

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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January 20, 2020



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# Chapter 1

## Definition of Entailment

This chapter defines various form of entailment.

end

### 1.1 Partial Herbrand Interpretation

```
theory Partial-Herbrand-Interpretation
  imports
    Weidenbach-Book-Base.WB-List-More
    Ordered-Resolution-Prover.Clausal-Logic
begin
```

#### 1.1.1 More Literals

The following lemma is very useful when in the goal appears an axioms like  $-L = K$ : this lemma allows the simplifier to rewrite L.

```
lemma in-image-uminus-uminus:  $\langle a \in \text{uminus } 'A \longleftrightarrow -a \in A \rangle$  for  $a :: \langle 'v \text{ literal} \rangle$ 
  using uminus-lit-swap by auto
```

```
lemma uminus-lit-swap:  $- a = b \longleftrightarrow (a :: 'a \text{ literal}) = - b$ 
  by auto
```

```
lemma atm-of-notin-atms-of-iff:  $\langle \text{atm-of } L \notin \text{atms-of } C' \longleftrightarrow L \notin\# C' \wedge -L \notin\# C' \rangle$  for  $L C'$ 
  by (cases L) (auto simp: atm-iff-pos-or-neg-lit)
```

```
lemma atm-of-notin-atms-of-iff-Pos-Neg:
   $\langle L \notin \text{atms-of } C' \longleftrightarrow \text{Pos } L \notin\# C' \wedge \text{Neg } L \notin\# C' \rangle$  for  $L C'$ 
  by (auto simp: atm-iff-pos-or-neg-lit)
```

```
lemma atms-of-uminus[simp]:  $\langle \text{atms-of } (\text{uminus } ' \# C) = \text{atms-of } C \rangle$ 
  by (auto simp: atms-of-def image-image)
```

```
lemma distinct-mset-atm-ofD:
   $\langle \text{distinct-mset } (\text{atm-of } ' \# \text{ mset } xc) \implies \text{distinct } xc \rangle$ 
  by (induction xc) auto
```

```
lemma atms-of-cong-set-mset:
   $\langle \text{set-mset } D = \text{set-mset } D' \implies \text{atms-of } D = \text{atms-of } D' \rangle$ 
  by (auto simp: atms-of-def)
```

**lemma** *lit-in-set-iff-atm*:

$\langle NO-MATCH (Pos\ x)\ l \implies NO-MATCH (Neg\ x)\ l \implies$   
 $l \in M \longleftrightarrow (\exists l'. (l = Pos\ l' \wedge Pos\ l' \in M) \vee (l = Neg\ l' \wedge Neg\ l' \in M)) \rangle$   
**by** (*cases l*) *auto*

We define here entailment by a set of literals. This is an Herbrand interpretation, but not the same as used for the resolution prover. Both has different properties. One key difference is that such a set can be inconsistent (i.e. containing both  $L$  and  $-L$ ).

Satisfiability is defined by the existence of a total and consistent model.

**lemma** *lit-eq-Neg-Pos-iff*:

$\langle x \neq Neg\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle x \neq Pos\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle -x \neq Neg\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle -x \neq Pos\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq x \longleftrightarrow is-pos\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq -x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq -x \longleftrightarrow is-pos\ x \rangle$   
**by** (*cases x; auto; fail*) $+$

### 1.1.2 Clauses

Clauses are set of literals or (finite) multisets of literals.

**type-synonym** *'v clause-set = 'v clause set*

**type-synonym** *'v clauses = 'v clause multiset*

**lemma** *is-neg-neg-not-is-neg*:  $is-neg\ (-\ L) \longleftrightarrow \neg\ is-neg\ L$

**by** (*cases L*) *auto*

### 1.1.3 Partial Interpretations

**type-synonym** *'a partial-interp = 'a literal set*

**definition** *true-lit* :: *'a partial-interp*  $\Rightarrow$  *'a literal*  $\Rightarrow$  *bool* (**infix**  $\models_l$  50) **where**

$I \models_l L \longleftrightarrow L \in I$

**declare** *true-lit-def*[*simp*]

### Consistency

**definition** *consistent-interp* :: *'a literal set*  $\Rightarrow$  *bool* **where**

*consistent-interp*  $I \longleftrightarrow (\forall L. \neg(L \in I \wedge -\ L \in I))$

**lemma** *consistent-interp-empty*[*simp*]:

*consistent-interp*  $\{\}$  **unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-single*[*simp*]:

*consistent-interp*  $\{L\}$  **unfolding** *consistent-interp-def* **by** *auto*

**lemma** *Ex-consistent-interp*:  $\langle Ex\ consistent-interp \rangle$

**by** (*auto simp: consistent-interp-def*)

**lemma** *consistent-interp-subset*:

**assumes**

$A \subseteq B$  **and**

*consistent-interp B*  
**shows** *consistent-interp A*  
**using** *assms unfolding consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-change-insert*:  
 $a \notin A \implies \neg a \notin A \implies \text{consistent-interp } (\text{insert } (\neg a) A) \longleftrightarrow \text{consistent-interp } (\text{insert } a A)$   
**unfolding** *consistent-interp-def* **by** *fastforce*

**lemma** *consistent-interp-insert-pos[simp]*:  
 $a \notin A \implies \text{consistent-interp } (\text{insert } a A) \longleftrightarrow \text{consistent-interp } A \wedge \neg a \notin A$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-insert-not-in*:  
 $\text{consistent-interp } A \implies a \notin A \implies \neg a \notin A \implies \text{consistent-interp } (\text{insert } a A)$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-unionD*:  $\langle \text{consistent-interp } (I \cup I') \implies \text{consistent-interp } I \rangle$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-insert-iff*:  
 $\langle \text{consistent-interp } (\text{insert } L C) \longleftrightarrow \text{consistent-interp } C \wedge \neg L \notin C \rangle$   
**by** (*metis consistent-interp-def consistent-interp-insert-pos insert-absorb*)

**lemma** (*in -*) *distinct-consistent-distinct-atm*:  
 $\langle \text{distinct } M \implies \text{consistent-interp } (\text{set } M) \implies \text{distinct-mset } (\text{atm-of } \# \text{ mset } M) \rangle$   
**by** (*induction M*) (*auto simp: atm-of-eq-atm-of*)

## Atoms

We define here various lifting of *atm-of* (applied to a single literal) to set and multisets of literals.

**definition** *atms-of-ms* :: *'a clause set  $\Rightarrow$  'a set where*  
 $\text{atms-of-ms } \psi s = \bigcup (\text{atms-of } \psi s)$

**lemma** *atms-of-mmltiset[simp]*:  
 $\text{atms-of } (\text{mset } a) = \text{atm-of } \text{'set } a$   
**by** (*induct a*) *auto*

**lemma** *atms-of-ms-mset-unfold*:  
 $\text{atms-of-ms } (\text{mset } \text{' } b) = (\bigcup x \in b. \text{atm-of } \text{' } \text{set } x)$   
**unfolding** *atms-of-ms-def* **by** *simp*

**definition** *atms-of-s* :: *'a literal set  $\Rightarrow$  'a set where*  
 $\text{atms-of-s } C = \text{atm-of } \text{' } C$

**lemma** *atms-of-ms-empty-set[simp]*:  
 $\text{atms-of-ms } \{\} = \{\}$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-mempty[simp]*:  
 $\text{atms-of-ms } \{\{\#\}\} = \{\}$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-mono*:

$A \subseteq B \implies \text{atms-of-ms } A \subseteq \text{atms-of-ms } B$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-finite[simp]*:  
 $\text{finite } \psi s \implies \text{finite } (\text{atms-of-ms } \psi s)$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-union[simp]*:  
 $\text{atms-of-ms } (\psi s \cup \chi s) = \text{atms-of-ms } \psi s \cup \text{atms-of-ms } \chi s$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-insert[simp]*:  
 $\text{atms-of-ms } (\text{insert } \psi s \chi s) = \text{atms-of } \psi s \cup \text{atms-of-ms } \chi s$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-singleton[simp]*:  $\text{atms-of-ms } \{L\} = \text{atms-of } L$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-atms-of-ms-mono[simp]*:  
 $A \in \psi \implies \text{atms-of } A \subseteq \text{atms-of-ms } \psi$   
**unfolding** *atms-of-ms-def* **by** *fastforce*

**lemma** *atms-of-ms-remove-incl*:  
**shows**  $\text{atms-of-ms } (\text{Set.remove } a \psi) \subseteq \text{atms-of-ms } \psi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-remove-subset*:  
 $\text{atms-of-ms } (\varphi - \psi) \subseteq \text{atms-of-ms } \varphi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *finite-atms-of-ms-remove-subset[simp]*:  
 $\text{finite } (\text{atms-of-ms } A) \implies \text{finite } (\text{atms-of-ms } (A - C))$   
**using** *atms-of-ms-remove-subset[of A C]* *finite-subset* **by** *blast*

**lemma** *atms-of-ms-empty-iff*:  
 $\text{atms-of-ms } A = \{\} \iff A = \{\{\#\}\} \vee A = \{\}$   
**apply** (*rule iffI*)  
**apply** (*metis (no-types, lifting) atms-empty-iff-empty atms-of-atms-of-ms-mono insert-absorb singleton-iff singleton-insert-inj-eq' subsetI subset-empty*)  
**apply** (*auto; fail*)  
**done**

**lemma** *in-implies-atm-of-on-atms-of-ms*:  
**assumes**  $L \in\# C$  **and**  $C \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
**using** *atms-of-atms-of-ms-mono[of C N]* *assms* **by** (*simp add: atm-of-lit-in-atms-of subset-iff*)

**lemma** *in-plus-implies-atm-of-on-atms-of-ms*:  
**assumes**  $C + \{\#L\} \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
**using** *in-implies-atm-of-on-atms-of-ms[of - C + \{\#L\}]* *assms* **by** *auto*

**lemma** *in-m-in-literals*:  
**assumes**  $\text{add-mset } A D \in \psi s$   
**shows**  $\text{atm-of } A \in \text{atms-of-ms } \psi s$   
**using** *assms* **by** (*auto dest: atms-of-atms-of-ms-mono*)



**lemma** *atms-of-s-union*[simp]:  
 $atms-of-s (Ia \cup Ib) = atms-of-s Ia \cup atms-of-s Ib$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *atms-of-s-single*[simp]:  
 $atms-of-s \{L\} = \{atm-of L\}$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *atms-of-s-insert*[simp]:  
 $atms-of-s (insert L Ib) = \{atm-of L\} \cup atms-of-s Ib$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *in-atms-of-s-decomp*[iff]:  
 $P \in atms-of-s I \longleftrightarrow (Pos P \in I \vee Neg P \in I)$  (**is**  $?P \longleftrightarrow ?Q$ )

**proof**

**assume**  $?P$

**then show**  $?Q$  **unfolding** *atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

**next**

**assume**  $?Q$

**then show**  $?P$  **unfolding** *atms-of-s-def* **by** *force*

**qed**

**lemma** *atm-of-in-atm-of-set-in-uminus*:  
 $atm-of L' \in atm-of 'B \implies L' \in B \vee - L' \in B$   
**using** *atms-of-s-def* **by** (*cases L'*) *fastforce+*

**lemma** *finite-atms-of-s*[simp]:  
 $\langle finite M \implies finite (atms-of-s M) \rangle$   
**by** (*auto simp: atms-of-s-def*)

**lemma**

*atms-of-s-empty* [simp]:

$\langle atms-of-s \{\} = \{\} \rangle$  **and**

*atms-of-s-empty-iff*[simp]:

$\langle atms-of-s x = \{\} \longleftrightarrow x = \{\} \rangle$

**by** (*auto simp: atms-of-s-def*)

## Totality

**definition** *total-over-set* :: 'a *partial-interp*  $\Rightarrow$  'a *set*  $\Rightarrow$  *bool* **where**  
 $total-over-set I S = (\forall l \in S. Pos l \in I \vee Neg l \in I)$

**definition** *total-over-m* :: 'a *literal set*  $\Rightarrow$  'a *clause set*  $\Rightarrow$  *bool* **where**  
 $total-over-m I \psi s = total-over-set I (atms-of-ms \psi s)$

**lemma** *total-over-set-empty*[simp]:  
 $total-over-set I \{\}$   
**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-m-empty*[simp]:  
 $total-over-m I \{\}$   
**unfolding** *total-over-m-def* **by** *auto*

**lemma** *total-over-set-single*[iff]:  
 $total-over-set I \{L\} \longleftrightarrow (Pos L \in I \vee Neg L \in I)$

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-set-insert*[*iff*]:

*total-over-set I (insert L Ls)  $\longleftrightarrow$  ((Pos L  $\in$  I  $\vee$  Neg L  $\in$  I)  $\wedge$  total-over-set I Ls)*

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-set-union*[*iff*]:

*total-over-set I (Ls  $\cup$  Ls')  $\longleftrightarrow$  (total-over-set I Ls  $\wedge$  total-over-set I Ls')*

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-m-subset*:

*A  $\subseteq$  B  $\implies$  total-over-m I B  $\implies$  total-over-m I A*

**using** *atms-of-ms-mono*[*of A*] **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-sum*[*iff*]:

**shows** *total-over-m I {C + D}  $\longleftrightarrow$  (total-over-m I {C}  $\wedge$  total-over-m I {D})*

**unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-union*[*iff*]:

*total-over-m I (A  $\cup$  B)  $\longleftrightarrow$  (total-over-m I A  $\wedge$  total-over-m I B)*

**unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-insert*[*iff*]:

*total-over-m I (insert a A)  $\longleftrightarrow$  (total-over-set I (atms-of a)  $\wedge$  total-over-m I A)*

**unfolding** *total-over-m-def* *total-over-set-def* **by** *fastforce*

**lemma** *total-over-m-extension*:

**fixes** *I* :: '*v* literal set **and** *A* :: '*v* clause-set

**assumes** *total*: *total-over-m I A*

**shows**  $\exists I'$ . *total-over-m (I  $\cup$  I') (A  $\cup$  B)*

$\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A)$

**proof** –

**let**  $?I' = \{\text{Pos } v \mid v. v \in \text{atms-of-ms } B \wedge v \notin \text{atms-of-ms } A\}$

**have**  $\forall x \in ?I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A$  **by** *auto*

**moreover have** *total-over-m (I  $\cup$  ?I') (A  $\cup$  B)*

**using** *total* **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *total-over-m-consistent-extension*:

**fixes** *I* :: '*v* literal set **and** *A* :: '*v* clause-set

**assumes**

*total*: *total-over-m I A* **and**

*cons*: *consistent-interp I*

**shows**  $\exists I'$ . *total-over-m (I  $\cup$  I') (A  $\cup$  B)*

$\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A) \wedge \text{consistent-interp (I  $\cup$  I')}$

**proof** –

**let**  $?I' = \{\text{Pos } v \mid v. v \in \text{atms-of-ms } B \wedge v \notin \text{atms-of-ms } A \wedge \text{Pos } v \notin I \wedge \text{Neg } v \notin I\}$

**have**  $\forall x \in ?I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A$  **by** *auto*

**moreover have** *total-over-m (I  $\cup$  ?I') (A  $\cup$  B)*

**using** *total* **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**moreover have** *consistent-interp (I  $\cup$  ?I')*

**using** *cons* **unfolding** *consistent-interp-def* **by** (*intro allI*) (*rename-tac L, case-tac L, auto*)

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *total-over-set-atms-of-m[simp]*:  
*total-over-set Ia (atms-of-s Ia)*  
**unfolding** *total-over-set-def atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

**lemma** *total-over-set-literal-defined*:  
**assumes** *add-mset A D ∈ ψs*  
**and** *total-over-set I (atms-of-ms ψs)*  
**shows**  $A ∈ I ∨ -A ∈ I$   
**using** *assms unfolding total-over-set-def* **by** (*metis (no-types) Neg-atm-of-iff in-m-in-literals literal.collapse(1) uminus-Neg uminus-Pos*)

**lemma** *tot-over-m-remove*:  
**assumes** *total-over-m (I ∪ {L}) {ψ}*  
**and**  $L: L ∉ \# \psi \ -L ∉ \# \psi$   
**shows** *total-over-m I {ψ}*  
**unfolding** *total-over-m-def total-over-set-def*

**proof**

**fix**  $l$

**assume**  $l: l ∈ \text{atms-of-ms } \{\psi\}$

**then have**  $Pos\ l ∈ I ∨ Neg\ l ∈ I ∨ l = \text{atm-of } L$

**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**moreover have**  $\text{atm-of } L ∉ \text{atms-of-ms } \{\psi\}$

**proof** (*rule ccontr*)

**assume**  $\neg ?thesis$

**then have**  $\text{atm-of } L ∈ \text{atms-of } \psi$  **by** *auto*

**then have**  $Pos\ (\text{atm-of } L) ∈ \# \psi ∨ Neg\ (\text{atm-of } L) ∈ \# \psi$

**using** *atm-imp-pos-or-neg-lit* **by** *metis*

**then have**  $L ∈ \# \psi ∨ -L ∈ \# \psi$  **by** (*cases L*) *auto*

**then show** *False* **using**  $L$  **by** *auto*

**qed**

**ultimately show**  $Pos\ l ∈ I ∨ Neg\ l ∈ I$  **using**  $l$  **by** *metis*

**qed**

**lemma** *total-union*:  
**assumes** *total-over-m I ψ*  
**shows** *total-over-m (I ∪ I') ψ*  
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**lemma** *total-union-2*:  
**assumes** *total-over-m I ψ*  
**and** *total-over-m I' ψ'*  
**shows** *total-over-m (I ∪ I') (ψ ∪ ψ')*  
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**lemma** *total-over-m-alt-def*:  $\langle \text{total-over-m } I\ S \longleftrightarrow \text{atms-of-ms } S \subseteq \text{atms-of-s } I \rangle$   
**by** (*auto simp: total-over-m-def total-over-set-def*)

**lemma** *total-over-set-alt-def*:  $\langle \text{total-over-set } M\ A \longleftrightarrow A \subseteq \text{atms-of-s } M \rangle$   
**by** (*auto simp: total-over-set-def*)

## Interpretations

**definition** *true-cls* :: '*a partial-interp*  $\Rightarrow$  '*a clause*  $\Rightarrow$  *bool* (**infix**  $\models$  50) **where**  
 $I \models C \longleftrightarrow (\exists L \in \# C. I \models l\ L)$

**lemma** *true-cls-empty[iff]*:  $\neg I \models \{\#\}$

**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-singleton*[*iff*]:  $I \models \{\#L\# \} \longleftrightarrow I \models_l L$   
**unfolding** *true-cls-def* **by** (*auto split:if-split-asm*)

**lemma** *true-cls-add-mset*[*iff*]:  $I \models \text{add-mset } a \ D \longleftrightarrow a \in I \vee I \models D$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-union*[*iff*]:  $I \models C + D \longleftrightarrow I \models C \vee I \models D$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-mono-set-mset*:  $\text{set-mset } C \subseteq \text{set-mset } D \Longrightarrow I \models C \Longrightarrow I \models D$   
**unfolding** *true-cls-def subset-eq Bex-def* **by** *metis*

**lemma** *true-cls-mono-leD*[*dest*]:  $A \subseteq\# B \Longrightarrow I \models A \Longrightarrow I \models B$   
**unfolding** *true-cls-def* **by** *auto*

**lemma**

**assumes**  $I \models \psi$

**shows**

*true-cls-union-increase*[*simp*]:  $I \cup I' \models \psi$  **and**

*true-cls-union-increase'*[*simp*]:  $I' \cup I \models \psi$

**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-mono-set-mset-l*:

**assumes**  $A \models \psi$

**and**  $A \subseteq B$

**shows**  $B \models \psi$

**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-replicate-mset*[*iff*]:  $I \models \text{replicate-mset } n \ L \longleftrightarrow n \neq 0 \wedge I \models_l L$   
**by** (*induct n*) *auto*

**lemma** *true-cls-empty-entails*[*iff*]:  $\neg \{\} \models N$   
**by** (*auto simp add: true-cls-def*)

**lemma** *true-cls-not-in-remove*:

**assumes**  $L \notin\# \chi$  **and**  $I \cup \{L\} \models \chi$

**shows**  $I \models \chi$

**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**definition** *true-clss* :: 'a *partial-interp*  $\Rightarrow$  'a *clause-set*  $\Rightarrow$  *bool* (**infix**  $\models_s$  50) **where**  
 $I \models_s CC \longleftrightarrow (\forall C \in CC. I \models C)$

**lemma** *true-clss-empty*[*simp*]:  $I \models_s \{\}$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-singleton*[*iff*]:  $I \models_s \{C\} \longleftrightarrow I \models C$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-empty-entails-empty*[*iff*]:  $\{\} \models_s N \longleftrightarrow N = \{\}$   
**unfolding** *true-clss-def* **by** (*auto simp add: true-cls-def*)

**lemma** *true-cls-insert-l* [*simp*]:

$M \models A \Longrightarrow \text{insert } L \ M \models A$

**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-clss-union*[*iff*]:  $I \models_s CC \cup DD \longleftrightarrow I \models_s CC \wedge I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-insert*[*iff*]:  $I \models_s \text{insert } C \ DD \longleftrightarrow I \models C \wedge I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-mono*:  $DD \subseteq CC \implies I \models_s CC \implies I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-union-increase*[*simp*]:  
**assumes**  $I \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
**using** *assms* **unfolding** *true-clss-def* **by** *auto*

**lemma** *true-clss-union-increase'*[*simp*]:  
**assumes**  $I' \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
**using** *assms* **by** (*auto simp add: true-clss-def*)

**lemma** *true-clss-commute-l*:  
 $(I \cup I' \models_s \psi) \longleftrightarrow (I' \cup I \models_s \psi)$   
**by** (*simp add: Un-commute*)

**lemma** *model-remove*[*simp*]:  $I \models_s N \implies I \models_s \text{Set.remove } a \ N$   
**by** (*simp add: true-clss-def*)

**lemma** *model-remove-minus*[*simp*]:  $I \models_s N \implies I \models_s N - A$   
**by** (*simp add: true-clss-def*)

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$   
**and**  $\text{atms-of } L \subseteq \text{atms-of-ms } A$   
**and**  $I \cup I' \models L$   
**shows**  $I \models L$   
**using** *assms* **unfolding** *true-clss-def true-lit-def Bex-def*  
**by** (*metis Un-iff atm-of-lit-in-atms-of contra-subsetD*)

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$   
**and**  $\text{atms-of-ms } L \subseteq \text{atms-of-ms } A$   
**and**  $I \cup I' \models_s L$   
**shows**  $I \models_s L$   
**using** *assms* **unfolding** *true-clss-def true-lit-def Ball-def*  
**by** (*meson atms-of-atms-of-ms-mono notin-vars-union-true-clss-true-clss subset-trans*)

**lemma** *true-clss-def-set-mset-eq*:  
 $\langle \text{set-mset } A = \text{set-mset } B \implies I \models A \longleftrightarrow I \models B \rangle$   
**by** (*auto simp: true-clss-def*)

**lemma** *true-clss-add-mset-strict*:  $\langle I \models \text{add-mset } L \ C \longleftrightarrow L \in I \vee I \models (\text{removeAll-mset } L \ C) \rangle$   
**using** *true-clss-mono-set-mset*[*of* (*removeAll-mset L C*) *C I*]  
**apply** (*cases*  $\langle L \in \# \ C \rangle$ )  
**apply** (*auto dest: multi-member-split simp: removeAll-notin*)  
**apply** (*metis (mono-tags, lifting) in-multiset-minus-notin-snd in-replicate-mset true-clss-def true-lit-def*)  
**done**

## Satisfiability

**definition** *satisfiable* :: 'a clause set  $\Rightarrow$  bool **where**

*satisfiable*  $CC \longleftrightarrow (\exists I. (I \models CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC))$

**lemma** *satisfiable-single[simp]*:

*satisfiable*  $\{\{\#L\#\}\}$

**unfolding** *satisfiable-def* **by** *fastforce*

**lemma** *satisfiable-empty[simp]*:  $\langle \text{satisfiable } \{\} \rangle$

**by** (*auto simp: satisfiable-def Ex-consistent-interp*)

**abbreviation** *unsatisfiable* :: 'a clause set  $\Rightarrow$  bool **where**

*unsatisfiable*  $CC \equiv \neg \text{satisfiable } CC$

**lemma** *satisfiable-decreasing*:

**assumes** *satisfiable*  $(\psi \cup \psi')$

**shows** *satisfiable*  $\psi$

**using** *assms total-over-m-union* **unfolding** *satisfiable-def* **by** *blast*

**lemma** *satisfiable-def-min*:

*satisfiable*  $CC$

$\longleftrightarrow (\exists I. I \models CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC \wedge \text{atm-of } I = \text{atms-of-ms } CC)$

(**is** *?sat*  $\longleftrightarrow ?B$ )

**proof**

**assume** *?B* **then show** *?sat* **by** (*auto simp add: satisfiable-def*)

**next**

**assume** *?sat*

**then obtain** *I* **where**

*I-CC*:  $I \models CC$  **and**

*cons*: *consistent-interp* *I* **and**

*tot*: *total-over-m* *I*  $CC$

**unfolding** *satisfiable-def* **by** *auto*

**let**  $?I = \{P. P \in I \wedge \text{atm-of } P \in \text{atms-of-ms } CC\}$

**have** *I-CC*:  $?I \models CC$

**using** *I-CC in-implies-atm-of-on-atms-of-ms* **unfolding** *true-cls-def Ball-def true-cls-def*

*Bex-def true-lit-def*

**by** *blast*

**moreover have** *cons*: *consistent-interp*  $?I$

**using** *cons* **unfolding** *consistent-interp-def* **by** *auto*

**moreover have** *total-over-m*  $?I \ CC$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**moreover**

**have** *atms-CC-incl*:  $\text{atms-of-ms } CC \subseteq \text{atm-of } I$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

**by** (*auto simp add: atms-of-def atms-of-s-def[symmetric]*)

**have** *atm-of* '  $?I = \text{atms-of-ms } CC$

**using** *atms-CC-incl* **unfolding** *atms-of-ms-def* **by** *force*

**ultimately show** *?B* **by** *auto*

**qed**

**lemma** *satisfiable-carac*:

$(\exists I. \text{consistent-interp } I \wedge I \models \varphi) \longleftrightarrow \text{satisfiable } \varphi$  (**is**  $(\exists I. ?Q \ I) \longleftrightarrow ?S$ )

**proof**

**assume**  $?S$   
**then show**  $\exists I. ?Q I$  **unfolding** *satisfiable-def* **by** *auto*  
**next**  
**assume**  $\exists I. ?Q I$   
**then obtain**  $I$  **where** *cons: consistent-interp I* **and**  $I: I \models_s \varphi$  **by** *metis*  
**let**  $?I' = \{Pos\ v \mid v. v \notin \text{atms-of-s } I \wedge v \in \text{atms-of-ms } \varphi\}$   
**have** *consistent-interp (I  $\cup$  ?I')*  
**using** *cons unfolding consistent-interp-def* **by** (*intro allI*) (*rename-tac L, case-tac L, auto*)  
**moreover have** *total-over-m (I  $\cup$  ?I')  $\varphi$*   
**unfolding** *total-over-m-def total-over-set-def* **by** *auto*  
**moreover have**  $I \cup ?I' \models_s \varphi$   
**using** *I unfolding Ball-def true-clss-def true-cls-def* **by** *auto*  
**ultimately show**  $?S$  **unfolding** *satisfiable-def* **by** *blast*  
**qed**

**lemma** *satisfiable-carac[simp]: consistent-interp I  $\implies$  I  $\models_s \varphi \implies$  satisfiable  $\varphi$*   
**using** *satisfiable-carac* **by** *metis*

**lemma** *unsatisfiable-mono:*  
 $\langle N \subseteq N' \implies \text{unsatisfiable } N \implies \text{unsatisfiable } N' \rangle$   
**by** (*metis (full-types) satisfiable-decreasing subset-Un-eq*)

## Entailment for Multisets of Clauses

**definition** *true-cls-mset* :: *'a partial-interp  $\Rightarrow$  'a clause multiset  $\Rightarrow$  bool (infix  $\models_m$  50)* **where**  
 $I \models_m CC \longleftrightarrow (\forall C \in \# CC. I \models C)$

**lemma** *true-cls-mset-empty[simp]: I  $\models_m$   $\{\#\}$*   
**unfolding** *true-cls-mset-def* **by** *auto*

**lemma** *true-cls-mset-singleton[iff]: I  $\models_m$   $\{\# C \#\} \longleftrightarrow I \models C$*   
**unfolding** *true-cls-mset-def* **by** (*auto split: if-split-asm*)

**lemma** *true-cls-mset-union[iff]: I  $\models_m$  CC + DD  $\longleftrightarrow$  I  $\models_m$  CC  $\wedge$  I  $\models_m$  DD*  
**unfolding** *true-cls-mset-def* **by** *fastforce*

**lemma** *true-cls-mset-add-mset[iff]: I  $\models_m$  add-mset C CC  $\longleftrightarrow$  I  $\models$  C  $\wedge$  I  $\models_m$  CC*  
**unfolding** *true-cls-mset-def* **by** *auto*

**lemma** *true-cls-mset-image-mset[iff]: I  $\models_m$  image-mset f A  $\longleftrightarrow$  ( $\forall x \in \# A. I \models f x$ )*  
**unfolding** *true-cls-mset-def* **by** *fastforce*

**lemma** *true-cls-mset-mono: set-mset DD  $\subseteq$  set-mset CC  $\implies$  I  $\models_m$  CC  $\implies$  I  $\models_m$  DD*  
**unfolding** *true-cls-mset-def subset-iff* **by** *auto*

**lemma** *true-clss-set-mset[iff]: I  $\models_s$  set-mset CC  $\longleftrightarrow$  I  $\models_m$  CC*  
**unfolding** *true-clss-def true-cls-mset-def* **by** *auto*

**lemma** *true-cls-mset-increasing-r[simp]:*  
 $I \models_m CC \implies I \cup J \models_m CC$   
**unfolding** *true-cls-mset-def* **by** *auto*

**theorem** *true-cls-remove-unused:*  
**assumes**  $I \models \psi$   
**shows**  $\{v \in I. \text{atm-of } v \in \text{atms-of } \psi\} \models \psi$   
**using** *assms unfolding true-cls-def atms-of-def* **by** *auto*

**theorem** *true-cls-remove-unused*:

**assumes**  $I \models_s \psi$

**shows**  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models_s \psi$

**unfolding** *true-cls-def atms-of-def Ball-def*

**proof** (*intro allI impI*)

**fix**  $x$

**assume**  $x \in \psi$

**then have**  $I \models x$

**using** *assms unfolding true-cls-def atms-of-def Ball-def* **by** *auto*

**then have**  $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \models x$

**by** (*simp only: true-cls-remove-unused[of I]*)

**moreover have**  $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \subseteq \{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\}$

**using**  $\langle x \in \psi \rangle$  **by** (*auto simp add: atms-of-ms-def*)

**ultimately show**  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models x$

**using** *true-cls-mono-set-mset-l* **by** *blast*

**qed**

A simple application of the previous theorem:

**lemma** *true-cls-union-decrease*:

**assumes**  $II': I \cup I' \models \psi$

**and**  $H: \forall v \in I'. \text{atm-of } v \notin \text{atms-of } \psi$

**shows**  $I \models \psi$

**proof** –

**let**  $?I = \{v \in I \cup I'. \text{atm-of } v \in \text{atms-of } \psi\}$

**have**  $?I \models \psi$  **using** *true-cls-remove-unused II'* **by** *blast*

**moreover have**  $?I \subseteq I$  **using**  $H$  **by** *auto*

**ultimately show** *?thesis* **using** *true-cls-mono-set-mset-l* **by** *blast*

**qed**

**lemma** *multiset-not-empty*:

**assumes**  $M \neq \{\#\}$

**and**  $x \in\# M$

**shows**  $\exists A. x = \text{Pos } A \vee x = \text{Neg } A$

**using** *assms literal.exhaust-sel* **by** *blast*

**lemma** *atms-of-ms-empty*:

**fixes**  $\psi :: 'v \text{ clause-set}$

**assumes**  $\text{atms-of-ms } \psi = \{\}$

**shows**  $\psi = \{\} \vee \psi = \{\{\#\}\}$

**using** *assms* **by** (*auto simp add: atms-of-ms-def*)

**lemma** *consistent-interp-disjoint*:

**assumes** *consI: consistent-interp I*

**and** *disj: atms-of-s A  $\cap$  atms-of-s I =  $\{\}$*

**and** *consA: consistent-interp A*

**shows** *consistent-interp (A  $\cup$  I)*

**proof** (*rule ccontr*)

**assume**  $\neg ?thesis$

**moreover have**  $\bigwedge L. \neg (L \in A \wedge \neg L \in I)$

**using** *disj unfolding atms-of-s-def* **by** (*auto simp add: rev-image-eqI*)

**ultimately show** *False*

**using** *consA consI unfolding consistent-interp-def* **by** (*metis (full-types) Un-iff literal.exhaust-sel uminus-Neg uminus-Pos*)

**qed**



**lemma** *total-remove-unused*:  
**assumes** *total-over-m*  $I \psi$   
**shows** *total-over-m*  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \psi$   
**using** *assms unfolding total-over-m-def total-over-set-def*  
**by** (*metis (lifting) literal.sel(1,2) mem-Collect-eq*)

**lemma** *true-cls-remove-hd-if-notin-vars*:  
**assumes** *insert*  $a M' \models D$   
**and** *atm-of*  $a \notin \text{atms-of } D$   
**shows**  $M' \models D$   
**using** *assms by (auto simp add: atm-of-lit-in-atms-of true-cls-def)*

**lemma** *total-over-set-atm-of*:  
**fixes**  $I :: 'v \text{ partial-interp}$  **and**  $K :: 'v \text{ set}$   
**shows** *total-over-set*  $I K \longleftrightarrow (\forall l \in K. l \in (\text{atm-of } 'I))$   
**unfolding** *total-over-set-def* **by** (*metis atms-of-s-def in-atms-of-s-decomp*)

**lemma** *true-cls-mset-true-clss-iff*:  
 $\langle \text{finite } C \implies I \models_m \text{mset-set } C \longleftrightarrow I \models_s C \rangle$   
 $\langle I \models_m D \longleftrightarrow I \models_s \text{set-mset } D \rangle$   
**by** (*auto simp: true-clss-def true-cls-mset-def Ball-def*  
*dest: multi-member-split*)

## Tautologies

We define tautologies as clause entailed by every total model and show later that is equivalent to containing a literal and its negation.

**definition** *tautology* ( $\psi :: 'v \text{ clause}$ )  $\equiv \forall I. \text{total-over-set } I (\text{atms-of } \psi) \longrightarrow I \models \psi$

**lemma** *tautology-Pos-Neg[intro]*:  
**assumes** *Pos*  $p \in\# A$  **and** *Neg*  $p \in\# A$   
**shows** *tautology*  $A$   
**using** *assms unfolding tautology-def total-over-set-def true-cls-def Bex-def*  
**by** (*meson atm-iff-pos-or-neg-lit true-lit-def*)

**lemma** *tautology-minus[simp]*:  
**assumes**  $L \in\# A$  **and**  $-L \in\# A$   
**shows** *tautology*  $A$   
**by** (*metis assms literal.exhaust tautology-Pos-Neg uminus-Neg uminus-Pos*)

**lemma** *tautology-exists-Pos-Neg*:  
**assumes** *tautology*  $\psi$   
**shows**  $\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi$

**proof** (*rule ccontr*)  
**assume**  $p: \neg (\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi)$   
**let**  $?I = \{-L \mid L. L \in\# \psi\}$   
**have** *total-over-set*  $?I (\text{atms-of } \psi)$   
**unfolding** *total-over-set-def* **using** *atm-imp-pos-or-neg-lit* **by** *force*  
**moreover** **have**  $\neg ?I \models \psi$   
**unfolding** *true-cls-def true-lit-def Bex-def* **apply** *clarify*  
**using**  $p$  **by** (*rename-tac x L, case-tac L*) *fastforce+*  
**ultimately show** *False* **using** *assms unfolding tautology-def* **by** *auto*  
**qed**

**lemma** *tautology-decomp*:

$\text{tautology } \psi \longleftrightarrow (\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi)$   
**using** *tautology-exists-Pos-Neg* **by** *auto*

**lemma** *tautology-union-add-iff[simp]*:

$\langle \text{tautology } (A \cup \# B) \longleftrightarrow \text{tautology } (A + B) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *tautology-add-mset-union-add-iff[simp]*:

$\langle \text{tautology } (\text{add-mset } L (A \cup \# B)) \longleftrightarrow \text{tautology } (\text{add-mset } L (A + B)) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *not-tautology-minus*:

$\langle \neg \text{tautology } A \implies \neg \text{tautology } (A - B) \rangle$   
**by** (*auto simp: tautology-decomp dest: in-diffD*)

**lemma** *tautology-false[simp]*:  $\neg \text{tautology } \{\#\}$

**unfolding** *tautology-def* **by** *auto*

**lemma** *tautology-add-mset*:

$\text{tautology } (\text{add-mset } a L) \longleftrightarrow \text{tautology } L \vee -a \in \# L$   
**unfolding** *tautology-decomp* **by** (*cases a*) *auto*

**lemma** *tautology-single[simp]*:  $\langle \neg \text{tautology } \{\#L\#\} \rangle$

**by** (*simp add: tautology-add-mset*)

**lemma** *tautology-union*:

$\langle \text{tautology } (A + B) \longleftrightarrow \text{tautology } A \vee \text{tautology } B \vee (\exists a. a \in \# A \wedge -a \in \# B) \rangle$   
**by** (*metis tautology-decomp tautology-minus uminus-Neg uminus-Pos union-iff*)

**lemma**

*tautology-poss[simp]*:  $\langle \neg \text{tautology } (\text{poss } A) \rangle$  **and**  
*tautology-negs[simp]*:  $\langle \neg \text{tautology } (\text{negs } A) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *tautology-uminus[simp]*:

$\langle \text{tautology } (\text{uminus } \# w) \longleftrightarrow \text{tautology } w \rangle$   
**by** (*auto 5 5 simp: tautology-decomp add-mset-eq-add-mset eq-commute[of <Pos -> <->] eq-commute[of <Neg -> <->] simp flip: uminus-lit-swap dest!: multi-member-split*)

**lemma** *minus-interp-tautology*:

**assumes**  $\{-L \mid L. L \in \# \chi\} \models \chi$   
**shows** *tautology*  $\chi$

**proof** –

**obtain**  $L$  **where**  $L \in \# \chi \wedge -L \in \# \chi$   
**using** *assms unfolding true-cls-def* **by** *auto*

**then show** *?thesis* **using** *tautology-decomp literal.exhaust uminus-Neg uminus-Pos* **by** *metis qed*

**lemma** *remove-literal-in-model-tautology*:

**assumes**  $I \cup \{\text{Pos } P\} \models \varphi$   
**and**  $I \cup \{\text{Neg } P\} \models \varphi$   
**shows**  $I \models \varphi \vee \text{tautology } \varphi$   
**using** *assms unfolding true-cls-def* **by** *auto*

**lemma** *tautology-imp-tautology*:

**fixes**  $\chi \chi' :: 'v$  clause

**assumes**  $\forall I. \text{total-over-m } I \{ \chi \} \longrightarrow I \models \chi \longrightarrow I \models \chi'$  **and** *tautology*  $\chi$

**shows** *tautology*  $\chi'$  **unfolding** *tautology-def*

**proof** (*intro allI HOL.impI*)

**fix**  $I :: 'v$  literal set

**assume** *totI*: *total-over-set*  $I$  (*atms-of*  $\chi'$ )

**let**  $?I' = \{ \text{Pos } v \mid v. v \in \text{atms-of } \chi \wedge v \notin \text{atms-of-s } I \}$

**have** *totI'*: *total-over-m*  $(I \cup ?I')$   $\{ \chi \}$  **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**then have**  $\chi: I \cup ?I' \models \chi$  **using** *assms(2)* **unfolding** *total-over-m-def tautology-def* **by** *simp*

**then have**  $I \cup (?I' - I) \models \chi'$  **using** *assms(1)* *totI'* **by** *auto*

**moreover have**  $\bigwedge L. L \in \# \chi' \implies L \notin ?I'$

**using** *totI* **unfolding** *total-over-set-def* **by** (*auto dest: pos-lit-in-atms-of*)

**ultimately show**  $I \models \chi'$  **unfolding** *true-cls-def* **by** *auto*

**qed**

**lemma** *not-tautology-mono*:  $\langle D' \subseteq \# D \implies \neg \text{tautology } D \implies \neg \text{tautology } D' \rangle$

**by** (*meson tautology-imp-tautology true-cls-add-mset true-cls-mono-leD*)

**lemma** *tautology-decomp'*:

$\langle \text{tautology } C \longleftrightarrow (\exists L. L \in \# C \wedge \neg L \in \# C) \rangle$

**unfolding** *tautology-decomp*

**apply** *auto*

**apply** (*case-tac L*)

**apply** *auto*

**done**

**lemma** *consistent-interp-tautology*:

$\langle \text{consistent-interp (set } M') \longleftrightarrow \neg \text{tautology (mset } M') \rangle$

**by** (*auto simp: consistent-interp-def tautology-decomp lit-in-set-iff-atm*)

**lemma** *consistent-interp-tautology-mset-set*:

$\langle \text{finite } x \implies \text{consistent-interp } x \longleftrightarrow \neg \text{tautology (mset-set } x) \rangle$

**using** *ex-mset[of (mset-set x)]*

**by** (*auto simp: consistent-interp-tautology eq-commute[of (mset -)] mset-set-eq-mset-iff mset-set-set*)

**lemma** *tautology-distinct-atm-iff*:

$\langle \text{distinct-mset } C \implies \text{tautology } C \longleftrightarrow \neg \text{distinct-mset (atm-of } \# C) \rangle$

**by** (*induction C*)

(*auto simp: tautology-add-mset atm-of-eq-atm-of dest: multi-member-split*)

**lemma** *not-tautology-minusD*:

$\langle \text{tautology } (A - B) \implies \text{tautology } A \rangle$

**by** (*auto simp: tautology-decomp dest: in-diffD*)

## Entailment for clauses and propositions

We also need entailment of clauses by other clauses.

**definition** *true-cls-cls* ::  $'a$  clause  $\Rightarrow 'a$  clause  $\Rightarrow \text{bool}$  (**infix**  $\models_f$  49) **where**

$\psi \models_f \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{ \psi \} \cup \{ \chi \}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

**definition** *true-cls-cls* ::  $'a$  clause  $\Rightarrow 'a$  clause-set  $\Rightarrow \text{bool}$  (**infix**  $\models_{fs}$  49) **where**

$\psi \models_{fs} \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{ \psi \} \cup \chi) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models_s \chi)$

**definition** *true-clss-clc* :: 'a clause-set  $\Rightarrow$  'a clause  $\Rightarrow$  bool (infix  $\models_p$  49) **where**  
 $N \models_p \chi \longleftrightarrow (\forall I. \text{total-over-m } I (N \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi)$

**definition** *true-clss-clss* :: 'a clause-set  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (infix  $\models_{ps}$  49) **where**  
 $N \models_{ps} N' \longleftrightarrow (\forall I. \text{total-over-m } I (N \cup N') \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models_s N')$

**lemma** *true-clc-clc-refl[simp]*:  
 $A \models_f A$   
**unfolding** *true-clc-clc-def* **by** *auto*

**lemma** *true-clss-clc-empty-empty[iff]*:  
 $\langle \{\} \models_p \{\#\} \longleftrightarrow \text{False}$   
**unfolding** *true-clss-clc-def consistent-interp-def* **by** *auto*

**lemma** *true-clc-clc-insert-l[simp]*:  
 $a \models_f C \Longrightarrow \text{insert } a \ A \models_p C$   
**unfolding** *true-clc-clc-def true-clss-clc-def true-clss-def* **by** *fastforce*

**lemma** *true-clc-clss-empty[iff]*:  
 $N \models_{fs} \{\}$   
**unfolding** *true-clc-clss-def* **by** *auto*

**lemma** *true-prop-true-clause[iff]*:  
 $\{\varphi\} \models_p \psi \longleftrightarrow \varphi \models_f \psi$   
**unfolding** *true-clc-clc-def true-clss-clc-def* **by** *auto*

**lemma** *true-clss-clss-true-clss-clc[iff]*:  
 $N \models_{ps} \{\psi\} \longleftrightarrow N \models_p \psi$   
**unfolding** *true-clss-clss-def true-clss-clc-def* **by** *auto*

**lemma** *true-clss-clss-true-clc-clss[iff]*:  
 $\{\chi\} \models_{ps} \psi \longleftrightarrow \chi \models_{fs} \psi$   
**unfolding** *true-clss-clss-def true-clc-clss-def* **by** *auto*

**lemma** *true-clss-clss-empty[simp]*:  
 $N \models_{ps} \{\}$   
**unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-clc-subset*:  
 $A \subseteq B \Longrightarrow A \models_p CC \Longrightarrow B \models_p CC$   
**unfolding** *true-clss-clc-def total-over-m-union* **by** (*simp add: total-over-m-subset true-clss-mono*)

This version of  $\llbracket ?A \subseteq ?B; ?A \models_p ?CC \rrbracket \Longrightarrow ?B \models_p ?CC$  is useful as intro rule.

**lemma** (in  $-$ )*true-clss-clc-subsetI*:  $\langle I \models_p A \Longrightarrow I \subseteq I' \Longrightarrow I' \models_p A \rangle$   
**by** (*simp add: true-clss-clc-subset*)

**lemma** *true-clss-clc-mono-l[simp]*:  
 $A \models_p CC \Longrightarrow A \cup B \models_p CC$   
**by** (*auto intro: true-clss-clc-subset*)

**lemma** *true-clss-clc-mono-l2[simp]*:  
 $B \models_p CC \Longrightarrow A \cup B \models_p CC$   
**by** (*auto intro: true-clss-clc-subset*)

**lemma** *true-clss-clc-mono-r[simp]*:

$A \models_p CC \implies A \models_p CC + CC'$   
**unfolding** *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

**lemma** *true-clss-clss-mono-r'[simp]*:  
 $A \models_p CC' \implies A \models_p CC + CC'$   
**unfolding** *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

**lemma** *true-clss-clss-mono-add-mset[simp]*:  
 $A \models_p CC \implies A \models_p \text{add-mset } L \ CC$   
**using** *true-clss-clss-mono-r[of A CC add-mset L {\#}]* **by** *simp*

**lemma** *true-clss-clss-union-l[simp]*:  
 $A \models_{ps} CC \implies A \cup B \models_{ps} CC$   
**unfolding** *true-clss-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-union-l-r[simp]*:  
 $B \models_{ps} CC \implies A \cup B \models_{ps} CC$   
**unfolding** *true-clss-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-in[simp]*:  
 $CC \in A \implies A \models_p CC$   
**unfolding** *true-clss-clss-def true-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-insert-l[simp]*:  
 $A \models_p C \implies \text{insert } a \ A \models_p C$   
**unfolding** *true-clss-clss-def true-clss-def* **using** *total-over-m-union*  
**by** (*metis Un-iff insert-is-Un sup commute*)

**lemma** *true-clss-clss-insert-l[simp]*:  
 $A \models_{ps} C \implies \text{insert } a \ A \models_{ps} C$   
**unfolding** *true-clss-clss-def true-clss-clss-def true-clss-def* **by** *blast*

**lemma** *true-clss-clss-union-and[iff]*:  
 $A \models_{ps} C \cup D \iff (A \models_{ps} C \wedge A \models_{ps} D)$

**proof**

{  
**fix**  $A \ C \ D :: 'a \ \text{clause-set}$   
**assume**  $A: A \models_{ps} C \cup D$   
**have**  $A \models_{ps} C$   
**unfolding** *true-clss-clss-def true-clss-clss-def insert-def total-over-m-insert*  
**proof** (*intro allI impI*)  
**fix**  $I$   
**assume**  
 $\text{totAC}: \text{total-over-m } I \ (A \cup C)$  **and**  
 $\text{cons}: \text{consistent-interp } I$  **and**  
 $I: I \models_s A$   
**then have**  $\text{tot}: \text{total-over-m } I \ A$  **and**  $\text{tot}': \text{total-over-m } I \ C$  **by** *auto*  
**obtain**  $I'$  **where**  
 $\text{tot}': \text{total-over-m } (I \cup I') \ (A \cup C \cup D)$  **and**  
 $\text{cons}': \text{consistent-interp } (I \cup I')$  **and**  
 $H: \forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } D \wedge \text{atm-of } x \notin \text{atms-of-ms } (A \cup C)$   
**using** *total-over-m-consistent-extension[OF - cons, of A \cup C] tot tot'* **by** *blast*  
**moreover have**  $I \cup I' \models_s A$  **using**  $I$  **by** *simp*  
**ultimately have**  $I \cup I' \models_s C \cup D$  **using**  $A$  **unfolding** *true-clss-clss-def* **by** *auto*  
**then have**  $I \cup I' \models_s C \cup D$  **by** *auto*  
**then show**  $I \models_s C$  **using** *notin-vars-union-true-clss-true-clss[of I'] H* **by** *auto*

**qed**  
 } **note**  $H = \text{this}$   
**assume**  $A \models_{ps} C \cup D$   
**then show**  $A \models_{ps} C \wedge A \models_{ps} D$  **using**  $H[\text{of } A] \text{Un-commute}[\text{of } C D]$  **by** *metis*  
**next**  
**assume**  $A \models_{ps} C \wedge A \models_{ps} D$   
**then show**  $A \models_{ps} C \cup D$   
**unfolding** *true-clss-clss-def* **by** *auto*  
**qed**

**lemma** *true-clss-clss-insert[iff]*:  
 $A \models_{ps} \text{insert } L \text{ } Ls \longleftrightarrow (A \models_p L \wedge A \models_{ps} Ls)$   
**using** *true-clss-clss-union-and*[of  $A \{L\} Ls$ ] **by** *auto*

**lemma** *true-clss-clss-subset*:  
 $A \subseteq B \implies A \models_{ps} CC \implies B \models_{ps} CC$   
**by** (*metis subset-Un-eq true-clss-clss-union-l*)

Better suited as intro rule:

**lemma** *true-clss-clss-subsetI*:  
 $A \models_{ps} CC \implies A \subseteq B \implies B \models_{ps} CC$   
**by** (*metis subset-Un-eq true-clss-clss-union-l*)

**lemma** *union-trus-clss-clss[simp]*:  $A \cup B \models_{ps} B$   
**unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-clss-remove[simp]*:  
 $A \models_{ps} B \implies A \models_{ps} B - C$   
**by** (*metis Un-Diff-Int true-clss-clss-union-and*)

**lemma** *true-clss-clss-subsetE*:  
 $N \models_{ps} B \implies A \subseteq B \implies N \models_{ps} A$   
**by** (*metis sup.orderE true-clss-clss-union-and*)

**lemma** *true-clss-clss-in-imp-true-clss-clss*:  
**assumes**  $N \models_{ps} U$   
**and**  $A \in U$   
**shows**  $N \models_p A$   
**using** *assms mk-disjoint-insert* **by** *fastforce*

**lemma** *all-in-true-clss-clss*:  $\forall x \in B. x \in A \implies A \models_{ps} B$   
**unfolding** *true-clss-clss-def true-clss-def* **by** *auto*

**lemma** *true-clss-clss-left-right*:  
**assumes**  $A \models_{ps} B$   
**and**  $A \cup B \models_{ps} M$   
**shows**  $A \models_{ps} M \cup B$   
**using** *assms* **unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-clss-generalise-true-clss-clss*:  
 $A \cup C \models_{ps} D \implies B \models_{ps} C \implies A \cup B \models_{ps} D$   
**proof** –  
**assume**  $a1: A \cup C \models_{ps} D$   
**assume**  $B \models_{ps} C$   
**then have**  $f2: \bigwedge M. M \cup B \models_{ps} C$   
**by** (*meson true-clss-clss-union-l-r*)

**have**  $\bigwedge M. C \cup (M \cup A) \models_{ps} D$   
**using** *a1* **by** (*simp add: Un-commute sup-left-commute*)  
**then show** *?thesis*  
**using** *f2* **by** (*metis (no-types) Un-commute true-clss-clss-left-right true-clss-clss-union-and*)  
**qed**

**lemma** *true-clss-clss-or-true-clss-clss-or-not-true-clss-clss-or:*

**assumes**  $D: N \models_p \text{add-mset } (-L) D$   
**and**  $C: N \models_p \text{add-mset } L C$   
**shows**  $N \models_p D + C$   
**unfolding** *true-clss-clss-def*  
**proof** (*intro allI impI*)  
**fix**  $I$   
**assume**  
*tot: total-over-m I (N  $\cup$  {D + C}) and*  
*consistent-interp I and*  
 $I \models_s N$   
{  
**assume**  $L: L \in I \vee -L \in I$   
**then have** *total-over-m I {D + {#- L#}}*  
**using** *tot* **by** (*cases L*) *auto*  
**then have**  $I \models D + \{\#- L\#$  **using**  $D \langle I \models_s N \rangle \text{tot} \langle \text{consistent-interp } I \rangle$   
**unfolding** *true-clss-clss-def* **by** *auto*  
**moreover**  
**have** *total-over-m I {C + {\#L#}}*  
**using**  $L \text{tot}$  **by** (*cases L*) *auto*  
**then have**  $I \models C + \{\#L\#$   
**using**  $C \langle I \models_s N \rangle \text{tot} \langle \text{consistent-interp } I \rangle$  **unfolding** *true-clss-clss-def* **by** *auto*  
**ultimately have**  $I \models D + C$  **using**  $\langle \text{consistent-interp } I \rangle \text{consistent-interp-def}$  **by** *fastforce*  
}  
**moreover** {  
**assume**  $L: L \notin I \wedge -L \notin I$   
**let**  $?I' = I \cup \{L\}$   
**have** *consistent-interp ?I' using L  $\langle \text{consistent-interp } I \rangle$  by auto*  
**moreover have** *total-over-m ?I' {add-mset (-L) D}*  
**using** *tot unfolding total-over-m-def total-over-set-def* **by** (*auto simp add: atms-of-def*)  
**moreover have** *total-over-m ?I' N using tot using total-union by blast*  
**moreover have**  $?I' \models_s N$  **using**  $\langle I \models_s N \rangle$  **using** *true-clss-union-increase* **by** *blast*  
**ultimately have**  $?I' \models \text{add-mset } (-L) D$   
**using**  $D$  **unfolding** *true-clss-clss-def* **by** *blast*  
**then have**  $?I' \models D$  **using**  $L$  **by** *auto*  
**moreover**  
**have** *total-over-set I (atms-of (D + C)) using tot by auto*  
**then have**  $L \notin \# D \wedge -L \notin \# D$   
**using**  $L$  **unfolding** *total-over-set-def atms-of-def* **by** (*cases L*) *force+*  
**ultimately have**  $I \models D + C$  **unfolding** *true-clss-clss-def* **by** *auto*  
}  
**ultimately show**  $I \models D + C$  **by** *blast*  
**qed**

**lemma** *true-clss-union-mset[iff]:*  $I \models C \cup \# D \longleftrightarrow I \models C \vee I \models D$   
**unfolding** *true-clss-clss-def* **by** *force*

**lemma** *true-clss-clss-sup-iff-add:*  $N \models_p C \cup \# D \longleftrightarrow N \models_p C + D$   
**by** (*auto simp: true-clss-clss-def*)

**lemma** *true-clss-clc-union-mset-true-clss-clc-or-not-true-clss-clc-or*:

**assumes**

$D: N \models_p \text{add-mset } (-L) D$  **and**

$C: N \models_p \text{add-mset } L C$

**shows**  $N \models_p D \cup\# C$

**using** *true-clss-clc-or-true-clss-clc-or-not-true-clss-clc-or* [*OF assms*]

**by** (*subst true-clss-clc-sup-iff-add*)

**lemma** *true-clss-clc-tautology-iff*:

$\langle\{\}\rangle \models_p a \iff \text{tautology } a$  (**is**  $\langle?A \iff ?B\rangle$ )

**proof**

**assume**  $?A$

**then have**  $H: \langle \text{total-over-set } I \text{ (atms-of } a) \implies \text{consistent-interp } I \implies I \models a \rangle$  **for**  $I$

**by** (*auto simp: true-clss-clc-def tautology-decomp add-mset-eq-add-mset*  
*dest!: multi-member-split*)

**show**  $?B$

**unfolding** *tautology-def*

**proof** (*intro allI impI*)

**fix**  $I$

**assume**  $\text{tot}: \langle \text{total-over-set } I \text{ (atms-of } a) \rangle$

**let**  $?Iinter = \langle I \cap \text{uminus } 'I \rangle$

**let**  $?I = \langle I - ?Iinter \cup \text{Pos } ' \text{atm-of } ' ?Iinter \rangle$

**have**  $\langle \text{total-over-set } ?I \text{ (atms-of } a) \rangle$

**using**  $\text{tot}$  **by** (*force simp: total-over-set-def image-image Clausal-Logic.uminus-lit-swap*  
*simp: image-iff*)

**moreover have**  $\langle \text{consistent-interp } ?I \rangle$

**unfolding** *consistent-interp-def image-iff*

**apply** *clarify*

**subgoal for**  $L$

**apply** (*cases L*)

**apply** (*auto simp: consistent-interp-def uminus-lit-swap image-iff*)

**apply** (*case-tac xa; auto; fail*)<sup>+</sup>

**done**

**done**

**ultimately have**  $\langle ?I \models a \rangle$

**using**  $H[\text{of } ?I]$  **by** *fast*

**moreover have**  $\langle ?I \subseteq I \rangle$

**apply** (*rule*)

**subgoal for**  $x$  **by** (*cases x; auto; rename-tac xb; case-tac xb; auto*)

**done**

**ultimately show**  $\langle I \models a \rangle$

**by** (*blast intro: true-clc-mono-set-mset-l*)

**qed**

**next**

**assume**  $?B$

**then show**  $\langle ?A \rangle$

**by** (*auto simp: true-clss-clc-def tautology-decomp add-mset-eq-add-mset*  
*dest!: multi-member-split*)

**qed**

**lemma** *true-clc-mset-empty-iff*[*simp*]:  $\langle\{\}\rangle \models_m C \iff C = \{\#\}$

**by** (*cases C*) *auto*

**lemma** *true-clss-mono-left*:

$\langle I \models_s A \implies I \subseteq J \implies J \models_s A \rangle$



by (metis sup.orderE true-clss-union-increase')

**lemma** true-cls-remove-alien:

$\langle I \models N \longleftrightarrow \{L. L \in I \wedge \text{atm-of } L \in \text{atms-of } N\} \models N \rangle$

by (auto simp: true-cls-def dest: multi-member-split)

**lemma** true-clss-remove-alien:

$\langle I \models_s N \longleftrightarrow \{L. L \in I \wedge \text{atm-of } L \in \text{atms-of-ms } N\} \models_s N \rangle$

by (auto simp: true-clss-def true-cls-def in-implies-atm-of-on-atms-of-ms dest: multi-member-split)

**lemma** true-clss-alt-def:

$\langle N \models_p \chi \longleftrightarrow$

$(\forall I. \text{atms-of-s } I = \text{atms-of-ms } (N \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$

apply (rule iffI)

subgoal

unfolding total-over-set-alt-def true-clss-cls-def total-over-m-alt-def

by auto

subgoal

unfolding total-over-set-alt-def true-clss-cls-def total-over-m-alt-def

apply (intro conjI impI allI)

subgoal for I

using consistent-interp-subset[of  $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \rangle I$ ]

true-clss-mono-left[of  $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } N \rangle N$

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \rangle$ ]

true-clss-remove-alien[of I N]

by (drule-tac  $x = \langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \rangle$  in spec)

(auto dest: true-cls-mono-set-mset-l)

done

done

**lemma** true-clss-alt-def2:

assumes  $\langle \neg \text{tautology } \chi \rangle$

shows  $\langle N \models_p \chi \longleftrightarrow (\forall I. \text{atms-of-s } I = \text{atms-of-ms } N \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$  (is  $\langle ?A \longleftrightarrow ?B \rangle$ )

proof (rule iffI)

assume ?A

then have H:

$\langle \bigwedge I. \text{atms-of-ms } (N \cup \{\chi\}) \subseteq \text{atms-of-s } I \longrightarrow$

$\text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi \rangle$

unfolding total-over-set-alt-def total-over-m-alt-def true-clss-cls-def by blast

show ?B

unfolding total-over-set-alt-def total-over-m-alt-def true-clss-cls-def

proof (intro conjI impI allI)

fix I ::  $\langle \text{'a literal set} \rangle$

assume

atms:  $\langle \text{atms-of-s } I = \text{atms-of-ms } N \rangle$  and

cons:  $\langle \text{consistent-interp } I \rangle$  and

$\langle I \models_s N \rangle$

let ?I1 =  $\langle I \cup \text{uminus } \{L \in \text{set-mset } \chi. \text{atm-of } L \notin \text{atms-of-s } I\} \rangle$

have  $\langle \text{atms-of-ms } (N \cup \{\chi\}) \subseteq \text{atms-of-s } ?I1 \rangle$

by (auto simp add: atms in-image-uminus-uminus atm-iff-pos-or-neg-lit)

moreover have  $\langle \text{consistent-interp } ?I1 \rangle$

using cons assms by (auto simp: consistent-interp-def)

(rename-tac x; case-tac x; auto; fail)+

moreover have  $\langle ?I1 \models_s N \rangle$

```

    using ⟨I ⊨s N⟩ by auto
  ultimately have ⟨?I1 ⊨ χ⟩
    using H[of ?I1] by auto
  then show ⟨I ⊨ χ⟩
    using assms by (auto simp: true-cls-def)
qed
next
assume ?B
show ?A
  unfolding total-over-m-alt-def true-cls-alt-def
proof (intro conjI impI allI)
  fix I :: ⟨a literal set⟩
  assume
    atms: ⟨atms-of-s I = atms-of-ms (N ∪ {χ})⟩ and
    cons: ⟨consistent-interp I⟩ and
    ⟨I ⊨s N⟩
  let ?I1 = ⟨{L ∈ I. atm-of L ∈ atms-of-ms N}⟩
  have ⟨atms-of-s ?I1 = atms-of-ms N⟩
    using atms by (auto simp add: in-image-uminus-uminus atm-iff-pos-or-neg-lit)
  moreover have ⟨consistent-interp ?I1⟩
    using cons assms by (auto simp: consistent-interp-def)
  moreover have ⟨?I1 ⊨s N⟩
    using ⟨I ⊨s N⟩ by (subst (asm) true-cls-remove-alien)
  ultimately have ⟨?I1 ⊨ χ⟩
    using ⟨?B⟩ by auto
  then show ⟨I ⊨ χ⟩
    using assms by (auto simp: true-cls-def)
qed
qed

```

```

lemma true-cls-restrict-iff:
  assumes ⟨¬tautology χ⟩
  shows ⟨N ⊨p χ ⟷ N ⊨p {#L ∈# χ. atm-of L ∈ atms-of-ms N#}⟩ (is ⟨?A ⟷ ?B⟩)
  apply (subst true-cls-alt-def2[OF assms])
  apply (subst true-cls-alt-def2)
  subgoal using not-tautology-mono[OF - assms] by (auto dest: not-tautology-minus)
  apply (rule HOL.iff-allI)
  apply (auto 5 5 simp: true-cls-def atms-of-s-def dest!: multi-member-split)
done

```

This is a slightly restrictive theorem, that encompasses most useful cases. The assumption  $\neg \text{tautology } C$  can be removed if the model  $I$  is total over the clause.

```

lemma true-cls-cls-true-cls-true-cls:
  assumes ⟨N ⊨p C⟩
    ⟨I ⊨s N⟩ and
    cons: ⟨consistent-interp I⟩ and
    tauto: ⟨¬tautology C⟩
  shows ⟨I ⊨ C⟩
proof -
  let ?I = ⟨I ∪ uminus ‘ {L ∈ set-mset C. atm-of L ∉ atms-of-s I}⟩
  let ?I2 = ⟨?I ∪ Pos ‘ {L ∈ atms-of-ms N. L ∉ atms-of-s ?I}⟩
  have ⟨total-over-m ?I2 (N ∪ {C})⟩
    by (auto simp: total-over-m-alt-def atms-of-def in-image-uminus-uminus
      dest!: multi-member-split)
  moreover have ⟨consistent-interp ?I2⟩
    using cons tauto unfolding consistent-interp-def

```

```

apply (intro allI)
apply (case-tac L)
by (auto simp: uminus-lit-swap eq-commute[of ⟨Pos → ⟨- -⟩⟩
  eq-commute[of ⟨Neg → ⟨- -⟩⟩])
moreover have ⟨?I2 ⊨s N⟩
  using ⟨I ⊨s N⟩ by auto
ultimately have ⟨?I2 ⊨ C⟩
  using assms(1) unfolding true-clss-cls-def by fast
then show ?thesis
  using tauto
  by (subst (asm) true-cls-remove-alien)
    (auto simp: true-cls-def in-image-uminus-uminus)
qed

```

### 1.1.4 Subsumptions

**lemma** *subsumption-total-over-m*:

```

assumes  $A \subseteq\# B$ 
shows total-over-m I {B}  $\implies$  total-over-m I {A}
using assms unfolding subset-mset-def total-over-m-def total-over-set-def
by (auto simp add: mset-subset-eq-exists-conv)

```

**lemma** *atms-of-replicate-mset-replicate-mset-uminus[simp]*:

```

atms-of (D - replicate-mset (count D L) L - replicate-mset (count D (-L)) (-L))
= atms-of D - {atm-of L}
by (auto simp: atm-of-eq-atm-of atms-of-def in-diff-count dest: in-diffD)

```

**lemma** *subsumption-chained*:

```

assumes
   $\forall I. \text{total-over-m } I \{D\} \longrightarrow I \models D \longrightarrow I \models \varphi$  and
   $C \subseteq\# D$ 
shows  $(\forall I. \text{total-over-m } I \{C\} \longrightarrow I \models C \longrightarrow I \models \varphi) \vee$  tautology  $\varphi$ 
using assms

```

**proof** (*induct card* {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C} *arbitrary*: D  
*rule*: nat-less-induct-case)

```

case 0 note n = this(1) and H = this(2) and incl = this(3)
then have atms-of D ⊆ atms-of C by auto
then have  $\forall I. \text{total-over-m } I \{C\} \longrightarrow \text{total-over-m } I \{D\}$ 
  unfolding total-over-m-def total-over-set-def by auto
moreover have  $\forall I. I \models C \longrightarrow I \models D$  using incl true-cls-mono-leD by blast
ultimately show ?case using H by auto

```

**next**

```

case (Suc n D) note IH = this(1) and card = this(2) and H = this(3) and incl = this(4)
let ?atms = {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C}
have finite ?atms by auto
then obtain L where L: L ∈ ?atms
  using card by (metis (no-types, lifting) Collect-empty-eq card-0-eq mem-Collect-eq
    nat.simps(3))
let ?D' = D - replicate-mset (count D L) L - replicate-mset (count D (-L)) (-L)
have atms-of-D: atms-of-ms {D} ⊆ atms-of-ms {?D'} ∪ {atm-of L}
  using atms-of-replicate-mset-replicate-mset-uminus by force

```

```

{
  fix I
  assume total-over-m I {?D'}
  then have tot: total-over-m (I ∪ {L}) {D}

```

**unfolding** *total-over-m-def total-over-set-def* **using** *atms-of-D* **by** *auto*

**assume** *IDL: I ⊨ ?D'*

**then have** *insert L I ⊨ D* **unfolding** *true-cls-def* **by** (*fastforce dest: in-diffD*)

**then have** *insert L I ⊨ φ* **using** *H tot* **by** *auto*

**moreover**

**have** *tot': total-over-m (I ∪ {-L}) {D}*

**using** *tot* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**have** *I ∪ {-L} ⊨ D* **using** *IDL* **unfolding** *true-cls-def* **by** (*force dest: in-diffD*)

**then have** *I ∪ {-L} ⊨ φ* **using** *H tot'* **by** *auto*

**ultimately have** *I ⊨ φ ∨ tautology φ*

**using** *L remove-literal-in-model-tautology* **by** *force*

**} note** *H' = this*

**have** *L ∉# C* **and** *-L ∉# C* **using** *L atm-iff-pos-or-neg-lit* **by** *force+*

**then have** *C-in-D': C ⊆# ?D'* **using** *C ⊆# D* **by** (*auto simp: subseteq-mset-def not-in-iff*)

**have** *card {Pos v | v. v ∈ atms-of ?D' ∧ v ∉ atms-of C} <*

*card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C}*

**using** *L* **unfolding** *atms-of-replicate-mset-replicate-mset-uminus[of D L]*

**by** (*auto intro!: psubset-card-mono*)

**then show** *?case*

**using** *IH C-in-D' H'* **unfolding** *card[symmetric]* **by** *blast*

**qed**

### 1.1.5 Removing Duplicates

**lemma** *tautology-remdups-mset[iff]:*

*tautology (remdups-mset C) ⟷ tautology C*

**unfolding** *tautology-decomp* **by** *auto*

**lemma** *atms-of-remdups-mset[simp]: atms-of (remdups-mset C) = atms-of C*

**unfolding** *atms-of-def* **by** *auto*

**lemma** *true-cls-remdups-mset[iff]: I ⊨ remdups-mset C ⟷ I ⊨ C*

**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-clss-cls-remdups-mset[iff]: A ⊨<sub>p</sub> remdups-mset C ⟷ A ⊨<sub>p</sub> C*

**unfolding** *true-clss-cls-def total-over-m-def* **by** *auto*

### 1.1.6 Set of all Simple Clauses

A simple clause with respect to a set of atoms is such that

1. its atoms are included in the considered set of atoms;
2. it is not a tautology;
3. it does not contains duplicate literals.

It corresponds to the clauses that cannot be simplified away in a calculus without considering the other clauses.

**definition** *simple-clss :: 'v set ⇒ 'v clause set* **where**

*simple-clss atms = {C. atms-of C ⊆ atms ∧ ¬tautology C ∧ distinct-mset C}*

**lemma** *simple-clss-empty[simp]*:

*simple-clss* {} = {{#}}

**unfolding** *simple-clss-def* **by** *auto*

**lemma** *simple-clss-insert*:

**assumes**  $l \notin \text{atms}$

**shows** *simple-clss* (*insert*  $l$  *atms*) =

$((+) \{\#Pos\ l\# \}) ' (simple-clss\ atms)$

$\cup ((+) \{\#Neg\ l\# \}) ' (simple-clss\ atms)$

$\cup simple-clss\ atms(is\ ?I = ?U)$

**proof** (*standard*; *standard*)

**fix**  $C$

**assume**  $C \in ?I$

**then have**

*atms*: *atms-of*  $C \subseteq insert\ l\ atms$  **and**

*taut*:  $\neg tautology\ C$  **and**

*dist*: *distinct-mset*  $C$

**unfolding** *simple-clss-def* **by** *auto*

**have**  $H: \bigwedge x. x \in \# C \implies atm-of\ x \in insert\ l\ atms$

**using** *atm-of-lit-in-atms-of atms* **by** *blast*

**consider**

(*Add*)  $L$  **where**  $L \in \# C$  **and**  $L = Neg\ l \vee L = Pos\ l$

| (*No*)  $Pos\ l \notin \# C$   $Neg\ l \notin \# C$

**by** *auto*

**then show**  $C \in ?U$

**proof** *cases*

**case** *Add*

**then have** *LCL*:  $L \notin \# C - \{\#L\# \}$

**using** *dist* **unfolding** *distinct-mset-def* **by** (*auto simp: not-in-iff*)

**have** *LC*:  $-L \notin \# C$

**using** *taut Add* **by** *auto*

**obtain** *aa* :: '*a* **where**

*f4*: ( $aa \in \text{atms-of}\ (remove1\ mset\ L\ C) \implies aa \in \text{atms}$ )  $\implies \text{atms-of}\ (remove1\ mset\ L\ C) \subseteq \text{atms}$

**by** (*meson subset-iff*)

**obtain** *ll* :: '*a* **literal where**

$aa \notin atm-of\ 'set-mset\ (remove1\ mset\ L\ C) \vee aa = atm-of\ ll \wedge ll \in \# remove1\ mset\ L\ C$

**by** *blast*

**then have** *atms-of* ( $C - \{\#L\# \}$ )  $\subseteq \text{atms}$

**using** *f4 Add LCL LC H* **unfolding** *atms-of-def* **by** (*metis H in-diffD insertE*

*literal.exhaust-sel uminus-Neg uminus-Pos*)

**moreover have**  $\neg tautology\ (C - \{\#L\# \})$

**using** *taut* **by** (*metis Add(1) insert-DiffM tautology-add-mset*)

**moreover have** *distinct-mset* ( $C - \{\#L\# \}$ )

**using** *dist* **by** *auto*

**ultimately have** ( $C - \{\#L\# \}$ )  $\in simple-clss\ atms$

**using** *Add* **unfolding** *simple-clss-def* **by** *auto*

**moreover have**  $C = \{\#L\# \} + (C - \{\#L\# \})$

**using** *Add* **by** (*auto simp: multiset-eq-iff*)

**ultimately show** *?thesis* **using** *Add* **by** *blast*

**next**

**case** *No*

**then have**  $C \in simple-clss\ atms$

**using** *taut atms dist* **unfolding** *simple-clss-def*

**by** (*auto simp: atm-iff-pos-or-neg-lit split: if-split-asm dest!: H*)

**then show** *?thesis* **by** *blast*

**qed**

```

next
fix C
assume C ∈ ?U
then consider
  (Add) L C' where C = {#L#} + C' and C' ∈ simple-clss atms and
    L = Pos l ∨ L = Neg l
  | (No) C ∈ simple-clss atms
  by auto
then show C ∈ ?I
proof cases
  case No
  then show ?thesis unfolding simple-clss-def by auto
next
case (Add L C') note C' = this(1) and C = this(2) and L = this(3)
then have
  atms: atms-of C' ⊆ atms and
  taut: ¬tautology C' and
  dist: distinct-mset C'
  unfolding simple-clss-def by auto
have atms-of C ⊆ insert l atms
  using atms C' L by auto
moreover have ¬tautology C
  using taut C' L assms atms by (metis union-mset-add-mset-left add.left-neutral
    neg-lit-in-atms-of pos-lit-in-atms-of subsetCE tautology-add-mset
    uminus-Neg uminus-Pos)
moreover have distinct-mset C
  using dist C' L by (metis union-mset-add-mset-left add.left-neutral assms atms
    distinct-mset-add-mset neg-lit-in-atms-of pos-lit-in-atms-of subsetCE)
ultimately show ?thesis unfolding simple-clss-def by blast
qed
qed

```

```

lemma simple-clss-finite:
  fixes atms :: 'v set
  assumes finite atms
  shows finite (simple-clss atms)
  using assms by (induction rule: finite-induct) (auto simp: simple-clss-insert)

```

```

lemma simple-clssE:
  assumes
    x ∈ simple-clss atms
  shows atms-of x ⊆ atms ∧ ¬tautology x ∧ distinct-mset x
  using assms unfolding simple-clss-def by auto

```

```

lemma cls-in-simple-clss:
  shows {#} ∈ simple-clss s
  unfolding simple-clss-def by auto

```

```

lemma simple-clss-card:
  fixes atms :: 'v set
  assumes finite atms
  shows card (simple-clss atms) ≤ (3::nat) ^ (card atms)
  using assms
proof (induct atms rule: finite-induct)
  case empty
  then show ?case by auto

```

```

next
case (insert l C) note fin = this(1) and l = this(2) and IH = this(3)
have notin:
   $\wedge C'. \text{add-mset (Pos l) } C' \notin \text{simple-clss } C$ 
   $\wedge C'. \text{add-mset (Neg l) } C' \notin \text{simple-clss } C$ 
  using l unfolding simple-clss-def by auto
have H:  $\wedge C' D. \{\#Pos\ l\# \} + C' = \{\#Neg\ l\# \} + D \implies D \in \text{simple-clss } C \implies \text{False}$ 
proof -
  fix C' D
  assume C'D:  $\{\#Pos\ l\# \} + C' = \{\#Neg\ l\# \} + D$  and D:  $D \in \text{simple-clss } C$ 
  then have Pos l  $\in \# D$ 
    by (auto simp: add-mset-eq-add-mset-ne)
  then have l  $\in \text{atms-of } D$ 
    by (simp add: atm-iff-pos-or-neg-lit)
  then show False using D l unfolding simple-clss-def by auto
qed
let ?P = ((+)  $\{\#Pos\ l\# \}$ ) ' (simple-clss C)
let ?N = ((+)  $\{\#Neg\ l\# \}$ ) ' (simple-clss C)
let ?O = simple-clss C
have card (?P  $\cup$  ?N  $\cup$  ?O) = card (?P  $\cup$  ?N) + card ?O
  apply (subst card-Un-disjoint)
  using l fin by (auto simp: simple-clss-finite notin)
moreover have card (?P  $\cup$  ?N) = card ?P + card ?N
  apply (subst card-Un-disjoint)
  using l fin H by (auto simp: simple-clss-finite notin)
moreover
  have card ?P = card ?O
    using inj-on-iff-eq-card[of ?O (+)  $\{\#Pos\ l\# \}$ ]
    by (auto simp: fin simple-clss-finite inj-on-def)
  moreover have card ?N = card ?O
    using inj-on-iff-eq-card[of ?O (+)  $\{\#Neg\ l\# \}$ ]
    by (auto simp: fin simple-clss-finite inj-on-def)
  moreover have  $(3::nat) \wedge \text{card (insert l C)} = 3 \wedge (\text{card } C) + 3 \wedge (\text{card } C) + 3 \wedge (\text{card } C)$ 
    using l by (simp add: fin mult-2-right numeral-3-eq-3)
  ultimately show ?case using IH l by (auto simp: simple-clss-insert)
qed

```

```

lemma simple-clss-mono:
  assumes incl:  $\text{atms} \subseteq \text{atms}'$ 
  shows simple-clss  $\text{atms} \subseteq \text{simple-clss } \text{atms}'$ 
  using assms unfolding simple-clss-def by auto

```

```

lemma distinct-mset-not-tautology-implies-in-simple-clss:
  assumes distinct-mset  $\chi$  and  $\neg \text{tautology } \chi$ 
  shows  $\chi \in \text{simple-clss (atms-of } \chi)$ 
  using assms unfolding simple-clss-def by auto

```

```

lemma simplified-in-simple-clss:
  assumes distinct-mset-set  $\psi$  and  $\forall \chi \in \psi. \neg \text{tautology } \chi$ 
  shows  $\psi \subseteq \text{simple-clss (atms-of-ms } \psi)$ 
  using assms unfolding simple-clss-def
  by (auto simp: distinct-mset-set-def atms-of-ms-def)

```

```

lemma simple-clss-element-mono:
   $\langle x \in \text{simple-clss } A \implies y \subseteq \# x \implies y \in \text{simple-clss } A \rangle$ 
  by (auto simp: simple-clss-def atms-of-def intro: distinct-mset-mono)

```

*dest: not-tautology-mono*)

### 1.1.7 Experiment: Expressing the Entailments as Locales

**locale** *entail* =

**fixes** *entail* :: 'a set  $\Rightarrow$  'b  $\Rightarrow$  bool (**infix**  $\models_e$  50)

**assumes** *entail-insert[simp]*:  $I \neq \{\}$   $\implies$   $\text{insert } L \ I \models_e x \longleftrightarrow \{L\} \models_e x \vee I \models_e x$

**assumes** *entail-union[simp]*:  $I \models_e A \implies I \cup I' \models_e A$

**begin**

**definition** *entails* :: 'a set  $\Rightarrow$  'b set  $\Rightarrow$  bool (**infix**  $\models_{es}$  50) **where**

$I \models_{es} A \longleftrightarrow (\forall a \in A. I \models_e a)$

**lemma** *entails-empty[simp]*:

$I \models_{es} \{\}$

**unfolding** *entails-def* **by** *auto*

**lemma** *entails-single[iff]*:

$I \models_{es} \{a\} \longleftrightarrow I \models_e a$

**unfolding** *entails-def* **by** *auto*

**lemma** *entails-insert-l[simp]*:

$M \models_{es} A \implies \text{insert } L \ M \models_{es} A$

**unfolding** *entails-def* **by** (*metis Un-commute entail-union insert-is-Un*)

**lemma** *entails-union[iff]*:  $I \models_{es} CC \cup DD \longleftrightarrow I \models_{es} CC \wedge I \models_{es} DD$

**unfolding** *entails-def* **by** *blast*

**lemma** *entails-insert[iff]*:  $I \models_{es} \text{insert } C \ DD \longleftrightarrow I \models_e C \wedge I \models_{es} DD$

**unfolding** *entails-def* **by** *blast*

**lemma** *entails-insert-mono*:  $DD \subseteq CC \implies I \models_{es} CC \implies I \models_{es} DD$

**unfolding** *entails-def* **by** *blast*

**lemma** *entails-union-increase[simp]*:

**assumes**  $I \models_{es} \psi$

**shows**  $I \cup I' \models_{es} \psi$

**using** *assms* **unfolding** *entails-def* **by** *auto*

**lemma** *true-clss-commute-l*:

$I \cup I' \models_{es} \psi \longleftrightarrow I' \cup I \models_{es} \psi$

**by** (*simp add: Un-commute*)

**lemma** *entails-remove[simp]*:  $I \models_{es} N \implies I \models_{es} \text{Set.remove } a \ N$

**by** (*simp add: entails-def*)

**lemma** *entails-remove-minus[simp]*:  $I \models_{es} N \implies I \models_{es} N - A$

**by** (*simp add: entails-def*)

**end**

**interpretation** *true-cl*: *entail true-cl*

**by** *standard (auto simp add: true-cl-def)*



### 1.1.8 Entailment to be extended

In some cases we want a more general version of entailment to have for example  $\{\} \models \{\#L, -L\#$ . This is useful when the model we are building might not be total (the literal  $L$  might have been definitely removed from the set of clauses), but we still want to have a property of entailment considering that these removed literals are not important.

We can given a model  $I$  consider all the natural extensions:  $C$  is entailed by an extended  $I$ , if for all total extension of  $I$ , this model entails  $C$ .

**definition** *true-clss-ext* :: 'a literal set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\models_{\text{sext}}$  49)

**where**

$I \models_{\text{sext}} N \iff (\forall J. I \subseteq J \longrightarrow \text{consistent-interp } J \longrightarrow \text{total-over-m } J \ N \longrightarrow J \models N)$

**lemma** *true-clss-imp-true-cls-ext*:

$I \models N \implies I \models_{\text{sext}} N$

**unfolding** *true-clss-ext-def* **by** (*metis sup.orderE true-clss-union-increase*)

**lemma** *true-clss-ext-decrease-right-remove-r*:

**assumes**  $I \models_{\text{sext}} N$

**shows**  $I \models_{\text{sext}} N - \{C\}$

**unfolding** *true-clss-ext-def*

**proof** (*intro allI impI*)

**fix**  $J$

**assume**

$I \subseteq J$  **and**

*cons*: *consistent-interp*  $J$  **and**

*tot*: *total-over-m*  $J$  ( $N - \{C\}$ )

**let**  $?J = J \cup \{\text{Pos } (\text{atm-of } P) \mid P. P \in \# C \wedge \text{atm-of } P \notin \text{atm-of } J\}$

**have**  $I \subseteq ?J$  **using**  $\langle I \subseteq J \rangle$  **by** *auto*

**moreover have** *consistent-interp*  $?J$

**using** *cons* **unfolding** *consistent-interp-def* **apply** (*intro allI*)

**by** (*rename-tac L, case-tac L*) (*fastforce simp add: image-iff*)**+**

**moreover have** *total-over-m*  $?J \ N$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

**apply** *clarify*

**apply** (*rename-tac l a, case-tac a*  $\in N - \{C\}$ )

**apply** (*auto; fail*)

**using** *atms-of-s-def atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*

**by** (*fastforce simp: atms-of-def*)

**ultimately have**  $?J \models N$

**using** *assms* **unfolding** *true-clss-ext-def* **by** *blast*

**then have**  $?J \models N - \{C\}$  **by** *auto*

**have**  $\{v \in ?J. \text{atm-of } v \in \text{atms-of-ms } (N - \{C\})\} \subseteq J$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def*

**by** (*auto intro!: rev-image-eqI*)

**then show**  $J \models N - \{C\}$

**using** *true-clss-remove-unused[OF  $\langle ?J \models N - \{C\} \rangle$ ]* **unfolding** *true-clss-def*

**by** (*meson true-cls-mono-set-mset-l*)

**qed**

**lemma** *consistent-true-clss-ext-satisfiable*:

**assumes** *consistent-interp*  $I$  **and**  $I \models_{\text{sext}} A$

**shows** *satisfiable*  $A$

**by** (*metis Un-empty-left assms satisfiable-carac subset-Un-eq sup.left-idem*

*total-over-m-consistent-extension total-over-m-empty true-clss-ext-def*)

**lemma** *not-consistent-true-clss-ext*:  
**assumes**  $\neg$ *consistent-interp I*  
**shows**  $I \models_{\text{sext}} A$   
**by** (*meson assms consistent-interp-subset true-clss-ext-def*)

**lemma** *inj-on-Pos*:  $\langle \text{inj-on Pos } A \rangle$  **and**  
*inj-on-Neg*:  $\langle \text{inj-on Neg } A \rangle$   
**by** (*auto simp: inj-on-def*)

**lemma** *inj-on-uminus-lit*:  $\langle \text{inj-on uminus } A \rangle$  **for**  $A :: \langle 'a \text{ literal set} \rangle$   
**by** (*auto simp: inj-on-def*)

**end**

## 1.2 Partial Annotated Herbrand Interpretation

We here define decided literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

**theory** *Partial-Annotated-Herbrand-Interpretation*  
**imports**  
*Partial-Herbrand-Interpretation*  
**begin**

### 1.2.1 Decided Literals

#### Definition

**datatype**  $\langle 'v, 'w, 'mark \rangle$  *annotated-lit* =  
*is-decided*: *Decided* (*lit-dec*:  $'v$ ) |  
*is-proped*: *Propagated* (*lit-prop*:  $'w$ ) (*mark-of*:  $'mark$ )

**type-synonym**  $\langle 'v, 'w, 'mark \rangle$  *annotated-lits* =  $\langle \langle 'v, 'w, 'mark \rangle$  *annotated-lit list*  $\rangle$

**type-synonym**  $\langle 'v, 'mark \rangle$  *ann-lit* =  $\langle ('v \text{ literal}, 'v \text{ literal}, 'mark) \text{ annotated-lit} \rangle$

**lemma** *ann-lit-list-induct*[*case-names Nil Decided Propagated*]:

**assumes**  
 $\langle P [] \rangle$  **and**  
 $\langle \bigwedge L xs. P xs \implies P (\text{Decided } L \# xs) \rangle$  **and**  
 $\langle \bigwedge L m xs. P xs \implies P (\text{Propagated } L m \# xs) \rangle$   
**shows**  $\langle P xs \rangle$   
**using** *assms* **apply** (*induction xs, simp*)  
**by** (*rename-tac a xs, case-tac a*) *auto*

**lemma** *is-decided-ex-Decided*:  
 $\langle \text{is-decided } L \implies (\bigwedge K. L = \text{Decided } K \implies P) \implies P \rangle$   
**by** (*cases L*) *auto*

**lemma** *is-propedE*:  $\langle \text{is-proped } L \implies (\bigwedge K C. L = \text{Propagated } K C \implies P) \implies P \rangle$   
**by** (*cases L*) *auto*

**lemma** *is-decided-no-proped-iff*:  $\langle \text{is-decided } L \iff \neg \text{is-proped } L \rangle$   
**by** (*cases L*) *auto*

**lemma** *not-is-decidedE*:  
 $\langle \neg\text{is-decided } E \implies (\bigwedge K C. E = \text{Propagated } K C \implies \text{thesis}) \implies \text{thesis} \rangle$   
**by** (*cases E*) *auto*

**type-synonym**  $\langle ('v, 'm) \text{ ann-lits} = ('v, 'm) \text{ ann-lit list} \rangle$

**fun** *lit-of* ::  $\langle ('a, 'a, 'mark) \text{ annotated-lit} \Rightarrow 'a \rangle$  **where**  
 $\langle \text{lit-of } (\text{Decided } L) = L \mid$   
 $\text{lit-of } (\text{Propagated } L \text{ -}) = L \rangle$

**definition** *lits-of* ::  $\langle ('a, 'b) \text{ ann-lit set} \Rightarrow 'a \text{ literal set} \rangle$  **where**  
 $\langle \text{lits-of } Ls = \text{lit-of } ' Ls \rangle$

**abbreviation** *lits-of-l* ::  $\langle ('a, 'b) \text{ ann-lits} \Rightarrow 'a \text{ literal set} \rangle$  **where**  
 $\langle \text{lits-of-l } Ls \equiv \text{lits-of } (\text{set } Ls) \rangle$

**lemma** *lits-of-l-empty[simp]*:  
 $\langle \text{lits-of } \{\} = \{\} \rangle$   
**unfolding** *lits-of-def* **by** *auto*

**lemma** *lits-of-insert[simp]*:  
 $\langle \text{lits-of } (\text{insert } L Ls) = \text{insert } (\text{lit-of } L) (\text{lits-of } Ls) \rangle$   
**unfolding** *lits-of-def* **by** *auto*

**lemma** *lits-of-l-Un[simp]*:  
 $\langle \text{lits-of } (l \cup l') = \text{lits-of } l \cup \text{lits-of } l' \rangle$   
**unfolding** *lits-of-def* **by** *auto*

**lemma** *finite-lits-of-def[simp]*:  
 $\langle \text{finite } (\text{lits-of-l } L) \rangle$   
**unfolding** *lits-of-def* **by** *auto*

**abbreviation** *unmark* **where**  
 $\langle \text{unmark} \equiv (\lambda a. \{\#\text{lit-of } a\#\}) \rangle$

**abbreviation** *unmark-s* **where**  
 $\langle \text{unmark-s } M \equiv \text{unmark } ' M \rangle$

**abbreviation** *unmark-l* **where**  
 $\langle \text{unmark-l } M \equiv \text{unmark-s } (\text{set } M) \rangle$

**lemma** *atms-of-ms-lambda-lit-of-is-atm-of-lit-of[simp]*:  
 $\langle \text{atms-of-ms } (\text{unmark-l } M') = \text{atm-of } ' \text{lits-of-l } M' \rangle$   
**unfolding** *atms-of-ms-def lits-of-def* **by** *auto*

**lemma** *lits-of-l-empty-is-empty[iff]*:  
 $\langle \text{lits-of-l } M = \{\} \longleftrightarrow M = [] \rangle$   
**by** (*induct M*) (*auto simp: lits-of-def*)

**lemma** *in-unmark-l-in-lits-of-l-iff*:  $\langle \{\#\text{L}\#\} \in \text{unmark-l } M \longleftrightarrow L \in \text{lits-of-l } M \rangle$   
**by** (*induction M*) *auto*

**lemma** *atm-lit-of-set-lits-of-l*:  
 $\langle \lambda l. \text{atm-of } (\text{lit-of } l) \text{ ' set } xs = \text{atm-of } ' \text{lits-of-l } xs \rangle$   
**unfolding** *lits-of-def* **by** *auto*

## Entailment

**definition**  $true\text{-annot} :: \langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause} \Rightarrow bool \rangle$  (**infix**  $\models_a$  49) **where**  
 $\langle I \models_a C \longleftrightarrow (\text{lits-of-l } I) \models C \rangle$

**definition**  $true\text{-annots} :: \langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause-set} \Rightarrow bool \rangle$  (**infix**  $\models_{as}$  49) **where**  
 $\langle I \models_{as} CC \longleftrightarrow (\forall C \in CC. I \models_a C) \rangle$

**lemma**  $true\text{-annot-empty-model}[simp]$ :  
 $\langle \neg[] \models_a \psi \rangle$   
**unfolding**  $true\text{-annot-def true-cls-def}$  **by**  $simp$

**lemma**  $true\text{-annot-empty}[simp]$ :  
 $\langle \neg I \models_a \{\#\} \rangle$   
**unfolding**  $true\text{-annot-def true-cls-def}$  **by**  $simp$

**lemma**  $empty\text{-true-annots-def}[iff]$ :  
 $\langle [] \models_{as} \psi \longleftrightarrow \psi = \{\} \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-empty}[simp]$ :  
 $\langle I \models_{as} \{\} \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-single-true-annot}[iff]$ :  
 $\langle I \models_{as} \{C\} \longleftrightarrow I \models_a C \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annot-insert-l}[simp]$ :  
 $\langle M \models_a A \Longrightarrow L \# M \models_a A \rangle$   
**unfolding**  $true\text{-annot-def}$  **by**  $auto$

**lemma**  $true\text{-annots-insert-l}[simp]$ :  
 $\langle M \models_{as} A \Longrightarrow L \# M \models_{as} A \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-union}[iff]$ :  
 $\langle M \models_{as} A \cup B \longleftrightarrow (M \models_{as} A \wedge M \models_{as} B) \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-insert}[iff]$ :  
 $\langle M \models_{as} \text{insert } a \ A \longleftrightarrow (M \models_a a \wedge M \models_{as} A) \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annot-append-l}$ :  
 $\langle M \models_a A \Longrightarrow M' @ M \models_a A \rangle$   
**unfolding**  $true\text{-annot-def}$  **by**  $auto$

**lemma**  $true\text{-annots-append-l}$ :  
 $\langle M \models_{as} A \Longrightarrow M' @ M \models_{as} A \rangle$   
**unfolding**  $true\text{-annots-def}$  **by** ( $auto \text{ simp: true-annot-append-l}$ )

Link between  $\models_{as}$  and  $\models_s$ :

**lemma**  $true\text{-annots-true-cls}$ :  
 $\langle I \models_{as} CC \longleftrightarrow \text{lits-of-l } I \models_s CC \rangle$   
**unfolding**  $true\text{-annots-def Ball-def true-annot-def true-clss-def}$  **by**  $auto$

**lemma** *in-lit-of-true-annot*:

$\langle a \in \text{lits-of-l } M \longleftrightarrow M \models_a \{\#a\# \} \rangle$

**unfolding** *true-annot-def lits-of-def* **by** *auto*

**lemma** *true-annot-lit-of-notin-skip*:

$\langle L \# M \models_a A \implies \text{lit-of } L \notin\# A \implies M \models_a A \rangle$

**unfolding** *true-annot-def true-clss-def* **by** *auto*

**lemma** *true-clss-singleton-lit-of-implies-incl*:

$\langle I \models_s \text{unmark-l } MLs \implies \text{lits-of-l } MLs \subseteq I \rangle$

**unfolding** *true-clss-def lits-of-def* **by** *auto*

**lemma** *true-annot-true-clss-clss*:

$\langle MLs \models_a \psi \implies \text{set } (\text{map unmark } MLs) \models_p \psi \rangle$

**unfolding** *true-annot-def true-clss-clss-def true-clss-def*

**by** (*auto dest: true-clss-singleton-lit-of-implies-incl*)

**lemma** *true-annot-true-clss-clss*:

$\langle MLs \models_{as} \psi \implies \text{set } (\text{map unmark } MLs) \models_{ps} \psi \rangle$

**by** (*auto*

*dest: true-clss-singleton-lit-of-implies-incl*

*simp add: true-clss-def true-annot-def true-annot-def lits-of-def true-clss-def*

*true-clss-clss-def*)

**lemma** *true-annot-true-clss-clss*[*iff*]:

$\langle \text{map Decided } M \models_{as} N \longleftrightarrow \text{set } M \models_s N \rangle$

**proof** –

**have** \*:  $\langle \text{lit-of ' Decided ' set } M = \text{set } M \rangle$  **unfolding** *lits-of-def* **by** *force*

**show** ?*thesis* **by** (*simp add: true-annot-true-clss \* lits-of-def*)

**qed**

**lemma** *true-annot-singleton*[*iff*]:  $\langle M \models_a \{\#L\# \} \longleftrightarrow L \in \text{lits-of-l } M \rangle$

**unfolding** *true-annot-def lits-of-def* **by** *auto*

**lemma** *true-annot-true-clss-clss*:

$\langle A \models_{as} \Psi \implies \text{unmark-l } A \models_{ps} \Psi \rangle$

**unfolding** *true-clss-clss-def true-annot-def true-clss-def*

**by** (*auto dest!: true-clss-singleton-lit-of-implies-incl*

*simp: lits-of-def true-annot-def true-clss-def*)

**lemma** *true-annot-commute*:

$\langle M @ M' \models_a D \longleftrightarrow M' @ M \models_a D \rangle$

**unfolding** *true-annot-def* **by** (*simp add: Un-commute*)

**lemma** *true-annot-commute*:

$\langle M @ M' \models_{as} D \longleftrightarrow M' @ M \models_{as} D \rangle$

**unfolding** *true-annot-def* **by** (*auto simp: true-annot-commute*)

**lemma** *true-annot-mono*[*dest*]:

$\langle \text{set } I \subseteq \text{set } I' \implies I \models_a N \implies I' \models_a N \rangle$

**using** *true-clss-mono-set-mset-l* **unfolding** *true-annot-def lits-of-def*

**by** (*metis (no-types) Un-commute Un-upper1 image-Un sup.orderE*)

**lemma** *true-annot-mono*:

$\langle \text{set } I \subseteq \text{set } I' \implies I \models_{as} N \implies I' \models_{as} N \rangle$

**unfolding** *true-annot-def* **by** *auto*

## Defined and Undefined Literals

We introduce the functions *defined-lit* and *undefined-lit* to know whether a literal is defined with respect to a list of decided literals (aka a trail in most cases).

Remark that *undefined* already exists and is a completely different Isabelle function.

**definition** *defined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**

$\langle \text{defined-lit } I L \longleftrightarrow (\text{Decided } L \in \text{set } I) \vee (\exists P. \text{Propagated } L P \in \text{set } I) \vee (\text{Decided } (-L) \in \text{set } I) \vee (\exists P. \text{Propagated } (-L) P \in \text{set } I) \rangle$

**abbreviation** *undefined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**  $\langle \text{undefined-lit } I L \equiv \neg \text{defined-lit } I L \rangle$

**lemma** *defined-lit-rev[simp]*:

$\langle \text{defined-lit } (\text{rev } M) L \longleftrightarrow \text{defined-lit } M L \rangle$

**unfolding** *defined-lit-def* **by** *auto*

**lemma** *atm-imp-decided-or-proped*:

**assumes**  $\langle x \in \text{set } I \rangle$

**shows**

$\langle (\text{Decided } (- \text{lit-of } x) \in \text{set } I) \vee (\text{Decided } (\text{lit-of } x) \in \text{set } I) \vee (\exists l. \text{Propagated } (- \text{lit-of } x) l \in \text{set } I) \vee (\exists l. \text{Propagated } (\text{lit-of } x) l \in \text{set } I) \rangle$

**using** *assms* **by** (*metis* (*full-types*) *lit-of.elims*)

**lemma** *literal-is-lit-of-decided*:

**assumes**  $\langle L = \text{lit-of } x \rangle$

**shows**  $\langle (x = \text{Decided } L) \vee (\exists l'. x = \text{Propagated } L l') \rangle$

**using** *assms* **by** (*cases* *x*) *auto*

**lemma** *true-annot-iff-decided-or-true-lit*:

$\langle \text{defined-lit } I L \longleftrightarrow (\text{lits-of-l } I \models L \vee \text{lits-of-l } I \models -L) \rangle$

**unfolding** *defined-lit-def* **by** (*auto* *simp* *add: lits-of-def rev-image-eqI* *dest!: literal-is-lit-of-decided*)

**lemma** *consistent-inter-true-annots-satisfiable*:

$\langle \text{consistent-interp } (\text{lits-of-l } I) \Longrightarrow I \models_{\text{as}} N \Longrightarrow \text{satisfiable } N \rangle$

**by** (*simp* *add: true-annots-true-cls*)

**lemma** *defined-lit-map*:

$\langle \text{defined-lit } Ls L \longleftrightarrow \text{atm-of } L \in (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ ` set } Ls \rangle$

**unfolding** *defined-lit-def* **apply** (*rule iffI*)

**using** *image-iff* **apply** *fastforce*

**by** (*fastforce* *simp* *add: atm-of-eq-atm-of dest: atm-imp-decided-or-proped*)

**lemma** *defined-lit-uminus[iff]*:

$\langle \text{defined-lit } I (-L) \longleftrightarrow \text{defined-lit } I L \rangle$

**unfolding** *defined-lit-def* **by** *auto*

**lemma** *Decided-Propagated-in-iff-in-lits-of-l*:

$\langle \text{defined-lit } I L \longleftrightarrow (L \in \text{lits-of-l } I \vee -L \in \text{lits-of-l } I) \rangle$

**unfolding** *lits-of-def* **by** (*metis* *lits-of-def true-annot-iff-decided-or-true-lit true-lit-def*)

**lemma** *consistent-add-undefined-lit-consistent*[simp]:  
**assumes**  
 ⟨*consistent-interp* (*lits-of-l* *Ls*)⟩ **and**  
 ⟨*undefined-lit* *Ls* *L*⟩  
**shows** ⟨*consistent-interp* (*insert L* (*lits-of-l* *Ls*))⟩  
**using** *assms* **unfolding** *consistent-interp-def* **by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *decided-empty*[simp]:  
 ⟨ $\neg$ *defined-lit* [] *L*⟩  
**unfolding** *defined-lit-def* **by** *simp*

**lemma** *undefined-lit-single*[iff]:  
 ⟨*defined-lit* [*L*] *K*  $\longleftrightarrow$  *atm-of* (*lit-of* *L*) = *atm-of* *K*⟩  
**by** (*auto simp: defined-lit-map*)

**lemma** *undefined-lit-cons*[iff]:  
 ⟨*undefined-lit* (*L* # *M*) *K*  $\longleftrightarrow$  *atm-of* (*lit-of* *L*)  $\neq$  *atm-of* *K*  $\wedge$  *undefined-lit* *M* *K*⟩  
**by** (*auto simp: defined-lit-map*)

**lemma** *undefined-lit-append*[iff]:  
 ⟨*undefined-lit* (*M* @ *M'*) *K*  $\longleftrightarrow$  *undefined-lit* *M* *K*  $\wedge$  *undefined-lit* *M'* *K*⟩  
**by** (*auto simp: defined-lit-map*)

**lemma** *defined-lit-cons*:  
 ⟨*defined-lit* (*L* # *M*) *K*  $\longleftrightarrow$  *atm-of* (*lit-of* *L*) = *atm-of* *K*  $\vee$  *defined-lit* *M* *K*⟩  
**by** (*auto simp: defined-lit-map*)

**lemma** *defined-lit-append*:  
 ⟨*defined-lit* (*M* @ *M'*) *K*  $\longleftrightarrow$  *defined-lit* *M* *K*  $\vee$  *defined-lit* *M'* *K*⟩  
**by** (*auto simp: defined-lit-map*)

**lemma** *in-lits-of-l-defined-litD*: ⟨*L-max*  $\in$  *lits-of-l* *M*  $\implies$  *defined-lit* *M* *L-max*⟩  
**by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *undefined-notin*: ⟨*undefined-lit* *M* (*lit-of* *x*)  $\implies$  *x*  $\notin$  *set M*⟩ **for** *x M*  
**by** (*metis in-lits-of-l-defined-litD insert-iff lits-of-insert mk-disjoint-insert*)

**lemma** *uminus-lits-of-l-definedD*:  
 ⟨ $\neg$ *x*  $\in$  *lits-of-l* *M*  $\implies$  *defined-lit* *M* *x*⟩  
**by** (*simp add: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *defined-lit-Neg-Pos-iff*:  
 ⟨*defined-lit* *M* (*Neg* *A*)  $\longleftrightarrow$  *defined-lit* *M* (*Pos* *A*)⟩  
**by** (*simp add: defined-lit-map*)

**lemma** *defined-lit-Pos-atm-iff*[simp]:  
 ⟨*defined-lit* *M1* (*Pos* (*atm-of* *x*))  $\longleftrightarrow$  *defined-lit* *M1* *x*⟩  
**by** (*cases x*) (*auto simp: defined-lit-Neg-Pos-iff*)

**lemma** *defined-lit-mono*:  
 ⟨*defined-lit* *M2* *L*  $\implies$  *set* *M2*  $\subseteq$  *set* *M3*  $\implies$  *defined-lit* *M3* *L*⟩  
**by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *defined-lit-nth*:  
 ⟨*n* < *length* *M2*  $\implies$  *defined-lit* *M2* (*lit-of* (*M2* ! *n*))⟩

by (auto simp: Decided-Propagated-in-iff-in-lits-of-l lits-of-def)

### 1.2.2 Backtracking

**fun** *backtrack-split* ::  $\langle ('a, 'v, 'm) \text{ annotated-lits} \Rightarrow ('a, 'v, 'm) \text{ annotated-lits} \times ('a, 'v, 'm) \text{ annotated-lits} \rangle$  **where**  
 $\langle \text{backtrack-split } [] = ([], []) \rangle$  |  
 $\langle \text{backtrack-split } (\text{Propagated } L \ P \ \# \ \text{mlits}) = \text{apfst } ((\#) (\text{Propagated } L \ P)) (\text{backtrack-split } \text{mlits}) \rangle$  |  
 $\langle \text{backtrack-split } (\text{Decided } L \ \# \ \text{mlits}) = ([], \text{Decided } L \ \# \ \text{mlits}) \rangle$

**lemma** *backtrack-split-fst-not-decided*:  $\langle a \in \text{set } (\text{fst } (\text{backtrack-split } l)) \implies \neg \text{is-decided } a \rangle$   
**by** (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-split-snd-hd-decided*:  
 $\langle \text{snd } (\text{backtrack-split } l) \neq [] \implies \text{is-decided } (\text{hd } (\text{snd } (\text{backtrack-split } l))) \rangle$   
**by** (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-split-list-eq[simp]*:  
 $\langle \text{fst } (\text{backtrack-split } l) @ (\text{snd } (\text{backtrack-split } l)) = l \rangle$   
**by** (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-snd-empty-not-decided*:  
 $\langle \text{backtrack-split } M = (M'', []) \implies \forall l \in \text{set } M. \neg \text{is-decided } l \rangle$   
**by** (metis append-Nil2 backtrack-split-fst-not-decided backtrack-split-list-eq snd-conv)

**lemma** *backtrack-split-some-is-decided-then-snd-has-hd*:  
 $\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' \ L' \ M''. \text{backtrack-split } M = (M'', L' \ \# \ M') \rangle$   
**by** (metis backtrack-snd-empty-not-decided list.exhaust prod.collapse)

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

**lemma** *backtrack-split-takeWhile-dropWhile*:  
 $\langle \text{backtrack-split } M = (\text{takeWhile } (\text{Not } o \ \text{is-decided}) \ M, \text{dropWhile } (\text{Not } o \ \text{is-decided}) \ M) \rangle$   
**by** (induction M rule: ann-lit-list-induct) auto

### 1.2.3 Decomposition with respect to the First Decided Literals

In this section we define a function that returns a decomposition with the first decided literal. This function is useful to define the backtracking of DPLL.

#### Definition

The pattern *get-all-ann-decomposition*  $[] = [([], [])]$  is necessary otherwise, we can call the *hd* function in the other pattern.

**fun** *get-all-ann-decomposition* ::  $\langle ('a, 'b, 'm) \text{ annotated-lits} \Rightarrow (('a, 'b, 'm) \text{ annotated-lits} \times ('a, 'b, 'm) \text{ annotated-lits}) \text{ list} \rangle$  **where**  
 $\langle \text{get-all-ann-decomposition } (\text{Decided } L \ \# \ Ls) = (\text{Decided } L \ \# \ Ls, []) \ \# \ \text{get-all-ann-decomposition } Ls \rangle$  |  
 $\langle \text{get-all-ann-decomposition } (\text{Propagated } L \ P \ \# \ Ls) = (\text{apsnd } ((\#) (\text{Propagated } L \ P)) (\text{hd } (\text{get-all-ann-decomposition } Ls))) \ \# \ \text{tl } (\text{get-all-ann-decomposition } Ls) \rangle$  |  
 $\langle \text{get-all-ann-decomposition } [] = [([], [])] \rangle$

**value**  $\langle \text{get-all-ann-decomposition } [\text{Propagated } A5 \ B5, \text{Decided } C4, \text{Propagated } A3 \ B3],$



*Propagated A2 B2, Decided C1, Propagated A0 B0*›

Now we can prove several simple properties about the function.

**lemma** *get-all-ann-decomposition-never-empty*[*iff*]:  
 ‹*get-all-ann-decomposition*  $M = [] \longleftrightarrow \text{False}$ ›  
**by** (*induct*  $M$ , *simp*) (*rename-tac*  $a$   $xs$ , *case-tac*  $a$ , *auto*)

**lemma** *get-all-ann-decomposition-never-empty-sym*[*iff*]:  
 ‹ $[] = \text{get-all-ann-decomposition } M \longleftrightarrow \text{False}$ ›  
**using** *get-all-ann-decomposition-never-empty*[of  $M$ ] **by** *presburger*

**lemma** *get-all-ann-decomposition-decomp*:  
 ‹*hd* (*get-all-ann-decomposition*  $S$ ) =  $(a, c) \implies S = c @ a$ ›

**proof** (*induct*  $S$  *arbitrary*:  $a$   $c$ )  
**case** *Nil*  
**then show** ?*case* **by** *simp*  
**next**  
**case** (*Cons*  $x$   $A$ )  
**then show** ?*case* **by** (*cases*  $x$ ; *cases* ‹*hd* (*get-all-ann-decomposition*  $A$ )›) *auto*  
**qed**

**lemma** *get-all-ann-decomposition-backtrack-split*:  
 ‹*backtrack-split*  $S = (M, M') \longleftrightarrow \text{hd}$  (*get-all-ann-decomposition*  $S$ ) =  $(M', M)$ ›

**proof** (*induction*  $S$  *arbitrary*:  $M$   $M'$ )  
**case** *Nil*  
**then show** ?*case* **by** *auto*  
**next**  
**case** (*Cons*  $a$   $S$ )  
**then show** ?*case* **using** *backtrack-split-takeWhile-dropWhile* **by** (*cases*  $a$ ) *force+*  
**qed**

**lemma** *get-all-ann-decomposition-Nil-backtrack-split-snd-Nil*:  
 ‹*get-all-ann-decomposition*  $S = [([], A)] \implies \text{snd}$  (*backtrack-split*  $S$ ) =  $[]$ ›  
**by** (*simp* *add*: *get-all-ann-decomposition-backtrack-split* *sndI*)

This functions says that the first element is either empty or starts with a decided element of the list.

**lemma** *get-all-ann-decomposition-length-1-fst-empty-or-length-1*:  
**assumes** ‹*get-all-ann-decomposition*  $M = (a, b) \# []$ ›  
**shows** ‹ $a = [] \vee (\text{length } a = 1 \wedge \text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$ ›  
**using** *assms*

**proof** (*induct*  $M$  *arbitrary*:  $a$   $b$  *rule*: *ann-lit-list-induct*)  
**case** *Nil* **then show** ?*case* **by** *simp*  
**next**  
**case** (*Decided*  $L$  *mark*)  
**then show** ?*case* **by** *simp*  
**next**  
**case** (*Propagated*  $L$  *mark*  $M$ )  
**then show** ?*case* **by** (*cases* ‹*get-all-ann-decomposition*  $M$ ›) *force+*  
**qed**

**lemma** *get-all-ann-decomposition-fst-empty-or-hd-in-M*:  
**assumes** ‹*get-all-ann-decomposition*  $M = (a, b) \# l$ ›  
**shows** ‹ $a = [] \vee (\text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$ ›  
**using** *assms*

```

proof (induct M arbitrary: a b rule: ann-lit-list-induct)
  case Nil
  then show ?case by auto
next
  case (Decided L ann xs)
  then show ?case by auto
next
  case (Propagated L m xs) note IH = this(1) and d = this(2)
  then show ?case
    using IH[of ⟨fst (hd (get-all-ann-decomposition xs))⟩ ⟨snd (hd (get-all-ann-decomposition xs))⟩]
    by (cases ⟨get-all-ann-decomposition xs⟩; cases a) auto
qed

```

```

lemma get-all-ann-decomposition-snd-not-decided:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  and ⟨L ∈ set b⟩
  shows ⟨¬is-decided L⟩
  using assms apply (induct M arbitrary: a b rule: ann-lit-list-induct, simp)
  by (rename-tac L' xs a b, case-tac ⟨get-all-ann-decomposition xs⟩; fastforce)+

```

```

lemma tl-get-all-ann-decomposition-skip-some:
  assumes ⟨x ∈ set (tl (get-all-ann-decomposition M1))⟩
  shows ⟨x ∈ set (tl (get-all-ann-decomposition (M0 @ M1)))⟩
  using assms
  by (induct M0 rule: ann-lit-list-induct)
  (auto simp add: list.set-sel(2))

```

```

lemma hd-get-all-ann-decomposition-skip-some:
  assumes ⟨(x, y) = hd (get-all-ann-decomposition M1)⟩
  shows ⟨(x, y) ∈ set (get-all-ann-decomposition (M0 @ Decided K # M1))⟩
  using assms

```

```

proof (induction M0 rule: ann-lit-list-induct)
  case Nil
  then show ?case by auto
next
  case (Decided L M0)
  then show ?case by auto
next
  case (Propagated L C M0) note xy = this(1)[OF this(2-)] and hd = this(2)
  then show ?case
    by (cases ⟨get-all-ann-decomposition (M0 @ Decided K # M1)⟩)
    (auto dest!: get-all-ann-decomposition-decomp
      arg-cong[of ⟨get-all-ann-decomposition -⟩ - hd])
qed

```

```

lemma in-get-all-ann-decomposition-in-get-all-ann-decomposition-prepend:
  ⟨(a, b) ∈ set (get-all-ann-decomposition M') ⟹
  ∃ b'. (a, b' @ b) ∈ set (get-all-ann-decomposition (M @ M'))⟩
  apply (induction M rule: ann-lit-list-induct)
  apply (metis append-Nil)
  apply auto[]
  by (rename-tac L' m xs, case-tac ⟨get-all-ann-decomposition (xs @ M')⟩) auto

```

```

lemma in-get-all-ann-decomposition-decided-or-empty:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨a = [] ∨ (is-decided (hd a))⟩

```

```

using assms
proof (induct M arbitrary: a b rule: ann-lit-list-induct)
  case Nil then show ?case by simp
next
  case (Decided l M)
  then show ?case by auto
next
  case (Propagated l mark M)
  then show ?case by (cases (get-all-ann-decomposition M)) force+
qed

```

**lemma** *get-all-ann-decomposition-remove-undecided-length:*  
**assumes**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$   
**shows**  $\langle \text{length (get-all-ann-decomposition (M' @ M''))} = \text{length (get-all-ann-decomposition M'')} \rangle$   
**using** *assms by (induct M' arbitrary: M'' rule: ann-lit-list-induct) auto*

**lemma** *get-all-ann-decomposition-not-is-decided-length:*  
**assumes**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$   
**shows**  $\langle 1 + \text{length (get-all-ann-decomposition (Propagated (-L) P \# M))} = \text{length (get-all-ann-decomposition (M' @ Decided L \# M))} \rangle$   
**using** *assms get-all-ann-decomposition-remove-undecided-length by fastforce*

**lemma** *get-all-ann-decomposition-last-choice:*  
**assumes**  $\langle \text{tl (get-all-ann-decomposition (M' @ Decided L \# M))} \neq [] \rangle$   
**and**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$   
**and**  $\langle \text{hd (tl (get-all-ann-decomposition (M' @ Decided L \# M)))} = (M0', M0) \rangle$   
**shows**  $\langle \text{hd (get-all-ann-decomposition (Propagated (-L) P \# M))} = (M0', \text{Propagated (-L) P \# M0}) \rangle$   
**using** *assms by (induct M' rule: ann-lit-list-induct) auto*

**lemma** *get-all-ann-decomposition-except-last-choice-equal:*  
**assumes**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$   
**shows**  $\langle \text{tl (get-all-ann-decomposition (Propagated (-L) P \# M))} = \text{tl (tl (get-all-ann-decomposition (M' @ Decided L \# M)))} \rangle$   
**using** *assms by (induct M' rule: ann-lit-list-induct) auto*

**lemma** *get-all-ann-decomposition-hd-hd:*  
**assumes**  $\langle \text{get-all-ann-decomposition } Ls = (M, C) \# (M0, M0') \# l \rangle$   
**shows**  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided (hd } M) \rangle$   
**using** *assms*

**proof** (*induct Ls arbitrary: M C M0 M0' l*)  
**case** *Nil*  
**then show** *?case by simp*  
**next**  
**case** (*Cons a Ls M C M0 M0' l*) **note** *IH = this(1)* **and** *g = this(2)*  
**{** **fix** *L ann level*  
**assume** *a: (a = Decided L)*  
**have**  $\langle Ls = M0' @ M0 \rangle$   
**using** *g a by (force intro: get-all-ann-decomposition-decomp)*  
**then have**  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided (hd } M) \rangle$  **using** *g a by auto*  
**}**  
**moreover** **{**  
**fix** *L P*  
**assume** *a: (a = Propagated L P)*  
**have**  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided (hd } M) \rangle$   
**using** *IH Cons.premis unfolding a by (cases (get-all-ann-decomposition Ls)) auto*

```

}
ultimately show ?case by (cases a) auto
qed

```

```

lemma get-all-ann-decomposition-exists-prepend[dest]:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ∃ c. M = c @ b @ a
  using assms apply (induct M rule: ann-lit-list-induct)
  apply simp
  by (rename-tac L' xs, case-tac ⟨get-all-ann-decomposition xs⟩;
      auto dest!: arg-cong[of ⟨get-all-ann-decomposition -⟩ - hd]
      get-all-ann-decomposition-decomp)+

```

```

lemma get-all-ann-decomposition-incl:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨set b ⊆ set M⟩ and ⟨set a ⊆ set M⟩
  using assms get-all-ann-decomposition-exists-prepend by fastforce+

```

```

lemma get-all-ann-decomposition-exists-prepend':
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  obtains c where ⟨M = c @ b @ a⟩
  using assms apply (induct M rule: ann-lit-list-induct)
  apply auto[1]
  by (rename-tac L' xs, case-tac ⟨hd (get-all-ann-decomposition xs)⟩,
      auto dest!: get-all-ann-decomposition-decomp simp add: list.set-sel(2))+

```

```

lemma union-in-get-all-ann-decomposition-is-subset:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨set a ∪ set b ⊆ set M⟩
  using assms by force

```

```

lemma Decided-cons-in-get-all-ann-decomposition-append-Decided-cons:
  ⟨∃ c''. (Decided K # c, c'') ∈ set (get-all-ann-decomposition (c' @ Decided K # c))⟩
  apply (induction c' rule: ann-lit-list-induct)
  apply auto[2]
  apply (rename-tac L xs,
      case-tac ⟨hd (get-all-ann-decomposition (xs @ Decided K # c))⟩)
  apply (case-tac ⟨get-all-ann-decomposition (xs @ Decided K # c)⟩)
  by auto

```

```

lemma fst-get-all-ann-decomposition-prepend-not-decided:
  assumes ⟨∀ m ∈ set MS. ¬ is-decided m⟩
  shows ⟨set (map fst (get-all-ann-decomposition M))
      = set (map fst (get-all-ann-decomposition (MS @ M)))⟩
  using assms apply (induction MS rule: ann-lit-list-induct)
  apply auto[2]
  by (rename-tac L m xs; case-tac ⟨get-all-ann-decomposition (xs @ M)⟩) simp-all

```

```

lemma no-decision-get-all-ann-decomposition:
  ⟨∀ l ∈ set M. ¬ is-decided l ⟹ get-all-ann-decomposition M = [([], M)]⟩
  by (induction M rule: ann-lit-list-induct) auto

```

## Entailment of the Propagated by the Decided Literal

```

lemma get-all-ann-decomposition-snd-union:
  ⟨set M = ⋃ (set 'snd ' set (get-all-ann-decomposition M)) ∪ {L | L. is-decided L ∧ L ∈ set M}⟩

```

(is  $\langle ?M M = ?U M \cup ?Ls M \rangle$ )  
**proof** (induct  $M$  rule: *ann-lit-list-induct*)  
 case *Nil*  
 then show  $?case$  by *simp*  
**next**  
 case (*Decided L M*) **note**  $IH = this(1)$   
 then have  $\langle Decided L \in ?Ls (Decided L \# M) \rangle$  by *auto*  
 moreover have  $\langle ?U (Decided L \# M) = ?U M \rangle$  by *auto*  
 moreover have  $\langle ?M M = ?U M \cup ?Ls M \rangle$  **using**  $IH$  by *auto*  
 ultimately show  $?case$  by *auto*  
**next**  
 case (*Propagated L m M*)  
 then show  $?case$  by (cases  $\langle (get-all-ann-decomposition M) \rangle$ ) *auto*  
**qed**

**definition** *all-decomposition-implies* ::  $\langle 'a$  clause set  
 $\Rightarrow (( 'a, 'm) \text{ ann-lits} \times ( 'a, 'm) \text{ ann-lits}) \text{ list} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle all-decomposition-implies N S \longleftrightarrow (\forall (Ls, seen) \in \text{set } S. \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l } seen) \rangle$

**lemma** *all-decomposition-implies-empty*[iff]:  
 $\langle all-decomposition-implies N [] \rangle$  **unfolding** *all-decomposition-implies-def* by *auto*

**lemma** *all-decomposition-implies-single*[iff]:  
 $\langle all-decomposition-implies N [(Ls, seen)] \longleftrightarrow \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l } seen \rangle$   
**unfolding** *all-decomposition-implies-def* by *auto*

**lemma** *all-decomposition-implies-append*[iff]:  
 $\langle all-decomposition-implies N (S @ S') \longleftrightarrow (all-decomposition-implies N S \wedge all-decomposition-implies N S') \rangle$   
**unfolding** *all-decomposition-implies-def* by *auto*

**lemma** *all-decomposition-implies-cons-pair*[iff]:  
 $\langle all-decomposition-implies N ((Ls, seen) \# S') \longleftrightarrow (all-decomposition-implies N [(Ls, seen)] \wedge all-decomposition-implies N S') \rangle$   
**unfolding** *all-decomposition-implies-def* by *auto*

**lemma** *all-decomposition-implies-cons-single*[iff]:  
 $\langle all-decomposition-implies N (l \# S') \longleftrightarrow (\text{unmark-l } (fst l) \cup N \models_{ps} \text{unmark-l } (snd l) \wedge all-decomposition-implies N S') \rangle$   
**unfolding** *all-decomposition-implies-def* by *auto*

**lemma** *all-decomposition-implies-trail-is-implied*:  
**assumes**  $\langle all-decomposition-implies N (get-all-ann-decomposition M) \rangle$   
**shows**  $\langle N \cup \{ \text{unmark } L \mid L. \text{is-decided } L \wedge L \in \text{set } M \} \models_{ps} \text{unmark } ' \bigcup (\text{set } ' \text{snd } ' \text{set } (get-all-ann-decomposition M)) \rangle$   
**using** *assms*  
**proof** (induct  $\langle \text{length } (get-all-ann-decomposition M) \rangle$  arbitrary:  $M$ )  
 case 0  
 then show  $?case$  by *auto*  
**next**  
 case (*Suc n*) **note**  $IH = this(1)$  **and**  $\text{length} = this(2)$  **and**  $\text{decomp} = this(3)$   
**consider**  
 (le1)  $\langle \text{length } (get-all-ann-decomposition M) \leq 1 \rangle$

```

| (gt1) ⟨length (get-all-ann-decomposition M) > 1⟩
by arith
then show ?case
proof cases
  case le1
  then obtain a b where g: ⟨get-all-ann-decomposition M = (a, b) # []⟩
    by (cases ⟨get-all-ann-decomposition M⟩) auto
  moreover {
    assume ⟨a = []⟩
    then have ?thesis using Suc.prem1 g by auto
  }
  moreover {
    assume l: ⟨length a = 1⟩ and m: ⟨is-decided (hd a)⟩ and hd: ⟨hd a ∈ set M⟩
    then have ⟨unmark (hd a) ∈ {unmark L | L. is-decided L ∧ L ∈ set M}⟩ by auto
    then have H: ⟨unmark-l a ∪ N ⊆ N ∪ {unmark L | L. is-decided L ∧ L ∈ set M}⟩
      using l by (cases a) auto
    have f1: ⟨unmark-l a ∪ N ⊢ps unmark-l b⟩
      using decomp unfolding all-decomposition-implies-def g by simp
    have ?thesis
      apply (rule true-cls-cls-subset) using f1 H g by auto
  }
  ultimately show ?thesis
    using get-all-ann-decomposition-length-1-fst-empty-or-length-1 by blast
next
case gt1
then obtain Ls0 seen0 M' where
  Ls0: ⟨get-all-ann-decomposition M = (Ls0, seen0) # get-all-ann-decomposition M'⟩ and
  length': ⟨length (get-all-ann-decomposition M') = n⟩ and
  M'-in-M: ⟨set M' ⊆ set M⟩
  using length by (induct M rule: ann-lit-list-induct) (auto simp: subset-insertI2)
let ?d = ⟨⋃ (set 'snd ' set (get-all-ann-decomposition M'))⟩
let ?unM = ⟨{unmark L | L. is-decided L ∧ L ∈ set M}⟩
let ?unM' = ⟨{unmark L | L. is-decided L ∧ L ∈ set M'}⟩
{
  assume ⟨n = 0⟩
  then have ⟨get-all-ann-decomposition M' = []⟩ using length' by auto
  then have ?thesis using Suc.prem1 unfolding all-decomposition-implies-def Ls0 by auto
}
moreover {
  assume n: ⟨n > 0⟩
  then obtain Ls1 seen1 l where
    Ls1: ⟨get-all-ann-decomposition M' = (Ls1, seen1) # l⟩
    using length' by (induct M' rule: ann-lit-list-induct) auto

  have ⟨all-decomposition-implies N (get-all-ann-decomposition M')⟩
    using decomp unfolding Ls0 by auto
  then have N: ⟨N ∪ ?unM' ⊢ps unmark-s ?d⟩
    using IH length' by auto
  have l: ⟨N ∪ ?unM' ⊆ N ∪ ?unM⟩
    using M'-in-M by auto
  from true-cls-cls-subset[OF this N]
  have ΨN: ⟨N ∪ ?unM ⊢ps unmark-s ?d⟩ by auto
  have ⟨is-decided (hd Ls0)⟩ and LS: ⟨tl Ls0 = seen1 @ Ls1⟩
    using get-all-ann-decomposition-hd-hd[of M] unfolding Ls0 Ls1 by auto

  have LSM: ⟨seen1 @ Ls1 = M'⟩ using get-all-ann-decomposition-decomp[of M] Ls1 by auto

```

```

have  $M'$ :  $\langle \text{set } M' = ?d \cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M'\} \rangle$ 
  using get-all-ann-decomposition-snd-union by auto

{
  assume  $\langle Ls0 \neq [] \rangle$ 
  then have  $\langle \text{hd } Ls0 \in \text{set } M \rangle$ 
    using get-all-ann-decomposition-fst-empty-or-hd-in-M  $Ls0$  by blast
  then have  $\langle N \cup ?unM \models_p \text{unmark } (\text{hd } Ls0) \rangle$ 
    using  $\langle \text{is-decided } (\text{hd } Ls0) \rangle$  by (metis (mono-tags, lifting) UnCI mem-Collect-eq true-clss-clss-in)
  } note  $\text{hd-}Ls0 = \text{this}$ 

have  $l$ :  $\langle \text{unmark } ' (?d \cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}) = \text{unmark-s } ?d \cup ?unM' \rangle$ 
  by auto
have  $\langle N \cup ?unM' \models_{ps} \text{unmark } ' (?d \cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}) \rangle$ 
  unfolding  $l$  using  $N$  by (auto simp: all-in-true-clss-clss)
then have  $t$ :  $\langle N \cup ?unM' \models_{ps} \text{unmark-}l (tl \ Ls0) \rangle$ 
  using  $M'$  unfolding  $LS \ LSM$  by auto
then have  $\langle N \cup ?unM \models_{ps} \text{unmark-}l (tl \ Ls0) \rangle$ 
  using  $M'$ -in- $M$  true-clss-clss-subset[OF - t, of  $\langle N \cup ?unM \rangle$ ] by auto
then have  $\langle N \cup ?unM \models_{ps} \text{unmark-}l \ Ls0 \rangle$ 
  using  $\text{hd-}Ls0$  by (cases Ls0) auto

moreover have  $\langle \text{unmark-}l \ Ls0 \cup N \models_{ps} \text{unmark-}l \ \text{seen0} \rangle$ 
  using decomp unfolding Ls0 by simp
moreover have  $\langle \bigwedge M \ Ma. (M::'a \ \text{clause set}) \cup Ma \models_{ps} M \rangle$ 
  by (simp add: all-in-true-clss-clss)
ultimately have  $\Psi$ :  $\langle N \cup ?unM \models_{ps} \text{unmark-}l \ \text{seen0} \rangle$ 
  by (meson true-clss-clss-left-right true-clss-clss-union-and true-clss-clss-union-l-r)

moreover have  $\langle \text{unmark } ' (\text{set } \text{seen0} \cup ?d) = \text{unmark-}l \ \text{seen0} \cup \text{unmark-s } ?d \rangle$ 
  by auto
ultimately have  $?thesis$  using  $\Psi N$  unfolding  $Ls0$  by simp
}
ultimately show  $?thesis$  by auto
qed

```

**lemma** *all-decomposition-implies-propagated-lits-are-implied:*  
**assumes**  $\langle \text{all-decomposition-implies } N \ (\text{get-all-ann-decomposition } M) \rangle$   
**shows**  $\langle N \cup \{\text{unmark } L \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \models_{ps} \text{unmark-}l \ M \rangle$   
*(is  $\langle ?I \models_{ps} ?A \rangle$ )*

**proof** –  
**have**  $\langle ?I \models_{ps} \text{unmark-s } \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \rangle$   
**by** (*auto intro: all-in-true-clss-clss*)  
**moreover have**  $\langle ?I \models_{ps} \text{unmark } ' \bigcup (\text{set } ' \ \text{snd } ' \ \text{set } (\text{get-all-ann-decomposition } M)) \rangle$   
**using** *all-decomposition-implies-trail-is-implied assms* **by** *blast*  
**ultimately have**  $\langle N \cup \{\text{unmark } m \mid m. \text{is-decided } m \wedge m \in \text{set } M\} \models_{ps} \text{unmark } ' \bigcup (\text{set } ' \ \text{snd } ' \ \text{set } (\text{get-all-ann-decomposition } M)) \cup \text{unmark } ' \{m \mid m. \text{is-decided } m \wedge m \in \text{set } M\} \rangle$   
**by** *blast*  
**then show**  $?thesis$   
**by** (*metis (no-types) get-all-ann-decomposition-snd-union[of M] image-Un*)  
**qed**

**lemma** *all-decomposition-implies-insert-single:*

⟨all-decomposition-implies  $N M \implies$  all-decomposition-implies (insert  $C N$ )  $M$ ⟩  
**unfolding** all-decomposition-implies-def **by** auto

**lemma** all-decomposition-implies-union:

⟨all-decomposition-implies  $N M \implies$  all-decomposition-implies  $(N \cup N') M$ ⟩

**unfolding** all-decomposition-implies-def sup.assoc[symmetric] **by** (auto intro: true-clss-clss-union-l)

**lemma** all-decomposition-implies-mono:

⟨ $N \subseteq N' \implies$  all-decomposition-implies  $N M \implies$  all-decomposition-implies  $N' M$ ⟩

**by** (metis all-decomposition-implies-union le-iff-sup)

**lemma** all-decomposition-implies-mono-right:

⟨all-decomposition-implies  $I$  (get-all-ann-decomposition  $(M' @ M)$ )  $\implies$

all-decomposition-implies  $I$  (get-all-ann-decomposition  $M$ )⟩

**apply** (induction  $M'$  arbitrary:  $M$  rule: ann-lit-list-induct)

**subgoal by** auto

**subgoal by** auto

**subgoal for**  $L C M' M$

**by** (cases ⟨get-all-ann-decomposition  $(M' @ M)$ ⟩) auto

**done**

## 1.2.4 Negation of a Clause

We define the negation of a 'a clause: it converts a single clause to a set of clauses, where each clause is a single literal (whose negation is in the original clause).

**definition**  $CNot :: \langle 'v \text{ clause} \Rightarrow 'v \text{ clause-set} \rangle$  **where**

⟨ $CNot \psi = \{ \{ \# - L \# \} \mid L. L \in \# \psi \}$ ⟩

**lemma** finite-CNot[simp]: ⟨finite  $(CNot C)$ ⟩

**by** (auto simp: CNot-def)

**lemma** in-CNot-uminus[iff]:

**shows** ⟨ $\{ \# L \# \} \in CNot \psi \longleftrightarrow -L \in \# \psi$ ⟩

**unfolding** CNot-def **by** force

**lemma**

**shows**

$CNot$ -add-mset[simp]: ⟨ $CNot$  (add-mset  $L \psi$ ) = insert  $\{ \# - L \# \}$   $(CNot \psi)$ ⟩ **and**

$CNot$ -empty[simp]: ⟨ $CNot \{ \# \} = \{ \}$ ⟩ **and**

$CNot$ -plus[simp]: ⟨ $CNot (A + B) = CNot A \cup CNot B$ ⟩

**unfolding** CNot-def **by** auto

**lemma** CNot-eq-empty[iff]:

⟨ $CNot D = \{ \} \longleftrightarrow D = \{ \# \}$ ⟩

**unfolding** CNot-def **by** (auto simp add: multiset-eqI)

**lemma** in-CNot-implies-uminus:

**assumes** ⟨ $L \in \# D$ ⟩ **and** ⟨ $M \models_{as} CNot D$ ⟩

**shows** ⟨ $M \models_a \{ \# - L \# \}$ ⟩ **and** ⟨ $-L \in \text{lits-of-l } M$ ⟩

**using** assms **by** (auto simp: true-annots-def true-annot-def CNot-def)

**lemma** CNot-remdups-mset[simp]:

⟨ $CNot$  (remdups-mset  $A$ ) =  $CNot A$ ⟩

**unfolding** CNot-def **by** auto



**lemma** *Ball-CNot-Ball-mset[simp]*:

$\langle (\forall x \in CNot\ D. P\ x) \longleftrightarrow (\forall L \in \# D. P\ \{\#-L\#}) \rangle$

**unfolding** *CNot-def* **by** *auto*

**lemma** *consistent-CNot-not*:

**assumes**  $\langle consistent\ interp\ I \rangle$

**shows**  $\langle I \models_s CNot\ \varphi \implies \neg I \models \varphi \rangle$

**using** *assms unfolding consistent-interp-def true-clss-def true-cls-def* **by** *auto*

**lemma** *total-not-true-cls-true-clss-CNot*:

**assumes**  $\langle total\ over\ m\ I\ \{\varphi\} \rangle$  **and**  $\langle \neg I \models \varphi \rangle$

**shows**  $\langle I \models_s CNot\ \varphi \rangle$

**using** *assms unfolding total-over-m-def total-over-set-def true-clss-def true-cls-def CNot-def*

**apply** *clarify*

**by**  $(rename\ tac\ x\ L, case\ tac\ L)$  *(force intro: pos-lit-in-atms-of neg-lit-in-atms-of)+*

**lemma** *total-not-CNot*:

**assumes**  $\langle total\ over\ m\ I\ \{\varphi\} \rangle$  **and**  $\langle \neg I \models_s CNot\ \varphi \rangle$

**shows**  $\langle I \models \varphi \rangle$

**using** *assms total-not-true-cls-true-clss-CNot* **by** *auto*

**lemma** *atms-of-ms-CNot-atms-of[simp]*:

$\langle atms\ of\ ms\ (CNot\ C) = atms\ of\ C \rangle$

**unfolding** *atms-of-ms-def atms-of-def CNot-def* **by** *fastforce*

**lemma** *true-clss-clss-contradiction-true-clss-cls-false*:

$\langle C \in D \implies D \models_{ps} CNot\ C \implies D \models_p \{\#\} \rangle$

**unfolding** *true-clss-clss-def true-clss-cls-def total-over-m-def*

**by**  $(metis\ Un\ commute\ atms\ of\ empty\ atms\ of\ ms\ CNot\ atms\ of\ atms\ of\ ms\ insert\ atms\ of\ ms\ union\ consistent\ CNot\ not\ insert\ absorb\ sup\ bot.\ left\ neutral\ true\ clss\ def)$

**lemma** *true-annots-CNot-all-atms-defined*:

**assumes**  $\langle M \models_{as} CNot\ T \rangle$  **and**  $a1: \langle L \in \# T \rangle$

**shows**  $\langle atm\ of\ L \in atm\ of\ ' lits\ of\ l\ M \rangle$

**by**  $(metis\ assms\ atm\ of\ uminus\ image\ eqI\ in\ CNot\ implies\ uminus(1)\ true\ annot\ singleton)$

**lemma** *true-annots-CNot-all-uminus-atms-defined*:

**assumes**  $\langle M \models_{as} CNot\ T \rangle$  **and**  $a1: \langle \neg L \in \# T \rangle$

**shows**  $\langle atm\ of\ L \in atm\ of\ ' lits\ of\ l\ M \rangle$

**by**  $(metis\ assms\ atm\ of\ uminus\ image\ eqI\ in\ CNot\ implies\ uminus(1)\ true\ annot\ singleton)$

**lemma** *true-clss-clss-false-left-right*:

**assumes**  $\langle \{\{\#L\#\} \cup B \models_p \{\#\} \rangle$

**shows**  $\langle B \models_{ps} CNot\ \{\#L\#\} \rangle$

**unfolding** *true-clss-clss-def true-clss-cls-def*

**proof**  $(intro\ allI\ impI)$

**fix** *I*

**assume**

*tot*:  $\langle total\ over\ m\ I\ (B \cup CNot\ \{\#L\#\}) \rangle$  **and**

*cons*:  $\langle consistent\ interp\ I \rangle$  **and**

*I*:  $\langle I \models_s B \rangle$

**have**  $\langle total\ over\ m\ I\ (\{\{\#L\#\} \cup B) \rangle$  **using** *tot* **by** *auto*

**then have**  $\langle \neg I \models_s insert\ \{\#L\#\}\ B \rangle$

**using** *assms cons unfolding true-clss-cls-def* **by** *simp*

**then show**  $\langle I \models_s CNot\ \{\#L\#\} \rangle$

**using** *tot I* **by**  $(cases\ L)\ auto$

qed

**lemma** *true-annots-true-cls-def-iff-negation-in-model*:  
 $\langle M \models_{as} CNot\ C \longleftrightarrow (\forall L \in \# C. -L \in lits\ of\ l\ M) \rangle$   
**unfolding** *CNot-def true-annots-true-cls true-clss-def* **by** *auto*

**lemma** *true-clss-def-iff-negation-in-model*:  
 $\langle M \models_s CNot\ C \longleftrightarrow (\forall l \in \# C. -l \in M) \rangle$   
**by** (*auto simp: CNot-def true-clss-def*)

**lemma** *true-annots-CNot-definedD*:  
 $\langle M \models_{as} CNot\ C \implies \forall L \in \# C. defined\ lit\ M\ L \rangle$   
**unfolding** *true-annots-true-cls-def-iff-negation-in-model*  
**by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *true-annot-CNot-diff*:  
 $\langle I \models_{as} CNot\ C \implies I \models_{as} CNot\ (C - C') \rangle$   
**by** (*auto simp: true-annots-true-cls-def-iff-negation-in-model dest: in-diffD*)

**lemma** *CNot-mset-replicate[simp]*:  
 $\langle CNot\ (mset\ (replicate\ n\ L)) = (if\ n = 0\ then\ \{\}\ else\ \{\#\!-\!L\#\}) \rangle$   
**by** (*induction n*) *auto*

**lemma** *consistent-CNot-not-tautology*:  
 $\langle consistent\ interp\ M \implies M \models_s CNot\ D \implies \neg\ tautology\ D \rangle$   
**by** (*metis atms-of-ms-CNot-atms-of consistent-CNot-not satisfiable-carac' satisfiable-def tautology-def total-over-m-def*)

**lemma** *atms-of-ms-CNot-atms-of-ms*:  $\langle atms\ of\ ms\ (CNot\ CC) = atms\ of\ ms\ \{CC\} \rangle$   
**by** *simp*

**lemma** *total-over-m-CNot-toal-over-m[simp]*:  
 $\langle total\ over\ m\ I\ (CNot\ C) = total\ over\ set\ I\ (atms\ of\ C) \rangle$   
**unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**lemma** *true-clss-cls-plus-CNot*:  
**assumes**  
  *CC-L*:  $\langle A \models_p add\ mset\ L\ CC \rangle$  **and**  
  *CNot-CC*:  $\langle A \models_{ps} CNot\ CC \rangle$   
**shows**  $\langle A \models_p \{\#\!-\!L\#\} \rangle$   
**unfolding** *true-clss-clss-def true-clss-cls-def CNot-def total-over-m-def*

**proof** (*intro allI impI*)

**fix** *I*

**assume**

*tot*:  $\langle total\ over\ set\ I\ (atms\ of\ ms\ (A \cup \{\#\!-\!L\#\})) \rangle$  **and**

*cons*:  $\langle consistent\ interp\ I \rangle$  **and**

*I*:  $\langle I \models_s A \rangle$

**let**  $?I = \langle I \cup \{Pos\ P \mid P \in atms\ of\ CC \wedge P \notin atm\ of\ 'I\} \rangle$

**have** *cons'*:  $\langle consistent\ interp\ ?I \rangle$

**using** *cons unfolding consistent-interp-def*

**by** (*auto simp: uminus-lit-swap atms-of-def rev-image-eqI*)

**have** *I'*:  $\langle ?I \models_s A \rangle$

**using** *I true-clss-union-increase* **by** *blast*

**have** *tot-CNot*:  $\langle total\ over\ m\ ?I\ (A \cup CNot\ CC) \rangle$

**using** *tot atms-of-s-def* **by** (*fastforce simp: total-over-m-def total-over-set-def*)

**then have**  $\text{tot-}I\text{-}A\text{-}CC\text{-}L$ :  $\langle \text{total-over-}m \text{ ?}I (A \cup \{\text{add-mset } L \text{ } CC\}) \rangle$   
**using**  $\text{tot unfolding total-over-}m\text{-}def \text{ total-over-set-atm-of}$  **by**  $\text{auto}$   
**then have**  $\langle ?I \models \text{add-mset } L \text{ } CC \rangle$  **using**  $CC\text{-}L \text{ cons' } I'$  **unfolding**  $\text{true-clss-clss-def}$  **by**  $\text{blast}$   
**moreover**  
**have**  $\langle ?I \models \text{CNot } CC \rangle$  **using**  $\text{CNot-}CC \text{ cons' } I'$   $\text{tot-CNot}$  **unfolding**  $\text{true-clss-clss-def}$  **by**  $\text{auto}$   
**then have**  $\langle \neg A \models p \text{ } CC \rangle$   
**by**  $(\text{metis } (\text{no-types, lifting}) I' \text{ atms-of-}ms\text{-}CNot\text{-atms-of-}ms \text{ atms-of-}ms\text{-}union \text{ cons'}$   
 $\text{consistent-CNot-not tot-CNot total-over-}m\text{-}def \text{ true-clss-clss-def})$   
**then have**  $\langle \neg ?I \models CC \rangle$  **using**  $\langle ?I \models \text{CNot } CC \rangle \text{ cons' consistent-CNot-not}$  **by**  $\text{blast}$   
**ultimately have**  $\langle ?I \models \{\#L\# \}$  **by**  $\text{blast}$   
**then show**  $\langle I \models \{\#L\# \}$   
**by**  $(\text{metis } (\text{no-types, lifting}) \text{ atms-of-}ms\text{-}union \text{ cons' consistent-CNot-not tot total-not-CNot}$   
 $\text{total-over-}m\text{-}def \text{ total-over-set-union true-clss-union-increase})$   
**qed**

**lemma**  $\text{true-annots-CNot-lit-of-notin-skip}$ :

**assumes**  $LM$ :  $\langle L \# M \models_{as} \text{CNot } A \rangle$  **and**  $LA$ :  $\langle \text{lit-of } L \notin \# A \rangle \langle \neg \text{lit-of } L \notin \# A \rangle$   
**shows**  $\langle M \models_{as} \text{CNot } A \rangle$   
**using**  $LM$  **unfolding**  $\text{true-annots-def Ball-def}$

**proof**  $(\text{intro allI impI})$

**fix**  $l$   
**assume**  $H$ :  $\langle \forall x. x \in \text{CNot } A \longrightarrow L \# M \models_a x \rangle$  **and**  $l$ :  $\langle l \in \text{CNot } A \rangle$   
**then have**  $\langle L \# M \models_a l \rangle$  **by**  $\text{auto}$   
**then show**  $\langle M \models_a l \rangle$  **using**  $LA \ l$  **by**  $(\text{cases } L) (\text{auto simp: CNot-def})$   
**qed**

**lemma**  $\text{true-clss-clss-union-false-true-clss-clss-cnot}$ :

$\langle A \cup \{B\} \models_{ps} \{\#\} \longleftrightarrow A \models_{ps} \text{CNot } B \rangle$   
**using**  $\text{total-not-CNot consistent-CNot-not unfolding total-over-}m\text{-}def \text{ true-clss-clss-def}$   
**by**  $\text{fastforce}$

**lemma**  $\text{true-annot-remove-hd-if-notin-vars}$ :

**assumes**  $\langle a \# M' \models_a D \rangle$  **and**  $\langle \text{atm-of } (\text{lit-of } a) \notin \text{atms-of } D \rangle$   
**shows**  $\langle M' \models_a D \rangle$   
**using**  $\text{assms true-clss-remove-hd-if-notin-vars unfolding true-annot-def}$  **by**  $\text{auto}$

**lemma**  $\text{true-annot-remove-if-notin-vars}$ :

**assumes**  $\langle M @ M' \models_a D \rangle$  **and**  $\langle \forall x \in \text{atms-of } D. x \notin \text{atm-of } (\text{lits-of-}l \ M) \rangle$   
**shows**  $\langle M' \models_a D \rangle$   
**using**  $\text{assms}$  **by**  $(\text{induct } M) (\text{auto dest: true-annot-remove-hd-if-notin-vars})$

**lemma**  $\text{true-annots-remove-if-notin-vars}$ :

**assumes**  $\langle M @ M' \models_{as} D \rangle$  **and**  $\langle \forall x \in \text{atms-of-}ms \ D. x \notin \text{atm-of } (\text{lits-of-}l \ M) \rangle$   
**shows**  $\langle M' \models_{as} D \rangle$  **unfolding**  $\text{true-annots-def}$   
**using**  $\text{assms unfolding true-annots-def atms-of-}ms\text{-}def$   
**by**  $(\text{force dest: true-annot-remove-if-notin-vars})$

**lemma**  $\text{all-variables-defined-not-imply-cnot}$ :

**assumes**  
 $\langle \forall s \in \text{atms-of-}ms \ \{B\}. s \in \text{atm-of } (\text{lits-of-}l \ A) \rangle$  **and**  
 $\langle \neg A \models_a B \rangle$   
**shows**  $\langle A \models_{as} \text{CNot } B \rangle$   
**unfolding**  $\text{true-annot-def true-annots-def Ball-def CNot-def true-lit-def}$   
**proof**  $(\text{clarify, rule ccontr})$   
**fix**  $L$

**assume**  $LB: \langle L \in \# B \rangle$  **and**  $L\text{-false}: \langle \neg \text{lits-of-l } A \models \{\#\} \rangle$  **and**  $L\text{-A}: \langle \neg L \notin \text{lits-of-l } A \rangle$   
**then have**  $\langle \text{atm-of } L \in \text{atm-of } \text{'lits-of-l } A \rangle$   
**using**  $\text{assms}(1)$  **by**  $(\text{simp add: atm-of-lit-in-atms-of lits-of-def})$   
**then have**  $\langle L \in \text{lits-of-l } A \vee \neg L \in \text{lits-of-l } A \rangle$   
**using**  $\text{atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set}$  **by**  $\text{metis}$   
**then have**  $\langle L \in \text{lits-of-l } A \rangle$  **using**  $L\text{-A}$  **by**  $\text{auto}$   
**then show**  $\text{False}$   
**using**  $LB$   $\text{assms}(2)$  **unfolding**  $\text{true-annot-def true-lit-def true-cls-def Bex-def}$   
**by**  $\text{blast}$   
**qed**

**lemma**  $C\text{Not-union-mset}[\text{simp}]$ :  
 $\langle C\text{Not } (A \cup \# B) = C\text{Not } A \cup C\text{Not } B \rangle$   
**unfolding**  $C\text{Not-def}$  **by**  $\text{auto}$

**lemma**  $\text{true-clss-clss-true-clss-cls-true-clss-clss}$ :  
**assumes**  
 $\langle A \models_{ps} \text{unmark-l } M \rangle$  **and**  $\langle M \models_{as} D \rangle$   
**shows**  $\langle A \models_{ps} D \rangle$   
**by**  $(\text{meson assms total-over-m-union true-annots-true-cls true-annots-true-clss-clss}$   
 $\text{true-clss-clss-def true-clss-clss-left-right true-clss-clss-union-and}$   
 $\text{true-clss-clss-union-l-r})$

**lemma**  $\text{true-clss-clss-CNot-true-clss-cls-unsatisfiable}$ :  
**assumes**  $\langle A \models_{ps} C\text{Not } D \rangle$  **and**  $\langle A \models_p D \rangle$   
**shows**  $\langle \text{unsatisfiable } A \rangle$   
**using**  $\text{assms}(2)$  **unfolding**  $\text{true-clss-clss-def true-clss-cls-def satisfiable-def}$   
**by**  $(\text{metis (full-types) Un-absorb Un-empty-right assms}(1) \text{atms-of-empty}$   
 $\text{atms-of-ms-empty-set total-over-m-def total-over-m-insert total-over-m-union}$   
 $\text{true-cls-empty true-clss-cls-def true-clss-clss-generalise-true-clss-clss}$   
 $\text{true-clss-clss-true-clss-cls true-clss-clss-union-false-true-clss-clss-cnot})$

**lemma**  $\text{true-clss-cls-neg}$ :  
 $\langle N \models_p I \iff N \cup (\lambda L. \{\#\text{-}L\#\}) \text{'set-mset } I \models_p \{\#\} \rangle$   
**proof** –  
**have**  $[\text{simp}]$ :  $\langle (\lambda L. \{\#\text{-}L\#\}) \text{'set-mset } I = C\text{Not } I \rangle$  **for**  $I$   
**by**  $(\text{auto simp: } C\text{Not-def})$   
**have**  $[\text{iff}]$ :  $\langle \text{total-over-m } I_a ((\lambda L. \{\#\text{-}L\#\}) \text{'set-mset } I) \iff$   
 $\text{total-over-set } I_a (\text{atms-of } I) \rangle$  **for**  $I_a$   
**by**  $(\text{auto simp: total-over-m-def}$   
 $\text{total-over-set-def atms-of-ms-def atms-of-def})$   
**show**  $?thesis$   
**by**  $(\text{auto simp: true-clss-cls-def consistent-CNot-not}$   
 $\text{total-not-CNot})$

**qed**

**lemma**  $\text{all-decomposition-implies-conflict-DECO-clause}$ :  
**assumes**  $\langle \text{all-decomposition-implies } N (\text{get-all-ann-decomposition } M) \rangle$  **and**  
 $\langle M \models_{as} C\text{Not } C \rangle$  **and**  
 $\langle C \in N \rangle$   
**shows**  $\langle N \models_p (\text{uminus o lit-of}) \text{'\# (filter-mset is-decided (mset } M)) \rangle$   
 $(\text{is } \langle ?I \models_p ?A \rangle)$

**proof** –  
**have**  $\langle \{\text{unmark } m \mid m. \text{is-decided } m \wedge m \in \text{set } M\} =$   
 $\text{unmark-s } \{L \in \text{set } M. \text{is-decided } L\} \rangle$   
**by**  $\text{auto}$

**have**  $\langle N \cup \text{unmark-s } \{L \in \text{set } M. \text{ is-decided } L\} \models_p \{\#\} \rangle$   
**by** (*metis (mono-tags, lifting) UnCI*)  
 $\langle \{\text{unmark } m \mid m. \text{ is-decided } m \wedge m \in \text{set } M\} = \text{unmark-s } \{L \in \text{set } M. \text{ is-decided } L\} \rangle$   
*all-decomposition-implies-propagated-lits-are-implied assms*  
*true-clss-clss-contradiction-true-clss-cls-false true-clss-clss-true-clss-cls-true-clss-clss)*  
**then show** *?thesis*  
**apply** (*subst true-clss-clss-neg*)  
**by** (*auto simp: image-image*)  
**qed**

## 1.2.5 Other

**definition**  $\langle \text{no-dup } L \equiv \text{distinct } (\text{map } (\lambda l. \text{atm-of } (\text{lits-of } l)) L) \rangle$

**lemma** *no-dup-nil[simp]*:

$\langle \text{no-dup } [] \rangle$

**by** (*auto simp: no-dup-def*)

**lemma** *no-dup-cons[simp]*:

$\langle \text{no-dup } (L \# M) \longleftrightarrow \text{undefined-lit } M (\text{lits-of } L) \wedge \text{no-dup } M \rangle$

**by** (*auto simp: no-dup-def defined-lit-map*)

**lemma** *no-dup-append-cons[iff]*:

$\langle \text{no-dup } (M @ L \# M') \longleftrightarrow \text{undefined-lit } (M @ M') (\text{lits-of } L) \wedge \text{no-dup } (M @ M') \rangle$

**by** (*auto simp: no-dup-def defined-lit-map*)

**lemma** *no-dup-append-append-cons[iff]*:

$\langle \text{no-dup } (M0 @ M @ L \# M') \longleftrightarrow \text{undefined-lit } (M0 @ M @ M') (\text{lits-of } L) \wedge \text{no-dup } (M0 @ M @ M') \rangle$

**by** (*auto simp: no-dup-def defined-lit-map*)

**lemma** *no-dup-rev[simp]*:

$\langle \text{no-dup } (\text{rev } M) \longleftrightarrow \text{no-dup } M \rangle$

**by** (*auto simp: rev-map[symmetric] no-dup-def*)

**lemma** *no-dup-appendD*:

$\langle \text{no-dup } (a @ b) \implies \text{no-dup } b \rangle$

**by** (*auto simp: no-dup-def*)

**lemma** *no-dup-appendD1*:

$\langle \text{no-dup } (a @ b) \implies \text{no-dup } a \rangle$

**by** (*auto simp: no-dup-def*)

**lemma** *no-dup-length-eq-card-atm-of-lits-of-l*:

**assumes**  $\langle \text{no-dup } M \rangle$

**shows**  $\langle \text{length } M = \text{card } (\text{atm-of } (\text{lits-of-l } M)) \rangle$

**using** *assms unfolding lits-of-def* **by** (*induct M*) (*auto simp add: image-image no-dup-def*)

**lemma** *distinct-consistent-interp*:

$\langle \text{no-dup } M \implies \text{consistent-interp } (\text{lits-of-l } M) \rangle$

**proof** (*induct M*)

**case** *Nil*

**show** *?case* **by** *auto*

**next**

**case** (*Cons L M*)

**then have** *a1*:  $\langle \text{consistent-interp } (\text{lits-of-l } M) \rangle$  **by** *auto*

**have**  $\langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**using** *Cons.prem*s **by** *auto*  
**then show** *?case*  
**using** *a1* **by** *simp*  
**qed**

**lemma** *same-mset-no-dup-iff*:  
 $\langle \text{mset } M = \text{mset } M' \implies \text{no-dup } M \longleftrightarrow \text{no-dup } M' \rangle$   
**by** (*auto simp: no-dup-def same-mset-distinct-iff*)

**lemma** *distinct-get-all-ann-decomposition-no-dup*:  
**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$   
**and**  $\langle \text{no-dup } M \rangle$   
**shows**  $\langle \text{no-dup } (a @ b) \rangle$   
**using** *assms* **by** (*force simp: no-dup-def*)

**lemma** *true-annots-lit-of-notin-skip*:  
**assumes**  $\langle L \# M \models_{\text{as}} \text{CNot } A \rangle$   
**and**  $\langle \text{lit-of } L \notin \# A \rangle$   
**and**  $\langle \text{no-dup } (L \# M) \rangle$   
**shows**  $\langle M \models_{\text{as}} \text{CNot } A \rangle$

**proof** –

**have**  $\langle \forall l \in \# A. -l \in \text{lits-of-l } (L \# M) \rangle$   
**using** *assms(1) in-CNot-implies-uminus(2)* **by** *blast*  
**moreover** {  
**have**  $\langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**using** *assms(3)* **by** *force*  
**then have**  $\langle \text{lit-of } L \notin \text{lits-of-l } M \rangle$   
**by** (*simp add: Decided-Propagated-in-iff-in-lits-of-l*) }  
**ultimately have**  $\langle \forall l \in \# A. -l \in \text{lits-of-l } M \rangle$   
**using** *assms(2)* **by** (*metis insert-iff list.simps(15) lits-of-insert uminus-of-uminus-id*)  
**then show** *?thesis* **by** (*auto simp add: true-annots-def*)  
**qed**

**lemma** *no-dup-imp-distinct*:  $\langle \text{no-dup } M \implies \text{distinct } M \rangle$   
**by** (*induction M*) (*auto simp: defined-lit-map*)

**lemma** *no-dup-tlD*:  $\langle \text{no-dup } a \implies \text{no-dup } (\text{tl } a) \rangle$   
**unfolding** *no-dup-def* **by** (*cases a*) *auto*

**lemma** *defined-lit-no-dupD*:  
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2' \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
**by** (*auto simp: defined-lit-map no-dup-def*)

**lemma** *no-dup-consistentD*:  
 $\langle \text{no-dup } M \implies L \in \text{lits-of-l } M \implies -L \notin \text{lits-of-l } M \rangle$   
**using** *consistent-interp-def distinct-consistent-interp* **by** *blast*

**lemma** *no-dup-not-tautology*:  $\langle \text{no-dup } M \implies \neg \text{tautology } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
**by** (*induction M*) (*auto simp: tautology-add-mset uminus-lit-swap defined-lit-def*  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-distinct*:  $\langle \text{no-dup } M \implies \text{distinct-mset } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
**by** (*induction M*) (*auto simp: uminus-lit-swap defined-lit-def*)

*dest: atm-imp-decided-or-proped)*

**lemma** *no-dup-not-tautology-uminus*:  $\langle \text{no-dup } M \implies \neg \text{tautology } \{\# \text{-lit-of } L. L \in \# \text{ mset } M \# \} \rangle$   
**by** (*induction M*) (*auto simp: tautology-add-mset uminus-lit-swap defined-lit-def*)  
*dest: atm-imp-decided-or-proped)*

**lemma** *no-dup-distinct-uminus*:  $\langle \text{no-dup } M \implies \text{distinct-mset } \{\# \text{-lit-of } L. L \in \# \text{ mset } M \# \} \rangle$   
**by** (*induction M*) (*auto simp: uminus-lit-swap defined-lit-def*)  
*dest: atm-imp-decided-or-proped)*

**lemma** *no-dup-map-lit-of*:  $\langle \text{no-dup } M \implies \text{distinct } (\text{map lit-of } M) \rangle$   
**apply** (*induction M*)  
**apply** (*auto simp: dest: no-dup-imp-distinct*)  
**by** (*meson distinct.simps(2) no-dup-cons no-dup-imp-distinct*)

**lemma** *no-dup-alt-def*:  
 $\langle \text{no-dup } M \iff \text{distinct-mset } \{\# \text{atm-of } (\text{lit-of } x). x \in \# \text{ mset } M \# \} \rangle$   
**by** (*auto simp: no-dup-def simp flip: distinct-mset-mset-distinct*)

**lemma** *no-dup-append-in-atm-notin*:  
**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
**using** *assms* **by** (*auto simp add: atm-lit-of-set-lits-of-l no-dup-def*)  
*defined-lit-map)*

**lemma** *no-dup-uminus-append-in-atm-notin*:  
**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle -L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
**using** *Decided-Propagated-in-iff-in-lits-of-l assms defined-lit-no-dupD(1)* **by** *blast*

## 1.2.6 Extending Entailments to multisets

We have defined previous entailment with respect to sets, but we also need a multiset version depending on the context. The conversion is simple using the function *set-mset* (in this direction, there is no loss of information).

**abbreviation** *true-annots-mset* (**infix**  $\models_{asm}$  50) **where**  
 $\langle I \models_{asm} C \equiv I \models_{as} (\text{set-mset } C) \rangle$

**abbreviation** *true-clss-clss-m* ::  $\langle 'v \text{ clause multiset} \Rightarrow 'v \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{psm}$  50)  
**where**  
 $\langle I \models_{psm} C \equiv \text{set-mset } I \models_{ps} (\text{set-mset } C) \rangle$

Analog of theorem *true-clss-clss-subsetE*

**lemma** *true-clss-clss-subsetE*:  $\langle N \models_{psm} B \implies A \subseteq \# B \implies N \models_{psm} A \rangle$   
**using** *set-mset-mono true-clss-clss-subsetE* **by** *blast*

**abbreviation** *true-clss-clss-m*::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{pm}$  50) **where**  
 $\langle I \models_{pm} C \equiv \text{set-mset } I \models_p C \rangle$

**abbreviation** *distinct-mset-mset* ::  $\langle 'a \text{ multiset multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{distinct-mset-mset } \Sigma \equiv \text{distinct-mset-set } (\text{set-mset } \Sigma) \rangle$

**abbreviation** *all-decomposition-implies-m* **where**  
 $\langle \text{all-decomposition-implies-m } A B \equiv \text{all-decomposition-implies } (\text{set-mset } A) B \rangle$

**abbreviation** *atms-of-mm* ::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ set} \rangle$  **where**  
 $\langle \text{atms-of-mm } U \equiv \text{atms-of-ms } (\text{set-mset } U) \rangle$

Other definition using  $\cup\#$

**lemma** *atms-of-mm-alt-def*:  $\langle \text{atms-of-mm } U = \text{set-mset } (\cup\# (\text{image-mset } (\text{image-mset } \text{atm-of}) U)) \rangle$   
**unfolding** *atms-of-ms-def* **by** (*auto simp: atms-of-def*)

**abbreviation** *true-clss-m*::  $\langle 'a \text{ partial-interp} \Rightarrow 'a \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{sm}$  50) **where**  
 $\langle I \models_{sm} C \equiv I \models_s \text{set-mset } C \rangle$

**abbreviation** *true-clss-ext-m* (**infix**  $\models_{sextm}$  49) **where**  
 $\langle I \models_{sextm} C \equiv I \models_{sext} \text{set-mset } C \rangle$

**lemma** *true-clss-cls-cong-set-mset*:

$\langle N \models_{pm} D \Longrightarrow \text{set-mset } D = \text{set-mset } D' \Longrightarrow N \models_{pm} D' \rangle$

**by** (*auto simp add: true-clss-cls-def true-cls-def atms-of-cong-set-mset[of D D']*)

### 1.2.7 More Lemmas

**lemma** *no-dup-cannot-not-lit-and-uminus*:

$\langle \text{no-dup } M \Longrightarrow - \text{lit-of } xa = \text{lit-of } x \Longrightarrow x \in \text{set } M \Longrightarrow xa \notin \text{set } M \rangle$

**by** (*metis atm-of-uminus distinct-map inj-on-eq-iff uminus-not-id' no-dup-def*)

**lemma** *atms-of-ms-single-atm-of[simp]*:

$\langle \text{atms-of-ms } \{\text{unmark } L \mid L. P L\} = \text{atm-of } \{ \text{lit-of } L \mid L. P L \} \rangle$

**unfolding** *atms-of-ms-def* **by** *force*

**lemma** *true-cls-mset-restrict*:

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_m N \longleftrightarrow I \models_m N \rangle$

**by** (*auto simp: true-cls-mset-def true-cls-def*  
*dest!: multi-member-split*)

**lemma** *true-clss-restrict*:

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_{sm} N \longleftrightarrow I \models_{sm} N \rangle$

**by** (*auto simp: true-clss-def true-cls-def*  
*dest!: multi-member-split*)

**lemma** *total-over-m-atms-incl*:

**assumes**  $\langle \text{total-over-m } M (\text{set-mset } N) \rangle$

**shows**

$\langle x \in \text{atms-of-mm } N \Longrightarrow x \in \text{atms-of-s } M \rangle$

**by** (*meson assms contra-subsetD total-over-m-alt-def*)

**lemma** *true-clss-restrict-iff*:

**assumes**  $\langle \neg \text{tautology } \chi \rangle$

**shows**  $\langle N \models_p \chi \longleftrightarrow N \models_p \{\#\!L \in\# \chi. \text{atm-of } L \in \text{atms-of-ms } N\#\} \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

**apply** (*subst true-clss-alt-def2[OF assms]*)

**apply** (*subst true-clss-alt-def2*)

**subgoal using** *not-tautology-mono[OF - assms]* **by** (*auto dest: not-tautology-minus*)

**apply** (*rule HOL.iff-allI*)

**apply** (*auto 5 5 simp: true-cls-def atm-of-s-def dest!: multi-member-split*)

**done**

### 1.2.8 Negation of annotated clauses

**definition** *negate-ann-lits* ::  $\langle ('v \text{ literal}, 'v \text{ literal}, 'mark) \text{ annotated-lits} \Rightarrow 'v \text{ literal multiset} \rangle$  **where**



$\langle \text{negate-ann-lits } M = (\lambda L. - \text{ lit-of } L) \text{ ‘\# mset } M \rangle$

**lemma** *negate-ann-lits-empty[simp]*:  $\langle \text{negate-ann-lits } [] = \{\#\} \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *entails-CNot-negate-ann-lits*:

$\langle M \models_{as} C \text{Not } D \iff \text{ set-mset } D \subseteq \text{ set-mset } (\text{negate-ann-lits } M) \rangle$

**by** (*auto simp: true-annots-true-cls-def-iff-negation-in-model  
negate-ann-lits-def lits-of-def uminus-lit-swap  
dest!: multi-member-split*)

Pointwise negation of a clause:

**definition** *pNeg* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle pNeg C = \{\# - D. D \in \# C \# \} \rangle$

**lemma** *pNeg-simps*:

$\langle pNeg (\text{add-mset } A C) = \text{add-mset } (-A) (pNeg C) \rangle$

$\langle pNeg (C + D) = pNeg C + pNeg D \rangle$

**by** (*auto simp: pNeg-def*)

**lemma** *atms-of-pNeg[simp]*:  $\langle \text{atms-of } (pNeg C) = \text{atms-of } C \rangle$   
**by** (*auto simp: pNeg-def atms-of-def image-image*)

**lemma** *negate-ann-lits-pNeg-lit-of*:  $\langle \text{negate-ann-lits} = pNeg \circ \text{image-mset lit-of } o \text{ mset} \rangle$   
**by** (*intro ext*) (*auto simp: negate-ann-lits-def pNeg-def*)

**lemma** *negate-ann-lits-empty-iff*:  $\langle \text{negate-ann-lits } M \neq \{\#\} \iff M \neq [] \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *atms-of-negate-ann-lits[simp]*:  $\langle \text{atms-of } (\text{negate-ann-lits } M) = \text{atm-of } ' (\text{lits-of-l } M) \rangle$   
**unfolding** *negate-ann-lits-def lits-of-def atms-of-def* **by** (*auto simp: image-image*)

**lemma** *tautology-pNeg[simp]*:

$\langle \text{tautology } (pNeg C) \iff \text{tautology } C \rangle$

**by** (*auto 5 5 simp: tautology-decomp pNeg-def*

*uminus-lit-swap add-mset-eq-add-mset eq-commute[of <Neg -> <- ->] eq-commute[of <Pos -> <- ->]*  
*dest!: multi-member-split*)

**lemma** *pNeg-convolution[simp]*:

$\langle pNeg (pNeg C) = C \rangle$

**by** (*auto simp: pNeg-def*)

**lemma** *pNeg-minus[simp]*:  $\langle pNeg (A - B) = pNeg A - pNeg B \rangle$

**unfolding** *pNeg-def*

**by** (*subst image-mset-minus-inj-on*) (*auto simp: inj-on-def*)

**lemma** *pNeg-empty[simp]*:  $\langle pNeg \{\#\} = \{\#\} \rangle$

**unfolding** *pNeg-def*

**by** (*auto simp: inj-on-def*)

**lemma** *pNeg-replicate-mset[simp]*:  $\langle pNeg (\text{replicate-mset } n L) = \text{replicate-mset } n (-L) \rangle$

**unfolding** *pNeg-def* **by** *auto*

**lemma** *distinct-mset-pNeg-iff[iff]*:  $\langle \text{distinct-mset } (pNeg x) \iff \text{distinct-mset } x \rangle$

**unfolding** *pNeg-def*

**by** (*rule distinct-image-mset-inj*) (*auto simp: inj-on-def*)

**lemma** *pNeg-simple-cls-iff*[simp]:  
 $\langle pNeg\ M \in\ simple\ class\ N \longleftrightarrow M \in\ simple\ class\ N \rangle$   
**by** (*auto simp: simple-cls-def*)

**lemma** *atms-of-ms-pNeg*[simp]:  $\langle atms\ of\ ms\ (pNeg\ 'N) = atms\ of\ ms\ N \rangle$   
**unfolding** *atms-of-ms-def pNeg-def* **by** (*auto simp: image-image atms-of-def*)

**definition** *DECO-clause* ::  $\langle ('v, 'a)\ ann\ lits \Rightarrow 'v\ clause \rangle$  **where**  
 $\langle DECO\ clause\ M = (uminus\ o\ lit\ of)\ ' \# (filter\ mset\ is\ decided\ (mset\ M)) \rangle$

**lemma**  
*DECO-clause-cons-Decide*[simp]:  
 $\langle DECO\ clause\ (Decided\ L\ \# M) = add\ mset\ (-L)\ (DECO\ clause\ M) \rangle$  **and**  
*DECO-clause-cons-Proped*[simp]:  
 $\langle DECO\ clause\ (Propagated\ L\ C\ \# M) = DECO\ clause\ M \rangle$   
**by** (*auto simp: DECO-clause-def*)

**lemma** *no-dup-distinct-mset*[intro!]:  
**assumes** *n-d*:  $\langle no\ dup\ M \rangle$   
**shows**  $\langle distinct\ mset\ (negate\ ann\ lits\ M) \rangle$   
**unfolding** *negate-ann-lits-def no-dup-def*  
**proof** (*subst distinct-image-mset-inj*)  
**show**  $\langle inj\ on\ (\lambda L.\ -\ lit\ of\ L)\ (set\ mset\ (mset\ M)) \rangle$   
**unfolding** *inj-on-def Ball-def*  
**proof** (*intro allI impI, rule ccontr*)  
**fix** *L L'*  
**assume**  
*L*:  $\langle L \in\ \# mset\ M \rangle$  **and**  
*L'*:  $\langle L' \in\ \# mset\ M \rangle$  **and**  
*lit*:  $\langle -\ lit\ of\ L = -\ lit\ of\ L' \rangle$  **and**  
*LL'*:  $\langle L \neq L' \rangle$   
**have**  $\langle atm\ of\ (lit\ of\ L) = atm\ of\ (lit\ of\ L') \rangle$   
**using** *lit* **by** *auto*  
**moreover have**  $\langle atm\ of\ (lit\ of\ L) \in\ \# (\lambda l.\ atm\ of\ (lit\ of\ l))\ ' \# mset\ M \rangle$   
**using** *L* **by** *auto*  
**moreover have**  $\langle atm\ of\ (lit\ of\ L') \in\ \# (\lambda l.\ atm\ of\ (lit\ of\ l))\ ' \# mset\ M \rangle$   
**using** *L'* **by** *auto*  
**ultimately show** *False*  
**using** *assms LL' L L'* **unfolding** *distinct-mset-mset-distinct*[symmetric] *mset-map no-dup-def*  
**apply**  $-$  **apply** (*rule distinct-image-mset-not-equal*[of *L L'*  $\langle (\lambda l.\ atm\ of\ (lit\ of\ l)) \rangle$ ])  
**by** *auto*  
**qed**  
**next**  
**show**  $\langle distinct\ mset\ (mset\ M) \rangle$   
**using** *no-dup-imp-distinct*[OF *n-d*] **by** *simp*  
**qed**

**lemma** *in-negate-trial-iff*:  $\langle L \in\ \# negate\ ann\ lits\ M \longleftrightarrow -\ L \in\ lits\ of\ l\ M \rangle$   
**unfolding** *negate-ann-lits-def lits-of-def* **by** (*auto simp: uminus-lit-swap*)

**lemma** *negate-ann-lits-cons*[simp]:  
 $\langle negate\ ann\ lits\ (L\ \# M) = add\ mset\ (-\ lit\ of\ L)\ (negate\ ann\ lits\ M) \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *uminus-simple-cls-iff*[simp]:  
 ⟨*uminus* ‘ $\# M \in \text{simple-cls } N \longleftrightarrow M \in \text{simple-cls } N$ ⟩  
 by (*metis pNeg-simple-cls-iff pNeg-def*)

**lemma** *pNeg-mono*: ⟨ $C \subseteq\# C' \implies \text{pNeg } C \subseteq\# \text{pNeg } C'$ ⟩  
 by (*auto simp: image-mset-subseteq-mono pNeg-def*)

**end**

**theory** *Partial-And-Total-Herbrand-Interpretation*

**imports** *Partial-Herbrand-Interpretation*

*Ordered-Resolution-Prover.Herbrand-Interpretation*

**begin**

### 1.3 Bridging of total and partial Herbrand interpretation

This theory has mostly be written as a sanity check between the two entailment notion.

**definition** *partial-model-of* :: ⟨*a interp*  $\Rightarrow$  *a partial-interp*⟩ **where**  
 ⟨*partial-model-of*  $I = \text{Pos } \langle I \cup \text{Neg } \langle \{x. x \notin I\} \rangle$ ⟩

**definition** *total-model-of* :: ⟨*a partial-interp*  $\Rightarrow$  *a interp*⟩ **where**  
 ⟨*total-model-of*  $I = \{x. \text{Pos } x \in I\}$ ⟩

**lemma** *total-over-set-partial-model-of*:  
 ⟨*total-over-set* (*partial-model-of*  $I$ ) *UNIV*⟩  
**unfolding** *total-over-set-def*  
 by (*auto simp: partial-model-of-def*)

**lemma** *consistent-interp-partial-model-of*:  
 ⟨*consistent-interp* (*partial-model-of*  $I$ )⟩  
**unfolding** *consistent-interp-def*  
 by (*auto simp: partial-model-of-def*)

**lemma** *consistent-interp-alt-def*:  
 ⟨*consistent-interp*  $I \longleftrightarrow (\forall L. \neg(\text{Pos } L \in I \wedge \text{Neg } L \in I))$ ⟩  
**unfolding** *consistent-interp-def*  
 by (*metis literal.exhaust uminus-Neg uminus-of-uminus-id*)

**context**

**fixes**  $I :: \langle \text{a partial-interp} \rangle$

**assumes** *cons*: ⟨*consistent-interp*  $I$ ⟩

**begin**

**lemma** *partial-implies-total-true-cls-total-model-of*:  
**assumes** ⟨*Partial-Herbrand-Interpretation.true-cls*  $I C$ ⟩  
**shows** ⟨*Herbrand-Interpretation.true-cls* (*total-model-of*  $I$ )  $C$ ⟩  
**using** *assms cons* **apply** –  
**unfolding** *total-model-of-def* *Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def* *consistent-interp-alt-def*  
**by** (*rule bexE, assumption*)  
 (*case-tac x; auto*)

**lemma** *total-implies-partial-true-cls-total-model-of*:  
**assumes** ⟨*Herbrand-Interpretation.true-cls* (*total-model-of*  $I$ )  $C$ ⟩ **and**

```

  ⟨total-over-set I (atms-of C)⟩
shows ⟨Partial-Herbrand-Interpretation.true-cls I C⟩
using assms cons
unfolding total-model-of-def Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def consistent-interp-alt-def
  total-over-m-def total-over-set-def
by (auto simp: atms-of-def dest: multi-member-split)

lemma partial-implies-total-true-cls-total-model-of:
  assumes ⟨Partial-Herbrand-Interpretation.true-cls I C⟩
  shows ⟨Herbrand-Interpretation.true-cls (total-model-of I) C⟩
  using assms cons
  unfolding Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def
  by (auto simp: partial-implies-total-true-cls-total-model-of)

lemma total-implies-partial-true-cls-total-model-of:
  assumes ⟨Herbrand-Interpretation.true-cls (total-model-of I) C⟩ and
  ⟨total-over-m I C⟩
  shows ⟨Partial-Herbrand-Interpretation.true-cls I C⟩
  using assms cons mk-disjoint-insert
  unfolding Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def
  total-over-set-def
  by (fastforce simp: total-implies-partial-true-cls-total-model-of
    dest: multi-member-split)

end

lemma total-implies-partial-true-cls-partial-model-of:
  assumes ⟨Herbrand-Interpretation.true-cls I C⟩
  shows ⟨Partial-Herbrand-Interpretation.true-cls (partial-model-of I) C⟩
  using assms apply –
  unfolding partial-model-of-def Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def consistent-interp-alt-def
  by (rule bexE, assumption)
  (case-tac x; auto)

lemma total-implies-partial-true-cls-partial-model-of:
  assumes ⟨Herbrand-Interpretation.true-cls I C⟩
  shows ⟨Partial-Herbrand-Interpretation.true-cls (partial-model-of I) C⟩
  using assms
  unfolding Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def
  by (auto simp: total-implies-partial-true-cls-partial-model-of)

lemma partial-total-satisfiable-iff:
  ⟨Partial-Herbrand-Interpretation.satisfiable N  $\longleftrightarrow$  Herbrand-Interpretation.satisfiable N⟩
  by (meson consistent-interp-partial-model-of partial-implies-total-true-cls-total-model-of
    satisfiable-carac total-implies-partial-true-cls-partial-model-of)

end
theory Prop-Logic
imports Main

```

begin



# Chapter 2

## Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

### 2.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

#### 2.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

```
datatype 'v propo =  
  FT | FF | FVar 'v | FNot 'v propo | FAnd 'v propo 'v propo | FOr 'v propo 'v propo  
  | FImp 'v propo 'v propo | FEq 'v propo 'v propo
```

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

```
datatype 'v connective = CT | CF | CVar 'v | CNot | CAnd | COr | CImp | CEq
```

**abbreviation** *nullary-connective*  $\equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

**definition** *binary-connectives*  $\equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

**lemma** *propo-induct-arity*[*case-names nullary unary binary*]:

```
  fixes  $\varphi \psi :: 'v propo$   
  assumes nullary:  $\bigwedge \varphi x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$   
  and unary:  $\bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$   
  and binary:  $\bigwedge \varphi \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1$   
   $\psi2$   
   $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$   
  shows  $P\ \psi$   
  apply (induct rule: propo.induct)  
  using assms by metis+
```

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```

fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF

```

We will often use case distinction, based on the arity of the 'v connective, thus we define our own splitting principle.

```

lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
using assms by (cases c) (auto simp: binary-connectives-def)

```

```

lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
using assms by (cases c, auto simp add: binary-connectives-def)

```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```

inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c [] |$ 
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi] |$ 
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct

```

```

lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
using assms by induction (auto simp: binary-connectives-def)

```

## 2.1.2 Properties of the Abstraction

First we can define simplification rules.

```

lemma wf-conn-conn[simp]:

```



$wf\text{-conn } CT \ l \implies conn \ CT \ l = FT$   
 $wf\text{-conn } CF \ l \implies conn \ CF \ l = FF$   
 $wf\text{-conn } (CVar \ x) \ l \implies conn \ (CVar \ x) \ l = FVar \ x$   
**apply** (*simp-all add: wf-conn.simps*)  
**unfolding** *binary-connectives-def* **by** *simp-all*

**lemma** *wf-conn-list-decomp*[*simp*]:

$wf\text{-conn } CT \ l \longleftrightarrow l = []$   
 $wf\text{-conn } CF \ l \longleftrightarrow l = []$   
 $wf\text{-conn } (CVar \ x) \ l \longleftrightarrow l = []$   
 $wf\text{-conn } CNot \ (\xi \ @ \ \varphi \ \# \ \xi') \longleftrightarrow \xi = [] \ \wedge \ \xi' = []$   
**apply** (*simp-all add: wf-conn.simps*)  
**unfolding** *binary-connectives-def* **apply** *simp-all*  
**by** (*metis append-Nil append-is-Nil-conv list.distinct(1) list.sel(3) tl-append2*)

**lemma** *wf-conn-list*:

$wf\text{-conn } c \ l \implies conn \ c \ l = FT \longleftrightarrow (c = CT \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FF \longleftrightarrow (c = CF \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FVar \ x \longleftrightarrow (c = CVar \ x \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FAnd \ a \ b \longleftrightarrow (c = CAnd \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FOr \ a \ b \longleftrightarrow (c = COr \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FEq \ a \ b \longleftrightarrow (c = CEq \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FImp \ a \ b \longleftrightarrow (c = CImp \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FNot \ a \longleftrightarrow (c = CNot \ \wedge \ l = a \ \# \ [])$   
**apply** (*induct l rule: wf-conn.induct*)  
**unfolding** *binary-connectives-def* **by** *auto*

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

**lemma** *list-length2-decomp*:  $length \ l = 2 \implies (\exists \ a \ b. \ l = a \ \# \ b \ \# \ [])$

**apply** (*induct l, auto*)  
**by** (*rename-tac l, case-tac l, auto*)

*wf-conn* for binary operators means that there are two arguments.

**lemma** *wf-conn-bin-list-length*:

**fixes**  $l :: 'v \ propo \ list$   
**assumes**  $conn: c \in \text{binary-connectives}$   
**shows**  $length \ l = 2 \longleftrightarrow wf\text{-conn } c \ l$

**proof**

**assume**  $length \ l = 2$   
**then show**  $wf\text{-conn } c \ l$  **using** *wf-conn-binary list-length2-decomp* **using** *conn* **by** *metis*

**next**

**assume**  $wf\text{-conn } c \ l$

**then show**  $length \ l = 2$  (**is**  $?P \ l$ )

**proof** (*cases rule: wf-conn.induct*)

**case** *wf-conn-nullary*

**then show**  $?P \ []$  **using** *conn binary-connectives-def*

**using** *connective.distinct(11) connective.distinct(13) connective.distinct(9)* **by** *blast*

**next**

**fix**  $\psi :: 'v \ propo$

**case** *wf-conn-unary*

**then show**  $?P \ [\psi]$  **using** *conn binary-connectives-def*

**using** *connective.distinct* **by** *blast*

```

next
  fix  $\psi \psi'$ :: 'v propo
  show ?P [ $\psi, \psi'$ ] by auto
qed
qed

```

```

lemma wf-conn-not-list-length[iff]:
  fixes  $l$  :: 'v propo list
  shows wf-conn CNot  $l \longleftrightarrow \text{length } l = 1$ 
  apply auto
  apply (metis append-Nil connective.distinct(5,17,27) length-Cons list.size(3) wf-conn.simps
    wf-conn-list-decomp(4))
  by (simp add: length-Suc-conv wf-conn.simps)

```

Decomposing the Not into an element is moreover very useful.

```

lemma wf-conn-Not-decomp:
  fixes  $l$  :: 'v propo list and  $a$  :: 'v
  assumes corr: wf-conn CNot  $l$ 
  shows  $\exists a. l = [a]$ 
  by (metis (no-types, lifting) One-nat-def Suc-length-conv corr length-0-conv
    wf-conn-not-list-length)

```

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

```

lemma wf-conn-no-arity-change:
  length  $l = \text{length } l' \implies \text{wf-conn } c \ l \longleftrightarrow \text{wf-conn } c \ l'$ 
proof -
  {
    fix  $l \ l'$ 
    have length  $l = \text{length } l' \implies \text{wf-conn } c \ l \implies \text{wf-conn } c \ l'$ 
      apply (cases  $c \ l$  rule: wf-conn.induct, auto)
      by (metis wf-conn-bin-list-length)
  }
  then show length  $l = \text{length } l' \implies \text{wf-conn } c \ l = \text{wf-conn } c \ l'$  by metis
qed

```

```

lemma wf-conn-no-arity-change-helper:
  length ( $\xi @ \varphi \# \xi'$ ) = length ( $\xi @ \varphi' \# \xi'$ )
  by auto

```

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

```

lemma conn-inj-not:
  assumes correct: wf-conn  $c \ l$ 
  and conn: conn  $c \ l = FNot \ \psi$ 
  shows  $c = CNot$  and  $l = [\psi]$ 
  apply (cases  $c \ l$  rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def apply auto
  apply (cases  $c \ l$  rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def by auto

```

```

lemma conn-inj:
  fixes  $c \ ca$  :: 'v connective and  $l \ \psi s$  :: 'v propo list
  assumes corr: wf-conn  $ca \ l$ 
  and corr': wf-conn  $c \ \psi s$ 

```

```

and eq: conn ca l = conn c  $\psi$ s
shows ca = c  $\wedge$   $\psi$ s = l
using corr
proof (cases ca l rule: wf-conn.cases)
  case (wf-conn-nullary v)
  then show ca = c  $\wedge$   $\psi$ s = l using assms
    by (metis conn.simps(1) conn.simps(2) conn.simps(3) wf-conn-list(1-3))
next
  case (wf-conn-unary  $\psi'$ )
  then have *: FNot  $\psi' = conn c  $\psi$ s$  using conn-inj-not eq assms by auto
  then have c = ca by (metis conn-inj-not(1) corr' wf-conn-unary(2))
  moreover have  $\psi$ s = l using * conn-inj-not(2) corr' wf-conn-unary(1) by force
  ultimately show ca = c  $\wedge$   $\psi$ s = l by auto
next
  case (wf-conn-binary  $\psi'$   $\psi''$ )
  then show ca = c  $\wedge$   $\psi$ s = l
    using eq corr' unfolding binary-connectives-def apply (cases ca, auto simp add: wf-conn-list)
    using wf-conn-list(4-7) corr' by metis+
qed

```

### 2.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

**inductive** *subformula* :: '*v propo*  $\Rightarrow$  '*v propo*  $\Rightarrow$  *bool* (**infix**  $\preceq$  45) **for**  $\varphi$  **where**  
*subformula-refl[simp]:  $\varphi \preceq \varphi$  |*  
*subformula-into-subformula:  $\psi \in \text{set } l \Longrightarrow \text{wf-conn } c \ l \Longrightarrow \varphi \preceq \psi \Longrightarrow \varphi \preceq \text{conn } c \ l$*

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

**lemma** *subformula-in-subformula-not*:  
**shows** *b: FNot  $\varphi \preceq \psi \Longrightarrow \varphi \preceq \psi$*   
**apply** (*induct rule: subformula.induct*)  
**using** *subformula-into-subformula wf-conn-unary subformula-refl list.set-intros(1) subformula-refl*  
**by** (*fastforce intro: subformula-into-subformula*)+

**lemma** *subformula-in-binary-conn*:  
**assumes** *conn: c  $\in$  binary-connectives*  
**shows** *f  $\preceq$  conn c [f, g]*  
**and** *g  $\preceq$  conn c [f, g]*  
**proof** –  
**have** *a: wf-conn c (f# [g])* **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*  
**moreover have** *b: f  $\preceq$  f* **using** *subformula-refl* **by** *auto*  
**ultimately show** *f  $\preceq$  conn c [f, g]*  
**by** (*metis append-Nil in-set-conv-decomp subformula-into-subformula*)  
**next**  
**have** *a: wf-conn c ([f] @ [g])* **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*  
**moreover have** *b: g  $\preceq$  g* **using** *subformula-refl* **by** *auto*  
**ultimately show** *g  $\preceq$  conn c [f, g]* **using** *subformula-into-subformula* **by** *force*  
**qed**

**lemma** *subformula-trans*:

$\psi \preceq \psi' \implies \varphi \preceq \psi \implies \varphi \preceq \psi'$   
**apply** (*induct*  $\psi'$  *rule*: *subformula.inducts*)  
**by** (*auto simp*: *subformula-into-subformula*)

**lemma** *subformula-leaf*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *incl*:  $\varphi \preceq \psi$   
**and** *simple*:  $\psi = FT \vee \psi = FF \vee \psi = FVar x$   
**shows**  $\varphi = \psi$   
**using** *incl simple*  
**by** (*induct rule*: *subformula.induct*, *auto simp*: *wf-conn-list*)

**lemma** *subformula-not-incl-eq*:  
**assumes**  $\varphi \preceq \text{conn } c \ l$   
**and** *wf-conn*  $c \ l$   
**and**  $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$   
**shows**  $\varphi = \text{conn } c \ l$   
**using** *assms apply* (*induction conn c l rule*: *subformula.induct*, *auto*)  
**using** *conn-inj* **by** *blast*

**lemma** *wf-subformula-conn-cases*:  
 $\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \iff (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$   
**apply** *standard*  
**using** *subformula-not-incl-eq apply metis*  
**by** (*auto simp add*: *subformula-into-subformula*)

**lemma** *subformula-decomp-explicit[simp]*:  
 $\varphi \preceq FAnd \ \psi \ \psi' \iff (\varphi = FAnd \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$  (**is**  $?P \ FAnd$ )  
 $\varphi \preceq FOr \ \psi \ \psi' \iff (\varphi = FOr \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FEq \ \psi \ \psi' \iff (\varphi = FEq \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FImp \ \psi \ \psi' \iff (\varphi = FImp \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$

**proof** –

**have** *wf-conn*  $CAnd \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CAnd \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CAnd \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FAnd$  **by** *auto*

**next**

**have** *wf-conn*  $COr \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } COr \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } COr \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FOr$  **by** *auto*

**next**

**have** *wf-conn*  $CEq \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CEq \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CEq \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FEq$  **by** *auto*

**next**

**have** *wf-conn*  $CImp \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CImp \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CImp \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FImp$  **by** *auto*

**qed**

**lemma** *wf-conn-helper-facts*[*iff*]:  
*wf-conn* *CNot* [ $\varphi$ ]  
*wf-conn* *CT* []  
*wf-conn* *CF* []  
*wf-conn* (*CVar*  $x$ ) []  
*wf-conn* *CAnd* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *COr* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *CImp* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *CEq* [ $\varphi$ ,  $\psi$ ]  
**using** *wf-conn.intros* **unfolding** *binary-connectives-def* **by** *fastforce+*

**lemma** *exists-c-conn*:  $\exists c l. \varphi = \text{conn } c l \wedge \text{wf-conn } c l$   
**by** (*cases*  $\varphi$ ) *force+*

**lemma** *subformula-conn-decomp*[*simp*]:  
**assumes** *wf*: *wf-conn*  $c l$   
**shows**  $\varphi \preceq \text{conn } c l \longleftrightarrow (\varphi = \text{conn } c l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi))$  (**is**  $?A \longleftrightarrow ?B$ )

**proof** (*rule iffI*)

{  
**fix**  $\xi$   
**have**  $\varphi \preceq \xi \implies \xi = \text{conn } c l \implies \text{wf-conn } c l \implies \forall x::'a \text{ prop} \in \text{set } l. \neg \varphi \preceq x \implies \varphi = \text{conn } c l$   
**apply** (*induct rule: subformula.induct*)  
**apply** *simp*  
**using** *conn-inj* **by** *blast*

}  
**moreover** **assume**  $?A$   
**ultimately show**  $?B$  **using** *wf* **by** *metis*

**next**

**assume**  $?B$   
**then show**  $\varphi \preceq \text{conn } c l$  **using** *wf* *wf-subformula-conn-cases* **by** *blast*

**qed**

**lemma** *subformula-leaf-explicit*[*simp*]:

$\varphi \preceq FT \longleftrightarrow \varphi = FT$   
 $\varphi \preceq FF \longleftrightarrow \varphi = FF$   
 $\varphi \preceq FVar x \longleftrightarrow \varphi = FVar x$   
**apply** *auto*  
**using** *subformula-leaf* **by** *metis* +

The variables inside the formula gives precisely the variables that are needed for the formula.

**primrec** *vars-of-prop*::  $'v \text{ prop} \Rightarrow 'v \text{ set}$  **where**

*vars-of-prop* *FT* = {} |  
*vars-of-prop* *FF* = {} |  
*vars-of-prop* (*FVar*  $x$ ) = { $x$ } |  
*vars-of-prop* (*FNot*  $\varphi$ ) = *vars-of-prop*  $\varphi$  |  
*vars-of-prop* (*FAnd*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FOR*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FImp*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FEq*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$

**lemma** *vars-of-prop-incl-conn*:

**fixes**  $\xi \xi' :: 'v \text{ prop list}$  **and**  $\psi :: 'v \text{ prop}$  **and**  $c :: 'v \text{ connective}$   
**assumes** *corr*: *wf-conn*  $c l$  **and** *incl*:  $\psi \in \text{set } l$   
**shows** *vars-of-prop*  $\psi \subseteq \text{vars-of-prop } (\text{conn } c l)$

**proof** (*cases c rule: connective-cases-arity-2*)

```

case nullary
then have False using corr incl by auto
then show vars-of-prop  $\psi \subseteq$  vars-of-prop (conn c l) by blast
next
case binary note c = this
then obtain a b where ab: l = [a, b]
  using wf-conn-bin-list-length list-length2-decomp corr by metis
then have  $\psi = a \vee \psi = b$  using incl by auto
then show vars-of-prop  $\psi \subseteq$  vars-of-prop (conn c l)
  using ab c unfolding binary-connectives-def by auto
next
case unary note c = this
fix  $\varphi :: 'v$  propo
have l = [ $\psi$ ] using corr c incl split-list by force
then show vars-of-prop  $\psi \subseteq$  vars-of-prop (conn c l) using c by auto
qed

```

The set of variables is compatible with the subformula order.

```

lemma subformula-vars-of-prop:
 $\varphi \preceq \psi \implies$  vars-of-prop  $\varphi \subseteq$  vars-of-prop  $\psi$ 
apply (induct rule: subformula.induct)
apply simp
using vars-of-prop-incl-conn by blast

```

#### 2.1.4 Positions

Instead of 1 or 2 we use  $L$  or  $R$

```

datatype sign = L | R

```

We use *nil* instead of  $\varepsilon$ .

```

fun pos :: 'v propo  $\Rightarrow$  sign list set where
pos FF = {[]} |
pos FT = {[]} |
pos (FVar x) = {[]} |
pos (FAnd  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FOr  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FEq  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FImp  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FNot  $\varphi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }

```

```

lemma finite-pos: finite (pos  $\varphi$ )
by (induct  $\varphi$ , auto)

```

```

lemma finite-inj-comp-set:
fixes s :: 'v set
assumes finite: finite s
and inj: inj f
shows card ({f p | p. p  $\in$  s}) = card s
using finite
proof (induct s rule: finite-induct)
show card {f p | p. p  $\in$  {}} = card {} by auto
next
fix x :: 'v and s :: 'v set
assume f: finite s and notin: x  $\notin$  s
and IH: card {f p | p. p  $\in$  s} = card s

```

**have**  $f'$ : *finite*  $\{f\ p \mid p. p \in \text{insert } x\ s\}$  **using**  $f$  **by** *auto*  
**have** *notin'*:  $f\ x \notin \{f\ p \mid p. p \in s\}$  **using** *notin inj injD* **by** *fastforce*  
**have**  $\{f\ p \mid p. p \in \text{insert } x\ s\} = \text{insert } (f\ x)\ \{f\ p \mid p. p \in s\}$  **by** *auto*  
**then have**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = 1 + \text{card } \{f\ p \mid p. p \in s\}$   
**using** *finite card-insert-disjoint f' notin'* **by** *auto*  
**moreover have**  $\dots = \text{card } (\text{insert } x\ s)$  **using** *notin f IH* **by** *auto*  
**finally show**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = \text{card } (\text{insert } x\ s)$  .  
**qed**

**lemma** *cons-inject*:

*inj ((#) s)*  
**by** (*meson injI list.inject*)

**lemma** *finite-insert-nil-cons*:

*finite s*  $\implies \text{card } (\text{insert } []\ \{L\ \#\ p \mid p. p \in s\}) = 1 + \text{card } \{L\ \#\ p \mid p. p \in s\}$   
**using** *card-insert-disjoint* **by** *auto*

**lemma** *card-not[simp]*:

$\text{card } (\text{pos } (FNot\ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)

**lemma** *card-seperate*:

**assumes** *finite s1 and finite s2*  
**shows**  $\text{card } (\{L\ \#\ p \mid p. p \in s1\} \cup \{R\ \#\ p \mid p. p \in s2\}) = \text{card } (\{L\ \#\ p \mid p. p \in s1\})$   
 $+ \text{card } (\{R\ \#\ p \mid p. p \in s2\})$  (**is**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$ )

**proof** –

**have** *finite ?L* **using** *assms* **by** *auto*  
**moreover have** *finite ?R* **using** *assms* **by** *auto*  
**moreover have**  $?L \cap ?R = \{\}$  **by** *blast*  
**ultimately show** *?thesis* **using** *assms card-Un-disjoint* **by** *blast*

**qed**

**definition** *prop-size* **where** *prop-size*  $\varphi = \text{card } (\text{pos } \varphi)$

**lemma** *prop-size-vars-of-prop*:

**fixes**  $\varphi :: 'v\ \text{propo}$   
**shows**  $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

**unfolding** *prop-size-def* **apply** (*induct*  $\varphi$ , *auto simp add: cons-inject finite-inj-comp-set finite-pos*)

**proof** –

**fix**  $\varphi1\ \varphi2 :: 'v\ \text{propo}$   
**assume** *IH1*:  $\text{card } (\text{vars-of-prop } \varphi1) \leq \text{card } (\text{pos } \varphi1)$   
**and** *IH2*:  $\text{card } (\text{vars-of-prop } \varphi2) \leq \text{card } (\text{pos } \varphi2)$   
**let**  $?L = \{L\ \#\ p \mid p. p \in \text{pos } \varphi1\}$   
**let**  $?R = \{R\ \#\ p \mid p. p \in \text{pos } \varphi2\}$   
**have**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$   
**using** *card-seperate finite-pos* **by** *blast*  
**moreover have**  $\dots = \text{card } (\text{pos } \varphi1) + \text{card } (\text{pos } \varphi2)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)  
**moreover have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1) + \text{card } (\text{vars-of-prop } \varphi2)$  **using** *IH1 IH2* **by** *arith*  
**then have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2)$  **using** *card-Un-le le-trans* **by** *blast*  
**ultimately**  
**show**  $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$

```

      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ )  $\leq$  Suc (card (?L  $\cup$  ?R))
    by auto
  qed

```

```

value pos (FImp (FAnd (FVar P) (FVar Q)) (FOr (FVar P) (FVar Q)))

```

```

inductive path-to :: sign list  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
  path-to-refl[intro]: path-to []  $\varphi$   $\varphi$  |
  path-to-l:  $c \in$  binary-connectives  $\vee$   $c =$  CNot  $\Longrightarrow$  wf-conn  $c$  ( $\varphi \# l$ )  $\Longrightarrow$  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow$ 
    path-to (L#p) (conn  $c$  ( $\varphi \# l$ ))  $\varphi'$  |
  path-to-r:  $c \in$  binary-connectives  $\Longrightarrow$  wf-conn  $c$  ( $\psi \# \varphi \# []$ )  $\Longrightarrow$  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow$ 
    path-to (R#p) (conn  $c$  ( $\psi \# \varphi \# []$ ))  $\varphi'$ 

```

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

**lemma** path-to-subformula:

```

  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow \varphi' \preceq \varphi$ 

```

```

apply (induct rule: path-to.induct)

```

```

  apply simp

```

```

  apply (metis list.set-intros(1) subformula-into-subformula)

```

```

using subformula-trans subformula-in-binary-conn(2) by metis

```

**lemma** subformula-path-exists:

```

  fixes  $\varphi$   $\varphi'$ :: 'v propo

```

```

  shows  $\varphi' \preceq \varphi \Longrightarrow \exists p. \text{path-to } p \varphi \varphi'$ 

```

**proof** (induct rule: subformula.induct)

```

  case subformula-refl

```

```

  have path-to []  $\varphi' \varphi'$  by auto

```

```

  then show  $\exists p. \text{path-to } p \varphi' \varphi'$  by metis

```

next

```

  case (subformula-into-subformula  $\psi$   $l$   $c$ )

```

```

  note wf = this(2) and IH = this(4) and  $\psi =$  this(1)

```

```

  then obtain  $p$  where  $p: \text{path-to } p \psi \varphi'$  by metis

```

```

  {

```

```

    fix  $x$  :: 'v

```

```

    assume  $c =$  CT  $\vee$   $c =$  CF  $\vee$   $c =$  CVar  $x$ 

```

```

    then have False using subformula-into-subformula by auto

```

```

    then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

  }

```

```

moreover {

```

```

  assume  $c: c =$  CNot

```

```

  then have  $l = [\psi]$  using wf  $\psi$  wf-conn-Not-decomp by fastforce

```

```

  then have path-to (L # p) (conn  $c$   $l$ )  $\varphi'$  by (metis  $c$  wf-conn-unary  $p$  path-to-l)

```

```

  then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

}

```

```

moreover {

```

```

  assume  $c: c \in$  binary-connectives

```

```

  obtain  $a$   $b$  where  $ab: [a, b] = l$  using subformula-into-subformula  $c$  wf-conn-bin-list-length
    list-length2-decomp by metis

```

```

  then have  $a = \psi \vee b = \psi$  using  $\psi$  by auto

```

```

  then have path-to (L # p) (conn  $c$   $l$ )  $\varphi' \vee$  path-to (R # p) (conn  $c$   $l$ )  $\varphi'$  using  $c$  path-to-l
    path-to-r  $p$   $ab$  by (metis wf-conn-binary)

```

```

  then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

}

```

```

ultimately show  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  using connective-cases-arity by metis

```

qed



```

fun replace-at :: sign list ⇒ 'v propo ⇒ 'v propo ⇒ 'v propo where
replace-at [] - ψ = ψ |
replace-at (L # l) (FAnd φ φ') ψ = FAnd (replace-at l φ ψ) φ' |
replace-at (R # l) (FAnd φ φ') ψ = FAnd φ (replace-at l φ' ψ) |
replace-at (L # l) (FOr φ φ') ψ = FOr (replace-at l φ ψ) φ' |
replace-at (R # l) (FOr φ φ') ψ = FOr φ (replace-at l φ' ψ) |
replace-at (L # l) (FEq φ φ') ψ = FEq (replace-at l φ ψ) φ' |
replace-at (R # l) (FEq φ φ') ψ = FEq φ (replace-at l φ' ψ) |
replace-at (L # l) (FImp φ φ') ψ = FImp (replace-at l φ ψ) φ' |
replace-at (R # l) (FImp φ φ') ψ = FImp φ (replace-at l φ' ψ) |
replace-at (L # l) (FNot φ) ψ = FNot (replace-at l φ ψ)

```

## 2.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

```

fun eval :: ('v ⇒ bool) ⇒ 'v propo ⇒ bool (infix |= 50) where
A |= FT = True |
A |= FF = False |
A |= FVar v = (A v) |
A |= FNot φ = (¬(A|= φ)) |
A |= FAnd φ1 φ2 = (A|=φ1 ∧ A|=φ2) |
A |= FOr φ1 φ2 = (A|=φ1 ∨ A|=φ2) |
A |= FImp φ1 φ2 = (A|=φ1 → A|=φ2) |
A |= FEq φ1 φ2 = (A|=φ1 ↔ A|=φ2)

```

```

definition evalf (infix |=f 50) where
evalf φ ψ = (∀ A. A |= φ → A |= ψ)

```

The deduction rule is in the book. And the proof looks like to the one of the book.

**theorem** *deduction-theorem*:

$$\varphi \models \psi \iff (\forall A. A \models \text{FImp } \varphi \ \psi)$$

**proof**

assume *H*:  $\varphi \models \psi$

{

fix *A*

have  $A \models \text{FImp } \varphi \ \psi$

proof (cases  $A \models \varphi$ )

case *True*

then have  $A \models \psi$  using *H* unfolding *evalf-def* by *metis*

then show  $A \models \text{FImp } \varphi \ \psi$  by *auto*

next

case *False*

then show  $A \models \text{FImp } \varphi \ \psi$  by *auto*

qed

}

then show  $\forall A. A \models \text{FImp } \varphi \ \psi$  by *blast*

next

assume *A*:  $\forall A. A \models \text{FImp } \varphi \ \psi$

show  $\varphi \models \psi$

proof (rule *ccontr*)

assume  $\neg \varphi \models \psi$

then obtain *A* where  $A \models \varphi$  and  $\neg A \models \psi$  using *evalf-def* by *metis*

```

    then have  $\neg A \models FImp \varphi \psi$  by auto
    then show False using A by blast
qed

```

A shorter proof:

```

lemma  $\varphi \models_f \psi \iff (\forall A. A \models FImp \varphi \psi)$ 
  by (simp add: evalf-def)

```

```

definition same-over-set:: ('v  $\Rightarrow$  bool)  $\Rightarrow$  ('v  $\Rightarrow$  bool)  $\Rightarrow$  'v set  $\Rightarrow$  bool where
same-over-set A B S = ( $\forall c \in S. A c = B c$ )

```

If two mapping *A* and *B* have the same value over the variables, then the same formula are satisfiable.

```

lemma same-over-set-eval:
  assumes same-over-set A B (vars-of-prop  $\varphi$ )
  shows  $A \models \varphi \iff B \models \varphi$ 
  using assms unfolding same-over-set-def by (induct  $\varphi$ , auto)

```

```

end

```