + \mathcal{T}(\text{min\_cost})$$

Inherited:

Termination (for free)

Definitions (for free)

# Reuse in practise!



Inherited:

Termination (for free)

Definitions (for free)

Ends with an unsat set (nearly for free)

# Reuse in practise!

CDCL<sub>bnb</sub> does not know anything about what is optimised!

Inherited:

Termination (for free)      Definitions (for free)

Ends with an unsat set (nearly for free)

# Why does it work?

OCDCL = CDCL<sub>bnb</sub> where

$$\mathcal{T}(\text{min\_cost}) = \{-M. \text{ cost } M \geq \text{min\_cost}\}$$

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OCDCL = CDCL<sub>bnb</sub> where

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## Lemma

If  $I$  is a total model of  $N$  with  $\text{cost} < \text{min\_cost}$ ,  
then  $I$  is a model of  $N + \mathcal{T}(\text{min\_cost})$

# Why does it work?

## Lemma

If  $I$  is a total model of  $N$  with  $\text{cost} < \text{min\_cost}$ ,  
then  $I$  is a model of  $N + \mathcal{T}(\text{min\_cost})$

Fails for partial models!

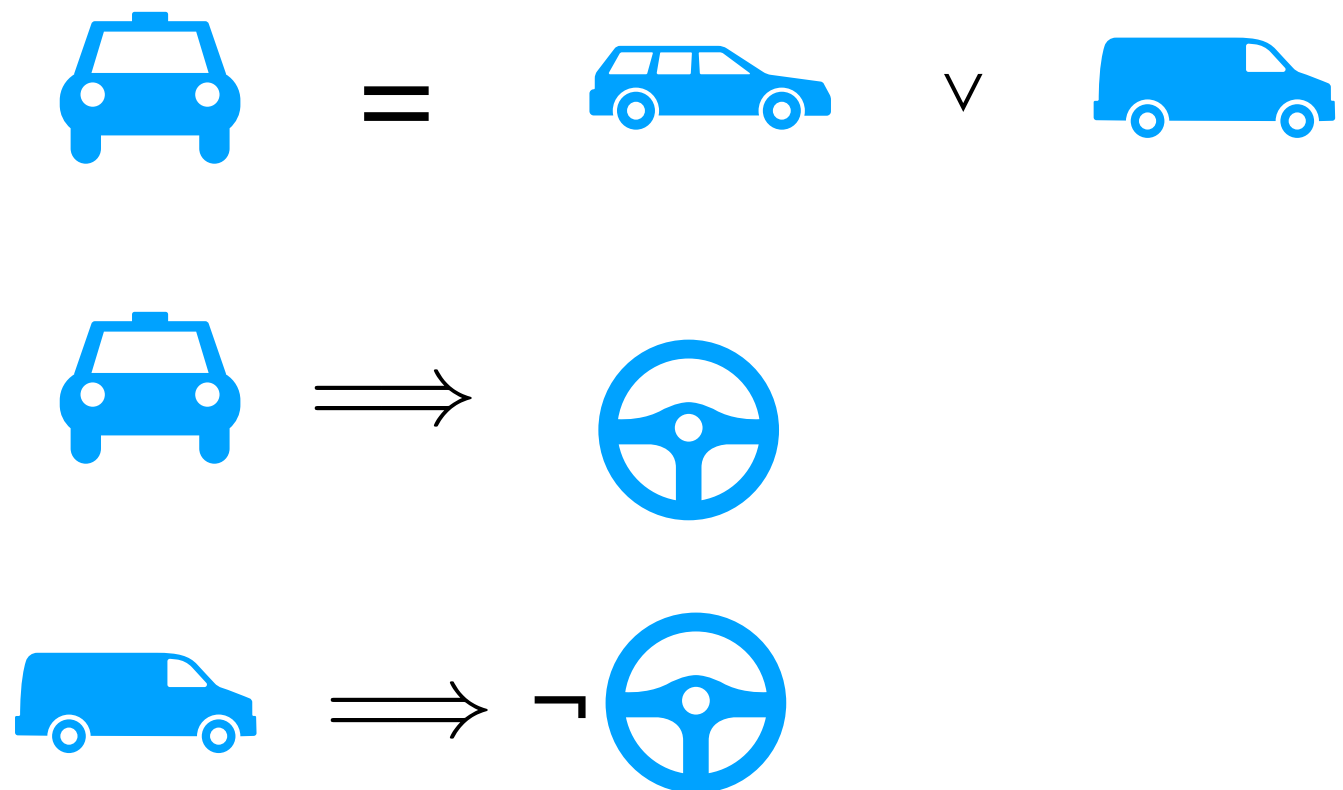
# How lazy do you like your formalisation?

make sure that the rules on paper  
and in Isabelle are the same

$\text{OCDCL}_W = \text{OCDCL} + \text{restrictions}$



# Another application: Dead features



Can every option be true?

# How lazy do you like your formalisation?

Christoph's view:

$\text{CDCLcm}_W = \text{CDCL} + \text{improve} + \text{conflict rules}$   
copy-paste of proofs

My idea:

$\text{CDCLcm} = \text{CDCL}_{\text{bnb}}$  where  
 $\mathcal{T}(\text{models\_founds}) = \{-M. \text{ there is a model with more trues in models\_founds}\}$

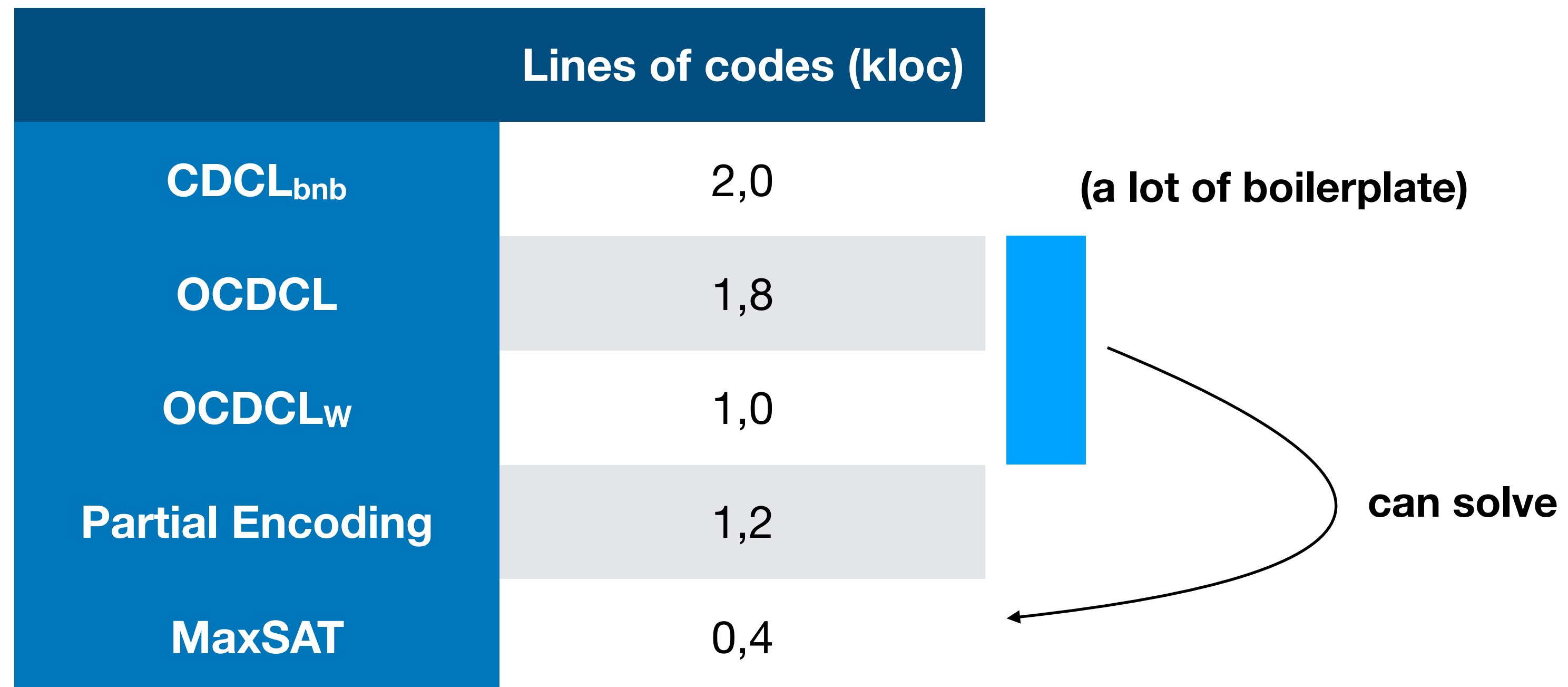
# How lazy do you like your formalisation?

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	Lines of codes (kloc)
<b>CDCL<sub>bnb</sub></b>	2,0
<b>OCDCL</b>	1,8
<b>OCDCL<sub>w</sub></b>	1,0
<b>Partial Encoding</b>	1,2

(a lot of boilerplate)

# How lazy do you like your formalisation?



# Conclusion

## Concrete outcome

- ▶ CDCL with branch and bound
- ▶ Via an encoding, also partial optimal models

## Methodology

- ▶ Locales, locales, locales
- ▶ Be lazy!

## Future work

- ▶ CDCL( $\mathcal{T}$ )

# Conclusion

## Concrete outcome

• CDCL with branch and bound

OCDCL = CDCL<sub>bnb</sub> where

$$\mathcal{T}(\text{min\_cost}) = \{-M. \text{cost } M \geq \text{min\_cost}\}$$

OCDCL = CDCL<sub>bnb</sub> where

$$\mathcal{T}(\text{min\_cost}) = \{-D. \{M. \text{cost } M \geq \text{min\_cost}\} \# D\}$$

## Future work

▶ CDCL( $\mathcal{T}$ )

# Conclusion

## Concrete outcome

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## Methodology

- ▶ Locales, locales, locales
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## Future work

- ▶ CDCL( $\mathcal{T}$ )



# Conclusion: How about CDCL( $\mathcal{T}$ )?

But isn't CDCL( $\mathcal{T}$ ) exactly:

CDCL<sub>bnb</sub> where

$\mathcal{T} = \{\text{clauses entailed theory}\}$

Not exactly, because the wrong conflict clause (negation of the trail) is used

# Translate to reuse

Theory propagation

Propagate rule

$$\begin{aligned} C \vee L \in N + \mathcal{T}(\text{min\_cost}) &\implies M \models_{\text{as}} \neg C \implies \\ \text{undefined\_lit } M \ L &\implies \\ (M, N + \mathcal{T}(\text{min\_cost}), 0) &\implies_{\text{CDCLbnb}} \\ (L \# M, N + \mathcal{T}(\text{min\_cost}), 0) & \end{aligned}$$

in Isabelle