

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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# Contents

<b>1</b>	<b>Normalisation</b>	<b>5</b>
1.1	Logics	5
1.1.1	Definition and Abstraction	5
1.1.2	Properties of the Abstraction	6
1.1.3	Subformulas and Properties	9
1.1.4	Positions	12
1.2	Semantics over the Syntax	15
1.3	Rewrite Systems and Properties	16
1.3.1	Lifting of Rewrite Rules	16
1.3.2	Consistency Preservation	19
1.3.3	Full Lifting	20
1.4	Transformation testing	20
1.4.1	Definition and first Properties	20
1.4.2	Invariant conservation	23
1.5	Rewrite Rules	26
1.5.1	Elimination of the Equivalences	26
1.5.2	Eliminate Implication	28
1.5.3	Eliminate all the True and False in the formula	29
1.5.4	PushNeg	35
1.5.5	Push Inside	40
1.6	The Full Transformations	54
1.6.1	Abstract Definition	54
1.6.2	Conjunctive Normal Form	56
1.6.3	Disjunctive Normal Form	57
1.7	More aggressive simplifications: Removing true and false at the beginning	58
1.7.1	Transformation	58
1.7.2	More invariants	60
1.7.3	The new CNF and DNF transformation	64
1.8	Link with Multiset Version	65
1.8.1	Transformation to Multiset	65
1.8.2	Equisatisfiability of the two Versions	65
<b>2</b>	<b>Resolution-based techniques</b>	<b>73</b>
2.1	Resolution	73
2.1.1	Simplification Rules	73
2.1.2	Unconstrained Resolution	75
2.1.3	Inference Rule	75
2.1.4	Lemma about the Simplified State	90
2.1.5	Resolution and Invariants	93

2.2	Superposition . . . . .	113
2.2.1	We can now define the rules of the calculus . . . . .	120
<b>theory</b>	<i>Prop-Logic</i>	
<b>imports</b>	<i>Main</i>	
<b>begin</b>		

# Chapter 1

## Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

### 1.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

#### 1.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

```
datatype 'v propo =  
  FT | FF | FVar 'v | FNot 'v propo | FAnd 'v propo 'v propo | FOr 'v propo 'v propo  
  | FImp 'v propo 'v propo | FEq 'v propo 'v propo
```

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

```
datatype 'v connective = CT | CF | CVar 'v | CNot | CAnd | COr | CImp | CEq
```

**abbreviation** *nullary-connective*  $\equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

**definition** *binary-connectives*  $\equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

**lemma** *propo-induct-arity*[*case-names nullary unary binary*]:

```
  fixes  $\varphi \psi :: 'v propo$   
  assumes nullary:  $\bigwedge \varphi x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$   
  and unary:  $\bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$   
  and binary:  $\bigwedge \varphi \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1$   
   $\psi2$   
   $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$   
  shows  $P\ \psi$   
  apply (induct rule: propo.induct)  
  using assms by metis+
```

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```

fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF

```

We will often use case distinction, based on the arity of the 'v connective, thus we define our own splitting principle.

```

lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
using assms by (cases c) (auto simp: binary-connectives-def)

```

```

lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
using assms by (cases c, auto simp add: binary-connectives-def)

```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```

inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c [] |$ 
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi] |$ 
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct

```

```

lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
using assms by induction (auto simp: binary-connectives-def)

```

### 1.1.2 Properties of the Abstraction

First we can define simplification rules.

```

lemma wf-conn-conn[simp]:

```

$wf\text{-conn } CT \ l \implies conn \ CT \ l = FT$   
 $wf\text{-conn } CF \ l \implies conn \ CF \ l = FF$   
 $wf\text{-conn } (CVar \ x) \ l \implies conn \ (CVar \ x) \ l = FVar \ x$   
**apply** (*simp-all add: wf-conn.simps*)  
**unfolding** *binary-connectives-def* **by** *simp-all*

**lemma** *wf-conn-list-decomp*[*simp*]:

$wf\text{-conn } CT \ l \longleftrightarrow l = []$   
 $wf\text{-conn } CF \ l \longleftrightarrow l = []$   
 $wf\text{-conn } (CVar \ x) \ l \longleftrightarrow l = []$   
 $wf\text{-conn } CNot \ (\xi \ @ \ \varphi \ \# \ \xi') \longleftrightarrow \xi = [] \ \wedge \ \xi' = []$   
**apply** (*simp-all add: wf-conn.simps*)  
**unfolding** *binary-connectives-def* **apply** *simp-all*  
**by** (*metis append-Nil append-is-Nil-conv list.distinct(1) list.sel(3) tl-append2*)

**lemma** *wf-conn-list*:

$wf\text{-conn } c \ l \implies conn \ c \ l = FT \longleftrightarrow (c = CT \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FF \longleftrightarrow (c = CF \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FVar \ x \longleftrightarrow (c = CVar \ x \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FAnd \ a \ b \longleftrightarrow (c = CAnd \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FOr \ a \ b \longleftrightarrow (c = COr \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FEq \ a \ b \longleftrightarrow (c = CEq \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FImp \ a \ b \longleftrightarrow (c = CImp \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FNot \ a \longleftrightarrow (c = CNot \ \wedge \ l = a \ \# \ [])$   
**apply** (*induct l rule: wf-conn.induct*)  
**unfolding** *binary-connectives-def* **by** *auto*

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

**lemma** *list-length2-decomp*:  $length \ l = 2 \implies (\exists \ a \ b. \ l = a \ \# \ b \ \# \ [])$

**apply** (*induct l, auto*)  
**by** (*rename-tac l, case-tac l, auto*)

*wf-conn* for binary operators means that there are two arguments.

**lemma** *wf-conn-bin-list-length*:

**fixes**  $l :: 'v \ propo \ list$   
**assumes**  $conn: c \in \text{binary-connectives}$   
**shows**  $length \ l = 2 \longleftrightarrow wf\text{-conn } c \ l$

**proof**

**assume**  $length \ l = 2$   
**then show**  $wf\text{-conn } c \ l$  **using** *wf-conn-binary list-length2-decomp* **using**  $conn$  **by** *metis*

**next**

**assume**  $wf\text{-conn } c \ l$

**then show**  $length \ l = 2$  (**is**  $?P \ l$ )

**proof** (*cases rule: wf-conn.induct*)

**case** *wf-conn-nullary*

**then show**  $?P \ []$  **using** *conn binary-connectives-def*

**using** *connective.distinct(11) connective.distinct(13) connective.distinct(9)* **by** *blast*

**next**

**fix**  $\psi :: 'v \ propo$

**case** *wf-conn-unary*

**then show**  $?P \ [\psi]$  **using** *conn binary-connectives-def*

**using** *connective.distinct* **by** *blast*

```

next
  fix  $\psi \psi'$  :: 'v propo
  show ?P [ $\psi, \psi'$ ] by auto
qed
qed

```

```

lemma wf-conn-not-list-length[iff]:
  fixes l :: 'v propo list
  shows wf-conn CNot l  $\longleftrightarrow$  length l = 1
  apply auto
  apply (metis append-Nil connective.distinct(5,17,27) length-Cons list.size(3) wf-conn.simps
    wf-conn-list-decomp(4))
  by (simp add: length-Suc-conv wf-conn.simps)

```

Decomposing the Not into an element is moreover very useful.

```

lemma wf-conn-Not-decomp:
  fixes l :: 'v propo list and a :: 'v
  assumes corr: wf-conn CNot l
  shows  $\exists a. l = [a]$ 
  by (metis (no-types, lifting) One-nat-def Suc-length-conv corr length-0-conv
    wf-conn-not-list-length)

```

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

```

lemma wf-conn-no-arity-change:
  length l = length l'  $\implies$  wf-conn c l  $\longleftrightarrow$  wf-conn c l'
proof -
  {
    fix l l'
    have length l = length l'  $\implies$  wf-conn c l  $\implies$  wf-conn c l'
      apply (cases c l rule: wf-conn.induct, auto)
      by (metis wf-conn-bin-list-length)
  }
  then show length l = length l'  $\implies$  wf-conn c l = wf-conn c l' by metis
qed

```

```

lemma wf-conn-no-arity-change-helper:
  length ( $\xi @ \varphi \# \xi'$ ) = length ( $\xi @ \varphi' \# \xi'$ )
  by auto

```

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

```

lemma conn-inj-not:
  assumes correct: wf-conn c l
  and conn: conn c l = FNot  $\psi$ 
  shows c = CNot and l = [ $\psi$ ]
  apply (cases c l rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def apply auto
  apply (cases c l rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def by auto

```

```

lemma conn-inj:
  fixes c ca :: 'v connective and l  $\psi$ s :: 'v propo list
  assumes corr: wf-conn ca l
  and corr': wf-conn c  $\psi$ s

```



```

and eq: conn ca l = conn c  $\psi$ s
shows ca = c  $\wedge$   $\psi$ s = l
using corr
proof (cases ca l rule: wf-conn.cases)
  case (wf-conn-nullary v)
  then show ca = c  $\wedge$   $\psi$ s = l using assms
    by (metis conn.simps(1) conn.simps(2) conn.simps(3) wf-conn-list(1-3))
next
  case (wf-conn-unary  $\psi'$ )
  then have *: FNot  $\psi' = conn c  $\psi$ s$  using conn-inj-not eq assms by auto
  then have c = ca by (metis conn-inj-not(1) corr' wf-conn-unary(2))
  moreover have  $\psi$ s = l using * conn-inj-not(2) corr' wf-conn-unary(1) by force
  ultimately show ca = c  $\wedge$   $\psi$ s = l by auto
next
  case (wf-conn-binary  $\psi'$   $\psi''$ )
  then show ca = c  $\wedge$   $\psi$ s = l
    using eq corr' unfolding binary-connectives-def apply (cases ca, auto simp add: wf-conn-list)
    using wf-conn-list(4-7) corr' by metis+
qed

```

### 1.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

**inductive** *subformula* :: '*v propo*  $\Rightarrow$  '*v propo*  $\Rightarrow$  *bool* (**infix**  $\preceq$  45) **for**  $\varphi$  **where**  
*subformula-refl[simp]:  $\varphi \preceq \varphi$  |*  
*subformula-into-subformula:  $\psi \in \text{set } l \Longrightarrow \text{wf-conn } c \ l \Longrightarrow \varphi \preceq \psi \Longrightarrow \varphi \preceq \text{conn } c \ l$*

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

**lemma** *subformula-in-subformula-not*:  
**shows** *b: FNot  $\varphi \preceq \psi \Longrightarrow \varphi \preceq \psi$*   
**apply** (*induct rule: subformula.induct*)  
**using** *subformula-into-subformula wf-conn-unary subformula-refl list.set-intros(1) subformula-refl*  
**by** (*fastforce intro: subformula-into-subformula*)+

**lemma** *subformula-in-binary-conn*:  
**assumes** *conn: c  $\in$  binary-connectives*  
**shows** *f  $\preceq$  conn c [f, g]*  
**and** *g  $\preceq$  conn c [f, g]*  
**proof** –  
**have** *a: wf-conn c (f# [g])* **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*  
**moreover have** *b: f  $\preceq$  f* **using** *subformula-refl* **by** *auto*  
**ultimately show** *f  $\preceq$  conn c [f, g]*  
**by** (*metis append-Nil in-set-conv-decomp subformula-into-subformula*)  
**next**  
**have** *a: wf-conn c ([f] @ [g])* **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*  
**moreover have** *b: g  $\preceq$  g* **using** *subformula-refl* **by** *auto*  
**ultimately show** *g  $\preceq$  conn c [f, g]* **using** *subformula-into-subformula* **by** *force*  
**qed**

**lemma** *subformula-trans*:

$\psi \preceq \psi' \implies \varphi \preceq \psi \implies \varphi \preceq \psi'$   
**apply** (*induct*  $\psi'$  *rule*: *subformula.inducts*)  
**by** (*auto simp*: *subformula-into-subformula*)

**lemma** *subformula-leaf*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *incl*:  $\varphi \preceq \psi$   
**and** *simple*:  $\psi = FT \vee \psi = FF \vee \psi = FVar x$   
**shows**  $\varphi = \psi$   
**using** *incl simple*  
**by** (*induct rule*: *subformula.induct*, *auto simp*: *wf-conn-list*)

**lemma** *subformula-not-incl-eq*:  
**assumes**  $\varphi \preceq \text{conn } c \ l$   
**and** *wf-conn*  $c \ l$   
**and**  $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$   
**shows**  $\varphi = \text{conn } c \ l$   
**using** *assms apply* (*induction conn c l rule*: *subformula.induct*, *auto*)  
**using** *conn-inj* **by** *blast*

**lemma** *wf-subformula-conn-cases*:  
 $\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \iff (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$   
**apply** *standard*  
**using** *subformula-not-incl-eq apply metis*  
**by** (*auto simp add*: *subformula-into-subformula*)

**lemma** *subformula-decomp-explicit[simp]*:  
 $\varphi \preceq FAnd \ \psi \ \psi' \iff (\varphi = FAnd \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$  (**is** *?P FAnd*)  
 $\varphi \preceq FOr \ \psi \ \psi' \iff (\varphi = FOr \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FEq \ \psi \ \psi' \iff (\varphi = FEq \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FImp \ \psi \ \psi' \iff (\varphi = FImp \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$

**proof** –

**have** *wf-conn CAnd*  $[\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CAnd \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CAnd \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show** *?P FAnd* **by** *auto*

**next**

**have** *wf-conn COr*  $[\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } COr \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } COr \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show** *?P FOr* **by** *auto*

**next**

**have** *wf-conn CEq*  $[\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CEq \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CEq \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show** *?P FEq* **by** *auto*

**next**

**have** *wf-conn CImp*  $[\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CImp \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CImp \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show** *?P FImp* **by** *auto*

**qed**

**lemma** *wf-conn-helper-facts*[*iff*]:  
*wf-conn* *CNot* [ $\varphi$ ]  
*wf-conn* *CT* []  
*wf-conn* *CF* []  
*wf-conn* (*CVar*  $x$ ) []  
*wf-conn* *CAnd* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *COr* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *CImp* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *CEq* [ $\varphi$ ,  $\psi$ ]  
**using** *wf-conn.intros* **unfolding** *binary-connectives-def* **by** *fastforce+*

**lemma** *exists-c-conn*:  $\exists c l. \varphi = \text{conn } c l \wedge \text{wf-conn } c l$   
**by** (*cases*  $\varphi$ ) *force+*

**lemma** *subformula-conn-decomp*[*simp*]:  
**assumes** *wf*: *wf-conn*  $c l$   
**shows**  $\varphi \preceq \text{conn } c l \longleftrightarrow (\varphi = \text{conn } c l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi))$  (**is**  $?A \longleftrightarrow ?B$ )

**proof** (*rule iffI*)

{  
**fix**  $\xi$   
**have**  $\varphi \preceq \xi \implies \xi = \text{conn } c l \implies \text{wf-conn } c l \implies \forall x::'a \text{ prop} \in \text{set } l. \neg \varphi \preceq x \implies \varphi = \text{conn } c l$   
**apply** (*induct rule: subformula.induct*)  
**apply** *simp*  
**using** *conn-inj* **by** *blast*

}  
**moreover** **assume**  $?A$   
**ultimately show**  $?B$  **using** *wf* **by** *metis*

**next**

**assume**  $?B$   
**then show**  $\varphi \preceq \text{conn } c l$  **using** *wf* *wf-subformula-conn-cases* **by** *blast*  
**qed**

**lemma** *subformula-leaf-explicit*[*simp*]:

$\varphi \preceq FT \longleftrightarrow \varphi = FT$   
 $\varphi \preceq FF \longleftrightarrow \varphi = FF$   
 $\varphi \preceq FVar x \longleftrightarrow \varphi = FVar x$   
**apply** *auto*  
**using** *subformula-leaf* **by** *metis* +

The variables inside the formula gives precisely the variables that are needed for the formula.

**primrec** *vars-of-prop*::  $'v \text{ prop} \Rightarrow 'v \text{ set}$  **where**

*vars-of-prop* *FT* = {} |  
*vars-of-prop* *FF* = {} |  
*vars-of-prop* (*FVar*  $x$ ) = { $x$ } |  
*vars-of-prop* (*FNot*  $\varphi$ ) = *vars-of-prop*  $\varphi$  |  
*vars-of-prop* (*FAnd*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FOr*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FImp*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FEq*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$

**lemma** *vars-of-prop-incl-conn*:

**fixes**  $\xi \xi' :: 'v \text{ prop}$  **list** **and**  $\psi :: 'v \text{ prop}$  **and**  $c :: 'v \text{ connective}$

**assumes** *corr*: *wf-conn*  $c l$  **and** *incl*:  $\psi \in \text{set } l$

**shows** *vars-of-prop*  $\psi \subseteq \text{vars-of-prop } (\text{conn } c l)$

**proof** (*cases c rule: connective-cases-arity-2*)

```

case nullary
then have False using corr incl by auto
then show vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$  by blast
next
case binary note c = this
then obtain a b where ab: l = [a, b]
  using wf-conn-bin-list-length list-length2-decomp corr by metis
then have  $\psi = a \vee \psi = b$  using incl by auto
then show vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$ 
  using ab c unfolding binary-connectives-def by auto
next
case unary note c = this
fix  $\varphi :: 'v \ \text{propo}$ 
have l = [ $\psi$ ] using corr c incl split-list by force
then show vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$  using c by auto
qed

```

The set of variables is compatible with the subformula order.

```

lemma subformula-vars-of-prop:
 $\varphi \preceq \psi \implies \text{vars-of-prop } \varphi \subseteq \text{vars-of-prop } \psi$ 
  apply (induct rule: subformula.induct)
  apply simp
  using vars-of-prop-incl-conn by blast

```

#### 1.1.4 Positions

Instead of 1 or 2 we use  $L$  or  $R$

```

datatype sign = L | R

```

We use  $nil$  instead of  $\varepsilon$ .

```

fun pos :: 'v propo  $\Rightarrow$  sign list set where
pos FF = {[]} |
pos FT = {[]} |
pos (FVar x) = {[]} |
pos (FAnd  $\varphi \ \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FOr  $\varphi \ \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FEq  $\varphi \ \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FImp  $\varphi \ \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FNot  $\varphi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }

```

```

lemma finite-pos: finite (pos  $\varphi$ )
  by (induct  $\varphi$ , auto)

```

```

lemma finite-inj-comp-set:
  fixes s :: 'v set
  assumes finite: finite s
  and inj: inj f
  shows card ({f p | p. p  $\in$  s}) = card s
  using finite
proof (induct s rule: finite-induct)
  show card {f p | p. p  $\in$  {}} = card {} by auto
next
  fix x :: 'v and s: 'v set
  assume f: finite s and notin: x  $\notin$  s
  and IH: card {f p | p. p  $\in$  s} = card s

```

**have**  $f'$ : *finite*  $\{f\ p \mid p. p \in \text{insert } x\ s\}$  **using**  $f$  **by** *auto*  
**have** *notin'*:  $f\ x \notin \{f\ p \mid p. p \in s\}$  **using** *notin inj injD* **by** *fastforce*  
**have**  $\{f\ p \mid p. p \in \text{insert } x\ s\} = \text{insert } (f\ x)\ \{f\ p \mid p. p \in s\}$  **by** *auto*  
**then have**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = 1 + \text{card } \{f\ p \mid p. p \in s\}$   
**using** *finite card-insert-disjoint f' notin'* **by** *auto*  
**moreover have**  $\dots = \text{card } (\text{insert } x\ s)$  **using** *notin f IH* **by** *auto*  
**finally show**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = \text{card } (\text{insert } x\ s)$  .  
**qed**

**lemma** *cons-inject*:

*inj ((#) s)*  
**by** (*meson injI list.inject*)

**lemma** *finite-insert-nil-cons*:

*finite s*  $\implies \text{card } (\text{insert } []\ \{L\ \#\ p \mid p. p \in s\}) = 1 + \text{card } \{L\ \#\ p \mid p. p \in s\}$   
**using** *card-insert-disjoint* **by** *auto*

**lemma** *card-not[simp]*:

$\text{card } (\text{pos } (FNot\ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)

**lemma** *card-seperate*:

**assumes** *finite s1 and finite s2*  
**shows**  $\text{card } (\{L\ \#\ p \mid p. p \in s1\} \cup \{R\ \#\ p \mid p. p \in s2\}) = \text{card } (\{L\ \#\ p \mid p. p \in s1\})$   
 $+ \text{card } (\{R\ \#\ p \mid p. p \in s2\})$  (**is**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$ )

**proof** –

**have** *finite ?L* **using** *assms* **by** *auto*  
**moreover have** *finite ?R* **using** *assms* **by** *auto*  
**moreover have**  $?L \cap ?R = \{\}$  **by** *blast*  
**ultimately show** *?thesis* **using** *assms card-Un-disjoint* **by** *blast*

**qed**

**definition** *prop-size* **where** *prop-size*  $\varphi = \text{card } (\text{pos } \varphi)$

**lemma** *prop-size-vars-of-prop*:

**fixes**  $\varphi :: 'v\ \text{propo}$   
**shows**  $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

**unfolding** *prop-size-def* **apply** (*induct*  $\varphi$ , *auto simp add: cons-inject finite-inj-comp-set finite-pos*)

**proof** –

**fix**  $\varphi1\ \varphi2 :: 'v\ \text{propo}$   
**assume** *IH1*:  $\text{card } (\text{vars-of-prop } \varphi1) \leq \text{card } (\text{pos } \varphi1)$   
**and** *IH2*:  $\text{card } (\text{vars-of-prop } \varphi2) \leq \text{card } (\text{pos } \varphi2)$   
**let**  $?L = \{L\ \#\ p \mid p. p \in \text{pos } \varphi1\}$   
**let**  $?R = \{R\ \#\ p \mid p. p \in \text{pos } \varphi2\}$   
**have**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$   
**using** *card-seperate finite-pos* **by** *blast*  
**moreover have**  $\dots = \text{card } (\text{pos } \varphi1) + \text{card } (\text{pos } \varphi2)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)  
**moreover have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1) + \text{card } (\text{vars-of-prop } \varphi2)$  **using** *IH1 IH2* **by** *arith*  
**then have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2)$  **using** *card-Un-le le-trans* **by** *blast*  
**ultimately**  
**show**  $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$

```

      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ )  $\leq$  Suc (card (?L  $\cup$  ?R))
    by auto
  qed

```

```

value pos (FImp (FAnd (FVar P) (FVar Q)) (FOr (FVar P) (FVar Q)))

```

```

inductive path-to :: sign list  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
  path-to-refl[intro]: path-to []  $\varphi$   $\varphi$  |
  path-to-l:  $c \in$  binary-connectives  $\vee$   $c =$  CNot  $\Longrightarrow$  wf-conn  $c$  ( $\varphi \# l$ )  $\Longrightarrow$  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow$ 
    path-to ( $L \# p$ ) (conn  $c$  ( $\varphi \# l$ ))  $\varphi'$  |
  path-to-r:  $c \in$  binary-connectives  $\Longrightarrow$  wf-conn  $c$  ( $\psi \# \varphi \# []$ )  $\Longrightarrow$  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow$ 
    path-to ( $R \# p$ ) (conn  $c$  ( $\psi \# \varphi \# []$ ))  $\varphi'$ 

```

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

**lemma** path-to-subformula:

```

  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow \varphi' \preceq \varphi$ 

```

```

apply (induct rule: path-to.induct)

```

```

  apply simp

```

```

  apply (metis list.set-intros(1) subformula-into-subformula)

```

```

using subformula-trans subformula-in-binary-conn(2) by metis

```

**lemma** subformula-path-exists:

```

  fixes  $\varphi$   $\varphi'$ :: 'v propo

```

```

  shows  $\varphi' \preceq \varphi \Longrightarrow \exists p. \text{path-to } p \varphi \varphi'$ 

```

**proof** (induct rule: subformula.induct)

```

  case subformula-refl

```

```

  have path-to []  $\varphi' \varphi'$  by auto

```

```

  then show  $\exists p. \text{path-to } p \varphi' \varphi'$  by metis

```

**next**

```

  case (subformula-into-subformula  $\psi$   $l$   $c$ )

```

```

  note wf = this(2) and IH = this(4) and  $\psi =$  this(1)

```

```

  then obtain  $p$  where  $p: \text{path-to } p \psi \varphi'$  by metis

```

```

  {

```

```

    fix  $x ::$  'v

```

```

    assume  $c =$  CT  $\vee$   $c =$  CF  $\vee$   $c =$  CVar  $x$ 

```

```

    then have False using subformula-into-subformula by auto

```

```

    then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

  }

```

```

moreover {

```

```

  assume  $c: c =$  CNot

```

```

  then have  $l = [\psi]$  using wf  $\psi$  wf-conn-Not-decomp by fastforce

```

```

  then have path-to ( $L \# p$ ) (conn  $c$   $l$ )  $\varphi'$  by (metis  $c$  wf-conn-unary  $p$  path-to- $l$ )

```

```

  then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

}

```

```

moreover {

```

```

  assume  $c: c \in$  binary-connectives

```

```

  obtain  $a$   $b$  where  $ab: [a, b] = l$  using subformula-into-subformula  $c$  wf-conn-bin-list-length
    list-length2-decomp by metis

```

```

  then have  $a = \psi \vee b = \psi$  using  $\psi$  by auto

```

```

  then have path-to ( $L \# p$ ) (conn  $c$   $l$ )  $\varphi' \vee$  path-to ( $R \# p$ ) (conn  $c$   $l$ )  $\varphi'$  using  $c$  path-to- $l$ 
    path-to- $r$   $p$   $ab$  by (metis wf-conn-binary)

```

```

  then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

}

```

```

ultimately show  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  using connective-cases-arity by metis

```

**qed**

```

fun replace-at :: sign list ⇒ 'v propo ⇒ 'v propo ⇒ 'v propo where
replace-at [] - ψ = ψ |
replace-at (L # l) (FAnd φ φ') ψ = FAnd (replace-at l φ ψ) φ' |
replace-at (R # l) (FAnd φ φ') ψ = FAnd φ (replace-at l φ' ψ) |
replace-at (L # l) (FOr φ φ') ψ = FOr (replace-at l φ ψ) φ' |
replace-at (R # l) (FOr φ φ') ψ = FOr φ (replace-at l φ' ψ) |
replace-at (L # l) (FEq φ φ') ψ = FEq (replace-at l φ ψ) φ' |
replace-at (R # l) (FEq φ φ') ψ = FEq φ (replace-at l φ' ψ) |
replace-at (L # l) (FImp φ φ') ψ = FImp (replace-at l φ ψ) φ' |
replace-at (R # l) (FImp φ φ') ψ = FImp φ (replace-at l φ' ψ) |
replace-at (L # l) (FNot φ) ψ = FNot (replace-at l φ ψ)

```

## 1.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

```

fun eval :: ('v ⇒ bool) ⇒ 'v propo ⇒ bool (infix |= 50) where
A |= FT = True |
A |= FF = False |
A |= FVar v = (A v) |
A |= FNot φ = (¬(A|= φ)) |
A |= FAnd φ1 φ2 = (A|=φ1 ∧ A|=φ2) |
A |= FOr φ1 φ2 = (A|=φ1 ∨ A|=φ2) |
A |= FImp φ1 φ2 = (A|=φ1 → A|=φ2) |
A |= FEq φ1 φ2 = (A|=φ1 ↔ A|=φ2)

```

```

definition evalf (infix |=f 50) where
evalf φ ψ = (∀ A. A |= φ → A |= ψ)

```

The deduction rule is in the book. And the proof looks like to the one of the book.

**theorem** *deduction-theorem*:

$$\varphi \models \psi \iff (\forall A. A \models \text{FImp } \varphi \ \psi)$$

**proof**

assume *H*:  $\varphi \models \psi$

{

fix *A*

have  $A \models \text{FImp } \varphi \ \psi$

proof (cases  $A \models \varphi$ )

case *True*

then have  $A \models \psi$  using *H* unfolding *evalf-def* by *metis*

then show  $A \models \text{FImp } \varphi \ \psi$  by *auto*

next

case *False*

then show  $A \models \text{FImp } \varphi \ \psi$  by *auto*

qed

}

then show  $\forall A. A \models \text{FImp } \varphi \ \psi$  by *blast*

next

assume *A*:  $\forall A. A \models \text{FImp } \varphi \ \psi$

show  $\varphi \models \psi$

proof (rule *ccontr*)

assume  $\neg \varphi \models \psi$

then obtain *A* where  $A \models \varphi$  and  $\neg A \models \psi$  using *evalf-def* by *metis*

```

    then have  $\neg A \models FImp \varphi \psi$  by auto
    then show False using A by blast
qed

```

A shorter proof:

```

lemma  $\varphi \models_f \psi \iff (\forall A. A \models FImp \varphi \psi)$ 
  by (simp add: evalf-def)

```

**definition** *same-over-set*:: ( $'v \Rightarrow bool$ )  $\Rightarrow$  ( $'v \Rightarrow bool$ )  $\Rightarrow$   $'v \text{ set} \Rightarrow bool$  **where**  
*same-over-set* *A B S* = ( $\forall c \in S. A \ c = B \ c$ )

If two mapping *A* and *B* have the same value over the variables, then the same formula are satisfiable.

```

lemma same-over-set-eval:
  assumes same-over-set A B (vars-of-prop  $\varphi$ )
  shows  $A \models \varphi \iff B \models \varphi$ 
  using assms unfolding same-over-set-def by (induct  $\varphi$ , auto)

```

```

end
theory Prop-Abstract-Transformation
imports Prop-Logic Weidenbach-Book-Base.Wellfounded-More

```

```
begin
```

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

## 1.3 Rewrite Systems and Properties

### 1.3.1 Lifting of Rewrite Rules

We can lift a rewrite relation *r* over a full formula: the relation *r* works on terms, while *propo-rew-step* works on formulas.

```

inductive propo-rew-step :: ( $'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow bool$ )  $\Rightarrow$   $'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow bool$ 
  for  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow bool$  where
global-rel:  $r \ \varphi \ \psi \implies \text{propo-rew-step } r \ \varphi \ \psi$  |
propo-rew-one-step-lift:  $\text{propo-rew-step } r \ \varphi \ \varphi' \implies \text{wf-conn } c \ (\psi s \ @ \ \varphi \ \# \ \psi s')$ 
   $\implies \text{propo-rew-step } r \ (\text{conn } c \ (\psi s \ @ \ \varphi \ \# \ \psi s')) \ (\text{conn } c \ (\psi s \ @ \ \varphi' \ \# \ \psi s'))$ 

```

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between  $\varphi$  and  $\varphi'$ , then there are two subformulas  $\psi$  in  $\varphi$  and  $\psi'$  in  $\varphi'$ ,  $\psi'$  is the result of the rewriting of *r* on  $\psi$ .

This lemma is only a health condition:

```

lemma propo-rew-step-subformula-imp:
shows  $\text{propo-rew-step } r \ \varphi \ \varphi' \implies \exists \psi \ \psi'. \ \psi \preceq \varphi \wedge \psi' \preceq \varphi' \wedge r \ \psi \ \psi'$ 
  apply (induct rule: propo-rew-step.induct)
  using subformula.simps subformula-into-subformula apply blast
  using wf-conn-no-arity-change subformula-into-subformula wf-conn-no-arity-change-helper
  in-set-conv-decomp by metis

```

The converse is moreover true: if there is a  $\psi$  and  $\psi'$ , then every formula  $\varphi$  containing  $\psi$ , can be rewritten into a formula  $\varphi'$ , such that it contains  $\psi'$ .



**lemma** *propo-rew-step-subformula-rec*:  
**fixes**  $\psi \psi' \varphi :: 'v \text{ propo}$   
**shows**  $\psi \preceq \varphi \implies r \psi \psi' \implies (\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \psi \varphi')$   
**proof** (*induct*  $\varphi$  *rule*: *subformula.induct*)  
**case** *subformula-refl*  
**then have** *propo-rew-step*  $r \psi \psi'$  **using** *propo-rew-step.intros* **by** *auto*  
**moreover have**  $\psi' \preceq \psi'$  **using** *Prop-Logic.subformula-refl* **by** *auto*  
**ultimately show**  $\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \psi \varphi'$  **by** *fastforce*  
**next**  
**case** (*subformula-into-subformula*  $\psi'' l c$ )  
**note**  $IH = \text{this}(4)$  **and**  $r = \text{this}(5)$  **and**  $\psi'' = \text{this}(1)$  **and**  $wf = \text{this}(2)$  **and**  $\text{incl} = \text{this}(3)$   
**then obtain**  $\varphi'$  **where**  $*$ :  $\psi' \preceq \varphi' \wedge \text{propo-rew-step } r \psi'' \varphi'$  **by** *metis*  
**moreover obtain**  $\xi \xi' :: 'v \text{ propo list}$  **where**  
 $l = \xi @ \psi'' \# \xi'$  **using** *List.split-list*  $\psi''$  **by** *metis*  
**ultimately have** *propo-rew-step*  $r (\text{conn } c l) (\text{conn } c (\xi @ \varphi' \# \xi'))$   
**using** *propo-rew-step.intros(2)*  $wf$  **by** *metis*  
**moreover have**  $\psi' \preceq \text{conn } c (\xi @ \varphi' \# \xi')$   
**using**  $wf * wf\text{-conn-no-arity-change}$  *Prop-Logic.subformula-into-subformula*  
**by** (*metis* (*no-types*) *in-set-conv-decomp*  $l wf\text{-conn-no-arity-change-helper}$ )  
**ultimately show**  $\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r (\text{conn } c l) \varphi'$  **by** *metis*  
**qed**

**lemma** *propo-rew-step-subformula*:  
 $(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi') \longleftrightarrow (\exists \varphi'. \text{propo-rew-step } r \varphi \varphi')$   
**using** *propo-rew-step-subformula-imp* *propo-rew-step-subformula-rec* **by** *metis+*

**lemma** *consistency-decompose-into-list*:  
**assumes**  $wf: wf\text{-conn } c l$  **and**  $wf': wf\text{-conn } c l'$   
**and**  $\text{same}: \forall n. A \models l ! n \longleftrightarrow (A \models l' ! n)$   
**shows**  $A \models \text{conn } c l \longleftrightarrow A \models \text{conn } c l'$   
**proof** (*cases*  $c$  *rule*: *connective-cases-arity-2*)  
**case** *nullary*  
**then show**  $(A \models \text{conn } c l) \longleftrightarrow (A \models \text{conn } c l')$  **using**  $wf wf'$  **by** *auto*  
**next**  
**case** *unary* **note**  $c = \text{this}$   
**then obtain**  $a$  **where**  $l = [a]$  **using** *wf-conn-Not-decomp*  $wf$  **by** *metis*  
**obtain**  $a'$  **where**  $l': l' = [a']$  **using** *wf-conn-Not-decomp*  $wf' c$  **by** *metis*  
**have**  $A \models a \longleftrightarrow A \models a'$  **using**  $l l'$  **by** (*metis* *nth-Cons-0* *same*)  
**then show**  $A \models \text{conn } c l \longleftrightarrow A \models \text{conn } c l'$  **using**  $l l' c$  **by** *auto*  
**next**  
**case** *binary* **note**  $c = \text{this}$   
**then obtain**  $a b$  **where**  $l = [a, b]$   
**using** *wf-conn-bin-list-length* *list-length2-decomp*  $wf$  **by** *metis*  
**obtain**  $a' b'$  **where**  $l': l' = [a', b']$   
**using** *wf-conn-bin-list-length* *list-length2-decomp*  $wf' c$  **by** *metis*  
  
**have**  $p: A \models a \longleftrightarrow A \models a' \wedge A \models b \longleftrightarrow A \models b'$   
**using**  $l l'$  *same* **by** (*metis* *diff-Suc-1* *nth-Cons'* *nat.distinct(2)*)  
**show**  $A \models \text{conn } c l \longleftrightarrow A \models \text{conn } c l'$   
**using**  $wf c p$  **unfolding** *binary-connectives-def*  $l l'$  **by** *auto*  
**qed**

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step*  $r \varphi \varphi'$  means that we rewrite  $\psi$  inside  $\varphi$  (ie at a path  $p$ ) into  $\psi'$ .

**lemma** *propo-rew-step-rewrite*:  
**fixes**  $\varphi \varphi' :: 'v \text{ propo}$  **and**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$

```

assumes propo-rew-step  $r \varphi \varphi'$ 
shows  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p \varphi \psi \wedge \text{replace-at } p \varphi \psi' = \varphi'$ 
using assms
proof (induct rule: propo-rew-step.induct)
  case(global-rel  $\varphi \psi$ )
    moreover have path-to  $\square \varphi \varphi$  by auto
    moreover have replace-at  $\square \varphi \psi = \psi$  by auto
    ultimately show ?case by metis
next
  case (propo-rew-one-step-lift  $\varphi \varphi' c \xi \xi'$ ) note rel = this(1) and IH0 = this(2) and corr = this(3)
  obtain  $\psi \psi' p$  where IH:  $r \psi \psi' \wedge \text{path-to } p \varphi \psi \wedge \text{replace-at } p \varphi \psi' = \varphi'$  using IH0 by metis

  {
    fix  $x :: 'v$ 
    assume  $c = CT \vee c = CF \vee c = CVar\ x$ 
    then have False using corr by auto
    then have  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi$ 
       $\wedge \text{replace-at } p (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi@ (\varphi' \# \xi'))$ 
    by fast
  }
moreover {
  assume  $c: c = CNot$ 
  then have empty:  $\xi = [] \ \xi' = []$  using corr by auto
  have path-to  $(L\#p) (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi$ 
    using  $c$  empty IH wf-conn-unary path-to-l by fastforce
  moreover have replace-at  $(L\#p) (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi@ (\varphi' \# \xi'))$ 
    using  $c$  empty IH by auto
  ultimately have  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi$ 
     $\wedge \text{replace-at } p (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi@ (\varphi' \# \xi'))$ 
  using IH by metis
}
moreover {
  assume  $c: c \in \text{binary-connectives}$ 
  have length  $(\xi@ \varphi \# \xi') = 2$  using wf-conn-bin-list-length corr  $c$  by metis
  then have length  $\xi + \text{length } \xi' = 1$  by auto
  then have ld:  $(\text{length } \xi = 1 \wedge \text{length } \xi' = 0) \vee (\text{length } \xi = 0 \wedge \text{length } \xi' = 1)$  by arith
  obtain  $a\ b$  where ab:  $(\xi = [] \wedge \xi' = [b]) \vee (\xi = [a] \wedge \xi' = [])$ 
    using ld by (case-tac  $\xi$ , case-tac  $\xi'$ , auto)
  {
    assume  $\varphi: \xi = [] \wedge \xi' = [b]$ 
    have path-to  $(L\#p) (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi$ 
      using  $\varphi\ c$  IH ab corr by (simp add: path-to-l)
    moreover have replace-at  $(L\#p) (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi@ (\varphi' \# \xi'))$ 
      using  $c$  IH ab  $\varphi$  unfolding binary-connectives-def by auto
    ultimately have  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi$ 
       $\wedge \text{replace-at } p (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi@ (\varphi' \# \xi'))$ 
    using IH by metis
  }
}
moreover {
  assume  $\varphi: \xi = [a] \ \xi' = []$ 
  then have path-to  $(R\#p) (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi$ 
    using  $c$  IH corr path-to-r corr  $\varphi$  by (simp add: path-to-r)
  moreover have replace-at  $(R\#p) (\text{conn } c (\xi@ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi@ (\varphi' \# \xi'))$ 
    using  $c$  IH ab  $\varphi$  unfolding binary-connectives-def by auto
  ultimately have ?case using IH by metis
}
}

```

```

    ultimately have ?case using ab by blast
  }
  ultimately show ?case using connective-cases-arity by blast
qed

```

### 1.3.2 Consistency Preservation

We define *preserve-models*: it means that a relation preserves consistency.

**definition** *preserve-models* **where**

*preserve-models*  $r \longleftrightarrow (\forall \varphi \psi. r \varphi \psi \longrightarrow (\forall A. A \models \varphi \longleftrightarrow A \models \psi))$

**lemma** *propo-rew-step-preservers-val-explicit*:

*propo-rew-step*  $r \varphi \psi \implies$  *preserve-models*  $r \implies$  *propo-rew-step*  $r \varphi \psi \implies (\forall A. A \models \varphi \longleftrightarrow A \models \psi)$

**unfolding** *preserve-models-def*

**proof** (*induction rule: propo-rew-step.induct*)

**case** *global-rel*

**then show** ?case **by** *simp*

**next**

**case** (*propo-rew-one-step-lift*  $\varphi \varphi' c \xi \xi'$ ) **note**  $rel = this(1)$  **and**  $wf = this(2)$

**and**  $IH = this(3)[OF\ this(4)\ this(1)]$  **and**  $consistent = this(4)$

{

**fix**  $A$

**from**  $IH$  **have**  $\forall n. (A \models (\xi @ \varphi \# \xi') ! n) = (A \models (\xi @ \varphi' \# \xi') ! n)$

**by** (*metis* (*mono-tags*, *hide-lams*) *list-update-length* *nth-Cons-0* *nth-append-length-plus* *nth-list-update-neq*)

**then have**  $(A \models conn\ c\ (\xi @ \varphi \# \xi')) = (A \models conn\ c\ (\xi @ \varphi' \# \xi'))$

**by** (*meson* *consistency-decompose-into-list* *wf* *wf-conn-no-arity-change-helper* *wf-conn-no-arity-change*)

}

**then show**  $\forall A. A \models conn\ c\ (\xi @ \varphi \# \xi') \longleftrightarrow A \models conn\ c\ (\xi @ \varphi' \# \xi')$  **by** *auto*

**qed**

**lemma** *propo-rew-step-preservers-val'*:

**assumes** *preserve-models*  $r$

**shows** *preserve-models* (*propo-rew-step*  $r$ )

**using** *assms* **by** (*simp* *add: preserve-models-def propo-rew-step-preservers-val-explicit*)

**lemma** *preserve-models-OO[intro]*:

*preserve-models*  $f \implies$  *preserve-models*  $g \implies$  *preserve-models* ( $f\ OO\ g$ )

**unfolding** *preserve-models-def* **by** *auto*

**lemma** *star-consistency-preservation-explicit*:

**assumes**  $(propo-rew-step\ r)^{**} \varphi \psi$  **and** *preserve-models*  $r$

**shows**  $\forall A. A \models \varphi \longleftrightarrow A \models \psi$

**using** *assms* **by** (*induct rule: rtranclp-induct*)

(*auto simp add: propo-rew-step-preservers-val-explicit*)

**lemma** *star-consistency-preservation*:

*preserve-models*  $r \implies$  *preserve-models*  $(propo-rew-step\ r)^{**}$

**by** (*simp add: star-consistency-preservation-explicit preserve-models-def*)

### 1.3.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

**lemma** *full-ropo-rew-step-preservers-val*[simp]:  
*preserve-models*  $r \implies \text{preserve-models } (\text{full } (\text{propo-rew-step } r))$   
**by** (*metis full-def preserve-models-def star-consistency-preservation*)

**lemma** *full-propo-rew-step-subformula*:  
*full* (*propo-rew-step*  $r$ )  $\varphi' \varphi \implies \neg(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi')$   
**unfolding** *full-def using propo-rew-step-subformula-rec* **by** *metis*

## 1.4 Transformation testing

### 1.4.1 Definition and first Properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

**definition** *all-subformula-st* :: ( $'a \text{ propo} \implies \text{bool}$ )  $\implies 'a \text{ propo} \implies \text{bool}$  **where**  
*all-subformula-st test-symb*  $\varphi \equiv \forall \psi. \psi \preceq \varphi \longrightarrow \text{test-symb } \psi$

**lemma** *test-symb-imp-all-subformula-st*[simp]:  
*test-symb*  $FT \implies \text{all-subformula-st test-symb } FT$   
*test-symb*  $FF \implies \text{all-subformula-st test-symb } FF$   
*test-symb* ( $FVar\ x$ )  $\implies \text{all-subformula-st test-symb } (FVar\ x)$   
**unfolding** *all-subformula-st-def using subformula-leaf* **by** *metis+*

**lemma** *all-subformula-st-test-symb-true-phi*:  
*all-subformula-st test-symb*  $\varphi \implies \text{test-symb } \varphi$   
**unfolding** *all-subformula-st-def* **by** *auto*

**lemma** *all-subformula-st-decomp-imp*:  
 $\text{wf-conn } c\ l \implies (\text{test-symb } (\text{conn } c\ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$   
 $\implies \text{all-subformula-st test-symb } (\text{conn } c\ l)$   
**unfolding** *all-subformula-st-def* **by** *auto*

To ease the finding of proofs, we give some explicit theorem about the decomposition.

**lemma** *all-subformula-st-decomp-rec*:  
*all-subformula-st test-symb* ( $\text{conn } c\ l$ )  $\implies \text{wf-conn } c\ l$   
 $\implies (\text{test-symb } (\text{conn } c\ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$   
**unfolding** *all-subformula-st-def* **by** *auto*

**lemma** *all-subformula-st-decomp*:  
**fixes**  $c :: 'v \text{ connective}$  **and**  $l :: 'v \text{ propo list}$   
**assumes**  $\text{wf-conn } c\ l$   
**shows**  $\text{all-subformula-st test-symb } (\text{conn } c\ l)$   
 $\longleftrightarrow (\text{test-symb } (\text{conn } c\ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$   
**using** *assms all-subformula-st-decomp-rec all-subformula-st-decomp-imp* **by** *metis*

**lemma helper-fact:**  $c \in \text{binary-connectives} \iff (c = COr \vee c = CAnd \vee c = CEq \vee c = CImp)$

**unfolding** *binary-connectives-def* **by** *auto*

**lemma** *all-subformula-st-decomp-explicit[simp]:*

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**shows** *all-subformula-st test-symb* (*FAnd*  $\varphi \psi$ )

$\iff (\text{test-symb } (FAnd \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**and** *all-subformula-st test-symb* (*FOr*  $\varphi \psi$ )

$\iff (\text{test-symb } (FOr \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**and** *all-subformula-st test-symb* (*FNot*  $\varphi$ )

$\iff (\text{test-symb } (FNot \varphi) \wedge \text{all-subformula-st test-symb } \varphi)$

**and** *all-subformula-st test-symb* (*FEq*  $\varphi \psi$ )

$\iff (\text{test-symb } (FEq \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**and** *all-subformula-st test-symb* (*FImp*  $\varphi \psi$ )

$\iff (\text{test-symb } (FImp \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**proof** –

**have** *all-subformula-st test-symb* (*FAnd*  $\varphi \psi$ )  $\iff$  *all-subformula-st test-symb* (*conn CAnd*  $[\varphi, \psi]$ )

**by** *auto*

**moreover have**  $\dots \iff \text{test-symb } (\text{conn } CAnd \ [\varphi, \psi]) \wedge (\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi)$

$\xi$ )

**using** *all-subformula-st-decomp wf-conn-helper-facts(5)* **by** *metis*

**finally show** *all-subformula-st test-symb* (*FAnd*  $\varphi \psi$ )

$\iff (\text{test-symb } (FAnd \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**by** *simp*

**have** *all-subformula-st test-symb* (*FOr*  $\varphi \psi$ )  $\iff$  *all-subformula-st test-symb* (*conn COr*  $[\varphi, \psi]$ )

**by** *auto*

**moreover have**  $\dots \iff$

$(\text{test-symb } (\text{conn } COr \ [\varphi, \psi]) \wedge (\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi))$

**using** *all-subformula-st-decomp wf-conn-helper-facts(6)* **by** *metis*

**finally show** *all-subformula-st test-symb* (*FOr*  $\varphi \psi$ )

$\iff (\text{test-symb } (FOr \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**by** *simp*

**have** *all-subformula-st test-symb* (*FEq*  $\varphi \psi$ )  $\iff$  *all-subformula-st test-symb* (*conn CEq*  $[\varphi, \psi]$ )

**by** *auto*

**moreover have**  $\dots$

$\iff (\text{test-symb } (\text{conn } CEq \ [\varphi, \psi]) \wedge (\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi))$

**using** *all-subformula-st-decomp wf-conn-helper-facts(8)* **by** *metis*

**finally show** *all-subformula-st test-symb* (*FEq*  $\varphi \psi$ )

$\iff (\text{test-symb } (FEq \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**by** *simp*

**have** *all-subformula-st test-symb* (*FImp*  $\varphi \psi$ )  $\iff$  *all-subformula-st test-symb* (*conn CImp*  $[\varphi, \psi]$ )

**by** *auto*

**moreover have**  $\dots$

$\iff (\text{test-symb } (\text{conn } CImp \ [\varphi, \psi]) \wedge (\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi))$

**using** *all-subformula-st-decomp wf-conn-helper-facts(7)* **by** *metis*

**finally show** *all-subformula-st test-symb* (*FImp*  $\varphi \psi$ )

$\iff (\text{test-symb } (FImp \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

**by** *simp*

**have** *all-subformula-st test-symb* (*FNot*  $\varphi$ )  $\iff$  *all-subformula-st test-symb* (*conn CNot*  $[\varphi]$ )

**by** *auto*

**moreover have**  $\dots = (\text{test-symb } (\text{conn } CNot \ [\varphi]) \wedge (\forall \xi \in \text{set } [\varphi]. \text{all-subformula-st test-symb } \xi))$

**using** *all-subformula-st-decomp wf-conn-helper-facts(1)* **by** *metis*

**finally show** *all-subformula-st test-symb* (*FNot*  $\varphi$ )

$\longleftrightarrow$  (*test-symb* (FNot  $\varphi$ )  $\wedge$  *all-subformula-st test-symb*  $\varphi$ ) **by** *simp*  
**qed**

As *all-subformula-st* tests recursively, the function is true on every subformula.

**lemma** *subformula-all-subformula-st*:

$\psi \preceq \varphi \implies$  *all-subformula-st test-symb*  $\varphi \implies$  *all-subformula-st test-symb*  $\psi$   
**by** (*induct rule: subformula.induct*, *auto simp add: all-subformula-st-decomp*)

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation  $r$ : if we assume that if every time *test-symb* is true, then a  $r$  can be applied, finally as long as  $\neg$  *all-subformula-st test-symb*  $\varphi$ , then something can be rewritten in  $\varphi$ .

**lemma** *no-test-symb-step-exists*:

**fixes**  $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb*::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi :: 'v \text{ propo}$

**assumes**

*test-symb-false-nullary*:  $\forall x. \text{test-symb } FF \wedge \text{test-symb } FT \wedge \text{test-symb } (FVar\ x)$  **and**  
 $\forall \varphi'. \varphi' \preceq \varphi \longrightarrow (\neg \text{test-symb } \varphi') \longrightarrow (\exists \psi. r\ \varphi'\ \psi)$  **and**  
 $\neg$  *all-subformula-st test-symb*  $\varphi$

**shows**  $\exists \psi\ \psi'. \psi \preceq \varphi \wedge r\ \psi\ \psi'$

**using** *assms*

**proof** (*induct*  $\varphi$  *rule: propo-induct-arity*)

**case** (*nullary*  $\varphi\ x$ )

**then show**  $\exists \psi\ \psi'. \psi \preceq \varphi \wedge r\ \psi\ \psi'$

**using** *wf-conn-nullary test-symb-false-nullary* **by** *fastforce*

**next**

**case** (*unary*  $\varphi$ ) **note**  $IH = \text{this}(1)[OF\ \text{this}(2)]$  **and**  $r = \text{this}(2)$  **and**  $nst = \text{this}(3)$  **and**  $subf = \text{this}(4)$

**from**  $r\ IH\ nst$  **have**  $H: \neg$  *all-subformula-st test-symb*  $\varphi \implies \exists \psi. \psi \preceq \varphi \wedge (\exists \psi'. r\ \psi\ \psi')$   
**by** (*metis subformula-in-subformula-not subformula-refl subformula-trans*)

{

**assume**  $n: \neg \text{test-symb } (FNot\ \varphi)$

**obtain**  $\psi$  **where**  $r\ (FNot\ \varphi)\ \psi$  **using** *subformula-refl*  $r\ n\ nst$  **by** *blast*

**moreover have**  $FNot\ \varphi \preceq FNot\ \varphi$  **using** *subformula-refl* **by** *auto*

**ultimately have**  $\exists \psi\ \psi'. \psi \preceq FNot\ \varphi \wedge r\ \psi\ \psi'$  **by** *metis*

}

**moreover** {

**assume**  $n: \text{test-symb } (FNot\ \varphi)$

**then have**  $\neg$  *all-subformula-st test-symb*  $\varphi$

**using** *all-subformula-st-decomp-explicit(3) nst subf* **by** *blast*

**then have**  $\exists \psi\ \psi'. \psi \preceq FNot\ \varphi \wedge r\ \psi\ \psi'$

**using**  $H$  *subformula-in-subformula-not subformula-refl subformula-trans* **by** *blast*

}

**ultimately show**  $\exists \psi\ \psi'. \psi \preceq FNot\ \varphi \wedge r\ \psi\ \psi'$  **by** *blast*

**next**

**case** (*binary*  $\varphi\ \varphi1\ \varphi2$ )

**note**  $IH\varphi1-0 = \text{this}(1)[OF\ \text{this}(4)]$  **and**  $IH\varphi2-0 = \text{this}(2)[OF\ \text{this}(4)]$  **and**  $r = \text{this}(4)$   
**and**  $\varphi = \text{this}(3)$  **and**  $le = \text{this}(5)$  **and**  $nst = \text{this}(6)$

**obtain**  $c :: 'v \text{ connective}$  **where**

$c: (c = CAnd \vee c = COr \vee c = CImp \vee c = CEq) \wedge \text{conn } c\ [\varphi1, \varphi2] = \varphi$

**using**  $\varphi$  **by** *fastforce*

**then have** *corr*: *wf-conn*  $c\ [\varphi1, \varphi2]$  **using** *wf-conn.simps unfolding binary-connectives-def* **by** *auto*

**have** *inc*:  $\varphi1 \preceq \varphi\ \varphi2 \preceq \varphi$  **using** *binary-connectives-def c subformula-in-binary-conn* **by** *blast+*

**from**  $r$   $IH\varphi 1-0$  **have**  $IH\varphi 1: \neg \text{all-subformula-st test-symb } \varphi 1 \implies \exists \psi \psi'. \psi \preceq \varphi 1 \wedge r \psi \psi'$   
**using**  $\text{inc}(1)$   $\text{subformula-trans le}$  **by**  $\text{blast}$   
**from**  $r$   $IH\varphi 2-0$  **have**  $IH\varphi 2: \neg \text{all-subformula-st test-symb } \varphi 2 \implies \exists \psi. \psi \preceq \varphi 2 \wedge (\exists \psi'. r \psi \psi')$   
**using**  $\text{inc}(2)$   $\text{subformula-trans le}$  **by**  $\text{blast}$   
**have cases:**  $\neg \text{test-symb } \varphi \vee \neg \text{all-subformula-st test-symb } \varphi 1 \vee \neg \text{all-subformula-st test-symb } \varphi 2$   
**using**  $c \text{ nst}$  **by**  $\text{auto}$   
**show**  $\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi'$   
**using**  $IH\varphi 1$   $IH\varphi 2$   $\text{subformula-trans inc subformula-refl cases le}$  **by**  $\text{blast}$   
**qed**

## 1.4.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption  $\forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$  means that rewriting with  $r$  does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from  $r$  to *propo-rew-step*  $r$ : we have to add the assumption that rewriting inside does not mess up the term:  $\forall c \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$

## Invariant while lifting of the Rewriting Relation

The condition  $\varphi \preceq \Phi$  (that will by used with  $\Phi = \varphi$  most of the time) is here to ensure that the recursive conditions on  $\Phi$  will moreover hold for the subterm we are rewriting. For example if there is no equivalence symbol in  $\Phi$ , we do not have to care about equivalence symbols in the two previous assumptions.

**lemma** *propo-rew-step-inv-stay'*:

**fixes**  $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi \Phi:: 'v \text{ propo}$   
**assumes**  $H: \forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$   
**and**  $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**  
 $\text{propo-rew-step } r \varphi \psi$  **and**  
 $\varphi \preceq \Phi$  **and**  
 $\text{all-subformula-st test-symb } \varphi$   
**shows**  $\text{all-subformula-st test-symb } \psi$   
**using**  $\text{assms}(3-5)$

**proof** (*induct rule: propo-rew-step.induct*)

**case** *global-rel*

**then show** *?case* **using**  $H$  **by** *simp*

**next**

**case** (*propo-rew-one-step-lift*  $\varphi \varphi' c \xi \xi'$ )

**note**  $\text{rel} = \text{this}(1)$  **and**  $\varphi = \text{this}(2)$  **and**  $\text{corr} = \text{this}(3)$  **and**  $\Phi = \text{this}(4)$  **and**  $\text{nst} = \text{this}(5)$

**have**  $\text{sq}: \varphi \preceq \Phi$

**using**  $\Phi$   $\text{corr}$   $\text{subformula-into-subformula}$   $\text{subformula-refl}$   $\text{subformula-trans}$

**by** (*metis in-set-conv-decomp*)

**from**  $\text{corr}$  **have**  $\forall \psi. \psi \in \text{set } (\xi @ \varphi \# \xi') \longrightarrow \text{all-subformula-st test-symb } \psi$

**using** *all-subformula-st-decomp nst* **by** *blast*  
**then have**  $*$ :  $\forall \psi. \psi \in \text{set } (\xi @ \varphi' \# \xi') \longrightarrow \text{all-subformula-st test-symb } \psi$  **using**  $\varphi$  *sq* **by** *fastforce*  
**then have** *test-symb*  $\varphi'$  **using** *all-subformula-st-test-symb-true-phi* **by** *auto*  
**moreover from** *corr nst* **have** *test-symb* (*conn c* ( $\xi @ \varphi \# \xi'$ ))  
**using** *all-subformula-st-decomp* **by** *blast*  
**ultimately have** *test-symb*: *test-symb* (*conn c* ( $\xi @ \varphi' \# \xi'$ )) **using**  $H'$  *sq* *corr rel* **by** *blast*  
  
**have** *wf-conn c* ( $\xi @ \varphi' \# \xi'$ )  
**by** (*metis wf-conn-no-arity-change-helper corr wf-conn-no-arity-change*)  
**then show** *all-subformula-st test-symb* (*conn c* ( $\xi @ \varphi' \# \xi'$ ))  
**using**  $*$  *test-symb* **by** (*metis all-subformula-st-decomp*)  
**qed**

The need for  $\varphi \preceq \Phi$  is not always necessary, hence we moreover have a version without inclusion.

**lemma** *propo-rew-step-inv-stay*:

**fixes**  $r$ ::  $'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb*::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x$ ::  $'v$   
**and**  $\varphi \psi$ ::  $'v \text{ propo}$

**assumes**

$H$ :  $\forall \varphi' \psi. r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$  **and**  
 $H'$ :  $\forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$   
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**

*propo-rew-step*  $r \varphi \psi$  **and**

*all-subformula-st test-symb*  $\varphi$

**shows** *all-subformula-st test-symb*  $\psi$

**using** *propo-rew-step-inv-stay'*[*of*  $\varphi$   $r$  *test-symb*  $\varphi \psi$ ] *assms subformula-refl* **by** *metis*

The lemmas can be lifted to *propo-rew-step*  $r^\downarrow$  instead of *propo-rew-step*

## Invariant after all Rewriting

**lemma** *full-propo-rew-step-inv-stay-with-inc*:

**fixes**  $r$ ::  $'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb*::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x$ ::  $'v$   
**and**  $\varphi \psi$ ::  $'v \text{ propo}$

**assumes**

$H$ :  $\forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$   
 $\longrightarrow \text{all-subformula-st test-symb } \psi$  **and**

$H'$ :  $\forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$   
 $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$   
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**  
 $\varphi \preceq \Phi$  **and**

*full*: *full* (*propo-rew-step*  $r$ )  $\varphi \psi$  **and**

*init*: *all-subformula-st test-symb*  $\varphi$

**shows** *all-subformula-st test-symb*  $\psi$

**using** *assms unfolding full-def*

**proof** –

**have** *rel*: (*propo-rew-step*  $r$ )<sup>\*\*</sup>  $\varphi \psi$

**using** *full unfolding full-def* **by** *auto*

**then show** *all-subformula-st test-symb*  $\psi$

**using** *init*

**proof** (*induct rule*: *rtranclp-induct*)

**case** *base*

**then show** *all-subformula-st test-symb*  $\varphi$  **by** *blast*

**next**

**case** (*step b c*) **note** *star* = *this(1)* **and** *IH* = *this(3)* **and** *one* = *this(2)* **and** *all* = *this(4)*

**then have** *all-subformula-st test-symb*  $b$  **by** *metis*

**then show** *all-subformula-st test-symb*  $c$  **using** *propo-rew-step-inv-stay'*  $H$   $H'$  *rel one* **by** *auto*



qed  
qed

**lemma** *full-propo-rew-step-inv-stay'*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $\text{test-symb} :: 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$   
 $\longrightarrow \text{all-subformula-st test-symb } \psi$  **and**

$H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi')$

$\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**

$\text{full}: \text{full } (\text{propo-rew-step } r) \varphi \psi$  **and**

$\text{init}: \text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

**using** *full-propo-rew-step-inv-stay-with-inc*[of  $r$   $\text{test-symb } \varphi$ ] *assms subformula-refl* **by** *metis*

**lemma** *full-propo-rew-step-inv-stay*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $\text{test-symb} :: 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$  **and**

$H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$

$\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**

$\text{full}: \text{full } (\text{propo-rew-step } r) \varphi \psi$  **and**

$\text{init}: \text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

**unfolding** *full-def*

**proof** –

**have**  $\text{rel}: (\text{propo-rew-step } r)^{**} \varphi \psi$

**using** *full unfolding full-def* **by** *auto*

**then show**  $\text{all-subformula-st test-symb } \psi$

**using** *init*

**proof** (*induct rule: rtranclp-induct*)

**case** *base*

**then show**  $\text{all-subformula-st test-symb } \varphi$  **by** *blast*

**next**

**case** (*step b c*)

**note**  $\text{star} = \text{this}(1)$  **and**  $\text{IH} = \text{this}(3)$  **and**  $\text{one} = \text{this}(2)$  **and**  $\text{all} = \text{this}(4)$

**then have**  $\text{all-subformula-st test-symb } b$  **by** *metis*

**then show**  $\text{all-subformula-st test-symb } c$

**using** *propo-rew-step-inv-stay subformula-refl H H' rel one* **by** *auto*

qed

qed

**lemma** *full-propo-rew-step-inv-stay-conn*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $\text{test-symb} :: 'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$  **and**

$H': \forall (c :: 'v \text{ connective}) l l'. \text{wf-conn } c l \longrightarrow \text{wf-conn } c l'$

$\longrightarrow (\text{test-symb } (\text{conn } c l) \longleftrightarrow \text{test-symb } (\text{conn } c l'))$  **and**

$\text{full}: \text{full } (\text{propo-rew-step } r) \varphi \psi$  **and**

$\text{init}: \text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

**proof** –

```

have  $\bigwedge(c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi')$ 
   $\implies \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \implies \text{test-symb } \varphi' \implies \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ 
  using  $H'$  by (metis wf-conn-no-arity-change-helper wf-conn-no-arity-change)
then show all-subformula-st test-symb  $\psi$ 
  using  $H$  full init full-propo-rew-step-inv-stay by blast
qed

end
theory Prop-Normalisation
imports Prop-Logic Prop-Abstract-Transformation Nested-Multisets-Ordinals.Multiset-More
begin

```

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

## 1.5 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalencies, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation separately.

### 1.5.1 Elimination of the Equivalences

The first transformation consists in removing every equivalence symbol.

```

inductive elim-equiv :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
elim-equiv[simp]: elim-equiv (FEq  $\varphi \psi$ ) (FAnd (FImp  $\varphi \psi$ ) (FImp  $\psi \varphi$ ))

```

```

lemma elim-equiv-transformation-consistent:
 $A \models \text{FEq } \varphi \psi \longleftrightarrow A \models \text{FAnd } (\text{FImp } \varphi \psi) (\text{FImp } \psi \varphi)$ 
by auto

```

```

lemma elim-equiv-explicit:  $\text{elim-equiv } \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
by (induct rule: elim-equiv.induct, auto)

```

```

lemma elim-equiv-consistent: preserve-models elim-equiv
unfolding preserve-models-def by (simp add: elim-equiv-explicit)

```

```

lemma elimEquiv-lifted-consistant:
preserve-models (full (propo-rew-step elim-equiv))
by (simp add: elim-equiv-consistent)

```

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

```

fun no-equiv-symb :: 'v propo  $\Rightarrow$  bool where
no-equiv-symb (FEq -) = False |
no-equiv-symb - = True

```

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

```

lemma no-equiv-symb-conn-characterization[simp]:
fixes  $c :: 'v \text{ connective}$  and  $l :: 'v \text{ propo list}$ 
assumes wf: wf-conn c l
shows  $\text{no-equiv-symb } (\text{conn } c l) \longleftrightarrow c \neq \text{CEq}$ 

```

by (metis connective.distinct(13,25,35,43) wf no-equiv-symb.elims(3) no-equiv-symb.simps(1) wf-conn.cases wf-conn-list(6))

**definition** *no-equiv* where *no-equiv* = *all-subformula-st no-equiv-symb*

**lemma** *no-equiv-eq[simp]*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**shows**

$\neg \text{no-equiv (FEq } \varphi \psi)$

*no-equiv FT*

*no-equiv FF*

**using** *no-equiv-symb.simps(1) all-subformula-st-test-symb-true-phi unfolding no-equiv-def by auto*

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

**lemma** *all-subformula-st-decomp-explicit-no-equiv[iff]*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**shows**

*no-equiv (FNot  $\varphi$ )*  $\longleftrightarrow$  *no-equiv  $\varphi$*

*no-equiv (FAnd  $\varphi \psi$ )*  $\longleftrightarrow$  (*no-equiv  $\varphi$*   $\wedge$  *no-equiv  $\psi$* )

*no-equiv (FOr  $\varphi \psi$ )*  $\longleftrightarrow$  (*no-equiv  $\varphi$*   $\wedge$  *no-equiv  $\psi$* )

*no-equiv (FImp  $\varphi \psi$ )*  $\longleftrightarrow$  (*no-equiv  $\varphi$*   $\wedge$  *no-equiv  $\psi$* )

**by** (*auto simp: no-equiv-def*)

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*. This theorem is one of the assumption we need to characterize the transformation.

**lemma** *no-equiv-elim-equiv-step*:

**fixes**  $\varphi :: 'v \text{ propo}$

**assumes** *no-equiv*:  $\neg \text{no-equiv } \varphi$

**shows**  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elim-equiv } \psi \psi'$

**proof** –

**have** *test-symb-false-nullary*:

$\forall x::'v. \text{no-equiv-symb FF} \wedge \text{no-equiv-symb FT} \wedge \text{no-equiv-symb (FVar } x)$

**unfolding** *no-equiv-def by auto*

**moreover** {

**fix** *c*:  $'v \text{ connective}$  **and** *l*:  $'v \text{ propo list}$  **and**  $\psi :: 'v \text{ propo}$

**assume** *a1*: *elim-equiv (conn c l)  $\psi$*

**have**  $\bigwedge p \text{ pa}. \neg \text{elim-equiv (p::'v propo) pa} \vee \neg \text{no-equiv-symb p}$

**using** *elim-equiv.cases no-equiv-symb.simps(1) by blast*

**then have** *elim-equiv (conn c l)  $\psi$*   $\implies \neg \text{no-equiv-symb (conn c l)}$  **using** *a1 by metis*

}

**moreover have** *H'*:  $\forall \psi. \neg \text{elim-equiv FT } \psi \vee \psi. \neg \text{elim-equiv FF } \psi \vee \psi x. \neg \text{elim-equiv (FVar } x) \psi$

**using** *elim-equiv.cases by auto*

**moreover have**  $\bigwedge \varphi. \neg \text{no-equiv-symb } \varphi \implies \exists \psi. \text{elim-equiv } \varphi \psi$

**by** (*case-tac  $\varphi$ , auto simp: elim-equiv.simps*)

**then have**  $\bigwedge \varphi'. \varphi' \preceq \varphi \implies \neg \text{no-equiv-symb } \varphi' \implies \exists \psi. \text{elim-equiv } \varphi' \psi$  **by force**

**ultimately show** *?thesis*

**using** *no-test-symb-step-exists no-equiv test-symb-false-nullary unfolding no-equiv-def by blast*

**qed**

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

**lemma** *no-equiv-full-propo-rew-step-elim-equiv*:

*full (propo-rew-step elim-equiv)  $\varphi \psi \implies \text{no-equiv } \psi$*

**using** *full-propo-rew-step-subformula no-equiv-elim-equiv-step by blast*

## 1.5.2 Eliminate Implication

After that, we can eliminate the implication symbols.

**inductive** *elim-imp* :: 'v propo ⇒ 'v propo ⇒ bool **where**  
*[simp]: elim-imp (FImp φ ψ) (FOr (FNot φ) ψ)*

**lemma** *elim-imp-transformation-consistent*:  
 $A \models FImp \varphi \psi \longleftrightarrow A \models FOr (FNot \varphi) \psi$   
**by** *auto*

**lemma** *elim-imp-explicit*:  $elim-imp \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$   
**by** (*induct φ ψ rule: elim-imp.induct, auto*)

**lemma** *elim-imp-consistent*: *preserve-models elim-imp*  
**unfolding** *preserve-models-def* **by** (*simp add: elim-imp-explicit*)

**lemma** *elim-imp-lifted-consistent*:  
*preserve-models (full (propo-rew-step elim-imp))*  
**by** (*simp add: elim-imp-consistent*)

**fun** *no-imp-symb* **where**  
*no-imp-symb (FImp -) = False |*  
*no-imp-symb - = True*

**lemma** *no-imp-symb-conn-characterization*:  
 $wf-conn \ c \ l \implies no-imp-symb (conn \ c \ l) \longleftrightarrow c \neq CImp$   
**by** (*induction rule: wf-conn-induct*) *auto*

**definition** *no-imp* **where** *no-imp* ≡ *all-subformula-st no-imp-symb*  
**declare** *no-imp-def* [*simp*]

**lemma** *no-imp-Imp* [*simp*]:  
 $\neg no-imp (FImp \varphi \psi)$   
 $no-imp \ FT$   
 $no-imp \ FF$   
**unfolding** *no-imp-def* **by** *auto*

**lemma** *all-subformula-st-decomp-explicit-imp* [*simp*]:  
**fixes**  $\varphi \ \psi :: 'v \ propo$   
**shows**  
 $no-imp (FNot \varphi) \longleftrightarrow no-imp \varphi$   
 $no-imp (FAnd \varphi \psi) \longleftrightarrow (no-imp \varphi \wedge no-imp \psi)$   
 $no-imp (FOr \varphi \psi) \longleftrightarrow (no-imp \varphi \wedge no-imp \psi)$   
**by** *auto*

Invariant of the *elim-imp* transformation

**lemma** *elim-imp-no-equiv*:  
 $elim-imp \varphi \psi \implies no-equiv \varphi \implies no-equiv \psi$   
**by** (*induct φ ψ rule: elim-imp.induct, auto*)

**lemma** *elim-imp-inv*:  
**fixes**  $\varphi \ \psi :: 'v \ propo$   
**assumes** *full (propo-rew-step elim-imp) φ ψ* **and** *no-equiv φ*  
**shows** *no-equiv ψ*  
**using** *full-propo-rew-step-inv-stay-conn*[*of elim-imp no-equiv-symb φ ψ*] *assms elim-imp-no-equiv*

**lemma** *no-no-imp-elim-imp-step-exists*:

**fixes**  $\varphi :: 'v \text{ propo}$

**assumes** *no-equiv*:  $\neg \text{no-imp } \varphi$

**shows**  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elim-imp } \psi \psi'$

**proof** –

**have** *test-symb-false-nullary*:  $\forall x. \text{no-imp-symb } FF \wedge \text{no-imp-symb } FT \wedge \text{no-imp-symb } (FVar (x :: 'v))$   
**by** *auto*

**moreover** {

**fix**  $c :: 'v \text{ connective}$  **and**  $l :: 'v \text{ propo list}$  **and**  $\psi :: 'v \text{ propo}$

**have**  $H$ :  $\text{elim-imp } (\text{conn } c \ l) \ \psi \implies \neg \text{no-imp-symb } (\text{conn } c \ l)$

**by** (*auto elim: elim-imp.cases*)

}

**moreover**

**have**  $H'$ :  $\forall \psi. \neg \text{elim-imp } FT \ \psi \ \forall \psi. \neg \text{elim-imp } FF \ \psi \ \forall \psi \ x. \neg \text{elim-imp } (FVar \ x) \ \psi$

**by** (*auto elim: elim-imp.cases*) $+$

**moreover**

**have**  $\bigwedge \varphi. \neg \text{no-imp-symb } \varphi \implies \exists \psi. \text{elim-imp } \varphi \ \psi$

**by** (*case-tac*  $\varphi$ ) (*force simp: elim-imp.simps*) $+$

**then have**  $\bigwedge \varphi'. \varphi' \preceq \varphi \implies \neg \text{no-imp-symb } \varphi' \implies \exists \psi. \text{elim-imp } \varphi' \ \psi$  **by** *force*

**ultimately show** *?thesis*

**using** *no-test-symb-step-exists no-equiv test-symb-false-nullary* **unfolding** *no-imp-def* **by** *blast*

**qed**

**lemma** *no-imp-full-propo-rew-step-elim-imp*:  $\text{full } (\text{propo-rew-step } \text{elim-imp}) \ \varphi \ \psi \implies \text{no-imp } \psi$

**using** *full-propo-rew-step-subformula no-no-imp-elim-imp-step-exists* **by** *blast*

### 1.5.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

**inductive** *elimTB* **where**

*ElimTB1*:  $\text{elimTB } (FAnd \ \varphi \ FT) \ \varphi \ |$

*ElimTB1'*:  $\text{elimTB } (FAnd \ FT \ \varphi) \ \varphi \ |$

*ElimTB2*:  $\text{elimTB } (FAnd \ \varphi \ FF) \ FF \ |$

*ElimTB2'*:  $\text{elimTB } (FAnd \ FF \ \varphi) \ FF \ |$

*ElimTB3*:  $\text{elimTB } (FOr \ \varphi \ FT) \ FT \ |$

*ElimTB3'*:  $\text{elimTB } (FOr \ FT \ \varphi) \ FT \ |$

*ElimTB4*:  $\text{elimTB } (FOr \ \varphi \ FF) \ \varphi \ |$

*ElimTB4'*:  $\text{elimTB } (FOr \ FF \ \varphi) \ \varphi \ |$

*ElimTB5*:  $\text{elimTB } (FNot \ FT) \ FF \ |$

*ElimTB6*:  $\text{elimTB } (FNot \ FF) \ FT$

**lemma** *elimTB-consistent*: *preserve-models elimTB*

**proof** –

{

**fix**  $\varphi \ \psi :: 'b \text{ propo}$

**have**  $\text{elimTB } \varphi \ \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$  **by** (*induction rule: elimTB.inducts*) *auto*

}

then show *?thesis using preserve-models-def by auto*  
**qed**

**inductive** *no-T-F-symb* :: 'v propo  $\Rightarrow$  bool **where**  
*no-T-F-symb-comp*:  $c \neq CF \Rightarrow c \neq CT \Rightarrow wf\text{-conn } c \ l \Rightarrow (\forall \varphi \in \text{set } l. \varphi \neq FT \wedge \varphi \neq FF)$   
 $\Rightarrow no\text{-T-F-symb } (\text{conn } c \ l)$

**lemma** *wf-conn-no-T-F-symb-iff[simp]*:  
*wf-conn*  $c \ \psi s \Rightarrow$   
 $no\text{-T-F-symb } (\text{conn } c \ \psi s) \longleftrightarrow (c \neq CF \wedge c \neq CT \wedge (\forall \psi \in \text{set } \psi s. \psi \neq FF \wedge \psi \neq FT))$   
**unfolding** *no-T-F-symb.simps* **apply** (*cases c*)  
**using** *wf-conn-list(1)* **apply** *fastforce*  
**using** *wf-conn-list(2)* **apply** *fastforce*  
**using** *wf-conn-list(3)* **apply** *fastforce*  
**apply** (*metis (no-types, hide-lams) conn-inj connective.distinct(5,17)*)  
**using** *conn-inj* **apply** *blast+*  
**done**

**lemma** *wf-conn-no-T-F-symb-iff-explicit[simp]*:  
 $no\text{-T-F-symb } (FAnd \ \varphi \ \psi) \longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$   
 $no\text{-T-F-symb } (FOr \ \varphi \ \psi) \longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$   
 $no\text{-T-F-symb } (FEq \ \varphi \ \psi) \longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$   
 $no\text{-T-F-symb } (FImp \ \varphi \ \psi) \longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$   
**apply** (*metis conn.simps(36) conn.simps(37) conn.simps(5) propo.distinct(19)*)  
*wf-conn-helper-facts(5) wf-conn-no-T-F-symb-iff*)  
**apply** (*metis conn.simps(36) conn.simps(37) conn.simps(6) propo.distinct(22)*)  
*wf-conn-helper-facts(6) wf-conn-no-T-F-symb-iff*)  
**using** *wf-conn-no-T-F-symb-iff* **apply** *fastforce*  
**by** (*metis conn.simps(36) conn.simps(37) conn.simps(7) propo.distinct(23) wf-conn-helper-facts(7)*)  
*wf-conn-no-T-F-symb-iff*)

**lemma** *no-T-F-symb-false[simp]*:  
**fixes**  $c :: 'v \text{ connective}$   
**shows**  
 $\neg no\text{-T-F-symb } (FT :: 'v \text{ propo})$   
 $\neg no\text{-T-F-symb } (FF :: 'v \text{ propo})$   
**by** (*metis (no-types) conn.simps(1,2) wf-conn-no-T-F-symb-iff wf-conn-nullary*)**+**

**lemma** *no-T-F-symb-bool[simp]*:  
**fixes**  $x :: 'v$   
**shows**  $no\text{-T-F-symb } (FVar \ x)$   
**using** *no-T-F-symb-comp wf-conn-nullary* **by** (*metis connective.distinct(3, 15) conn.simps(3)*)  
*empty-iff list.set(1)*)

**lemma** *no-T-F-symb-fnot-imp*:  
 $\neg no\text{-T-F-symb } (FNot \ \varphi) \Rightarrow \varphi = FT \vee \varphi = FF$   
**proof** (*rule ccontr*)  
**assume**  $n: \neg no\text{-T-F-symb } (FNot \ \varphi)$   
**assume**  $\neg (\varphi = FT \vee \varphi = FF)$   
**then have**  $\forall \varphi' \in \text{set } [\varphi]. \varphi' \neq FT \wedge \varphi' \neq FF$  **by** *auto*  
**moreover have**  $wf\text{-conn } CNot \ [\varphi]$  **by** *simp*  
**ultimately have**  $no\text{-T-F-symb } (FNot \ \varphi)$   
**using** *no-T-F-symb.intros* **by** (*metis conn.simps(4) connective.distinct(5,17)*)

**then show** *False* **using** *n* **by** *blast*  
**qed**

**lemma** *no-T-F-symb-fnot[simp]*:  
*no-T-F-symb* (*FNot*  $\varphi$ )  $\longleftrightarrow \neg(\varphi = FT \vee \varphi = FF)$   
**using** *no-T-F-symb.simps* *no-T-F-symb-fnot-imp* **by** (*metis conn-inj-not(2) list.set-intros(1)*)

Actually it is not possible to remove every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

**inductive** *no-T-F-symb-except-toplevel* **where**  
*no-T-F-symb-except-toplevel-true[simp]*: *no-T-F-symb-except-toplevel* *FT* |  
*no-T-F-symb-except-toplevel-false[simp]*: *no-T-F-symb-except-toplevel* *FF* |  
*noTrue-no-T-F-symb-except-toplevel[simp]*: *no-T-F-symb*  $\varphi \implies$  *no-T-F-symb-except-toplevel*  $\varphi$

**lemma** *no-T-F-symb-except-toplevel-bool*:  
**fixes** *x* :: '*v*  
**shows** *no-T-F-symb-except-toplevel* (*FVar* *x*)  
**by** *simp*

**lemma** *no-T-F-symb-except-toplevel-not-decom*:  
 $\varphi \neq FT \implies \varphi \neq FF \implies$  *no-T-F-symb-except-toplevel* (*FNot*  $\varphi$ )  
**by** *simp*

**lemma** *no-T-F-symb-except-toplevel-bin-decom*:  
**fixes**  $\varphi \psi$  :: '*v* *propo*  
**assumes**  $\varphi \neq FT$  **and**  $\varphi \neq FF$  **and**  $\psi \neq FT$  **and**  $\psi \neq FF$   
**and** *c*: *c*  $\in$  *binary-connectives*  
**shows** *no-T-F-symb-except-toplevel* (*conn* *c* [ $\varphi$ ,  $\psi$ ])  
**by** (*metis* (*no-types*, *lifting*) *assms* *c* *conn.simps(4)* *list.discI* *noTrue-no-T-F-symb-except-toplevel* *wf-conn-no-T-F-symb-iff* *no-T-F-symb-fnot* *set.ConsD* *wf-conn-binary* *wf-conn-helper-facts(1)* *wf-conn-list-decomp(1,2)*)

**lemma** *no-T-F-symb-except-toplevel-if-is-a-true-false*:  
**fixes** *l* :: '*v* *propo* *list* **and** *c* :: '*v* *connective*  
**assumes** *corr*: *wf-conn* *c* *l*  
**and** *FT*  $\in$  *set* *l*  $\vee$  *FF*  $\in$  *set* *l*  
**shows**  $\neg$ *no-T-F-symb-except-toplevel* (*conn* *c* *l*)  
**by** (*metis* *assms* *empty-iff* *no-T-F-symb-except-toplevel.simps* *wf-conn-no-T-F-symb-iff* *set-empty* *wf-conn-list(1,2)*)

**lemma** *no-T-F-symb-except-top-level-false-example[simp]*:  
**fixes**  $\varphi \psi$  :: '*v* *propo*  
**assumes**  $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$   
**shows**  
 $\neg$  *no-T-F-symb-except-toplevel* (*FAnd*  $\varphi \psi$ )  
 $\neg$  *no-T-F-symb-except-toplevel* (*FOr*  $\varphi \psi$ )  
 $\neg$  *no-T-F-symb-except-toplevel* (*FImp*  $\varphi \psi$ )  
 $\neg$  *no-T-F-symb-except-toplevel* (*FEq*  $\varphi \psi$ )  
**using** *assms* *no-T-F-symb-except-toplevel-if-is-a-true-false* **unfolding** *binary-connectives-def*  
**by** (*metis* (*no-types*) *conn.simps(5-8)* *insert-iff* *list.simps(14-15)* *wf-conn-helper-facts(5-8)*)<sup>+</sup>

**lemma** *no-T-F-symb-except-top-level-false-not[simp]*:  
**fixes**  $\varphi \psi$  :: '*v* *propo*  
**assumes**  $\varphi = FT \vee \varphi = FF$   
**shows**

$\neg$  *no-T-F-symb-except-toplevel* (*FNot*  $\varphi$ )  
**by** (*simp add: assms no-T-F-symb-except-toplevel.simps*)

This is the local extension of *no-T-F-symb-except-toplevel*.

**definition** *no-T-F-except-top-level* **where**  
*no-T-F-except-top-level*  $\equiv$  *all-subformula-st no-T-F-symb-except-toplevel*

This is another property we will use. While this version might seem to be the one we want to prove, it is not since *FT* can not be reduced.

**definition** *no-T-F* **where**  
*no-T-F*  $\equiv$  *all-subformula-st no-T-F-symb*

**lemma** *no-T-F-except-top-level-false*:  
**fixes**  $l :: 'v$  *propo list* **and**  $c :: 'v$  *connective*  
**assumes** *wf-conn c l*  
**and**  $FT \in \text{set } l \vee FF \in \text{set } l$   
**shows**  $\neg$ *no-T-F-except-top-level* (*conn c l*)  
**by** (*simp add: all-subformula-st-decomp assms no-T-F-except-top-level-def no-T-F-symb-except-toplevel-if-is-a-true-false*)

**lemma** *no-T-F-except-top-level-false-example*[*simp*]:  
**fixes**  $\varphi \psi :: 'v$  *propo*  
**assumes**  $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$   
**shows**  
 $\neg$ *no-T-F-except-top-level* (*FAnd*  $\varphi \psi$ )  
 $\neg$ *no-T-F-except-top-level* (*FOr*  $\varphi \psi$ )  
 $\neg$ *no-T-F-except-top-level* (*FEq*  $\varphi \psi$ )  
 $\neg$ *no-T-F-except-top-level* (*FImp*  $\varphi \psi$ )  
**by** (*metis all-subformula-st-test-symb-true-phi assms no-T-F-except-top-level-def no-T-F-symb-except-top-level-false-example*)+

**lemma** *no-T-F-symb-except-toplevel-no-T-F-symb*:  
*no-T-F-symb-except-toplevel*  $\varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies$  *no-T-F-symb*  $\varphi$   
**by** (*induct rule: no-T-F-symb-except-toplevel.induct, auto*)

The two following lemmas give the precise link between the two definitions.

**lemma** *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*:  
*no-T-F-except-top-level*  $\varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies$  *no-T-F*  $\varphi$   
**unfolding** *no-T-F-except-top-level-def no-T-F-def* **apply** (*induct*  $\varphi$ )  
**using** *no-T-F-symb-fnot* **by** *fastforce*+

**lemma** *no-T-F-no-T-F-except-top-level*:  
*no-T-F*  $\varphi \implies$  *no-T-F-except-top-level*  $\varphi$   
**unfolding** *no-T-F-except-top-level-def no-T-F-def*  
**unfolding** *all-subformula-st-def* **by** *auto*

**lemma** *no-T-F-except-top-level-simp*[*simp*]: *no-T-F-except-top-level*  $FF$  *no-T-F-except-top-level*  $FT$   
**unfolding** *no-T-F-except-top-level-def* **by** *auto*

**lemma** *no-T-F-no-T-F-except-top-level*'[*simp*]:  
*no-T-F-except-top-level*  $\varphi \iff (\varphi = FF \vee \varphi = FT \vee$  *no-T-F*  $\varphi)$   
**using** *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb no-T-F-no-T-F-except-top-level*  
**by** *auto*



**lemma** *no-T-F-bin-decomp[simp]*:

**assumes**  $c$ :  $c \in \text{binary-connectives}$

**shows**  $\text{no-T-F } (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

**proof** –

**have**  $wf$ :  $wf\text{-conn } c \ [\varphi, \psi]$  **using**  $c$  **by** *auto*

**then have**  $\text{no-T-F } (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow (\text{no-T-F-symb } (\text{conn } c \ [\varphi, \psi]) \wedge \text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

**by** (*simp add: all-subformula-st-decomp no-T-F-def*)

**then show**  $\text{no-T-F } (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

**using**  $c$   $wf$  *all-subformula-st-decomp list.discI no-T-F-def no-T-F-symb-except-toplevel-bin-decom*

*no-T-F-symb-except-toplevel-no-T-F-symb no-T-F-symb-false(1,2) wf-conn-helper-facts(2,3)*

*wf-conn-list(1,2)* **by** *metis*

**qed**

**lemma** *no-T-F-bin-decomp-expanded[simp]*:

**assumes**  $c$ :  $c = CAnd \vee c = COr \vee c = CEq \vee c = CImp$

**shows**  $\text{no-T-F } (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

**using** *no-T-F-bin-decomp assms unfolding binary-connectives-def* **by** *blast*

**lemma** *no-T-F-comp-expanded-explicit[simp]*:

**fixes**  $\varphi \ \psi$  :: ' $v$  *propo*

**shows**

$\text{no-T-F } (FAnd \ \varphi \ \psi) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

$\text{no-T-F } (FOr \ \varphi \ \psi) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

$\text{no-T-F } (FEq \ \varphi \ \psi) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

$\text{no-T-F } (FImp \ \varphi \ \psi) \longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$

**using** *conn.simps(5–8) no-T-F-bin-decomp-expanded* **by** (*metis (no-types)+*)

**lemma** *no-T-F-comp-not[simp]*:

**fixes**  $\varphi \ \psi$  :: ' $v$  *propo*

**shows**  $\text{no-T-F } (FNot \ \varphi) \longleftrightarrow \text{no-T-F } \varphi$

**by** (*metis all-subformula-st-decomp-explicit(3) all-subformula-st-test-symb-true-phi no-T-F-def*

*no-T-F-symb-false(1,2) no-T-F-symb-fnot-imp*)

**lemma** *no-T-F-decomp*:

**fixes**  $\varphi \ \psi$  :: ' $v$  *propo*

**assumes**  $\varphi$ :  $\text{no-T-F } (FAnd \ \varphi \ \psi) \vee \text{no-T-F } (FOr \ \varphi \ \psi) \vee \text{no-T-F } (FEq \ \varphi \ \psi) \vee \text{no-T-F } (FImp \ \varphi \ \psi)$

**shows**  $\text{no-T-F } \psi$  **and**  $\text{no-T-F } \varphi$

**using** *assms* **by** *auto*

**lemma** *no-T-F-decomp-not*:

**fixes**  $\varphi$  :: ' $v$  *propo*

**assumes**  $\varphi$ :  $\text{no-T-F } (FNot \ \varphi)$

**shows**  $\text{no-T-F } \varphi$

**using** *assms* **by** *auto*

**lemma** *no-T-F-symb-except-toplevel-step-exists*:

**fixes**  $\varphi \ \psi$  :: ' $v$  *propo*

**assumes**  $\text{no-equiv } \varphi$  **and**  $\text{no-imp } \varphi$

**shows**  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \ \psi'$

**proof** (*induct*  $\psi$  *rule: propo-induct-arity*)

**case** (*nullary*  $\varphi' \ x$ )

**then have** *False* **using** *no-T-F-symb-except-toplevel-true no-T-F-symb-except-toplevel-false* **by** *auto*

**then show** *?case* **by** *blast*

**next**

**case** (*unary*  $\psi$ )

**then have**  $\psi = FF \vee \psi = FT$  **using** *no-T-F-symb-except-toplevel-not-decom* **by** *blast*

```

then show ?case using ElimTB5 ElimTB6 by blast
next
case (binary  $\varphi' \psi1 \psi2$ )
note IH1 = this(1) and IH2 = this(2) and  $\varphi' = this(3)$  and  $F\varphi = this(4)$  and  $n = this(5)$ 
{
  assume  $\varphi' = FImp \psi1 \psi2 \vee \varphi' = FEq \psi1 \psi2$ 
  then have False using n F $\varphi$  subformula-all-subformula-st assms
    by (metis (no-types) no-equiv-eq(1) no-equiv-def no-imp-Imp(1) no-imp-def)
  then have ?case by blast
}
moreover {
  assume  $\varphi': \varphi' = FAnd \psi1 \psi2 \vee \varphi' = FOr \psi1 \psi2$ 
  then have  $\psi1 = FT \vee \psi2 = FT \vee \psi1 = FF \vee \psi2 = FF$ 
    using no-T-F-symb-except-toplevel-bin-decom conn.simps(5,6) n unfolding binary-connectives-def
    by fastforce+
  then have ?case using elimTB.intros  $\varphi'$  by blast
}
ultimately show ?case using  $\varphi'$  by blast
qed

```

lemma no-T-F-except-top-level-rew:

```

fixes  $\varphi :: 'v \text{ propo}$ 
assumes noTB:  $\neg$  no-T-F-except-top-level  $\varphi$  and no-equiv: no-equiv  $\varphi$  and no-imp: no-imp  $\varphi$ 
shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTB } \psi \psi'$ 

```

proof –

```

have test-symb-false-nullary:  $\forall x. \text{no-T-F-symb-except-toplevel } (FF:: 'v \text{ propo})$ 
   $\wedge \text{no-T-F-symb-except-toplevel } FT \wedge \text{no-T-F-symb-except-toplevel } (FVar (x:: 'v))$  by auto
moreover {
  fix c:: 'v connective and l :: 'v propo list and  $\psi :: 'v \text{ propo}$ 
  have H:  $\text{elimTB } (\text{conn } c \ l) \ \psi \implies \neg \text{no-T-F-symb-except-toplevel } (\text{conn } c \ l)$ 
    by (cases conn c l rule: elimTB.cases, auto)
}
moreover {
  fix x :: 'v
  have H':  $\text{no-T-F-except-top-level } FT \ \text{no-T-F-except-top-level } FF$ 
     $\text{no-T-F-except-top-level } (FVar \ x)$ 
    by (auto simp: no-T-F-except-top-level-def test-symb-false-nullary)
}
moreover {
  fix  $\psi$ 
  have  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \psi'$ 
    using no-T-F-symb-except-toplevel-step-exists no-equiv no-imp by auto
}
ultimately show ?thesis
  using no-test-symb-step-exists noTB unfolding no-T-F-except-top-level-def by blast
qed

```

lemma elimTB-inv:

```

fixes  $\varphi \psi :: 'v \text{ propo}$ 
assumes full (propo-rew-step elimTB)  $\varphi \psi$ 
and no-equiv  $\varphi$  and no-imp  $\varphi$ 
shows no-equiv  $\psi$  and no-imp  $\psi$ 

```

proof –

```

{
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have H:  $\text{elimTB } \varphi \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$ 

```

```

    by (induct  $\varphi \psi$  rule: elimTB.induct, auto)
  }
  then show no-equiv  $\psi$ 
    using full-propo-rew-step-inv-stay-conn[of elimTB no-equiv-symb  $\varphi \psi$ ]
      no-equiv-symb-conn-characterization assms unfolding no-equiv-def by metis
next
  {
    fix  $\varphi \psi :: 'v$  propo
    have H: elimTB  $\varphi \psi \implies$  no-imp  $\varphi \implies$  no-imp  $\psi$ 
      by (induct  $\varphi \psi$  rule: elimTB.induct, auto)
  }
  then show no-imp  $\psi$ 
    using full-propo-rew-step-inv-stay-conn[of elimTB no-imp-symb  $\varphi \psi$ ] assms
      no-imp-symb-conn-characterization unfolding no-imp-def by metis
qed

```

**lemma** *elimTB-full-propo-rew-step*:  
 fixes  $\varphi \psi :: 'v$  propo  
 assumes no-equiv  $\varphi$  and no-imp  $\varphi$  and full (propo-rew-step elimTB)  $\varphi \psi$   
 shows no-T-F-except-top-level  $\psi$   
 using full-propo-rew-step-subformula no-T-F-except-top-level-rew assms elimTB-inv by fastforce

#### 1.5.4 PushNeg

Push the negation inside the formula, until the literal.

**inductive** *pushNeg* where  
*PushNeg1[simp]*: *pushNeg* (FNot (FAnd  $\varphi \psi$ )) (FOr (FNot  $\varphi$ ) (FNot  $\psi$ )) |  
*PushNeg2[simp]*: *pushNeg* (FNot (FOr  $\varphi \psi$ )) (FAnd (FNot  $\varphi$ ) (FNot  $\psi$ )) |  
*PushNeg3[simp]*: *pushNeg* (FNot (FNot  $\varphi$ ))  $\varphi$

**lemma** *pushNeg-transformation-consistent*:  
 $A \models$  FNot (FAnd  $\varphi \psi$ )  $\longleftrightarrow$   $A \models$  (FOr (FNot  $\varphi$ ) (FNot  $\psi$ ))  
 $A \models$  FNot (FOr  $\varphi \psi$ )  $\longleftrightarrow$   $A \models$  (FAnd (FNot  $\varphi$ ) (FNot  $\psi$ ))  
 $A \models$  FNot (FNot  $\varphi$ )  $\longleftrightarrow$   $A \models \varphi$   
 by auto

**lemma** *pushNeg-explicit*: *pushNeg*  $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$   
 by (induct  $\varphi \psi$  rule: pushNeg.induct, auto)

**lemma** *pushNeg-consistent*: *preserve-models* *pushNeg*  
 unfolding *preserve-models-def* by (simp add: pushNeg-explicit)

**lemma** *pushNeg-lifted-consistent*:  
*preserve-models* (full (propo-rew-step *pushNeg*))  
 by (simp add: pushNeg-consistent)

**fun** *simple* where  
*simple* FT = True |  
*simple* FF = True |  
*simple* (FVar \_) = True |  
*simple* - = False

**lemma** *simple-decomp*:  
*simple*  $\varphi \longleftrightarrow (\varphi = FT \vee \varphi = FF \vee (\exists x. \varphi = FVar\ x))$   
**by** (*cases*  $\varphi$ ) *auto*

**lemma** *subformula-conn-decomp-simple*:

**fixes**  $\varphi\ \psi :: 'v\ propo$   
**assumes** *s*: *simple*  $\psi$   
**shows**  $\varphi \preceq FNot\ \psi \longleftrightarrow (\varphi = FNot\ \psi \vee \varphi = \psi)$

**proof** –

**have**  $\varphi \preceq conn\ CNot\ [\psi] \longleftrightarrow (\varphi = conn\ CNot\ [\psi] \vee (\exists \psi \in set\ [\psi]. \varphi \preceq \psi))$

**using** *subformula-conn-decomp wf-conn-helper-facts(1)* **by** *metis*

**then show**  $\varphi \preceq FNot\ \psi \longleftrightarrow (\varphi = FNot\ \psi \vee \varphi = \psi)$  **using** *s* **by** (*auto simp: simple-decomp*)

**qed**

**lemma** *subformula-conn-decomp-explicit[simp]*:

**fixes**  $\varphi :: 'v\ propo$  **and**  $x :: 'v$

**shows**

$\varphi \preceq FNot\ FT \longleftrightarrow (\varphi = FNot\ FT \vee \varphi = FT)$

$\varphi \preceq FNot\ FF \longleftrightarrow (\varphi = FNot\ FF \vee \varphi = FF)$

$\varphi \preceq FNot\ (FVar\ x) \longleftrightarrow (\varphi = FNot\ (FVar\ x) \vee \varphi = FVar\ x)$

**by** (*auto simp: subformula-conn-decomp-simple*)

**fun** *simple-not-symb* **where**

*simple-not-symb* (*FNot*  $\varphi$ ) = (*simple*  $\varphi$ ) |

*simple-not-symb* - = *True*

**definition** *simple-not* **where**

*simple-not* = *all-subformula-st simple-not-symb*

**declare** *simple-not-def[simp]*

**lemma** *simple-not-Not[simp]*:

$\neg$  *simple-not* (*FNot* (*FAnd*  $\varphi\ \psi$ ))

$\neg$  *simple-not* (*FNot* (*FOr*  $\varphi\ \psi$ ))

**by** *auto*

**lemma** *simple-not-step-exists*:

**fixes**  $\varphi\ \psi :: 'v\ propo$

**assumes** *no-equiv*  $\varphi$  **and** *no-imp*  $\varphi$

**shows**  $\psi \preceq \varphi \implies \neg$  *simple-not-symb*  $\psi \implies \exists \psi'. pushNeg\ \psi\ \psi'$

**apply** (*induct*  $\psi$ , *auto*)

**apply** (*rename-tac*  $\psi$ , *case-tac*  $\psi$ , *auto intro: pushNeg.intros*)

**by** (*metis assms(1,2) no-imp-Imp(1) no-equiv-eq(1) no-imp-def no-equiv-def subformula-in-subformula-not subformula-all-subformula-st*)**+**

**lemma** *simple-not-rew*:

**fixes**  $\varphi :: 'v\ propo$

**assumes** *noTB*:  $\neg$  *simple-not*  $\varphi$  **and** *no-equiv*: *no-equiv*  $\varphi$  **and** *no-imp*: *no-imp*  $\varphi$

**shows**  $\exists \psi\ \psi'. \psi \preceq \varphi \wedge pushNeg\ \psi\ \psi'$

**proof** –

**have**  $\forall x. simple-not-symb\ (FF:: 'v\ propo) \wedge simple-not-symb\ FT \wedge simple-not-symb\ (FVar\ (x:: 'v))$

**by** *auto*

**moreover** {

**fix** *c*: *'v* *connective* **and** *l*: *'v* *propo* *list* **and**  $\psi :: 'v\ propo$

**have** *H*: *pushNeg* (*conn* *c* *l*)  $\psi \implies \neg$  *simple-not-symb* (*conn* *c* *l*)

**by** (*cases* *conn* *c* *l* *rule: pushNeg.cases*) *auto*

```

}
moreover {
  fix  $x :: 'v$ 
  have  $H'$ : simple-not FT simple-not FF simple-not (FVar x)
  by simp-all
}
moreover {
  fix  $\psi :: 'v$  propo
  have  $\psi \preceq \varphi \implies \neg$  simple-not-symb  $\psi \implies \exists \psi'. \text{pushNeg } \psi \ \psi'$ 
  using simple-not-step-exists no-equiv no-imp by blast
}
ultimately show ?thesis using no-test-symb-step-exists noTB unfolding simple-not-def by blast
qed

```

**lemma** *no-T-F-except-top-level-pushNeg1*:  
 $\text{no-T-F-except-top-level } (FNot (FAnd \varphi \psi)) \implies \text{no-T-F-except-top-level } (FOr (FNot \varphi) (FNot \psi))$   
**using** *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb no-T-F-comp-not no-T-F-decomp(1)*  
*no-T-F-decomp(2) no-T-F-no-T-F-except-top-level* **by** (*metis no-T-F-comp-expanded-explicit(2)*  
*propo.distinct(5,17)*)

**lemma** *no-T-F-except-top-level-pushNeg2*:  
 $\text{no-T-F-except-top-level } (FNot (FOr \varphi \psi)) \implies \text{no-T-F-except-top-level } (FAnd (FNot \varphi) (FNot \psi))$   
**by** *auto*

**lemma** *no-T-F-symb-pushNeg*:  
 $\text{no-T-F-symb } (FOr (FNot \varphi') (FNot \psi'))$   
 $\text{no-T-F-symb } (FAnd (FNot \varphi') (FNot \psi'))$   
 $\text{no-T-F-symb } (FNot (FNot \varphi'))$   
**by** *auto*

**lemma** *propo-rew-step-pushNeg-no-T-F-symb*:  
 $\text{propo-rew-step pushNeg } \varphi \ \psi \implies \text{no-T-F-except-top-level } \varphi \implies \text{no-T-F-symb } \varphi \implies \text{no-T-F-symb } \psi$   
**apply** (*induct rule: propo-rew-step.induct*)  
**apply** (*cases rule: pushNeg.cases*)  
**apply** *simp-all*  
**apply** (*metis no-T-F-symb-pushNeg(1)*)  
**apply** (*metis no-T-F-symb-pushNeg(2)*)  
**apply** (*simp, metis all-subformula-st-test-symb-true-phi no-T-F-def*)

**proof** –

```

fix  $\varphi \ \varphi':: 'a$  propo and  $c:: 'a$  connective and  $\xi \ \xi':: 'a$  propo list
assume rel: propo-rew-step pushNeg  $\varphi \ \varphi'$ 
and IH: no-T-F  $\varphi \implies \text{no-T-F-symb } \varphi \implies \text{no-T-F-symb } \varphi'$ 
and wf: wf-conn  $c \ (\xi \ @ \ \varphi \ \# \ \xi')$ 
and  $n: \text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi') = FF \vee \text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi') = FT \vee \text{no-T-F } (\text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi'))$ 
and  $x: c \neq CF \wedge c \neq CT \wedge \varphi \neq FF \wedge \varphi \neq FT \wedge (\forall \psi \in \text{set } \xi \cup \text{set } \xi'. \psi \neq FF \wedge \psi \neq FT)$ 
then have  $c \neq CF \wedge c \neq CT \wedge \text{wf-conn } c \ (\xi \ @ \ \varphi' \ \# \ \xi')$ 
  using wf-conn-no-arity-change-helper wf-conn-no-arity-change by metis
moreover have  $n': \text{no-T-F } (\text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi'))$  using  $n$  by (simp add: wf wf-conn-list(1,2))
moreover
{
  have no-T-F  $\varphi$ 
  by (metis Un-iff all-subformula-st-decomp list.set-intros(1) n' wf no-T-F-def set-append)
  moreover then have no-T-F-symb  $\varphi$ 
  by (simp add: all-subformula-st-test-symb-true-phi no-T-F-def)
  ultimately have  $\varphi' \neq FF \wedge \varphi' \neq FT$ 
  using IH no-T-F-symb-false(1) no-T-F-symb-false(2) by blast

```

then have  $\forall \psi \in \text{set } (\xi @ \varphi' \# \xi'). \psi \neq FF \wedge \psi \neq FT$  using  $x$  by auto  
 }  
 ultimately show  $\text{no-}T\text{-}F\text{-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  by (simp add:  $x$ )  
 qed

**lemma** *propo-rew-step-pushNeg-no-T-F:*

*propo-rew-step pushNeg*  $\varphi \psi \implies \text{no-}T\text{-}F \varphi \implies \text{no-}T\text{-}F \psi$

**proof** (*induct rule: propo-rew-step.induct*)

**case** *global-rel*

**then show** *?case*

by (*metis* (*no-types, lifting*) *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*  
*no-T-F-def no-T-F-except-top-level-pushNeg1 no-T-F-except-top-level-pushNeg2*  
*no-T-F-no-T-F-except-top-level all-subformula-st-decomp-explicit(3) pushNeg.simps*  
*simple.simps(1,2,5,6)*)

**next**

**case** (*propo-rew-one-step-lift*  $\varphi \varphi' c \xi \xi'$ )

**note**  $\text{rel} = \text{this}(1)$  and  $\text{IH} = \text{this}(2)$  and  $\text{wf} = \text{this}(3)$  and  $\text{no-}T\text{-}F = \text{this}(4)$

**moreover have**  $\text{wf}' : \text{wf-conn } c (\xi @ \varphi' \# \xi')$

using *wf-conn-no-arity-change wf-conn-no-arity-change-helper wf* by *metis*

**ultimately show**  $\text{no-}T\text{-}F (\text{conn } c (\xi @ \varphi' \# \xi'))$

using *all-subformula-st-test-symb-true-phi*

by (*fastforce simp: no-T-F-def all-subformula-st-decomp wf wf'*)

qed

**lemma** *pushNeg-inv:*

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes** *full* (*propo-rew-step pushNeg*)  $\varphi \psi$

**and** *no-equiv*  $\varphi$  and *no-imp*  $\varphi$  and *no-T-F-except-top-level*  $\varphi$

**shows** *no-equiv*  $\psi$  and *no-imp*  $\psi$  and *no-T-F-except-top-level*  $\psi$

**proof** –

{

**fix**  $\varphi \psi :: 'v \text{ propo}$

**assume** *rel: propo-rew-step pushNeg*  $\varphi \psi$

**and** *no: no-T-F-except-top-level*  $\varphi$

**then have** *no-T-F-except-top-level*  $\psi$

**proof** –

{

**assume**  $\varphi = FT \vee \varphi = FF$

**from** *rel this* **have** *False*

**apply** (*induct rule: propo-rew-step.induct*)

**using** *pushNeg.cases* **apply** *blast*

**using** *wf-conn-list(1) wf-conn-list(2)* **by** *auto*

**then have** *no-T-F-except-top-level*  $\psi$  **by** *blast*

}

**moreover** {

**assume**  $\varphi \neq FT \wedge \varphi \neq FF$

**then have** *no-T-F*  $\varphi$

**by** (*metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*)

**then have** *no-T-F*  $\psi$

**using** *propo-rew-step-pushNeg-no-T-F rel* **by** *auto*

**then have** *no-T-F-except-top-level*  $\psi$  **by** (*simp add: no-T-F-no-T-F-except-top-level*)

}

**ultimately show** *no-T-F-except-top-level*  $\psi$  **by** *metis*

qed

}

```

moreover {
  fix  $c :: 'v$  connective and  $\xi \xi' :: 'v$  propo list and  $\zeta \zeta' :: 'v$  propo
  assume rel: propo-rew-step pushNeg  $\zeta \zeta'$ 
  and incl:  $\zeta \preceq \varphi$ 
  and corr: wf-conn  $c (\xi @ \zeta \# \xi')$ 
  and no-T-F: no-T-F-symb-except-toplevel (conn  $c (\xi @ \zeta \# \xi')$ )
  and n: no-T-F-symb-except-toplevel  $\zeta'$ 
  have no-T-F-symb-except-toplevel (conn  $c (\xi @ \zeta' \# \xi')$ )
  proof
    have p: no-T-F-symb (conn  $c (\xi @ \zeta \# \xi')$ )
      using corr wf-conn-list(1) wf-conn-list(2) no-T-F-symb-except-toplevel-no-T-F-symb no-T-F
      by blast
    have l:  $\forall \varphi \in \text{set } (\xi @ \zeta \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$ 
      using corr wf-conn-no-T-F-symb-iff p by blast
    from rel incl have  $\zeta' \neq FT \wedge \zeta' \neq FF$ 
    apply (induction  $\zeta \zeta'$  rule: propo-rew-step.induct)
    apply (cases rule: pushNeg.cases, auto)
    by (metis assms(4) no-T-F-symb-except-top-level-false-not no-T-F-except-top-level-def
      all-subformula-st-test-symb-true-phi subformula-in-subformula-not
      subformula-all-subformula-st append-is-Nil-conv list.distinct(1)
      wf-conn-no-arity-change-helper wf-conn-list(1,2) wf-conn-no-arity-change)
    then have  $\forall \varphi \in \text{set } (\xi @ \zeta' \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$  using l by auto
    moreover have  $c \neq CT \wedge c \neq CF$  using corr by auto
    ultimately show no-T-F-symb (conn  $c (\xi @ \zeta' \# \xi')$ )
      by (metis corr no-T-F-symb-comp wf-conn-no-arity-change wf-conn-no-arity-change-helper)
  qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
using full-propo-rew-step-inv-stay-with-inc[of pushNeg no-T-F-symb-except-toplevel  $\varphi$ ] assms
subformula-refl unfolding no-T-F-except-top-level-def full-unfold by metis
next
{
  fix  $\varphi \psi :: 'v$  propo
  have H: pushNeg  $\varphi \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$ 
    by (induct  $\varphi \psi$  rule: pushNeg.induct, auto)
}
then show no-equiv  $\psi$ 
using full-propo-rew-step-inv-stay-conn[of pushNeg no-equiv-symb  $\varphi \psi$ ]
no-equiv-symb-conn-characterization assms unfolding no-equiv-def full-unfold by metis
next
{
  fix  $\varphi \psi :: 'v$  propo
  have H: pushNeg  $\varphi \psi \implies \text{no-imp } \varphi \implies \text{no-imp } \psi$ 
    by (induct  $\varphi \psi$  rule: pushNeg.induct, auto)
}
then show no-imp  $\psi$ 
using full-propo-rew-step-inv-stay-conn[of pushNeg no-imp-symb  $\varphi \psi$ ] assms
no-imp-symb-conn-characterization unfolding no-imp-def full-unfold by metis
qed

```

**lemma** *pushNeg-full-propo-rew-step:*  
**fixes**  $\varphi \psi :: 'v$  *propo*  
**assumes**  
*no-equiv*  $\varphi$  **and**  
*no-imp*  $\varphi$  **and**

*full (propo-rew-step pushNeg)  $\varphi$   $\psi$  and*  
*no-T-F-except-top-level  $\varphi$*   
**shows** *simple-not  $\psi$*   
**using** *assms full-propo-rew-step-subformula pushNeg-inv(1,2) simple-not-rew by blast*

### 1.5.5 Push Inside

**inductive** *push-conn-inside* :: '*v* *connective*  $\Rightarrow$  '*v* *connective*  $\Rightarrow$  '*v* *propo*  $\Rightarrow$  '*v* *propo*  $\Rightarrow$  *bool*  
**for** *c c'*:: '*v* *connective* **where**

*push-conn-inside-l[simp]:*  $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$   
 $\Longrightarrow$  *push-conn-inside* *c c'* (*conn* *c* [*conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ],  $\psi$ ])  
(*conn* *c'* [*conn* *c* [ $\varphi 1$ ,  $\psi$ ], *conn* *c* [ $\varphi 2$ ,  $\psi$ ]]) |

*push-conn-inside-r[simp]:*  $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$   
 $\Longrightarrow$  *push-conn-inside* *c c'* (*conn* *c* [ $\psi$ , *conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ]])  
(*conn* *c'* [*conn* *c* [ $\psi$ ,  $\varphi 1$ ], *conn* *c* [ $\psi$ ,  $\varphi 2$ ]])

**lemma** *push-conn-inside-explicit:* *push-conn-inside* *c c'*  $\varphi$   $\psi \Longrightarrow \forall A. A \models \varphi \longleftrightarrow A \models \psi$   
**by** (*induct*  $\varphi$   $\psi$  *rule:* *push-conn-inside.induct*, *auto*)

**lemma** *push-conn-inside-consistent:* *preserve-models* (*push-conn-inside* *c c'*)  
**unfolding** *preserve-models-def* **by** (*simp* *add:* *push-conn-inside-explicit*)

**lemma** *propo-rew-step-push-conn-inside[simp]:*

$\neg$ *propo-rew-step* (*push-conn-inside* *c c'*) *FT*  $\psi \neg$ *propo-rew-step* (*push-conn-inside* *c c'*) *FF*  $\psi$

**proof** –

```

{
  {
    fix  $\varphi$   $\psi$ 
    have push-conn-inside c c'  $\varphi$   $\psi \Longrightarrow \varphi = FT \vee \varphi = FF \Longrightarrow False$ 
      by (induct rule: push-conn-inside.induct, auto)
  } note H = this
  fix  $\varphi$ 
  have propo-rew-step (push-conn-inside c c')  $\varphi$   $\psi \Longrightarrow \varphi = FT \vee \varphi = FF \Longrightarrow False$ 
    apply (induct rule: propo-rew-step.induct, auto simp: wf-conn-list(1) wf-conn-list(2))
    using H by blast+
  }
then show
   $\neg$ propo-rew-step (push-conn-inside c c') FT  $\psi$ 
   $\neg$ propo-rew-step (push-conn-inside c c') FF  $\psi$  by blast+
qed

```

**inductive** *not-c-in-c'-symb*:: '*v* *connective*  $\Rightarrow$  '*v* *connective*  $\Rightarrow$  '*v* *propo*  $\Rightarrow$  *bool* **for** *c c'* **where**

*not-c-in-c'-symb-l[simp]:* *wf-conn* *c* [*conn* *c'* [ $\varphi$ ,  $\varphi'$ ],  $\psi$ ]  $\Longrightarrow$  *wf-conn* *c'* [ $\varphi$ ,  $\varphi'$ ]  
 $\Longrightarrow$  *not-c-in-c'-symb* *c c'* (*conn* *c* [*conn* *c'* [ $\varphi$ ,  $\varphi'$ ],  $\psi$ ]) |

*not-c-in-c'-symb-r[simp]:* *wf-conn* *c* [ $\psi$ , *conn* *c'* [ $\varphi$ ,  $\varphi'$ ]]  $\Longrightarrow$  *wf-conn* *c'* [ $\varphi$ ,  $\varphi'$ ]  
 $\Longrightarrow$  *not-c-in-c'-symb* *c c'* (*conn* *c* [ $\psi$ , *conn* *c'* [ $\varphi$ ,  $\varphi'$ ]])

**abbreviation** *c-in-c'-symb* *c c'*  $\varphi \equiv \neg$ *not-c-in-c'-symb* *c c'*  $\varphi$

**lemma** *c-in-c'-symb-simp:*

*not-c-in-c'-symb* *c c'*  $\xi \Longrightarrow \xi = FF \vee \xi = FT \vee \xi = FVar$  *x*  $\vee \xi = FNot$  *FF*  $\vee \xi = FNot$  *FT*  
 $\vee \xi = FNot$  (*FVar* *x*)  $\Longrightarrow False$

**apply** (*induct* *rule:* *not-c-in-c'-symb.induct*, *auto* *simp:* *wf-conn.simps* *wf-conn-list*(1–3))



**using** *conn-inj-not(2)* *wf-conn-binary* **unfolding** *binary-connectives-def* **by** *fastforce+*

**lemma** *c-in-c'-symb-simp'[simp]*:  
 $\neg \text{not-c-in-c'-symb } c \ c' \ FF$   
 $\neg \text{not-c-in-c'-symb } c \ c' \ FT$   
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FVar \ x)$   
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ FF)$   
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ FT)$   
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ (FVar \ x))$   
**using** *c-in-c'-symb-simp* **by** *metis+*

**definition** *c-in-c'-only* **where**  
*c-in-c'-only*  $c \ c' \equiv$  *all-subformula-st* (*c-in-c'-symb*  $c \ c'$ )

**lemma** *c-in-c'-only-simp[simp]*:  
*c-in-c'-only*  $c \ c' \ FF$   
*c-in-c'-only*  $c \ c' \ FT$   
*c-in-c'-only*  $c \ c' \ (FVar \ x)$   
*c-in-c'-only*  $c \ c' \ (FNot \ FF)$   
*c-in-c'-only*  $c \ c' \ (FNot \ FT)$   
*c-in-c'-only*  $c \ c' \ (FNot \ (FVar \ x))$   
**unfolding** *c-in-c'-only-def* **by** *auto*

**lemma** *not-c-in-c'-symb-commute*:  
 $\text{not-c-in-c'-symb } c \ c' \ \xi \implies \text{wf-conn } c \ [\varphi, \psi] \implies \xi = \text{conn } c \ [\varphi, \psi]$   
 $\implies \text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$

**proof** (*induct* rule: *not-c-in-c'-symb.induct*)  
**case** (*not-c-in-c'-symb-r*  $\varphi' \ \varphi'' \ \psi'$ ) **note**  $H = \text{this}$   
**then have**  $\psi = \text{conn } c' \ [\varphi'', \psi']$  **using** *conn-inj* **by** *auto*  
**have**  $\text{wf-conn } c \ [\text{conn } c' \ [\varphi'', \psi'], \varphi]$   
**using**  $H(1)$  *wf-conn-no-arity-change length-Cons* **by** *metis*  
**then show**  $\text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$   
**unfolding**  $\psi$  **using** *not-c-in-c'-symb.intros(1)*  $H$  **by** *auto*

**next**  
**case** (*not-c-in-c'-symb-l*  $\varphi' \ \varphi'' \ \psi'$ ) **note**  $H = \text{this}$   
**then have**  $\varphi = \text{conn } c' \ [\varphi', \varphi'']$  **using** *conn-inj* **by** *auto*  
**moreover have**  $\text{wf-conn } c \ [\psi', \text{conn } c' \ [\varphi', \varphi'']]$   
**using**  $H(1)$  *wf-conn-no-arity-change length-Cons* **by** *metis*  
**ultimately show**  $\text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$   
**using** *not-c-in-c'-symb.intros(2)* *conn-inj not-c-in-c'-symb-l.hyps*  
*not-c-in-c'-symb-l.premis(1,2)* **by** *blast*

**qed**

**lemma** *not-c-in-c'-symb-commute'*:  
 $\text{wf-conn } c \ [\varphi, \psi] \implies \text{c-in-c'-symb } c \ c' \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow \text{c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$   
**using** *not-c-in-c'-symb-commute wf-conn-no-arity-change* **by** (*metis length-Cons*)

**lemma** *not-c-in-c'-comm*:  
**assumes** *wf*:  $\text{wf-conn } c \ [\varphi, \psi]$   
**shows**  $\text{c-in-c'-only } c \ c' \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow \text{c-in-c'-only } c \ c' \ (\text{conn } c \ [\psi, \varphi])$  (**is**  $?A \longleftrightarrow ?B$ )  
**proof** –  
**have**  $?A \longleftrightarrow (\text{c-in-c'-symb } c \ c' \ (\text{conn } c \ [\varphi, \psi])$   
 $\wedge (\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st } (\text{c-in-c'-symb } c \ c') \ \xi))$   
**using** *all-subformula-st-decomp wf* **unfolding** *c-in-c'-only-def* **by** *fastforce*  
**also have**  $\dots \longleftrightarrow (\text{c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$

$\wedge (\forall \xi \in \text{set } [\psi, \varphi]. \text{all-subformula-st } (c\text{-in-}c'\text{-symb } c \ c') \ \xi))$   
**using** *not-c-in-c'-symb-commute'* **wf by auto**  
**also**  
**have** *wf-conn*  $c$   $[\psi, \varphi]$  **using** *wf-conn-no-arity-change wf* **by** (*metis length-Cons*)  
**then have** (*c-in-c'-symb*  $c \ c'$  (*conn*  $c$   $[\psi, \varphi]$ )  
 $\wedge (\forall \xi \in \text{set } [\psi, \varphi]. \text{all-subformula-st } (c\text{-in-}c'\text{-symb } c \ c') \ \xi))$   
 $\longleftrightarrow ?B$   
**using** *all-subformula-st-decomp unfolding c-in-c'-only-def* **by fastforce**  
**finally show** *?thesis* .  
**qed**

**lemma** *not-c-in-c'-simp[simp]*:  
**fixes**  $\varphi1 \ \varphi2 \ \psi :: 'v \ \text{propo}$  **and**  $x :: 'v$   
**shows**  
*c-in-c'-symb*  $c \ c'$  *FT*  
*c-in-c'-symb*  $c \ c'$  *FF*  
*c-in-c'-symb*  $c \ c'$  (*FVar*  $x$ )  
*wf-conn*  $c$  [*conn*  $c'$   $[\varphi1, \varphi2]$ ,  $\psi$ ]  $\implies$  *wf-conn*  $c'$   $[\varphi1, \varphi2]$   
 $\implies \neg$  *c-in-c'-only*  $c \ c'$  (*conn*  $c$  [*conn*  $c'$   $[\varphi1, \varphi2]$ ,  $\psi$ ])  
**apply** (*simp-all add: c-in-c'-only-def*)  
**using** *all-subformula-st-test-symb-true-phi not-c-in-c'-symb-l* **by blast**

**lemma** *c-in-c'-symb-not[simp]*:  
**fixes**  $c \ c' :: 'v \ \text{connective}$  **and**  $\psi :: 'v \ \text{propo}$   
**shows** *c-in-c'-symb*  $c \ c'$  (*FNot*  $\psi$ )  
**proof** –  
{  
**fix**  $\xi :: 'v \ \text{propo}$   
**have** *not-c-in-c'-symb*  $c \ c'$  (*FNot*  $\psi$ )  $\implies$  *False*  
**apply** (*induct FNot*  $\psi$  *rule: not-c-in-c'-symb.induct*)  
**using** *conn-inj-not(2)* **by blast+**  
}  
**then show** *?thesis* **by auto**  
**qed**

**lemma** *c-in-c'-symb-step-exists*:  
**fixes**  $\varphi :: 'v \ \text{propo}$   
**assumes**  $c: c = C\text{And} \vee c = C\text{Or}$  **and**  $c': c' = C\text{And} \vee c' = C\text{Or}$   
**shows**  $\psi \preceq \varphi \implies \neg$  *c-in-c'-symb*  $c \ c'$   $\psi \implies \exists \psi'. \text{push-conn-inside } c \ c' \ \psi \ \psi'$   
**apply** (*induct*  $\psi$  *rule: propo-induct-arity*)  
**apply** *auto[2]*  
**proof** –  
**fix**  $\psi1 \ \psi2 \ \varphi' :: 'v \ \text{propo}$   
**assume** *IH* $\psi1: \psi1 \preceq \varphi \implies \neg$  *c-in-c'-symb*  $c \ c'$   $\psi1 \implies Ex$  (*push-conn-inside*  $c \ c'$   $\psi1$ )  
**and** *IH* $\psi2: \psi2 \preceq \varphi \implies \neg$  *c-in-c'-symb*  $c \ c'$   $\psi2 \implies Ex$  (*push-conn-inside*  $c \ c'$   $\psi2$ )  
**and**  $\varphi': \varphi' = F\text{And } \psi1 \ \psi2 \vee \varphi' = F\text{Or } \psi1 \ \psi2 \vee \varphi' = F\text{Imp } \psi1 \ \psi2 \vee \varphi' = F\text{Eq } \psi1 \ \psi2$   
**and** *in* $\varphi: \varphi \preceq \varphi$  **and**  $n0: \neg$  *c-in-c'-symb*  $c \ c'$   $\varphi'$   
**then have**  $n: \text{not-c-in-c'-symb } c \ c' \ \varphi'$  **by auto**  
{  
**assume**  $\varphi': \varphi' = \text{conn } c$  [ $\psi1, \psi2$ ]  
**obtain**  $a \ b$  **where**  $\psi1 = \text{conn } c'$  [ $a, b$ ]  $\vee \psi2 = \text{conn } c'$  [ $a, b$ ]  
**using**  $n \ \varphi'$  **apply** (*induct rule: not-c-in-c'-symb.induct*)  
**using**  $c$  **by force+**  
**then have**  $Ex$  (*push-conn-inside*  $c \ c'$   $\varphi'$ )  
**unfolding**  $\varphi'$  **apply** *auto*  
**using** *push-conn-inside.intros(1)*  $c \ c'$  **apply** *blast*

```

    using push-conn-inside.intros(2) c c' by blast
  }
  moreover {
    assume  $\varphi'$ :  $\varphi' \neq \text{conn } c [\psi 1, \psi 2]$ 
    have  $\forall \varphi c \text{ ca. } \exists \varphi 1 \psi 1 \psi 2 \psi 1' \psi 2' \varphi 2'. \text{conn } (c::'v \text{ connective}) [\varphi 1, \text{conn } \text{ca } [\psi 1, \psi 2]] = \varphi$ 
       $\vee \text{conn } c [\text{conn } \text{ca } [\psi 1', \psi 2'], \varphi 2'] = \varphi \vee \text{c-in-c'-symb } c \text{ ca } \varphi$ 
    by (metis not-c-in-c'-symb.cases)
    then have  $\text{Ex } (\text{push-conn-inside } c \text{ c'} \varphi')$ 
    by (metis (no-types) c c' n push-conn-inside-l push-conn-inside-r)
  }
  ultimately show  $\text{Ex } (\text{push-conn-inside } c \text{ c'} \varphi')$  by blast
qed

```

**lemma** *c-in-c'-symb-rew*:

```

  fixes  $\varphi$  :: 'v propo
  assumes noTB:  $\neg \text{c-in-c'-only } c \text{ c'} \varphi$ 
  and c:  $c = \text{CAnd} \vee c = \text{COr}$  and c':  $c' = \text{CAnd} \vee c' = \text{COr}$ 
  shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{push-conn-inside } c \text{ c'} \psi \psi'$ 

```

**proof** –

```

  have test-symb-false-nullary:
     $\forall x. \text{c-in-c'-symb } c \text{ c'} (\text{FF}::'v \text{ propo}) \wedge \text{c-in-c'-symb } c \text{ c'} \text{ FT}$ 
     $\wedge \text{c-in-c'-symb } c \text{ c'} (\text{FVar } (x::'v))$ 
  by auto
  moreover {
    fix x :: 'v
    have  $H': \text{c-in-c'-symb } c \text{ c'} \text{ FT } \text{c-in-c'-symb } c \text{ c'} \text{ FF } \text{c-in-c'-symb } c \text{ c'} (\text{FVar } x)$ 
    by simp+
  }
  moreover {
    fix  $\psi$  :: 'v propo
    have  $\psi \preceq \varphi \implies \neg \text{c-in-c'-symb } c \text{ c'} \psi \implies \exists \psi'. \text{push-conn-inside } c \text{ c'} \psi \psi'$ 
    by (auto simp: assms(2) c' c-in-c'-symb-step-exists)
  }
  ultimately show ?thesis using noTB no-test-symb-step-exists[of c-in-c'-symb c c']
  unfolding c-in-c'-only-def by metis
qed

```

**lemma** *push-conn-insidec-in-c'-symb-no-T-F*:

```

  fixes  $\varphi \psi$  :: 'v propo
  shows propo-rew-step (push-conn-inside c c')  $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$ 

```

**proof** (induct rule: propo-rew-step.induct)

```

  case (global-rel  $\varphi \psi$ )
  then show  $\text{no-T-F } \psi$ 
  by (cases rule: push-conn-inside.cases, auto)

```

**next**

```

  case (propo-rew-one-step-lift  $\varphi \varphi' c \xi \xi'$ )
  note rel = this(1) and IH = this(2) and wf = this(3) and no-T-F = this(4)
  have  $\text{no-T-F } \varphi$ 
  using wf no-T-F no-T-F-def subformula-into-subformula subformula-all-subformula-st
  subformula-refl by (metis (no-types) in-set-conv-decomp)
  then have  $\varphi': \text{no-T-F } \varphi'$  using IH by blast

```

```

  have  $\forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \text{no-T-F } \zeta$  by (metis wf no-T-F no-T-F-def all-subformula-st-decomp)
  then have  $n: \forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \text{no-T-F } \zeta$  using  $\varphi'$  by auto
  then have  $n': \forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \zeta \neq \text{FF} \wedge \zeta \neq \text{FT}$ 

```

```

using  $\varphi'$  by (metis no-T-F-symb-false(1) no-T-F-symb-false(2) no-T-F-def
  all-subformula-st-test-symb-true-phi)

have  $wf'$ : wf-conn  $c$  ( $\xi @ \varphi' \# \xi'$ )
  using wf-conn-no-arity-change by (metis wf-conn-no-arity-change-helper)
{
  fix  $x :: 'v$ 
  assume  $c = CT \vee c = CF \vee c = CVar$   $x$ 
  then have False using  $wf$  by auto
  then have no-T-F (conn  $c$  ( $\xi @ \varphi' \# \xi'$ )) by blast
}
moreover {
  assume  $c: c = CNot$ 
  then have  $\xi = [] \xi' = []$  using  $wf$  by auto
  then have no-T-F (conn  $c$  ( $\xi @ \varphi' \# \xi'$ ))
    using  $c$  by (metis  $\varphi'$  conn.simps(4) no-T-F-symb-false(1,2) no-T-F-symb-fnot no-T-F-def
      all-subformula-st-decomp-explicit(3) all-subformula-st-test-symb-true-phi self-append-conv2)
}
moreover {
  assume  $c: c \in \text{binary-connectives}$ 
  then have no-T-F-symb (conn  $c$  ( $\xi @ \varphi' \# \xi'$ )) using  $wf' n'$  no-T-F-symb.simps by fastforce
  then have no-T-F (conn  $c$  ( $\xi @ \varphi' \# \xi'$ ))
    by (metis all-subformula-st-decomp-imp wf' n no-T-F-def)
}
ultimately show no-T-F (conn  $c$  ( $\xi @ \varphi' \# \xi'$ )) using connective-cases-arity by auto
qed

```

**lemma** *simple-propo-rew-step-push-conn-inside-inv*:  
*propo-rew-step* (*push-conn-inside*  $c c'$ )  $\varphi \psi \implies \text{simple } \varphi \implies \text{simple } \psi$   
**apply** (*induct rule: propo-rew-step.induct*)  
**apply** (*rename-tac*  $\varphi$ , *case-tac*  $\varphi$ , *auto simp: push-conn-inside.simps*)[]  
**by** (*metis append-is-Nil-conv list.distinct(1) simple.elims(2) wf-conn-list(1-3)*)

**lemma** *simple-propo-rew-step-inv-push-conn-inside-simple-not*:  
**fixes**  $c c' :: 'v$  *connective* **and**  $\varphi \psi :: 'v$  *propo*  
**shows** *propo-rew-step* (*push-conn-inside*  $c c'$ )  $\varphi \psi \implies \text{simple-not } \varphi \implies \text{simple-not } \psi$   
**proof** (*induct rule: propo-rew-step.induct*)  
**case** (*global-rel*  $\varphi \psi$ )  
**then show** *?case* **by** (*cases*  $\varphi$ , *auto simp: push-conn-inside.simps*)  
**next**  
**case** (*propo-rew-one-step-lift*  $\varphi \varphi' ca \xi \xi'$ ) **note**  $\text{rew} = \text{this}(1)$  **and**  $IH = \text{this}(2)$  **and**  $wf = \text{this}(3)$   
**and**  $\text{simple} = \text{this}(4)$   
**show** *?case*  
**proof** (*cases ca rule: connective-cases-arity*)  
**case** *nullary*  
**then show** *?thesis* **using** *propo-rew-one-step-lift* **by** *auto*  
**next**  
**case** *binary* **note**  $ca = \text{this}$   
**obtain**  $a b$  **where**  $ab: \xi @ \varphi' \# \xi' = [a, b]$   
**using**  $wf$  *ca list-length2-decomp wf-conn-bin-list-length*  
**by** (*metis (no-types) wf-conn-no-arity-change-helper*)  
**have**  $\forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \text{simple-not } \zeta$   
**by** (*metis wf all-subformula-st-decomp simple simple-not-def*)  
**then have**  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \text{simple-not } \zeta$  **using**  $IH$  **by** *simp*

```

moreover have simple-not-symb (conn ca (ξ @ φ' # ξ')) using ca
by (metis ab conn.simps(5-8) helper-fact simple-not-symb.simps(5) simple-not-symb.simps(6)
    simple-not-symb.simps(7) simple-not-symb.simps(8))
ultimately show ?thesis
  by (simp add: ab all-subformula-st-decomp ca)
next
  case unary
  then show ?thesis
    using rew simple-propo-rew-step-push-conn-inside-inv[OF rew] IH local.wf simple by auto
qed
qed

```

**lemma** *propo-rew-step-push-conn-inside-simple-not:*  
**fixes** φ φ' :: 'v propo **and** ξ ξ' :: 'v propo list **and** c :: 'v connective

```

assumes
  propo-rew-step (push-conn-inside c c') φ φ' and
  wf-conn c (ξ @ φ # ξ') and
  simple-not-symb (conn c (ξ @ φ # ξ')) and
  simple-not-symb φ'
shows simple-not-symb (conn c (ξ @ φ' # ξ'))
using assms
proof (induction rule: propo-rew-step.induct)
print-cases
  case (global-rel)
  then show ?case
    by (metis conn.simps(12,17) list.discI push-conn-inside.cases simple-not-symb.elims(3)
        wf-conn-helper-facts(5) wf-conn-list(2) wf-conn-list(8) wf-conn-no-arity-change
        wf-conn-no-arity-change-helper)

```

```

next
  case (propo-rew-one-step-lift φ φ' c' χs χs') note tel = this(1) and wf = this(2) and
  IH = this(3) and wf' = this(4) and simple' = this(5) and simple = this(6)

```

```

then show ?case
  proof (cases c' rule: connective-cases-arity)
    case nullary
    then show ?thesis using wf simple simple' by auto
  next
    case binary note c = this(1)
    have corr': wf-conn c (ξ @ conn c' (χs @ φ' # χs') # ξ')
      using wf wf-conn-no-arity-change
      by (metis wf' wf-conn-no-arity-change-helper)
    then show ?thesis
      using c propo-rew-one-step-lift wf
      by (metis conn.simps(17) connective.distinct(37) propo-rew-step-subformula-imp
          push-conn-inside.cases simple-not-symb.elims(3) wf-conn.simps wf-conn-list(2,8))

```

```

next
  case unary
  then have empty: χs = [] χs' = [] using wf by auto
  then show ?thesis using simple unary simple' wf wf'
    by (metis connective.distinct(37) connective.distinct(39) propo-rew-step-subformula-imp
        push-conn-inside.cases simple-not-symb.elims(3) tel wf-conn-list(8)
        wf-conn-no-arity-change wf-conn-no-arity-change-helper)
qed
qed

```

**lemma** *push-conn-inside-not-true-false:*  
 push-conn-inside c c' φ ψ ⇒ ψ ≠ FT ∧ ψ ≠ FF

by (induct rule: push-conn-inside.induct, auto)

lemma push-conn-inside-inv:

fixes  $\varphi \psi :: 'v \text{ propo}$

assumes full (propo-rew-step (push-conn-inside  $c c'$ )  $\varphi \psi$ )

and no-equiv  $\varphi$  and no-imp  $\varphi$  and no-T-F-except-top-level  $\varphi$  and simple-not  $\varphi$

shows no-equiv  $\psi$  and no-imp  $\psi$  and no-T-F-except-top-level  $\psi$  and simple-not  $\psi$

proof –

```
{
  {
    fix  $\varphi \psi :: 'v \text{ propo}$ 
    have  $H: \text{push-conn-inside } c c' \varphi \psi \implies \text{all-subformula-st simple-not-symb } \varphi$ 
       $\implies \text{all-subformula-st simple-not-symb } \psi$ 
      by (induct  $\varphi \psi$  rule: push-conn-inside.induct, auto)
  } note  $H = \text{this}$ 
}
```

fix  $\varphi \psi :: 'v \text{ propo}$

have  $H: \text{propo-rew-step (push-conn-inside } c c') \varphi \psi \implies \text{all-subformula-st simple-not-symb } \varphi$   
 $\implies \text{all-subformula-st simple-not-symb } \psi$

apply (induct  $\varphi \psi$  rule: propo-rew-step.induct)

using  $H$  apply simp

proof (rename-tac  $\varphi \varphi'$  ca  $\psi s \psi s'$ , case-tac ca rule: connective-cases-arity)

fix  $\varphi \varphi' :: 'v \text{ propo}$  and  $c :: 'v \text{ connective}$  and  $\xi \xi' :: 'v \text{ propo list}$

and  $x :: 'v$

assume wf-conn  $c (\xi @ \varphi \# \xi')$

and  $c = CT \vee c = CF \vee c = CVar x$

then have  $\xi @ \varphi \# \xi' = []$  by auto

then have False by auto

then show all-subformula-st simple-not-symb (conn  $c (\xi @ \varphi' \# \xi')$ ) by blast

next

fix  $\varphi \varphi' :: 'v \text{ propo}$  and  $ca :: 'v \text{ connective}$  and  $\xi \xi' :: 'v \text{ propo list}$

and  $x :: 'v$

assume rel: propo-rew-step (push-conn-inside  $c c') \varphi \varphi'$

and  $\varphi\text{-}\varphi'$ : all-subformula-st simple-not-symb  $\varphi \implies \text{all-subformula-st simple-not-symb } \varphi'$

and corr: wf-conn  $ca (\xi @ \varphi \# \xi')$

and  $n$ : all-subformula-st simple-not-symb (conn  $ca (\xi @ \varphi \# \xi')$ )

and  $c$ :  $ca = CNot$

have empty:  $\xi = [] \xi' = []$  using  $c$  corr by auto

then have simple-not:all-subformula-st simple-not-symb (FNot  $\varphi$ ) using corr  $c n$  by auto

then have simple  $\varphi$

using all-subformula-st-test-symb-true-phi simple-not-symb.simps(1) by blast

then have simple  $\varphi'$

using rel simple-propo-rew-step-push-conn-inside-inv by blast

then show all-subformula-st simple-not-symb (conn  $ca (\xi @ \varphi' \# \xi')$ ) using  $c$  empty

by (metis simple-not  $\varphi\text{-}\varphi'$  append-Nil conn.simps(4) all-subformula-st-decomp-explicit(3) simple-not-symb.simps(1))

next

fix  $\varphi \varphi' :: 'v \text{ propo}$  and  $ca :: 'v \text{ connective}$  and  $\xi \xi' :: 'v \text{ propo list}$

and  $x :: 'v$

assume rel: propo-rew-step (push-conn-inside  $c c') \varphi \varphi'$

and  $n\varphi$ : all-subformula-st simple-not-symb  $\varphi \implies \text{all-subformula-st simple-not-symb } \varphi'$

and corr: wf-conn  $ca (\xi @ \varphi \# \xi')$

and  $n$ : all-subformula-st simple-not-symb (conn  $ca (\xi @ \varphi \# \xi')$ )

and  $c$ :  $ca \in \text{binary-connectives}$

```

have all-subformula-st simple-not-symb  $\varphi$ 
  using  $n$   $c$  corr all-subformula-st-decomp by fastforce
then have  $\varphi'$ : all-subformula-st simple-not-symb  $\varphi'$  using  $n\varphi$  by blast
obtain  $a$   $b$  where  $ab$ :  $[a, b] = (\xi @ \varphi \# \xi')$ 
  using corr c list-length2-decomp wf-conn-bin-list-length by metis
then have  $\xi @ \varphi' \# \xi' = [a, \varphi'] \vee (\xi @ \varphi' \# \xi') = [\varphi', b]$ 
  using  $ab$  by (metis (no-types, hide-lams) append-Cons append-Nil append-Nil2
    append-is-Nil-conv butlast.simps(2) butlast-append list.sel(3) tl-append2)
moreover
{
  fix  $\chi :: 'v$  propo
  have  $wf'$ : wf-conn ca [a, b]
    using  $ab$  corr by presburger
  have all-subformula-st simple-not-symb (conn ca [a, b])
    using  $ab$   $n$  by presburger
  then have all-subformula-st simple-not-symb  $\chi \vee \chi \notin \text{set } (\xi @ \varphi' \# \xi')$ 
    using  $wf'$  by (metis (no-types) \varphi' all-subformula-st-decomp calculation insert-iff
      list.set(2))
}
then have  $\forall \varphi. \varphi \in \text{set } (\xi @ \varphi' \# \xi') \longrightarrow$  all-subformula-st simple-not-symb  $\varphi$ 
  by (metis (no-types))

moreover have simple-not-symb (conn ca (\xi @ \varphi' \# \xi'))
  using  $ab$  conn-inj-not(1) corr wf-conn-list-decomp(4) wf-conn-no-arity-change
  not-Cons-self2 self-append-conv2 simple-not-symb.elims(3) by (metis (no-types) c
    calculation(1) wf-conn-binary)
moreover have wf-conn ca (\xi @ \varphi' \# \xi') using  $c$  calculation(1) by auto
ultimately show all-subformula-st simple-not-symb (conn ca (\xi @ \varphi' \# \xi'))
  by (metis all-subformula-st-decomp-imp)
qed
}
moreover {
  fix  $ca :: 'v$  connective and  $\xi \xi' :: 'v$  propo list and  $\varphi \varphi' :: 'v$  propo
  have propo-rew-step (push-conn-inside c c') \varphi \varphi' \implies wf-conn ca (\xi @ \varphi \# \xi')
     $\implies$  simple-not-symb (conn ca (\xi @ \varphi \# \xi')) \implies simple-not-symb \varphi'
     $\implies$  simple-not-symb (conn ca (\xi @ \varphi' \# \xi'))
  by (metis append-self-conv2 conn.simps(4) conn-inj-not(1) simple-not-symb.elims(3)
    simple-not-symb.simps(1) simple-propo-rew-step-push-conn-inside-inv
    wf-conn-no-arity-change-helper wf-conn-list-decomp(4) wf-conn-no-arity-change)
}
ultimately show simple-not  $\psi$ 
  using full-propo-rew-step-inv-stay'[of push-conn-inside c c' simple-not-symb] assms
  unfolding no-T-F-except-top-level-def simple-not-def full-unfold by metis
next
{
  fix  $\varphi \psi :: 'v$  propo
  have  $H$ : propo-rew-step (push-conn-inside c c') \varphi \psi \implies no-T-F-except-top-level \varphi
     $\implies$  no-T-F-except-top-level \psi
  proof –
    assume  $rel$ : propo-rew-step (push-conn-inside c c') \varphi \psi
    and no-T-F-except-top-level \varphi
    then have no-T-F \varphi \vee \varphi = FF \vee \varphi = FT
      by (metis no-T-F-symb-except-tolevel-all-subformula-st-no-T-F-symb)
    moreover {
      assume  $\varphi = FF \vee \varphi = FT$ 
      then have False using rel propo-rew-step-push-conn-inside by blast
    }
}

```

```

    then have no-T-F-except-top-level  $\psi$  by blast
  }
  moreover {
    assume no-T-F  $\varphi \wedge \varphi \neq FF \wedge \varphi \neq FT$ 
    then have no-T-F  $\psi$  using rel push-conn-insidec-in-c'-symb-no-T-F by blast
    then have no-T-F-except-top-level  $\psi$  using no-T-F-no-T-F-except-top-level by blast
  }
  ultimately show no-T-F-except-top-level  $\psi$  by blast
qed
}
moreover {
  fix ca :: 'v connective and  $\xi \xi' :: 'v$  propo list and  $\varphi \varphi' :: 'v$  propo
  assume rel: propo-rew-step (push-conn-inside c c')  $\varphi \varphi'$ 
  assume corr: wf-conn ca ( $\xi @ \varphi \# \xi'$ )
  then have c: ca  $\neq CT \wedge ca \neq CF$  by auto
  assume no-T-F: no-T-F-symb-except-toplevel (conn ca ( $\xi @ \varphi \# \xi'$ ))
  have no-T-F-symb-except-toplevel (conn ca ( $\xi @ \varphi' \# \xi'$ ))
  proof
    have c: ca  $\neq CT \wedge ca \neq CF$  using corr by auto
    have  $\zeta: \forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \zeta \neq FT \wedge \zeta \neq FF$ 
      using corr no-T-F no-T-F-symb-except-toplevel-if-is-a-true-false by blast
    then have  $\varphi \neq FT \wedge \varphi \neq FF$  by auto
    from rel this have  $\varphi' \neq FT \wedge \varphi' \neq FF$ 
    apply (induct rule: propo-rew-step.induct)
    by (metis append-is-Nil-conv conn.simps(2) conn-inj list.distinct(1)
      wf-conn-helper-facts(3) wf-conn-list(1) wf-conn-no-arity-change
      wf-conn-no-arity-change-helper push-conn-inside-not-true-false)
    then have  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \zeta \neq FT \wedge \zeta \neq FF$  using  $\zeta$  by auto
    moreover have wf-conn ca ( $\xi @ \varphi' \# \xi'$ )
      using corr wf-conn-no-arity-change by (metis wf-conn-no-arity-change-helper)
    ultimately show no-T-F-symb (conn ca ( $\xi @ \varphi' \# \xi'$ )) using no-T-F-symb.intros c by metis
  qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
using full-propo-rew-step-inv-stay[of push-conn-inside c c' no-T-F-symb-except-toplevel]
assms unfolding no-T-F-except-top-level-def full-unfold by metis

next
{
  fix  $\varphi \psi :: 'v$  propo
  have H: push-conn-inside c c'  $\varphi \psi \implies no-equiv \varphi \implies no-equiv \psi$ 
    by (induct  $\varphi \psi$  rule: push-conn-inside.induct, auto)
}
then show no-equiv  $\psi$ 
using full-propo-rew-step-inv-stay-conn[of push-conn-inside c c' no-equiv-symb] assms
no-equiv-symb-conn-characterization unfolding no-equiv-def by metis

next
{
  fix  $\varphi \psi :: 'v$  propo
  have H: push-conn-inside c c'  $\varphi \psi \implies no-imp \varphi \implies no-imp \psi$ 
    by (induct  $\varphi \psi$  rule: push-conn-inside.induct, auto)
}
then show no-imp  $\psi$ 
using full-propo-rew-step-inv-stay-conn[of push-conn-inside c c' no-imp-symb] assms
no-imp-symb-conn-characterization unfolding no-imp-def by metis

```



qed

**lemma** *push-conn-inside-full-propo-rew-step*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

*no-equiv*  $\varphi$  **and**

*no-imp*  $\varphi$  **and**

*full* (*propo-rew-step* (*push-conn-inside*  $c \ c'$ ))  $\varphi \ \psi$  **and**

*no-T-F-except-top-level*  $\varphi$  **and**

*simple-not*  $\varphi$  **and**

$c = CAnd \vee c = COr$  **and**

$c' = CAnd \vee c' = COr$

**shows** *c-in-c'-only*  $c \ c' \ \psi$

**using** *c-in-c'-symb-rew* *assms* *full-propo-rew-step-subformula* **by** *blast*

**Only one type of connective in the formula (+ not)**

**inductive** *only-c-inside-symb* :: *'v connective*  $\Rightarrow$  *'v propo*  $\Rightarrow$  *bool* **for**  $c :: 'v \text{ connective}$  **where**

*simple-only-c-inside*[*simp*]: *simple*  $\varphi \Longrightarrow$  *only-c-inside-symb*  $c \ \varphi$  |

*simple-cnot-only-c-inside*[*simp*]: *simple*  $\varphi \Longrightarrow$  *only-c-inside-symb*  $c \ (FNot \ \varphi)$  |

*only-c-inside-into-only-c-inside*: *wf-conn*  $c \ l \Longrightarrow$  *only-c-inside-symb*  $c \ (conn \ c \ l)$

**lemma** *only-c-inside-symb-simp*[*simp*]:

*only-c-inside-symb*  $c \ FF$  *only-c-inside-symb*  $c \ FT$  *only-c-inside-symb*  $c \ (FVar \ x)$  **by** *auto*

**definition** *only-c-inside* **where** *only-c-inside*  $c =$  *all-subformula-st* (*only-c-inside-symb*  $c$ )

**lemma** *only-c-inside-symb-decomp*:

*only-c-inside-symb*  $c \ \psi \longleftrightarrow$  (*simple*  $\psi$

$\vee (\exists \varphi'. \ \psi = FNot \ \varphi' \wedge$  *simple*  $\varphi')$

$\vee (\exists l. \ \psi = conn \ c \ l \wedge$  *wf-conn*  $c \ l))$

**by** (*auto simp: only-c-inside-symb.intros*(3)) (*induct rule: only-c-inside-symb.induct, auto*)

**lemma** *only-c-inside-symb-decomp-not*[*simp*]:

**fixes**  $c :: 'v \text{ connective}$

**assumes**  $c: c \neq CNot$

**shows** *only-c-inside-symb*  $c \ (FNot \ \psi) \longleftrightarrow$  *simple*  $\psi$

**apply** (*auto simp: only-c-inside-symb.intros*(3))

**by** (*induct FNot*  $\psi$  *rule: only-c-inside-symb.induct, auto simp: wf-conn-list*(8)  $c$ )

**lemma** *only-c-inside-decomp-not*[*simp*]:

**assumes**  $c: c \neq CNot$

**shows** *only-c-inside*  $c \ (FNot \ \psi) \longleftrightarrow$  *simple*  $\psi$

**by** (*metis* (*no-types, hide-lams*) *all-subformula-st-def all-subformula-st-test-symb-true-phi c*

*only-c-inside-def only-c-inside-symb-decomp-not simple-only-c-inside*

*subformula-conn-decomp-simple*)

**lemma** *only-c-inside-decomp*:

*only-c-inside*  $c \ \varphi \longleftrightarrow$

$(\forall \psi. \ \psi \preceq \varphi \longrightarrow$  (*simple*  $\psi \vee (\exists \varphi'. \ \psi = FNot \ \varphi' \wedge$  *simple*  $\varphi')$

$\vee (\exists l. \ \psi = conn \ c \ l \wedge$  *wf-conn*  $c \ l)))$

**unfolding** *only-c-inside-def* **by** (*auto simp: all-subformula-st-def only-c-inside-symb-decomp*)

**lemma** *only-c-inside-c-c'-false*:

**fixes**  $c\ c' :: 'v\ connective$  **and**  $l :: 'v\ propo\ list$  **and**  $\varphi :: 'v\ propo$   
**assumes**  $cc': c \neq c'$  **and**  $c: c = CAnd \vee c = COr$  **and**  $c': c' = CAnd \vee c' = COr$   
**and** *only*: *only-c-inside*  $c\ \varphi$  **and** *incl*: *conn*  $c'\ l \preceq \varphi$  **and** *wf*: *wf-conn*  $c'\ l$   
**shows** *False*

**proof** –

**let**  $?\psi = \text{conn } c'\ l$   
**have** *simple*  $?\psi \vee (\exists \varphi'. ?\psi = FNot\ \varphi' \wedge \text{simple } \varphi') \vee (\exists l. ?\psi = \text{conn } c\ l \wedge \text{wf-conn } c\ l)$   
**using** *only-c-inside-decomp* *only* *incl* **by** *blast*  
**moreover** **have**  $\neg \text{simple } ?\psi$   
**using** *wf* *simple-decomp* **by** (*metis*  $c'$  *connective.distinct*(19) *connective.distinct*(7,9,21,29,31)  
*wf-conn-list*(1-3))

**moreover**

{  
**fix**  $\varphi'$   
**have**  $?\psi \neq FNot\ \varphi'$  **using**  $c'$  *conn-inj-not*(1) *wf* **by** *blast*  
}

**ultimately obtain**  $l :: 'v\ propo\ list$  **where**  $?\psi = \text{conn } c\ l \wedge \text{wf-conn } c\ l$  **by** *metis*  
**then** **have**  $c = c'$  **using** *conn-inj* *wf* **by** *metis*  
**then** **show** *False* **using**  $cc'$  **by** *auto*

**qed**

**lemma** *only-c-inside-implies-c-in-c'-symb*:

**assumes**  $\delta: c \neq c'$  **and**  $c: c = CAnd \vee c = COr$  **and**  $c': c' = CAnd \vee c' = COr$   
**shows** *only-c-inside*  $c\ \varphi \implies \text{c-in-c'-symb } c\ c'\ \varphi$   
**apply** (*rule* *ccontr*)  
**apply** (*cases* *rule: not-c-in-c'-symb.cases*, *auto*)  
**by** (*metis*  $\delta\ c\ c'$  *connective.distinct*(37,39) *list.distinct*(1) *only-c-inside-c-c'-false*  
*subformula-in-binary-conn*(1,2) *wf-conn.simps*)+

**lemma** *c-in-c'-symb-decomp-level1*:

**fixes**  $l :: 'v\ propo\ list$  **and**  $c\ c'\ ca :: 'v\ connective$   
**shows** *wf-conn*  $ca\ l \implies ca \neq c \implies \text{c-in-c'-symb } c\ c'\ (\text{conn } ca\ l)$

**proof** –

**have** *not-c-in-c'-symb*  $c\ c'\ (\text{conn } ca\ l) \implies \text{wf-conn } ca\ l \implies ca = c$   
**by** (*induct* *conn*  $ca\ l$  *rule: not-c-in-c'-symb.induct*, *auto* *simp: conn-inj*)  
**then** **show** *wf-conn*  $ca\ l \implies ca \neq c \implies \text{c-in-c'-symb } c\ c'\ (\text{conn } ca\ l)$  **by** *blast*

**qed**

**lemma** *only-c-inside-implies-c-in-c'-only*:

**assumes**  $\delta: c \neq c'$  **and**  $c: c = CAnd \vee c = COr$  **and**  $c': c' = CAnd \vee c' = COr$   
**shows** *only-c-inside*  $c\ \varphi \implies \text{c-in-c'-only } c\ c'\ \varphi$   
**unfolding** *c-in-c'-only-def* *all-subformula-st-def*  
**using** *only-c-inside-implies-c-in-c'-symb*  
**by** (*metis* *all-subformula-st-def* *assms*(1)  $c\ c'$  *only-c-inside-def* *subformula-trans*)

**lemma** *c-in-c'-symb-c-implies-only-c-inside*:

**assumes**  $\delta: c = CAnd \vee c = COr\ c' = CAnd \vee c' = COr\ c \neq c'$  **and** *wf*: *wf-conn*  $c\ [\varphi, \psi]$   
**and** *inv*: *no-equiv* (*conn*  $c\ l$ ) *no-imp* (*conn*  $c\ l$ ) *simple-not* (*conn*  $c\ l$ )  
**shows** *wf-conn*  $c\ l \implies \text{c-in-c'-only } c\ c'\ (\text{conn } c\ l) \implies (\forall \psi \in \text{set } l. \text{only-c-inside } c\ \psi)$

**using** *inv*

**proof** (*induct* *conn*  $c\ l$  *arbitrary: l* *rule: propo-induct-arity*)

**case** (*nullary*  $x$ )

```

then show ?case by (auto simp: wf-conn-list assms)
next
case (unary  $\varphi$  la)
then have  $c = CNot \wedge la = [\varphi]$  by (metis (no-types) wf-conn-list(8))
then show ?case using assms(2) assms(1) by blast
next
case (binary  $\varphi_1$   $\varphi_2$ )
note  $IH\varphi_1 = this(1)$  and  $IH\varphi_2 = this(2)$  and  $\varphi = this(3)$  and  $only = this(5)$  and  $wf = this(4)$ 
and  $no-equiv = this(6)$  and  $no-imp = this(7)$  and  $simple-not = this(8)$ 
then have  $l: l = [\varphi_1, \varphi_2]$  by (meson wf-conn-list(4-7))
let ? $\varphi = conn\ c\ l$ 

obtain  $c_1\ l_1\ c_2\ l_2$  where  $\varphi_1: \varphi_1 = conn\ c_1\ l_1$  and  $wf\varphi_1: wf-conn\ c_1\ l_1$ 
and  $\varphi_2: \varphi_2 = conn\ c_2\ l_2$  and  $wf\varphi_2: wf-conn\ c_2\ l_2$  using exists-c-conn by metis
then have  $c-in-only\varphi_1: c-in-c'-only\ c\ c'$  (conn  $c_1\ l_1$ ) and  $c-in-c'-only\ c\ c'$  (conn  $c_2\ l_2$ )
using only l unfolding c-in-c'-only-def using assms(1) by auto
have  $inc\varphi_1: \varphi_1 \preceq ?\varphi$  and  $inc\varphi_2: \varphi_2 \preceq ?\varphi$ 
using  $\varphi_1\ \varphi_2\ \varphi\ local.wf$  by (metis conn.simps(5-8) helper-fact subformula-in-binary-conn(1,2))+

have  $c1-eq: c_1 \neq CEq$  and  $c2-eq: c_2 \neq CEq$ 
unfolding no-equiv-def using  $inc\varphi_1\ inc\varphi_2$  by (metis  $\varphi_1\ \varphi_2\ wf\varphi_1\ wf\varphi_2\ assms(1)\ no-equiv$ 
no-equiv-eq(1) no-equiv-symb.elims(3) no-equiv-symb-conn-characterization wf-conn-list(4,5)
no-equiv-def subformula-all-subformula-st)+
have  $c1-imp: c_1 \neq CImp$  and  $c2-imp: c_2 \neq CImp$ 
using no-imp by (metis  $\varphi_1\ \varphi_2\ all-subformula-st-decomp-explicit-imp(2,3)\ assms(1)$ 
conn.simps(5,6) l no-imp-imp(1) no-imp-symb.elims(3) no-imp-symb-conn-characterization
wf $\varphi_1\ wf\varphi_2\ all-subformula-st-decomp\ no-imp-symb-conn-characterization$ )+
have  $c1c: c_1 \neq c'$ 
proof
assume  $c1c: c_1 = c'$ 
then obtain  $\xi_1\ \xi_2$  where  $l_1: l_1 = [\xi_1, \xi_2]$ 
by (metis assms(2) connective.distinct(37,39) helper-fact wf $\varphi_1\ wf-conn.simps$ 
wf-conn-list-decomp(1-3))
have  $c-in-c'-only\ c\ c'$  (conn  $c\ [conn\ c'\ l_1, \varphi_2]$ ) using  $c1c\ l\ only\ \varphi_1$  by auto
moreover have  $not-c-in-c'-symb\ c\ c'$  (conn  $c\ [conn\ c'\ l_1, \varphi_2]$ )
using  $l_1\ \varphi_1\ c1c\ l\ local.wf\ not-c-in-c'-symb-l\ wf\varphi_1$  by blast
ultimately show False using  $\varphi_1\ c1c\ l\ l_1\ local.wf\ not-c-in-c'-simp(4)\ wf\varphi_1$  by blast
qed
then have  $(\varphi_1 = conn\ c\ l_1 \wedge wf-conn\ c\ l_1) \vee (\exists\psi_1. \varphi_1 = FNot\ \psi_1) \vee simple\ \varphi_1$ 
by (metis  $\varphi_1\ assms(1-3)\ c1-eq\ c1-imp\ simple.elims(3)\ wf\varphi_1\ wf-conn-list(4)\ wf-conn-list(5-7)$ )
moreover {
assume  $\varphi_1 = conn\ c\ l_1 \wedge wf-conn\ c\ l_1$ 
then have only-c-inside  $c\ \varphi_1$ 
by (metis  $IH\varphi_1\ \varphi_1\ all-subformula-st-decomp-imp\ inc\varphi_1\ no-equiv\ no-equiv-def\ no-imp\ no-imp-def$ 
c-in-only $\varphi_1\ only-c-inside-def\ only-c-inside-into-only-c-inside\ simple-not\ simple-not-def$ 
subformula-all-subformula-st)
}
moreover {
assume  $\exists\psi_1. \varphi_1 = FNot\ \psi_1$ 
then obtain  $\psi_1$  where  $\varphi_1 = FNot\ \psi_1$  by metis
then have only-c-inside  $c\ \varphi_1$ 
by (metis all-subformula-st-def assms(1) connective.distinct(37,39)  $inc\varphi_1$ 
only-c-inside-decomp-not simple-not simple-not-def simple-not-symb.simps(1))
}
moreover {
assume simple  $\varphi_1$ 

```

**then have** *only-c-inside*  $c \ \varphi 1$   
**by** (*metis all-subformula-st-decomp-explicit*(3) *assms*(1) *connective.distinct*(37,39)  
*only-c-inside-decomp-not only-c-inside-def*)  
**}**  
**ultimately have** *only-c-inside* $\varphi 1$ : *only-c-inside*  $c \ \varphi 1$  **by** *metis*

**have** *c-in-only* $\varphi 2$ : *c-in-c'-only*  $c \ c' \ (\text{conn } c2 \ l2)$   
**using** *only*  $l \ \varphi 2 \ \text{wf} \ \varphi 2 \ \text{assms}$  **unfolding** *c-in-c'-only-def* **by** *auto*  
**have** *c2c*:  $c2 \neq c'$   
**proof**  
**assume** *c2c*:  $c2 = c'$   
**then obtain**  $\xi 1 \ \xi 2$  **where**  $l2: l2 = [\xi 1, \xi 2]$   
**by** (*metis assms*(2) *wf* $\varphi 2$  *wf-conn.simps* *connective.distinct*(7,9,19,21,29,31,37,39))  
**then have** *c-in-c'-symb*  $c \ c' \ (\text{conn } c \ [\varphi 1, \text{conn } c' \ l2])$   
**using** *c2c*  $l$  *only*  $\varphi 2$  *all-subformula-st-test-symb-true-phi* **unfolding** *c-in-c'-only-def* **by** *auto*  
**moreover have** *not-c-in-c'-symb*  $c \ c' \ (\text{conn } c \ [\varphi 1, \text{conn } c' \ l2])$   
**using** *assms*(1) *c2c*  $l2$  *not-c-in-c'-symb-r* *wf* $\varphi 2$  *wf-conn-helper-facts*(5,6) **by** *metis*  
**ultimately show** *False* **by** *auto*  
**qed**

**then have**  $(\varphi 2 = \text{conn } c \ l2 \wedge \text{wf-conn } c \ l2) \vee (\exists \psi 2. \varphi 2 = \text{FNot } \psi 2) \vee \text{simple } \varphi 2$   
**using** *c2-eq* **by** (*metis*  $\varphi 2$  *assms*(1-3) *c2-eq* *c2-imp* *simple.elims*(3) *wf* $\varphi 2$  *wf-conn-list*(4-7))  
**moreover** {  
**assume**  $\varphi 2 = \text{conn } c \ l2 \wedge \text{wf-conn } c \ l2$   
**then have** *only-c-inside*  $c \ \varphi 2$   
**by** (*metis IH* $\varphi 2$   $\varphi 2$  *all-subformula-st-decomp* *inc* $\varphi 2$  *no-equiv* *no-equiv-def* *no-imp* *no-imp-def*  
*c-in-only* $\varphi 2$  *only-c-inside-def* *only-c-inside-into-only-c-inside* *simple-not* *simple-not-def*  
*subformula-all-subformula-st*)  
**}**

**moreover** {  
**assume**  $\exists \psi 2. \varphi 2 = \text{FNot } \psi 2$   
**then obtain**  $\psi 2$  **where**  $\varphi 2 = \text{FNot } \psi 2$  **by** *metis*  
**then have** *only-c-inside*  $c \ \varphi 2$   
**by** (*metis all-subformula-st-def* *assms*(1-3) *connective.distinct*(38,40) *inc* $\varphi 2$   
*only-c-inside-decomp-not* *simple-not* *simple-not-def* *simple-not-symb.simps*(1))  
**}**

**moreover** {  
**assume** *simple*  $\varphi 2$   
**then have** *only-c-inside*  $c \ \varphi 2$   
**by** (*metis all-subformula-st-decomp-explicit*(3) *assms*(1) *connective.distinct*(37,39)  
*only-c-inside-decomp-not only-c-inside-def*)  
**}**

**ultimately have** *only-c-inside* $\varphi 2$ : *only-c-inside*  $c \ \varphi 2$  **by** *metis*  
**show** *?case* **using**  $l$  *only-c-inside* $\varphi 1$  *only-c-inside* $\varphi 2$  **by** *auto*  
**qed**

## Push Conjunction

**definition** *pushConj* **where** *pushConj* = *push-conn-inside* *CAnd* *COr*

**lemma** *pushConj-consistent*: *preserve-models* *pushConj*  
**unfolding** *pushConj-def* **by** (*simp* *add*: *push-conn-inside-consistent*)

**definition** *and-in-or-symb* **where** *and-in-or-symb* = *c-in-c'-symb* *CAnd* *COr*

**definition** *and-in-or-only* **where**  
*and-in-or-only* = *all-subformula-st* (*c-in-c'-symb* *CAnd* *COr*)

**lemma** *pushConj-inv*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *full (propo-rew-step pushConj)  $\varphi \psi$*   
**and** *no-equiv  $\varphi$  and no-imp  $\varphi$  and no-T-F-except-top-level  $\varphi$  and simple-not  $\varphi$*   
**shows** *no-equiv  $\psi$  and no-imp  $\psi$  and no-T-F-except-top-level  $\psi$  and simple-not  $\psi$*   
**using** *push-conn-inside-inv assms unfolding pushConj-def by metis+*

**lemma** *pushConj-full-propo-rew-step*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
*no-equiv  $\varphi$  and*  
*no-imp  $\varphi$  and*  
*full (propo-rew-step pushConj)  $\varphi \psi$  and*  
*no-T-F-except-top-level  $\varphi$  and*  
*simple-not  $\varphi$*   
**shows** *and-in-or-only  $\psi$*   
**using** *assms push-conn-inside-full-propo-rew-step*  
**unfolding** *pushConj-def and-in-or-only-def c-in-c'-only-def by (metis (no-types))*

## Push Disjunction

**definition** *pushDisj* **where** *pushDisj = push-conn-inside COr CAnd*

**lemma** *pushDisj-consistent: preserve-models pushDisj*  
**unfolding** *pushDisj-def by (simp add: push-conn-inside-consistent)*

**definition** *or-in-and-symb* **where** *or-in-and-symb = c-in-c'-symb COr CAnd*

**definition** *or-in-and-only* **where**  
*or-in-and-only = all-subformula-st (c-in-c'-symb COr CAnd)*

**lemma** *not-or-in-and-only-or-and[simp]*:  
 $\sim \text{or-in-and-only } (FOr (FAnd \psi1 \psi2) \varphi')$   
**unfolding** *or-in-and-only-def*  
**by** *(metis all-subformula-st-test-symb-true-phi conn.simps(5-6) not-c-in-c'-symb-l wf-conn-helper-facts(5) wf-conn-helper-facts(6))*

**lemma** *pushDisj-inv*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *full (propo-rew-step pushDisj)  $\varphi \psi$*   
**and** *no-equiv  $\varphi$  and no-imp  $\varphi$  and no-T-F-except-top-level  $\varphi$  and simple-not  $\varphi$*   
**shows** *no-equiv  $\psi$  and no-imp  $\psi$  and no-T-F-except-top-level  $\psi$  and simple-not  $\psi$*   
**using** *push-conn-inside-inv assms unfolding pushDisj-def by metis+*

**lemma** *pushDisj-full-propo-rew-step*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
*no-equiv  $\varphi$  and*  
*no-imp  $\varphi$  and*  
*full (propo-rew-step pushDisj)  $\varphi \psi$  and*  
*no-T-F-except-top-level  $\varphi$  and*  
*simple-not  $\varphi$*   
**shows** *or-in-and-only  $\psi$*

**using** *assms push-conn-inside-full-propo-rew-step*  
**unfolding** *pushDisj-def or-in-and-only-def c-in-c'-only-def* **by** (*metis (no-types)*)

## 1.6 The Full Transformations

### 1.6.1 Abstract Definition

The normal form is a super group of groups

**inductive** *grouped-by* :: 'a connective  $\Rightarrow$  'a propo  $\Rightarrow$  bool **for** *c* **where**  
*simple-is-grouped*[*simp*]: *simple*  $\varphi \Longrightarrow$  *grouped-by* *c*  $\varphi$  |  
*simple-not-is-grouped*[*simp*]: *simple*  $\varphi \Longrightarrow$  *grouped-by* *c* (*FNot*  $\varphi$ ) |  
*connected-is-group*[*simp*]: *grouped-by* *c*  $\varphi \Longrightarrow$  *grouped-by* *c*  $\psi \Longrightarrow$  *wf-conn* *c* [ $\varphi$ ,  $\psi$ ]  
 $\Longrightarrow$  *grouped-by* *c* (*conn* *c* [ $\varphi$ ,  $\psi$ ])

**lemma** *simple-clause*[*simp*]:

*grouped-by* *c* *FT*  
*grouped-by* *c* *FF*  
*grouped-by* *c* (*FVar* *x*)  
*grouped-by* *c* (*FNot* *FT*)  
*grouped-by* *c* (*FNot* *FF*)  
*grouped-by* *c* (*FNot* (*FVar* *x*))  
**by** *simp+*

**lemma** *only-c-inside-symb-c-eq-c'*:

*only-c-inside-symb* *c* (*conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ])  $\Longrightarrow$  *c'* = *CAnd*  $\vee$  *c'* = *COr*  $\Longrightarrow$  *wf-conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ]  
 $\Longrightarrow$  *c'* = *c*  
**by** (*induct* *conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ] *rule*: *only-c-inside-symb.induct*, *auto simp: conn-inj*)

**lemma** *only-c-inside-c-eq-c'*:

*only-c-inside* *c* (*conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ])  $\Longrightarrow$  *c'* = *CAnd*  $\vee$  *c'* = *COr*  $\Longrightarrow$  *wf-conn* *c'* [ $\varphi 1$ ,  $\varphi 2$ ]  $\Longrightarrow$  *c* = *c'*  
**unfolding** *only-c-inside-def all-subformula-st-def* **using** *only-c-inside-symb-c-eq-c'* *subformula-refl*  
**by** *blast*

**lemma** *only-c-inside-imp-grouped-by*:

**assumes** *c*: *c*  $\neq$  *CNot* **and** *c'*: *c'* = *CAnd*  $\vee$  *c'* = *COr*  
**shows** *only-c-inside* *c*  $\varphi \Longrightarrow$  *grouped-by* *c*  $\varphi$  (**is** *?O*  $\varphi \Longrightarrow$  *?G*  $\varphi$ )

**proof** (*induct*  $\varphi$  *rule*: *propo-induct-arity*)

**case** (*nullary*  $\varphi$  *x*)  
**then show** *?G*  $\varphi$  **by** *auto*

**next**

**case** (*unary*  $\psi$ )  
**then show** *?G* (*FNot*  $\psi$ ) **by** (*auto simp: c*)

**next**

**case** (*binary*  $\varphi$   $\varphi 1$   $\varphi 2$ )

**note** *IH*  $\varphi 1 =$  *this*(1) **and** *IH*  $\varphi 2 =$  *this*(2) **and**  $\varphi =$  *this*(3) **and** *only* = *this*(4)

**have** *var-conn*:  $\varphi =$  *conn* *c* [ $\varphi 1$ ,  $\varphi 2$ ] **and** *wf*: *wf-conn* *c* [ $\varphi 1$ ,  $\varphi 2$ ]

**proof** –

**obtain** *c''* *l''* **where**  $\varphi =$  *conn* *c''* *l''* **and** *wf*: *wf-conn* *c''* *l''*

**using** *exists-c-conn* **by** *metis*

**then have** *l''*: *l''* = [ $\varphi 1$ ,  $\varphi 2$ ] **using**  $\varphi$  **by** (*metis wf-conn-list*(4–7))

**have** *only-c-inside-symb* *c* (*conn* *c''* [ $\varphi 1$ ,  $\varphi 2$ ])

**using** *only all-subformula-st-test-symb-true-phi*

**unfolding** *only-c-inside-def*  $\varphi =$  *c''* *l''* **by** *metis*

**then have** *c* = *c''*

```

  by (metis  $\varphi$   $\varphi$ -c'' conn-inj conn-inj-not(2) l'' list.distinct(1) list.inject wf
      only-c-inside-symb.cases simple.simps(5-8))
  then show  $\varphi = \text{conn } c [\varphi 1, \varphi 2]$  and wf-conn  $c [\varphi 1, \varphi 2]$  using  $\varphi$ -c'' wf l'' by auto
qed
have grouped-by  $c \varphi 1$  using wf IH $\varphi 1$  IH $\varphi 2$   $\varphi$ -conn only  $\varphi$  unfolding only-c-inside-def by auto
moreover have grouped-by  $c \varphi 2$ 
  using wf  $\varphi$  IH $\varphi 1$  IH $\varphi 2$   $\varphi$ -conn only unfolding only-c-inside-def by auto
ultimately show ?G  $\varphi$  using  $\varphi$ -conn connected-is-group local.wf by blast
qed

```

**lemma** *grouped-by-false*:

```

grouped-by  $c (\text{conn } c' [\varphi, \psi]) \implies c \neq c' \implies \text{wf-conn } c' [\varphi, \psi] \implies \text{False}$ 
apply (induct conn  $c' [\varphi, \psi]$  rule: grouped-by.induct)
apply (auto simp: simple-decomp wf-conn-list, auto simp: conn-inj)
by (metis list.distinct(1) list.sel(3) wf-conn-list(8))+

```

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas in CNF form can be related by an and.

```

inductive super-grouped-by:: 'a connective  $\implies$  'a connective  $\implies$  'a propo  $\implies$  bool for  $c \ c'$  where
grouped-is-super-grouped[simp]: grouped-by  $c \varphi \implies \text{super-grouped-by } c \ c' \varphi \mid$ 
connected-is-super-group: super-grouped-by  $c \ c' \varphi \implies \text{super-grouped-by } c \ c' \psi \implies \text{wf-conn } c [\varphi, \psi]$ 
 $\implies \text{super-grouped-by } c \ c' (\text{conn } c' [\varphi, \psi])$ 

```

**lemma** *simple-cnf[simp]*:

```

super-grouped-by  $c \ c' \text{ FT}$ 
super-grouped-by  $c \ c' \text{ FF}$ 
super-grouped-by  $c \ c' (\text{FVar } x)$ 
super-grouped-by  $c \ c' (\text{FNot } \text{FT})$ 
super-grouped-by  $c \ c' (\text{FNot } \text{FF})$ 
super-grouped-by  $c \ c' (\text{FNot } (\text{FVar } x))$ 
by auto

```

**lemma** *c-in-c'-only-super-grouped-by*:

```

assumes  $c: c = \text{CAnd} \vee c = \text{COr}$  and  $c': c' = \text{CAnd} \vee c' = \text{COr}$  and  $cc': c \neq c'$ 
shows no-equiv  $\varphi \implies \text{no-imp } \varphi \implies \text{simple-not } \varphi \implies \text{c-in-c'-only } c \ c' \varphi$ 
 $\implies \text{super-grouped-by } c \ c' \varphi$ 
(is ?NE  $\varphi \implies$  ?NI  $\varphi \implies$  ?SN  $\varphi \implies$  ?C  $\varphi \implies$  ?S  $\varphi$ )

```

**proof** (induct  $\varphi$  rule: propo-induct-arity)

```

case (nullary  $\varphi \ x$ )
then show ?S  $\varphi$  by auto

```

**next**

```

case (unary  $\varphi$ )
then have simple-not-symb (FNot  $\varphi$ )
  using all-subformula-st-test-symb-true-phi unfolding simple-not-def by blast
then have  $\varphi = \text{FT} \vee \varphi = \text{FF} \vee (\exists \ x. \varphi = \text{FVar } x)$  by (cases  $\varphi$ , auto)
then show ?S (FNot  $\varphi$ ) by auto

```

**next**

```

case (binary  $\varphi \ \varphi 1 \ \varphi 2$ )
note IH $\varphi 1 = \text{this}(1)$  and IH $\varphi 2 = \text{this}(2)$  and no-equiv =  $\text{this}(4)$  and no-imp =  $\text{this}(5)$ 
and simpleN =  $\text{this}(6)$  and c-in-c'-only =  $\text{this}(7)$  and  $\varphi' = \text{this}(3)$ 
{
  assume  $\varphi = \text{FImp } \varphi 1 \ \varphi 2 \vee \varphi = \text{FEq } \varphi 1 \ \varphi 2$ 
  then have False using no-equiv no-imp by auto
  then have ?S  $\varphi$  by auto
}

```

```

moreover {
  assume  $\varphi: \varphi = \text{conn } c' [\varphi1, \varphi2] \wedge \text{wf-conn } c' [\varphi1, \varphi2]$ 
  have c-in-c'-only:  $c\text{-in-}c'\text{-only } c \ c' \ \varphi1 \wedge c\text{-in-}c'\text{-only } c \ c' \ \varphi2 \wedge c\text{-in-}c'\text{-symb } c \ c' \ \varphi$ 
  using c-in-c'-only  $\varphi'$  unfolding c-in-c'-only-def by auto
  have super-grouped-by  $c \ c' \ \varphi1$  using  $\varphi \ c' \ \text{no-equiv no-imp simpleN IH}\varphi1$  c-in-c'-only by auto
  moreover have super-grouped-by  $c \ c' \ \varphi2$ 
  using  $\varphi \ c' \ \text{no-equiv no-imp simpleN IH}\varphi2$  c-in-c'-only by auto
  ultimately have  $?S \ \varphi$ 
  using super-grouped-by.intros(2)  $\varphi$  by (metis  $c \ \text{wf-conn-helper-facts}(5,6)$ )
}
moreover {
  assume  $\varphi: \varphi = \text{conn } c [\varphi1, \varphi2] \wedge \text{wf-conn } c [\varphi1, \varphi2]$ 
  then have only-c-inside  $c \ \varphi1 \wedge \text{only-c-inside } c \ \varphi2$ 
  using c-in-c'-symb-c-implies-only-c-inside  $c \ c' \ c\text{-in-}c'\text{-only list.set-intros}(1)$ 
  wf-conn-helper-facts(5,6) no-equiv no-imp simpleN last-ConsL last-ConsR last-in-set
  list.distinct(1) by (metis (no-types, hide-lams)  $cc'$ )
  then have only-c-inside  $c \ (\text{conn } c [\varphi1, \varphi2])$ 
  unfolding only-c-inside-def using  $\varphi$ 
  by (simp add: only-c-inside-into-only-c-inside all-subformula-st-decomp)
  then have grouped-by  $c \ \varphi$  using  $\varphi$  only-c-inside-imp-grouped-by  $c$  by blast
  then have  $?S \ \varphi$  using super-grouped-by.intros(1) by metis
}
ultimately show  $?S \ \varphi$  by (metis  $\varphi' \ c \ c' \ cc' \ \text{conn.simps}(5,6) \ \text{wf-conn-helper-facts}(5,6)$ )
qed

```

## 1.6.2 Conjunctive Normal Form

### Definition

**definition** *is-conj-with-TF* **where** *is-conj-with-TF* == *super-grouped-by COr CAnd*

**lemma** *or-in-and-only-conjunction-in-disj*:

**shows**  $\text{no-equiv } \varphi \implies \text{no-imp } \varphi \implies \text{simple-not } \varphi \implies \text{or-in-and-only } \varphi \implies \text{is-conj-with-TF } \varphi$   
**using** *c-in-c'-only-super-grouped-by*  
**unfolding** *is-conj-with-TF-def or-in-and-only-def c-in-c'-only-def*  
**by** (*simp add: c-in-c'-only-def c-in-c'-only-super-grouped-by*)

**definition** *is-cnf* **where**

*is-cnf*  $\varphi \equiv \text{is-conj-with-TF } \varphi \wedge \text{no-T-F-except-top-level } \varphi$

### Full CNF transformation

The full CNF transformation consists simply in chaining all the transformation defined before.

**definition** *cnf-rew* **where** *cnf-rew* =  
*(full (propo-rew-step elim-equiv)) OO*  
*(full (propo-rew-step elim-imp)) OO*  
*(full (propo-rew-step elimTB)) OO*  
*(full (propo-rew-step pushNeg)) OO*  
*(full (propo-rew-step pushDisj))*

**lemma** *cnf-rew-equivalent: preserve-models cnf-rew*

**by** (*simp add: cnf-rew-def elimEquv-lifted-consistant elim-imp-lifted-consistant elimTB-consistent*  
*preserve-models-OO pushDisj-consistent pushNeg-lifted-consistant*)

**lemma** *cnf-rew-is-cnf*:  $\text{cnf-rew } \varphi \ \varphi' \implies \text{is-cnf } \varphi'$



**apply** (*unfold cnf-rew-def OO-def*)  
**apply** *auto*  
**proof** –  
**fix**  $\varphi Eq \varphi Imp \varphi TB \varphi Neg \varphi Disj :: 'v \text{ propo}$   
**assume** *Eq: full (propo-rew-step elim-equiv)  $\varphi \varphi Eq$*   
**then have** *no-equiv: no-equiv  $\varphi Eq$  using no-equiv-full-propo-rew-step-elim-equiv by blast*  
  
**assume** *Imp: full (propo-rew-step elim-imp)  $\varphi Eq \varphi Imp$*   
**then have** *no-imp: no-imp  $\varphi Imp$  using no-imp-full-propo-rew-step-elim-imp by blast*  
**have** *no-imp-inv: no-equiv  $\varphi Imp$  using no-equiv Imp elim-imp-inv by blast*  
  
**assume** *TB: full (propo-rew-step elimTB)  $\varphi Imp \varphi TB$*   
**then have** *noTB: no-T-F-except-top-level  $\varphi TB$*   
**using** *no-imp-inv no-imp elimTB-full-propo-rew-step by blast*  
**have** *noTB-inv: no-equiv  $\varphi TB$  no-imp  $\varphi TB$  using elimTB-inv TB no-imp no-imp-inv by blast+*  
  
**assume** *Neg: full (propo-rew-step pushNeg)  $\varphi TB \varphi Neg$*   
**then have** *noNeg: simple-not  $\varphi Neg$*   
**using** *noTB-inv noTB pushNeg-full-propo-rew-step by blast*  
**have** *noNeg-inv: no-equiv  $\varphi Neg$  no-imp  $\varphi Neg$  no-T-F-except-top-level  $\varphi Neg$*   
**using** *pushNeg-inv Neg noTB noTB-inv by blast+*  
  
**assume** *Disj: full (propo-rew-step pushDisj)  $\varphi Neg \varphi Disj$*   
**then have** *no-Disj: or-in-and-only  $\varphi Disj$*   
**using** *noNeg-inv noNeg pushDisj-full-propo-rew-step by blast*  
**have** *noDisj-inv: no-equiv  $\varphi Disj$  no-imp  $\varphi Disj$  no-T-F-except-top-level  $\varphi Disj$*   
*simple-not  $\varphi Disj$*   
**using** *pushDisj-inv Disj noNeg noNeg-inv by blast+*  
  
**moreover have** *is-conj-with-TF  $\varphi Disj$*   
**using** *or-in-and-only-conjunction-in-disj noDisj-inv no-Disj by blast*  
**ultimately show** *is-cnf  $\varphi Disj$  unfolding is-cnf-def by blast*  
**qed**

### 1.6.3 Disjunctive Normal Form

#### Definition

**definition** *is-disj-with-TF* **where** *is-disj-with-TF*  $\equiv$  *super-grouped-by CAnd COr*

**lemma** *and-in-or-only-conjunction-in-disj:*

**shows** *no-equiv  $\varphi \implies$  no-imp  $\varphi \implies$  simple-not  $\varphi \implies$  and-in-or-only  $\varphi \implies$  is-disj-with-TF  $\varphi$*   
**using** *c-in-c'-only-super-grouped-by*  
**unfolding** *is-disj-with-TF-def and-in-or-only-def c-in-c'-only-def*  
**by** (*simp add: c-in-c'-only-def c-in-c'-only-super-grouped-by*)

**definition** *is-dnf*  $:: 'a \text{ propo} \Rightarrow \text{bool}$  **where**

*is-dnf  $\varphi \longleftrightarrow$  is-disj-with-TF  $\varphi \wedge$  no-T-F-except-top-level  $\varphi$*

#### Full DNF transform

The full DNF transformation consists simply in chaining all the transformation defined before.

**definition** *dnf-rew* **where** *dnf-rew*  $\equiv$   
*(full (propo-rew-step elim-equiv)) OO*  
*(full (propo-rew-step elim-imp)) OO*  
*(full (propo-rew-step elimTB)) OO*

(full (propo-rew-step pushNeg)) OO  
 (full (propo-rew-step pushConj))

**lemma** *dnf-rew-consistent: preserve-models dnf-rew*

**by** (simp add: dnf-rew-def elimEqv-lifted-consistant elim-imp-lifted-consistant elimTB-consistent  
 preserve-models-OO pushConj-consistent pushNeg-lifted-consistant)

**theorem** *dnf-transformation-correction:*

*dnf-rew  $\varphi \varphi' \implies$  is-dnf  $\varphi'$*

**apply** (unfold dnf-rew-def OO-def)

**by** (meson and-in-or-only-conjunction-in-disj elimTB-full-propo-rew-step elimTB-inv(1,2)  
 elim-imp-inv is-dnf-def no-equiv-full-propo-rew-step-elim-equiv  
 no-imp-full-propo-rew-step-elim-imp pushConj-full-propo-rew-step pushConj-inv(1-4)  
 pushNeg-full-propo-rew-step pushNeg-inv(1-3))

## 1.7 More aggressive simplifications: Removing true and false at the beginning

### 1.7.1 Transformation

We should remove *FT* and *FF* at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

**inductive** *elimTBFull* **where**

*ElimTBFull1*[simp]: *elimTBFull (FAnd  $\varphi$  FT)  $\varphi$  |*  
*ElimTBFull1'*[simp]: *elimTBFull (FAnd FT  $\varphi$ )  $\varphi$  |*

*ElimTBFull2*[simp]: *elimTBFull (FAnd  $\varphi$  FF) FF |*  
*ElimTBFull2'*[simp]: *elimTBFull (FAnd FF  $\varphi$ ) FF |*

*ElimTBFull3*[simp]: *elimTBFull (FOr  $\varphi$  FT) FT |*  
*ElimTBFull3'*[simp]: *elimTBFull (FOr FT  $\varphi$ ) FT |*

*ElimTBFull4*[simp]: *elimTBFull (FOr  $\varphi$  FF)  $\varphi$  |*  
*ElimTBFull4'*[simp]: *elimTBFull (FOr FF  $\varphi$ )  $\varphi$  |*

*ElimTBFull5*[simp]: *elimTBFull (FNot FT) FF |*  
*ElimTBFull5'*[simp]: *elimTBFull (FNot FF) FT |*

*ElimTBFull6-l*[simp]: *elimTBFull (FImp FT  $\varphi$ )  $\varphi$  |*  
*ElimTBFull6-l'*[simp]: *elimTBFull (FImp FF  $\varphi$ ) FT |*  
*ElimTBFull6-r*[simp]: *elimTBFull (FImp  $\varphi$  FT) FT |*  
*ElimTBFull6-r'*[simp]: *elimTBFull (FImp  $\varphi$  FF) (FNot  $\varphi$ ) |*

*ElimTBFull7-l*[simp]: *elimTBFull (FEq FT  $\varphi$ )  $\varphi$  |*  
*ElimTBFull7-l'*[simp]: *elimTBFull (FEq FF  $\varphi$ ) (FNot  $\varphi$ ) |*  
*ElimTBFull7-r*[simp]: *elimTBFull (FEq  $\varphi$  FT)  $\varphi$  |*  
*ElimTBFull7-r'*[simp]: *elimTBFull (FEq  $\varphi$  FF) (FNot  $\varphi$ )*

The transformation is still consistent.

**lemma** *elimTBFull-consistent: preserve-models elimTBFull*

**proof** –

{  
**fix**  $\varphi \psi:: 'b$  propo  
**have** *elimTBFull  $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$*

```

    by (induct-tac rule: elimTBFull.inducts, auto)
  }
  then show ?thesis using preserve-models-def by auto
qed

```

Contrary to the theorem *no-T-F-symb-except-toplevel-step-exists*, we do not need the assumption *no-equiv*  $\varphi$  and *no-imp*  $\varphi$ , since our transformation is more general.

**lemma** *no-T-F-symb-except-toplevel-step-exists'*:

```

  fixes  $\varphi :: 'v$  propo
  shows  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTBFull } \psi \psi'$ 
proof (induct  $\psi$  rule: propo-induct-arity)
  case (nullary  $\varphi'$ )
  then have False using no-T-F-symb-except-toplevel-true no-T-F-symb-except-toplevel-false by auto
  then show Ex (elimTBFull  $\varphi'$ ) by blast
next
  case (unary  $\psi$ )
  then have  $\psi = FF \vee \psi = FT$  using no-T-F-symb-except-toplevel-not-decom by blast
  then show Ex (elimTBFull (FNot  $\psi$ )) using ElimTBFull5 ElimTBFull5' by blast
next
  case (binary  $\varphi' \psi1 \psi2$ )
  then have  $\psi1 = FT \vee \psi2 = FT \vee \psi1 = FF \vee \psi2 = FF$ 
    by (metis binary-connectives-def conn.simps(5-8) insertI1 insert-commute
      no-T-F-symb-except-toplevel-bin-decom binary.hyps(3))
  then show Ex (elimTBFull  $\varphi'$ ) using elimTBFull.intros binary.hyps(3) by blast
qed

```

The same applies here. We do not need the assumption, but the deep link between  $\neg$  *no-T-F-except-top-level*  $\varphi$  and the existence of a rewriting step, still exists.

**lemma** *no-T-F-except-top-level-rew'*:

```

  fixes  $\varphi :: 'v$  propo
  assumes noTB:  $\neg \text{no-T-F-except-top-level } \varphi$ 
  shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTBFull } \psi \psi'$ 
proof -
  have test-symb-false-nullary:
     $\forall x. \text{no-T-F-symb-except-toplevel } (FF :: 'v \text{ propo}) \wedge \text{no-T-F-symb-except-toplevel } FT$ 
     $\wedge \text{no-T-F-symb-except-toplevel } (FVar (x :: 'v))$ 
  by auto
  moreover {
    fix  $c :: 'v$  connective and  $l :: 'v$  propo list and  $\psi :: 'v$  propo
    have H:  $\text{elimTBFull } (\text{conn } c \ l) \ \psi \implies \neg \text{no-T-F-symb-except-toplevel } (\text{conn } c \ l)$ 
      by (cases conn c l rule: elimTBFull.cases) auto
  }
  ultimately show ?thesis
    using no-test-symb-step-exists[of no-T-F-symb-except-toplevel  $\varphi$  elimTBFull] noTB
    no-T-F-symb-except-toplevel-step-exists' unfolding no-T-F-except-top-level-def by metis
qed

```

**lemma** *elimTBFull-full-propo-rew-step*:

```

  fixes  $\varphi \psi :: 'v$  propo
  assumes full (propo-rew-step elimTBFull)  $\varphi \psi$ 
  shows no-T-F-except-top-level  $\psi$ 
  using full-propo-rew-step-subformula no-T-F-except-top-level-rew' assms by fastforce

```

## 1.7.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

**lemma** *propo-rew-step-ElimEquiv-no-T-F*: *propo-rew-step elim-equiv*  $\varphi \psi \implies$  *no-T-F*  $\varphi \implies$  *no-T-F*  $\psi$

**proof** (*induct rule: propo-rew-step.induct*)

**fix**  $\varphi' :: 'v \text{ propo}$  **and**  $\psi' :: 'v \text{ propo}$

**assume** *a1*: *no-T-F*  $\varphi'$

**assume** *a2*: *elim-equiv*  $\varphi' \psi'$

**have**  $\forall x0 x1. (\neg \text{elim-equiv } (x1 :: 'v \text{ propo}) x0 \vee (\exists v2 v3 v4 v5 v6 v7. x1 = \text{FEq } v2 v3$   
 $\wedge x0 = \text{FAnd } (\text{FImp } v4 v5) (\text{FImp } v6 v7) \wedge v2 = v4 \wedge v4 = v7 \wedge v3 = v5 \wedge v3 = v6))$

$= (\neg \text{elim-equiv } x1 x0 \vee (\exists v2 v3 v4 v5 v6 v7. x1 = \text{FEq } v2 v3$   
 $\wedge x0 = \text{FAnd } (\text{FImp } v4 v5) (\text{FImp } v6 v7) \wedge v2 = v4 \wedge v4 = v7 \wedge v3 = v5 \wedge v3 = v6))$

**by** *meson*

**then have**  $\forall p pa. \neg \text{elim-equiv } (p :: 'v \text{ propo}) pa \vee (\exists pb pc pd pe pf pg. p = \text{FEq } pb pc$   
 $\wedge pa = \text{FAnd } (\text{FImp } pd pe) (\text{FImp } pf pg) \wedge pb = pd \wedge pd = pg \wedge pc = pe \wedge pc = pf)$

**using** *elim-equiv.cases* **by** *force*

**then show** *no-T-F*  $\psi'$  **using** *a1 a2* **by** *fastforce*

**next**

**fix**  $\varphi \varphi' :: 'v \text{ propo}$  **and**  $\xi \xi' :: 'v \text{ propo list}$  **and**  $c :: 'v \text{ connective}$

**assume** *rel*: *propo-rew-step elim-equiv*  $\varphi \varphi'$

**and** *IH*: *no-T-F*  $\varphi \implies$  *no-T-F*  $\varphi'$

**and** *corr*: *wf-conn*  $c (\xi @ \varphi \# \xi')$

**and** *no-T-F*: *no-T-F* (*conn*  $c (\xi @ \varphi \# \xi')$ )

{

**assume** *c*:  $c = \text{CNot}$

**then have** *empty*:  $\xi = [] \xi' = []$  **using** *corr* **by** *auto*

**then have** *no-T-F*  $\varphi$  **using** *no-T-F c no-T-F-decomp-not* **by** *auto*

**then have** *no-T-F* (*conn*  $c (\xi @ \varphi' \# \xi')$ ) **using** *c empty no-T-F-comp-not IH* **by** *auto*

}

**moreover** {

**assume** *c*:  $c \in \text{binary-connectives}$

**obtain** *a b* **where**  $ab: \xi @ \varphi \# \xi' = [a, b]$

**using** *corr c list-length2-decomp wf-conn-bin-list-length* **by** *metis*

**then have**  $\varphi: \varphi = a \vee \varphi = b$

**by** (*metis append.simps(1) append-is-Nil-conv list.distinct(1) list.sel(3) nth-Cons-0*  
*tl-append2*)

**have**  $\zeta: \forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \text{no-T-F } \zeta$

**using** *no-T-F unfolding no-T-F-def* **using** *corr all-subformula-st-decomp* **by** *blast*

**then have**  $\varphi': \text{no-T-F } \varphi'$  **using** *ab IH*  $\varphi$  **by** *auto*

**have**  $l': \xi @ \varphi' \# \xi' = [\varphi', b] \vee \xi @ \varphi' \# \xi' = [a, \varphi']$

**by** (*metis (no-types, hide-lams) ab append-Cons append-Nil append-Nil2 butlast.simps(2)*  
*butlast-append list.distinct(1) list.sel(3)*)

**then have**  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \text{no-T-F } \zeta$  **using**  $\zeta \varphi' ab$  **by** *fastforce*

**moreover**

**have**  $\forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \zeta \neq \text{FT} \wedge \zeta \neq \text{FF}$

**using**  $\zeta$  *corr no-T-F no-T-F-except-top-level-false no-T-F-no-T-F-except-top-level* **by** *blast*

**then have** *no-T-F-symb* (*conn*  $c (\xi @ \varphi' \# \xi')$ )

**by** (*metis*  $\varphi' l' ab$  *all-subformula-st-test-symb-true-phi c list.distinct(1)*

*list.set-intros(1,2) no-T-F-symb-except-toplevel-bin-decom*

*no-T-F-symb-except-toplevel-no-T-F-symb no-T-F-symb-false(1,2) no-T-F-def wf-conn-binary*

*wf-conn-list(1,2)*)

**ultimately have** *no-T-F* (*conn*  $c (\xi @ \varphi' \# \xi')$ )

```

    by (metis l' all-subformula-st-decomp-imp c no-T-F-def wf-conn-binary)
  }
  moreover {
    fix x
    assume c = CVar x ∨ c = CF ∨ c = CT
    then have False using corr by auto
    then have no-T-F (conn c (ξ @ φ' # ξ')) by auto
  }
  ultimately show no-T-F (conn c (ξ @ φ' # ξ')) using corr wf-conn.cases by metis
qed

```

lemma *elim-equiv-inv'*:

```

fixes φ ψ :: 'v propo
assumes full (propo-rew-step elim-equiv) φ ψ and no-T-F-except-top-level φ
shows no-T-F-except-top-level ψ

```

proof –

```

{
  fix φ ψ :: 'v propo
  have propo-rew-step elim-equiv φ ψ ⇒ no-T-F-except-top-level φ
    ⇒ no-T-F-except-top-level ψ
  proof –
    assume rel: propo-rew-step elim-equiv φ ψ
    and no: no-T-F-except-top-level φ
    {
      assume φ = FT ∨ φ = FF
      from rel this have False
      apply (induct rule: propo-rew-step.induct, auto simp: wf-conn-list(1,2))
      using elim-equiv.simps by blast+
      then have no-T-F-except-top-level ψ by blast
    }
    moreover {
      assume φ ≠ FT ∧ φ ≠ FF
      then have no-T-F φ
        by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
      then have no-T-F ψ using propo-rew-step-ElimEquiv-no-T-F rel by blast
      then have no-T-F-except-top-level ψ by (simp add: no-T-F-no-T-F-except-top-level)
    }
    ultimately show no-T-F-except-top-level ψ by metis
  qed
}

```

}

moreover {

```

  fix c :: 'v connective and ξ ξ' :: 'v propo list and ζ ζ' :: 'v propo
  assume rel: propo-rew-step elim-equiv ζ ζ'
  and incl: ζ ≤ φ
  and corr: wf-conn c (ξ @ ζ # ξ')
  and no-T-F: no-T-F-symb-except-toplevel (conn c (ξ @ ζ # ξ'))
  and n: no-T-F-symb-except-toplevel ζ'
  have no-T-F-symb-except-toplevel (conn c (ξ @ ζ' # ξ'))
  proof
    have p: no-T-F-symb (conn c (ξ @ ζ # ξ'))
      using corr wf-conn-list(1) wf-conn-list(2) no-T-F-symb-except-toplevel-no-T-F-symb no-T-F
      by blast
    have l: ∀ φ ∈ set (ξ @ ζ # ξ'). φ ≠ FT ∧ φ ≠ FF
      using corr wf-conn-no-T-F-symb-iff p by blast
    from rel incl have ζ' ≠ FT ∧ ζ' ≠ FF
    apply (induction ζ ζ' rule: propo-rew-step.induct)

```

```

    apply (cases rule: elim-equiv.cases, auto simp: elim-equiv.simps)
    by (metis append-is-Nil-conv list.distinct wf-conn-list(1,2) wf-conn-no-arity-change
        wf-conn-no-arity-change-helper)+
    then have  $\forall \varphi \in \text{set } (\xi @ \zeta' \# \xi')$ .  $\varphi \neq FT \wedge \varphi \neq FF$  using  $l$  by auto
    moreover have  $c \neq CT \wedge c \neq CF$  using corr by auto
    ultimately show no-T-F-symb (conn  $c$  ( $\xi @ \zeta' \# \xi'$ ))
      by (metis corr wf-conn-no-arity-change wf-conn-no-arity-change-helper no-T-F-symb-comp)
  qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
  using full-propo-rew-step-inv-stay-with-inc[of elim-equiv no-T-F-symb-except-toplevel  $\varphi$ ]
  assms subformula-refl unfolding no-T-F-except-top-level-def by metis
qed

```

**lemma** *propo-rew-step-ElimImp-no-T-F*: *propo-rew-step elim-imp*  $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$

**proof** (*induct rule: propo-rew-step.induct*)

```

  case (global-rel  $\varphi' \psi'$ )
  then show no-T-F  $\psi'$ 
    using elim-imp.cases no-T-F-comp-not no-T-F-decomp(1,2)
    by (metis no-T-F-comp-expanded-explicit(2))

```

**next**

```

  case (propo-rew-one-step-lift  $\varphi \varphi' c \xi \xi'$ )
  note rel = this(1) and IH = this(2) and corr = this(3) and no-T-F = this(4)

```

```

{
  assume  $c: c = CNot$ 
  then have empty:  $\xi = [] \ \xi' = []$  using corr by auto
  then have no-T-F  $\varphi$  using no-T-F c no-T-F-decomp-not by auto
  then have no-T-F (conn  $c$  ( $\xi @ \varphi' \# \xi'$ )) using c empty no-T-F-comp-not IH by auto
}

```

**moreover** {

```

  assume  $c: c \in \text{binary-connectives}$ 
  then obtain  $a \ b$  where  $ab: \xi @ \varphi \# \xi' = [a, b]$ 
    using corr list-length2-decomp wf-conn-bin-list-length by metis
  then have  $\varphi: \varphi = a \vee \varphi = b$ 
    by (metis append-self-conv2 wf-conn-list-decomp(4) wf-conn-unary list.discI list.sel(3)
        nth-Cons-0 tl-append2)
  have  $\zeta: \forall \zeta \in \text{set } (\xi @ \varphi \# \xi')$ . no-T-F  $\zeta$  using  $ab \ c$  propo-rew-one-step-lift.prems by auto

```

```

  then have  $\varphi': \text{no-T-F } \varphi'$ 
    using  $ab \ IH \ \varphi \ corr \ \text{no-T-F } \text{no-T-F-def all-subformula-st-decomp-explicit}$  by auto
  have  $\chi: \xi @ \varphi' \# \xi' = [\varphi', b] \vee \xi @ \varphi' \# \xi' = [a, \varphi']$ 
    by (metis (no-types, hide-lams) ab append-Cons append-Nil append-Nil2 butlast.simps(2)
        butlast-append list.distinct(1) list.sel(3))

```

```

  then have  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi')$ . no-T-F  $\zeta$  using  $\zeta \ \varphi' \ ab$  by fastforce

```

**moreover**

```

  have no-T-F (last ( $\xi @ \varphi' \# \xi'$ )) by (simp add: calculation)
  then have no-T-F-symb (conn  $c$  ( $\xi @ \varphi' \# \xi'$ ))
    by (metis  $\chi \ \varphi' \ \zeta \ ab \ \text{all-subformula-st-test-symb-true-phi } c \ \text{last.simps list.distinct}(1)
        list.set-intros(1) no-T-F-bin-decomp no-T-F-def)
  ultimately have no-T-F (conn  $c$  ( $\xi @ \varphi' \# \xi'$ )) using  $c \ \chi$  by fastforce
}$ 
```

**moreover** {

```

  fix  $x$ 
  assume  $c = CVar \ x \vee c = CF \vee c = CT$ 
  then have False using corr by auto

```

```

    then have no-T-F (conn c (ξ @ φ' # ξ')) by auto
  }
  ultimately show no-T-F (conn c (ξ @ φ' # ξ')) using corr wf-conn.cases by blast
qed

```

lemma *elim-imp-inv'*:

fixes  $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step elim-imp*)  $\varphi \psi$  and *no-T-F-except-top-level*  $\varphi$

shows *no-T-F-except-top-level*  $\psi$

proof –

```

{
  {
    fix  $\varphi \psi :: 'v \text{ propo}$ 
    have  $H: \text{elim-imp } \varphi \psi \implies \text{no-T-F-except-top-level } \varphi \implies \text{no-T-F-except-top-level } \psi$ 
      by (induct  $\varphi \psi$  rule: elim-imp.induct, auto)
  } note  $H = \text{this}$ 
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have propo-rew-step elim-imp  $\varphi \psi \implies \text{no-T-F-except-top-level } \varphi \implies \text{no-T-F-except-top-level } \psi$ 
  proof –
    assume rel: propo-rew-step elim-imp  $\varphi \psi$ 
    and no: no-T-F-except-top-level  $\varphi$ 
    {
      assume  $\varphi = FT \vee \varphi = FF$ 
      from rel this have False
      apply (induct rule: propo-rew-step.induct)
      by (cases rule: elim-imp.cases, auto simp: wf-conn-list(1,2))
      then have no-T-F-except-top-level  $\psi$  by blast
    }
    moreover {
      assume  $\varphi \neq FT \wedge \varphi \neq FF$ 
      then have no-T-F  $\varphi$ 
      by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
      then have no-T-F  $\psi$ 
      using rel propo-rew-step-ElimImp-no-T-F by blast
      then have no-T-F-except-top-level  $\psi$  by (simp add: no-T-F-no-T-F-except-top-level)
    }
    ultimately show no-T-F-except-top-level  $\psi$  by metis
  }
  qed
}

```

}

moreover {

fix  $c :: 'v \text{ connective}$  and  $\xi \xi' :: 'v \text{ propo list}$  and  $\zeta \zeta' :: 'v \text{ propo}$

assume *rel: propo-rew-step elim-imp*  $\zeta \zeta'$

and *incl:  $\zeta \preceq \varphi$*

and *corr: wf-conn*  $c (\xi @ \zeta \# \xi')$

and *no-T-F: no-T-F-symb-except-toplevel* (*conn*  $c (\xi @ \zeta \# \xi')$ )

and *n: no-T-F-symb-except-toplevel*  $\zeta'$

have *no-T-F-symb-except-toplevel* (*conn*  $c (\xi @ \zeta' \# \xi')$ )

proof

have  $p: \text{no-T-F-symb} (\text{conn } c (\xi @ \zeta \# \xi'))$

by (*simp add: corr no-T-F no-T-F-symb-except-toplevel-no-T-F-symb wf-conn-list(1,2)*)

have  $l: \forall \varphi \in \text{set } (\xi @ \zeta \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$

using *corr wf-conn-no-T-F-symb-iff*  $p$  by *blast*

from *rel incl* have  $\zeta' \neq FT \wedge \zeta' \neq FF$

apply (*induction*  $\zeta \zeta'$  rule: *propo-rew-step.induct*)

```

apply (cases rule: elim-imp.cases, auto)
using wf-conn-list(1,2) wf-conn-no-arity-change wf-conn-no-arity-change-helper
by (metis append-is-Nil-conv list.distinct(1))+
then have  $\forall \varphi \in \text{set } (\xi @ \zeta' \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$  using l by auto
moreover have  $c \neq CT \wedge c \neq CF$  using corr by auto
ultimately show no-T-F-symb (conn c ( $\xi @ \zeta' \# \xi'$ ))
using corr wf-conn-no-arity-change no-T-F-symb-comp
by (metis wf-conn-no-arity-change-helper)
qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
using full-propo-rew-step-inv-stay-with-inc[of elim-imp no-T-F-symb-except-toplevel  $\varphi$ ]
assms subformula-refl unfolding no-T-F-except-top-level-def by metis
qed

```

### 1.7.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

**definition**  $\text{dnf-rew}' :: 'a \text{ propo} \Rightarrow 'a \text{ propo} \Rightarrow \text{bool}$  **where**  
 $\text{dnf-rew}' =$

```

(full (propo-rew-step elimTBFull)) OO
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushConj))

```

**lemma**  $\text{dnf-rew}'$ -consistent: preserve-models  $\text{dnf-rew}'$

**by** (simp add:  $\text{dnf-rew}'$ -def elimEquv-lifted-consistant elim-imp-lifted-consistant  
elimTBFull-consistent preserve-models-OO pushConj-consistent pushNeg-lifted-consistant)

**theorem**  $\text{cnf}$ -transformation-correction:

$\text{dnf-rew}' \varphi \varphi' \Longrightarrow \text{is-dnf } \varphi'$

**unfolding**  $\text{dnf-rew}'$ -def OO-def

**by** (meson and-in-or-only-conjunction-in-disj elimTBFull-full-propo-rew-step elim-equiv-inv'  
elim-imp-inv elim-imp-inv' is-dnf-def no-equiv-full-propo-rew-step-elim-equiv  
no-imp-full-propo-rew-step-elim-imp pushConj-full-propo-rew-step pushConj-inv(1-4)  
pushNeg-full-propo-rew-step pushNeg-inv(1-3))

Given all the lemmas before the CNF transformation is easy to prove:

**definition**  $\text{cnf-rew}' :: 'a \text{ propo} \Rightarrow 'a \text{ propo} \Rightarrow \text{bool}$  **where**  
 $\text{cnf-rew}' =$

```

(full (propo-rew-step elimTBFull)) OO
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushDisj))

```

**lemma**  $\text{cnf-rew}'$ -consistent: preserve-models  $\text{cnf-rew}'$

**by** (simp add:  $\text{cnf-rew}'$ -def elimEquv-lifted-consistant elim-imp-lifted-consistant  
elimTBFull-consistent preserve-models-OO pushDisj-consistent pushNeg-lifted-consistant)

**theorem**  $\text{cnf}'$ -transformation-correction:

$\text{cnf-rew}' \varphi \varphi' \Longrightarrow \text{is-cnf } \varphi'$

**unfolding**  $\text{cnf-rew}'$ -def OO-def

**by** (meson elimTBFull-full-propo-rew-step elim-equiv-inv' elim-imp-inv elim-imp-inv' is-cnf-def)



*no-equiv-full-propo-rew-step-elim-equiv no-imp-full-propo-rew-step-elim-imp  
or-in-and-only-conjunction-in-disj pushDisj-full-propo-rew-step pushDisj-inv(1-4)  
pushNeg-full-propo-rew-step pushNeg-inv(1) pushNeg-inv(2) pushNeg-inv(3)*

**end**  
**theory** *Prop-Logic-Multiset*  
**imports** *Nested-Multisets-Ordinals.Multiset-More Prop-Normalisation*  
*Entailment-Definition.Partial-Herbrand-Interpretation*  
**begin**

## 1.8 Link with Multiset Version

### 1.8.1 Transformation to Multiset

**fun** *mset-of-conj* :: 'a propo  $\Rightarrow$  'a literal multiset **where**  
*mset-of-conj* (*FOr*  $\varphi$   $\psi$ ) = *mset-of-conj*  $\varphi$  + *mset-of-conj*  $\psi$  |  
*mset-of-conj* (*FVar*  $v$ ) = {# *Pos*  $v$  #} |  
*mset-of-conj* (*FNot* (*FVar*  $v$ )) = {# *Neg*  $v$  #} |  
*mset-of-conj* *FF* = {#}

**fun** *mset-of-formula* :: 'a propo  $\Rightarrow$  'a literal multiset set **where**  
*mset-of-formula* (*FAnd*  $\varphi$   $\psi$ ) = *mset-of-formula*  $\varphi$   $\cup$  *mset-of-formula*  $\psi$  |  
*mset-of-formula* (*FOr*  $\varphi$   $\psi$ ) = {*mset-of-conj* (*FOr*  $\varphi$   $\psi$ )} |  
*mset-of-formula* (*FVar*  $\psi$ ) = {*mset-of-conj* (*FVar*  $\psi$ )} |  
*mset-of-formula* (*FNot*  $\psi$ ) = {*mset-of-conj* (*FNot*  $\psi$ )} |  
*mset-of-formula* *FF* = {{#}} |  
*mset-of-formula* *FT* = {}

### 1.8.2 Equisatisfiability of the two Versions

**lemma** *is-conj-with-TF-FNot*:  
*is-conj-with-TF* (*FNot*  $\varphi$ )  $\longleftrightarrow$  ( $\exists v. \varphi = \text{FVar } v \vee \varphi = \text{FF} \vee \varphi = \text{FT}$ )  
**unfolding** *is-conj-with-TF-def* **apply** (*rule iffI*)  
**apply** (*induction FNot*  $\varphi$  *rule: super-grouped-by.induct*)  
**apply** (*induction FNot*  $\varphi$  *rule: grouped-by.induct*)  
**apply** *simp*  
**apply** (*cases*  $\varphi$ ; *simp*)  
**apply** *auto*  
**done**

**lemma** *grouped-by-COr-FNot*:  
*grouped-by COr* (*FNot*  $\varphi$ )  $\longleftrightarrow$  ( $\exists v. \varphi = \text{FVar } v \vee \varphi = \text{FF} \vee \varphi = \text{FT}$ )  
**unfolding** *is-conj-with-TF-def* **apply** (*rule iffI*)  
**apply** (*induction FNot*  $\varphi$  *rule: grouped-by.induct*)  
**apply** *simp*  
**apply** (*cases*  $\varphi$ ; *simp*)  
**apply** *auto*  
**done**

**lemma**  
**shows** *no-T-F-FF[simp]*:  $\neg$ *no-T-F FF* **and**  
*no-T-F-FT[simp]*:  $\neg$ *no-T-F FT*  
**unfolding** *no-T-F-def all-subformula-st-def* **by** *auto*

**lemma** *grouped-by-CAnd-FAnd*:  
*grouped-by CAnd* (*FAnd*  $\varphi 1$   $\varphi 2$ )  $\longleftrightarrow$  *grouped-by CAnd*  $\varphi 1 \wedge$  *grouped-by CAnd*  $\varphi 2$

**apply** (rule iffI)  
**apply** (induction FAnd  $\varphi 1$   $\varphi 2$  rule: grouped-by.induct)  
**using** connected-is-group[of CAnd  $\varphi 1$   $\varphi 2$ ] **by** auto

**lemma** grouped-by-COr-FOr:  
grouped-by COr (FOr  $\varphi 1$   $\varphi 2$ )  $\longleftrightarrow$  grouped-by COr  $\varphi 1 \wedge$  grouped-by COr  $\varphi 2$   
**apply** (rule iffI)  
**apply** (induction FOr  $\varphi 1$   $\varphi 2$  rule: grouped-by.induct)  
**using** connected-is-group[of COr  $\varphi 1$   $\varphi 2$ ] **by** auto

**lemma** grouped-by-COr-FAnd[simp]:  $\neg$  grouped-by COr (FAnd  $\varphi 1$   $\varphi 2$ )  
**apply** clarify  
**apply** (induction FAnd  $\varphi 1$   $\varphi 2$  rule: grouped-by.induct)  
**apply** auto  
**done**

**lemma** grouped-by-COr-FEq[simp]:  $\neg$  grouped-by COr (FEq  $\varphi 1$   $\varphi 2$ )  
**apply** clarify  
**apply** (induction FEq  $\varphi 1$   $\varphi 2$  rule: grouped-by.induct)  
**apply** auto  
**done**

**lemma** [simp]:  $\neg$ grouped-by COr (FImp  $\varphi$   $\psi$ )  
**apply** clarify  
**by** (induction FImp  $\varphi$   $\psi$  rule: grouped-by.induct) simp-all

**lemma** [simp]:  $\neg$  is-conj-with-TF (FImp  $\varphi$   $\psi$ )  
**unfolding** is-conj-with-TF-def **apply** clarify  
**by** (induction FImp  $\varphi$   $\psi$  rule: super-grouped-by.induct) simp-all

**lemma** [simp]:  $\neg$  is-conj-with-TF (FEq  $\varphi$   $\psi$ )  
**unfolding** is-conj-with-TF-def **apply** clarify  
**by** (induction FEq  $\varphi$   $\psi$  rule: super-grouped-by.induct) simp-all

**lemma** is-conj-with-TF-Fand:  
is-conj-with-TF (FAnd  $\varphi 1$   $\varphi 2$ )  $\implies$  is-conj-with-TF  $\varphi 1 \wedge$  is-conj-with-TF  $\varphi 2$   
**unfolding** is-conj-with-TF-def  
**apply** (induction FAnd  $\varphi 1$   $\varphi 2$  rule: super-grouped-by.induct)  
**apply** (auto simp: grouped-by-CAnd-FAnd intro: grouped-is-super-grouped)[]  
**apply** auto[]  
**done**

**lemma** is-conj-with-TF-FOr:  
is-conj-with-TF (FOr  $\varphi 1$   $\varphi 2$ )  $\implies$  grouped-by COr  $\varphi 1 \wedge$  grouped-by COr  $\varphi 2$   
**unfolding** is-conj-with-TF-def  
**apply** (induction FOr  $\varphi 1$   $\varphi 2$  rule: super-grouped-by.induct)  
**apply** (auto simp: grouped-by-COr-FOr)[]  
**apply** auto[]  
**done**

**lemma** grouped-by-COr-mset-of-formula:  
grouped-by COr  $\varphi \implies$  mset-of-formula  $\varphi =$  (if  $\varphi = FT$  then {} else {mset-of-conj  $\varphi$ )  
**by** (induction  $\varphi$ ) (auto simp add: grouped-by-COr-FNot)

When a formula is in CNF form, then there is equisatisfiability between the multiset version

and the CNF form. Remark that the definition for the entailment are slightly different: ( $\models$ ) uses a function assigning *True* or *False*, while ( $\models_s$ ) uses a set where being in the list means entailment of a literal.

**theorem** *cnf-eval-true-cls*:

```

fixes  $\varphi :: 'v \text{ propo}$ 
assumes is-cnf  $\varphi$ 
shows  $\text{eval } A \varphi \longleftrightarrow \text{Partial-Herbrand-Interpretation.true-cls } (\{\text{Pos } v \mid v. A \ v\} \cup \{\text{Neg } v \mid v. \neg A \ v\})$ 
  (mset-of-formula  $\varphi$ )
using assms
proof (induction  $\varphi$ )
  case FF
  then show ?case by auto
next
  case FT
  then show ?case by auto
next
  case (FVar  $v$ )
  then show ?case by auto
next
  case (FAnd  $\varphi \ \psi$ )
  then show ?case
    unfolding is-cnf-def by (auto simp: is-conj-with-TF-FNot dest: is-conj-with-TF-Fand
      dest!: is-conj-with-TF-FOr)
next
  case (FOr  $\varphi \ \psi$ )
  then have [simp]: mset-of-formula  $\varphi = \{\text{mset-of-conj } \varphi\}$  mset-of-formula  $\psi = \{\text{mset-of-conj } \psi\}$ 
    unfolding is-cnf-def by (auto dest!: is-conj-with-TF-FOr simp: grouped-by-COr-mset-of-formula
      split: if-splits)
  have is-conj-with-TF  $\varphi$  is-conj-with-TF  $\psi$ 
    using FOr(3) unfolding is-cnf-def no-T-F-def
    by (metis grouped-is-super-grouped is-conj-with-TF-FOr is-conj-with-TF-def)+
  then show ?case using FOr
    unfolding is-cnf-def by simp
next
  case (FImp  $\varphi \ \psi$ )
  then show ?case
    unfolding is-cnf-def by auto
next
  case (FEq  $\varphi \ \psi$ )
  then show ?case
    unfolding is-cnf-def by auto
next
  case (FNot  $\varphi$ )
  then show ?case
    unfolding is-cnf-def by (auto simp: is-conj-with-TF-FNot)
qed

```

**function** *formula-of-mset* :: '*a* clause  $\Rightarrow$  '*a* propo **where**

```

(formula-of-mset  $\varphi =$ 
  (if  $\varphi = \{\#\}$  then FF
  else
    let  $v = (\text{SOME } v. v \in \# \ \varphi);$ 
       $v' = (\text{if } \text{is-pos } v \text{ then } \text{FVar } (\text{atm-of } v) \text{ else } \text{FNot } (\text{FVar } (\text{atm-of } v)))$  in
    if remove1-mset  $v \ \varphi = \{\#\}$  then  $v'$ 
    else FOr  $v' (\text{formula-of-mset } (\text{remove1-mset } v \ \varphi))$ )

```

```

by auto
termination
  apply (relation ‹measure size›)
  apply (auto simp: size-mset-remove1-mset-le-iff)
  by (meson multiset-nonemptyE someI-ex)

lemma formula-of-mset-empty[simp]: ‹formula-of-mset {#} = FF›
  by (auto simp: Let-def)

lemma formula-of-mset-empty-iff[iff]: ‹formula-of-mset  $\varphi = FF \longleftrightarrow \varphi = \{\#\}$ ›
  by (induction  $\varphi$ ) (auto simp: Let-def)

declare formula-of-mset.simps[simp del]

function formula-of-msets :: 'a literal multiset set  $\Rightarrow$  'a propo where
  ‹formula-of-msets  $\varphi$ s =
    (if  $\varphi$ s = {}  $\vee$  infinite  $\varphi$ s then FT
     else
      let  $v = (\text{SOME } v. v \in \varphi$ s);
           $v' = \text{formula-of-mset } v$  in
      if  $\varphi$ s - { $v$ } = {} then  $v'$ 
      else FAnd  $v'$  (formula-of-msets ( $\varphi$ s - { $v$ })))›
  by auto
termination
  apply (relation ‹measure card›)
  apply (auto simp: some-in-eq)
  by (metis all-not-in-conv card-gt-0-iff diff-less lessI)

declare formula-of-msets.simps[simp del]

lemma remove1-mset-empty-iff:
  ‹remove1-mset  $v \varphi = \{\#\} \longleftrightarrow (\varphi = \{\#\} \vee \varphi = \{\#v\#\})$ ›
  using remove1-mset-eqE by force

definition fun-of-set where
  ‹fun-of-set  $A x = (\text{if } \text{Pos } x \in A \text{ then True else if } \text{Neg } x \in A \text{ then False else undefined})$ ›

lemma grouped-by-COr-formula-of-mset: ‹grouped-by COr (formula-of-mset  $\varphi$ )›
proof (induction ‹size  $\varphi$ › arbitrary:  $\varphi$ )
  case 0
  then show ?case by (subst formula-of-mset.simps) (auto simp: Let-def)
next
  case (Suc n) note IH = this(1) and s = this(2)
  then have ‹ $n = \text{size } (\text{remove1-mset } (\text{SOME } v. v \in \# \varphi) \varphi)$ › if ‹ $\varphi \neq \{\#\}$ ›
  using that by (auto simp: size-Diff-singleton-if some-in-eq)
  then show ?case
  using IH[of ‹remove1-mset (SOME  $v. v \in \# \varphi$ )  $\varphi$ ›]
  by(subst formula-of-mset.simps) (auto simp: Let-def grouped-by-COr-FOr)
qed
lemma no-T-F-formula-of-mset: ‹no-T-F (formula-of-mset  $\varphi$ )› if ‹formula-of-mset  $\varphi \neq FF$ › for  $\varphi$ 
  using that
proof (induction ‹size  $\varphi$ › arbitrary:  $\varphi$ )
  case 0
  then show ?case by (subst formula-of-mset.simps) (auto simp: Let-def no-T-F-def
    all-subformula-st-def)
next

```

**case** (*Suc n*) **note**  $IH = \text{this}(1)$  **and**  $s = \text{this}(2)$  **and**  $FF = \text{this}(3)$   
**then have**  $\langle n = \text{size } (\text{remove1-mset } (\text{SOME } v. v \in\# \varphi) \varphi) \rangle$  **if**  $\langle \varphi \neq \{\#\} \rangle$   
**using that by** (*auto simp: size-Diff-singleton-if some-in-eq*)  
**moreover have**  $\langle \text{no-T-F } (\text{FVar } (\text{atm-of } (\text{SOME } v. v \in\# \varphi))) \rangle$   
**by** (*auto simp: no-T-F-def*)  
**ultimately show** *?case*  
**using**  $IH[\text{of } \langle \text{remove1-mset } (\text{SOME } v. v \in\# \varphi) \varphi \rangle]$   $FF$   
**by**(*subst formula-of-mset.simps*) (*auto simp: Let-def grouped-by-COr-FOr*)  
**qed**

**lemma** *mset-of-conj-formula-of-mset*[*simp*]:  $\langle \text{mset-of-conj}(\text{formula-of-mset } \varphi) = \varphi \rangle$  **for**  $\varphi$   
**proof** (*induction*  $\langle \text{size } \varphi \rangle$  *arbitrary:*  $\varphi$ )

**case** 0  
**then show** *?case* **by** (*subst formula-of-mset.simps*) (*auto simp: Let-def no-T-F-def all-subformula-st-def*)

**next**

**case** (*Suc n*) **note**  $IH = \text{this}(1)$  **and**  $s = \text{this}(2)$   
**then have**  $\langle n = \text{size } (\text{remove1-mset } (\text{SOME } v. v \in\# \varphi) \varphi) \rangle$  **if**  $\langle \varphi \neq \{\#\} \rangle$   
**using that by** (*auto simp: size-Diff-singleton-if some-in-eq*)  
**moreover have**  $\langle \text{no-T-F } (\text{FVar } (\text{atm-of } (\text{SOME } v. v \in\# \varphi))) \rangle$   
**by** (*auto simp: no-T-F-def*)  
**ultimately show** *?case*  
**using**  $IH[\text{of } \langle \text{remove1-mset } (\text{SOME } v. v \in\# \varphi) \varphi \rangle]$   
**by**(*subst formula-of-mset.simps*) (*auto simp: some-in-eq Let-def grouped-by-COr-FOr remove1-mset-empty-iff*)  
**qed**

**lemma** *mset-of-formula-formula-of-mset* [*simp*]:  $\langle \text{mset-of-formula } (\text{formula-of-mset } \varphi) = \{\varphi\} \rangle$  **for**  $\varphi$   
**proof** (*induction*  $\langle \text{size } \varphi \rangle$  *arbitrary:*  $\varphi$ )

**case** 0  
**then show** *?case* **by** (*subst formula-of-mset.simps*) (*auto simp: Let-def no-T-F-def all-subformula-st-def*)

**next**

**case** (*Suc n*) **note**  $IH = \text{this}(1)$  **and**  $s = \text{this}(2)$   
**then have**  $\langle n = \text{size } (\text{remove1-mset } (\text{SOME } v. v \in\# \varphi) \varphi) \rangle$  **if**  $\langle \varphi \neq \{\#\} \rangle$   
**using that by** (*auto simp: size-Diff-singleton-if some-in-eq*)  
**moreover have**  $\langle \text{no-T-F } (\text{FVar } (\text{atm-of } (\text{SOME } v. v \in\# \varphi))) \rangle$   
**by** (*auto simp: no-T-F-def*)  
**ultimately show** *?case*  
**using**  $IH[\text{of } \langle \text{remove1-mset } (\text{SOME } v. v \in\# \varphi) \varphi \rangle]$   
**by**(*subst formula-of-mset.simps*) (*auto simp: some-in-eq Let-def grouped-by-COr-FOr remove1-mset-empty-iff*)  
**qed**

**lemma** *formula-of-mset-is-cnf*:  $\langle \text{is-cnf } (\text{formula-of-mset } \varphi) \rangle$

**by** (*auto simp: is-cnf-def is-conj-with-TF-def grouped-by-COr-formula-of-mset no-T-F-formula-of-mset intro!: grouped-is-super-grouped*)

**lemma** *eval-clss-iff*:

**assumes**  $\langle \text{consistent-interp } A \rangle$  **and**  $\langle \text{total-over-set } A \text{ UNIV} \rangle$

**shows**  $\langle \text{eval } (\text{fun-of-set } A) (\text{formula-of-mset } \varphi) \longleftrightarrow \text{Partial-Herbrand-Interpretation.true-clss } A \{\varphi\} \rangle$

**apply** (*subst cnf-eval-true-clss[OF formula-of-mset-is-cnf]*)

**using** *assms*

**apply** (*auto simp add: true-clss-def fun-of-set-def consistent-interp-def total-over-set-def*)

**apply** (*case-tac L*)

**by** (*fastforce simp add: true-clss-def fun-of-set-def consistent-interp-def total-over-set-def*)+

**lemma** *is-conj-with-TF-Fand-iff*:

*is-conj-with-TF* (*FAnd*  $\varphi_1$   $\varphi_2$ )  $\longleftrightarrow$  *is-conj-with-TF*  $\varphi_1 \wedge$  *is-conj-with-TF*  $\varphi_2$   
**unfolding** *is-conj-with-TF-def* **by** (*subst super-grouped-by.simps*) *auto*

**lemma** *is-CNF-Fand*:

$\langle$ *is-cnf* (*FAnd*  $\varphi$   $\psi$ )  $\longleftrightarrow$  (*is-cnf*  $\varphi \wedge$  *no-T-F*  $\varphi$ )  $\wedge$  *is-cnf*  $\psi \wedge$  *no-T-F*  $\psi$  $\rangle$   
**by** (*auto simp: is-cnf-def is-conj-with-TF-Fand-iff*)

**lemma** *no-T-F-formula-of-mset-iff*:  $\langle$ *no-T-F* (*formula-of-mset*  $\varphi$ )  $\longleftrightarrow$   $\varphi \neq \{\#\}$  $\rangle$

**proof** (*induction*  $\langle$ *size*  $\varphi$  $\rangle$  *arbitrary:*  $\varphi$  $\rangle$ )

**case** 0

**then show** ?*case* **by** (*subst formula-of-mset.simps*) (*auto simp: Let-def no-T-F-def all-subformula-st-def*)

**next**

**case** (*Suc*  $n$ ) **note** *IH* = *this*(1) **and**  $s =$  *this*(2)

**then have**  $\langle n =$  *size* (*remove1-mset* (*SOME*  $v. v \in \#$   $\varphi$ )  $\varphi$ ) $\rangle$  **if**  $\langle \varphi \neq \{\#\}$  $\rangle$

**using** *that* **by** (*auto simp: size-Diff-singleton-if some-in-eq*)

**moreover have**  $\langle$ *no-T-F* (*FVar* (*atm-of* (*SOME*  $v. v \in \#$   $\varphi$ ))) $\rangle$

**by** (*auto simp: no-T-F-def*)

**ultimately show** ?*case*

**using** *IH*[*of*  $\langle$ *remove1-mset* (*SOME*  $v. v \in \#$   $\varphi$ )  $\varphi$  $\rangle$ ]

**by**(*subst formula-of-mset.simps*) (*auto simp: some-in-eq Let-def grouped-by-COr-FOr remove1-mset-empty-iff*)

**qed**

**lemma** *no-T-F-formula-of-msets*:

**assumes**  $\langle$ *finite*  $\varphi$  $\rangle$  **and**  $\langle \{\#\} \notin \varphi$  $\rangle$  **and**  $\langle \varphi \neq \{\}$  $\rangle$

**shows**  $\langle$ *no-T-F* (*formula-of-msets* ( $\varphi$ )) $\rangle$

**using** *assms* **apply** (*induction*  $\langle$ *card*  $\varphi$  $\rangle$  *arbitrary:*  $\varphi$  $\rangle$ )

**subgoal** **by** (*subst formula-of-msets.simps*) (*auto simp: no-T-F-def all-subformula-st-def*)[]

**subgoal**

**apply** (*subst formula-of-msets.simps*)

**apply** (*auto split: simp: Let-def formula-of-mset-is-cnf is-CNF-Fand no-T-F-formula-of-mset-iff some-in-eq*)

**apply** (*metis* (*mono-tags, lifting*) *some-eq-ex*)

**done**

**done**

**lemma** *is-cnf-formula-of-msets*:

**assumes**  $\langle$ *finite*  $\varphi$  $\rangle$  **and**  $\langle \{\#\} \notin \varphi$  $\rangle$

**shows**  $\langle$ *is-cnf* (*formula-of-msets*  $\varphi$ ) $\rangle$

**using** *assms* **apply** (*induction*  $\langle$ *card*  $\varphi$  $\rangle$  *arbitrary:*  $\varphi$  $\rangle$ )

**subgoal** **by** (*subst formula-of-msets.simps*) (*auto simp: is-cnf-def is-conj-with-TF-def*)[]

**subgoal**

**apply** (*subst formula-of-msets.simps*)

**apply** (*auto split: simp: Let-def formula-of-mset-is-cnf is-CNF-Fand no-T-F-formula-of-mset-iff some-in-eq intro: no-T-F-formula-of-msets*)

**apply** (*metis* (*mono-tags, lifting*) *some-eq-ex*)

**done**

**done**

**lemma** *mset-of-formula-formula-of-msets*:

**assumes**  $\langle$ *finite*  $\varphi$  $\rangle$

**shows**  $\langle$ *mset-of-formula* (*formula-of-msets*  $\varphi$ ) =  $\varphi$  $\rangle$

**using** *assms* **apply** (*induction*  $\langle$ *card*  $\varphi$  $\rangle$  *arbitrary:*  $\varphi$  $\rangle$ )

**subgoal** **by** (*subst formula-of-msets.simps*) (*auto simp: is-cnf-def is-conj-with-TF-def*)[]

**subgoal**

**apply** (*subst formula-of-msets.simps*)

```

apply (auto split: simp: Let-def formula-of-mset-is-cnf is-CNF-Fand
        no-T-F-formula-of-mset-iff some-in-eq intro: no-T-F-formula-of-msets)
done
done

lemma
assumes ⟨consistent-interp A⟩ and ⟨total-over-set A UNIV⟩ and ⟨finite  $\varphi$ ⟩ and ⟨ $\{\#\} \notin \varphi$ ⟩
shows ⟨eval (fun-of-set A) (formula-of-msets  $\varphi$ )  $\longleftrightarrow$  Partial-Herbrand-Interpretation.true-cls A  $\varphi$ ⟩
apply (subst cnf-eval-true-cls[OF is-cnf-formula-of-msets[OF assms(3-4)]])
using assms(3) unfolding mset-of-formula-formula-of-msets[OF assms(3)]
by (induction  $\varphi$ )
    (use eval-cls-iff[OF assms(1,2)] in ⟨simp-all add: cnf-eval-true-cls formula-of-mset-is-cnf⟩)

end
theory Prop-Resolution
imports Entailment-Definition.Partial-Herbrand-Interpretation
        Weidenbach-Book-Base.WB-List-More
        Weidenbach-Book-Base.Wellfounded-More

begin

```





# Chapter 2

## Resolution-based techniques

This chapter contains the formalisation of resolution and superposition.

### 2.1 Resolution

#### 2.1.1 Simplification Rules

**inductive** *simplify* :: 'v clause-set  $\Rightarrow$  'v clause-set  $\Rightarrow$  bool **for**  $N ::$  'v clause set **where**  
*tautology-deletion*:

$add\text{-}mset (Pos P) (add\text{-}mset (Neg P) A) \in N \implies simplify\ N (N - \{add\text{-}mset (Pos P) (add\text{-}mset (Neg P) A)\})$  |

*condensation*:

$add\text{-}mset L (add\text{-}mset L A) \in N \implies simplify\ N (N - \{add\text{-}mset L (add\text{-}mset L A)\} \cup \{add\text{-}mset L A\})$  |

*subsumption*:

$A \in N \implies A \subset\# B \implies B \in N \implies simplify\ N (N - \{B\})$

**lemma** *simplify-preserve-models'*:

**fixes**  $N\ N' ::$  'v clause-set

**assumes** *simplify*  $N\ N'$

**and** *total-over-m*  $I\ N$

**shows**  $I \models_s N' \longrightarrow I \models_s N$

**using** *assms*

**proof** (*induct rule: simplify.induct*)

**case** (*tautology-deletion*  $P\ A$ )

**then have**  $I \models add\text{-}mset (Pos P) (add\text{-}mset (Neg P) A)$

**by** (*fastforce dest: mk-disjoint-insert*)

**then show** *?case* **by** (*metis Un-Diff-cancel2 true-clss-singleton true-clss-union*)

**next**

**case** (*condensation*  $A\ P$ )

**then show** *?case*

**by** (*fastforce dest: mk-disjoint-insert*)

**next**

**case** (*subsumption*  $A\ B$ )

**have**  $A \neq B$  **using** *subsumption.hyps(2)* **by** *auto*

**then have**  $I \models_s N - \{B\} \implies I \models A$  **using**  $\langle A \in N \rangle$  **by** (*simp add: true-clss-def*)

**moreover have**  $I \models A \implies I \models B$  **using**  $\langle A \subset\# B \rangle$  **by** *auto*

**ultimately show** *?case* **by** (*metis insert-Diff-single true-clss-insert*)

**qed**

**lemma** *simplify-preserve-models*:

**fixes**  $N N' :: 'v \text{ clause-set}$   
**assumes**  $\text{simplify } N N'$   
**and**  $\text{total-over-}m \ I \ N$   
**shows**  $I \models N \longrightarrow I \models N'$   
**using**  $\text{assms apply (induct rule: simplify.induct)}$   
**using**  $\text{true-clss-def by fastforce+}$

**lemma**  $\text{simplify-preserve-models''}$ :  
**fixes**  $N N' :: 'v \text{ clause-set}$   
**assumes**  $\text{simplify } N N'$   
**and**  $\text{total-over-}m \ I \ N'$   
**shows**  $I \models N \longrightarrow I \models N'$   
**using**  $\text{assms apply (induct rule: simplify.induct)}$   
**using**  $\text{true-clss-def by fastforce+}$

**lemma**  $\text{simplify-preserve-models-eq}$ :  
**fixes**  $N N' :: 'v \text{ clause-set}$   
**assumes**  $\text{simplify } N N'$   
**and**  $\text{total-over-}m \ I \ N$   
**shows**  $I \models N \longleftrightarrow I \models N'$   
**using**  $\text{simplify-preserve-models simplify-preserve-models' assms by blast}$

**lemma**  $\text{simplify-preserves-finite}$ :  
**assumes**  $\text{simplify } \psi \ \psi'$   
**shows**  $\text{finite } \psi \longleftrightarrow \text{finite } \psi'$   
**using**  $\text{assms by (induct rule: simplify.induct, auto simp add: remove-def)}$

**lemma**  $\text{rtranclp-simplify-preserves-finite}$ :  
**assumes**  $\text{rtranclp simplify } \psi \ \psi'$   
**shows**  $\text{finite } \psi \longleftrightarrow \text{finite } \psi'$   
**using**  $\text{assms by (induct rule: rtranclp-induct) (auto simp add: simplify-preserves-finite)}$

**lemma**  $\text{simplify-atms-of-ms}$ :  
**assumes**  $\text{simplify } \psi \ \psi'$   
**shows**  $\text{atms-of-}ms \ \psi' \subseteq \text{atms-of-}ms \ \psi$   
**using**  $\text{assms unfolding atms-of-ms-def}$   
**proof**  $(\text{induct rule: simplify.induct})$   
**case**  $(\text{tautology-deletion } A \ P)$   
**then show**  $?case$  **by**  $\text{auto}$   
**next**  
**case**  $(\text{condensation } P \ A)$   
**moreover have**  $A + \{\#P\} + \{\#P\} \in \psi \implies \exists x \in \psi. \text{atm-of } P \in \text{atm-of } ' \text{ set-mset } x$   
**by**  $(\text{metis Un-iff atms-of-def atms-of-plus atms-of-singleton insert-iff})$   
**ultimately show**  $?case$  **by**  $(\text{auto simp add: atms-of-def})$   
**next**  
**case**  $(\text{subsumption } A \ P)$   
**then show**  $?case$  **by**  $\text{auto}$   
**qed**

**lemma**  $\text{rtranclp-simplify-atms-of-ms}$ :  
**assumes**  $\text{rtranclp simplify } \psi \ \psi'$   
**shows**  $\text{atms-of-}ms \ \psi' \subseteq \text{atms-of-}ms \ \psi$   
**using**  $\text{assms apply (induct rule: rtranclp-induct)}$   
**apply**  $(\text{fastforce intro: simplify-atms-of-ms})$   
**using**  $\text{simplify-atms-of-ms by blast}$

**lemma** *factoring-imp-simplify*:  
**assumes**  $\{\#L, L\#\} + C \in N$   
**shows**  $\exists N'. \text{simplify } N N'$

**proof** –

**have**  $\text{add-mset } L (\text{add-mset } L C) \in N$  **using** *assms* **by** (*simp add: add.commute union-lcomm*)  
**from** *condensation[OF this]* **show** *?thesis* **by** *blast*  
**qed**

## 2.1.2 Unconstrained Resolution

**type-synonym** *'v uncon-state* = *'v clause-set*

**inductive** *uncon-res* :: *'v uncon-state*  $\Rightarrow$  *'v uncon-state*  $\Rightarrow$  *bool* **where**

*resolution*:

$\{\#Pos\ p\#\} + C \in N \Longrightarrow \{\#Neg\ p\#\} + D \in N \Longrightarrow (\text{add-mset } (Pos\ p)\ C, \text{add-mset } (Neg\ P)\ D) \notin$   
*already-used*

$\Longrightarrow \text{uncon-res } N (N \cup \{C + D\}) \mid$

*factoring*:  $\{\#L\#\} + \{\#L\#\} + C \in N \Longrightarrow \text{uncon-res } N (\text{insert } (\text{add-mset } L\ C)\ N)$

**lemma** *uncon-res-increasing*:

**assumes** *uncon-res* *S S'* **and**  $\psi \in S$

**shows**  $\psi \in S'$

**using** *assms* **by** (*induct rule: uncon-res.induct*) *auto*

**lemma** *rtranclp-uncon-inference-increasing*:

**assumes** *rtranclp uncon-res* *S S'* **and**  $\psi \in S$

**shows**  $\psi \in S'$

**using** *assms* **by** (*induct rule: rtranclp-induct*) (*auto simp add: uncon-res-increasing*)

## Subsumption

**definition** *subsumes* :: *'a literal multiset*  $\Rightarrow$  *'a literal multiset*  $\Rightarrow$  *bool* **where**

*subsumes*  $\chi\ \chi' \iff$

$(\forall I. \text{total-over-m } I\ \{\chi'\} \longrightarrow \text{total-over-m } I\ \{\chi\})$

$\wedge (\forall I. \text{total-over-m } I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

**lemma** *subsumes-refl[simp]*:

*subsumes*  $\chi\ \chi$

**unfolding** *subsumes-def* **by** *auto*

**lemma** *subsumes-subsumption*:

**assumes** *subsumes* *D*  $\chi$

**and**  $C \subset\# D$  **and**  $\neg \text{tautology } \chi$

**shows** *subsumes* *C*  $\chi$  **unfolding** *subsumes-def*

**using** *assms* *subsumption-total-over-m* *subsumption-chained* **unfolding** *subsumes-def*

**by** (*blast intro!: subset-mset.less-imp-le*)

**lemma** *subsumes-tautology*:

**assumes** *subsumes*  $(\text{add-mset } (Pos\ P)\ (\text{add-mset } (Neg\ P)\ C))\ \chi$

**shows** *tautology*  $\chi$

**using** *assms* **unfolding** *subsumes-def* **by** (*auto simp add: tautology-def*)

## 2.1.3 Inference Rule

**type-synonym** *'v state* = *'v clause-set*  $\times$  (*'v clause*  $\times$  *'v clause*) *set*

**inductive** *inference-clause* :: 'v state  $\Rightarrow$  'v clause  $\times$  ('v clause  $\times$  'v clause) set  $\Rightarrow$  bool

(**infix**  $\Rightarrow_{\text{Res}}$  100) **where**

*resolution*:

$\{\#Pos\ p\#\} + C \in N \Longrightarrow \{\#Neg\ p\#\} + D \in N \Longrightarrow (\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D) \notin$   
*already-used*

$\Longrightarrow$  *inference-clause* (*N*, *already-used*) (*C* + *D*, *already-used*  $\cup$   $\{(\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D)\}$ ) |

*factoring*:  $\{\#L, L\#\} + C \in N \Longrightarrow$  *inference-clause* (*N*, *already-used*) (*C* +  $\{\#L\#\}$ , *already-used*)

**inductive** *inference* :: 'v state  $\Rightarrow$  'v state  $\Rightarrow$  bool **where**

*inference-step*: *inference-clause* *S* (*clause*, *already-used*)

$\Longrightarrow$  *inference* *S* (*fst S*  $\cup$   $\{\text{clause}\}$ , *already-used*)

**abbreviation** *already-used-inv*

:: 'a literal multiset set  $\times$  ('a literal multiset  $\times$  'a literal multiset) set  $\Rightarrow$  bool **where**

*already-used-inv* state  $\equiv$

$(\forall (A, B) \in \text{snd state}. \exists p. Pos\ p \in\# A \wedge Neg\ p \in\# B \wedge$   
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi ((A - \{\#Pos\ p\#\}) + (B - \{\#Neg\ p\#\})))$   
 $\vee \text{tautology } ((A - \{\#Pos\ p\#\}) + (B - \{\#Neg\ p\#\}))))$

**lemma** *inference-clause-preserves-already-used-inv*:

**assumes** *inference-clause* *S S'*

**and** *already-used-inv* *S*

**shows** *already-used-inv* (*fst S*  $\cup$   $\{\text{fst } S'\}$ , *snd S'*)

**using** *assms* **apply** (*induct* rule: *inference-clause.induct*)

**by** *fastforce*+

**lemma** *inference-preserves-already-used-inv*:

**assumes** *inference* *S S'*

**and** *already-used-inv* *S*

**shows** *already-used-inv* *S'*

**using** *assms*

**proof** (*induct* rule: *inference.induct*)

**case** (*inference-step* *S clause already-used*)

**then show** ?*case*

**using** *inference-clause-preserves-already-used-inv*[of *S (clause, already-used)*] **by** *simp*

**qed**

**lemma** *rtranclp-inference-preserves-already-used-inv*:

**assumes** *rtranclp inference* *S S'*

**and** *already-used-inv* *S*

**shows** *already-used-inv* *S'*

**using** *assms* **apply** (*induct* rule: *rtranclp-induct, simp*)

**using** *inference-preserves-already-used-inv* **unfolding** *tautology-def* **by** *fast*

**lemma** *subsumes-condensation*:

**assumes** *subsumes* (*C* +  $\{\#L\#\}$  +  $\{\#L\#\}$ ) *D*

**shows** *subsumes* (*C* +  $\{\#L\#\}$ ) *D*

**using** *assms* **unfolding** *subsumes-def* **by** *simp*

**lemma** *simplify-preserves-already-used-inv*:

**assumes** *simplify* *N N'*

**and** *already-used-inv* (*N*, *already-used*)

**shows** *already-used-inv* (*N'*, *already-used*)

```

using assms
proof (induct rule: simplify.induct)
  case (condensation C L)
  then show ?case
    using subsumes-condensation by simp fast
next
  {
    fix a:: 'a and A :: 'a set and P
    have  $(\exists x \in \text{Set.remove } a \ A. P \ x) \longleftrightarrow (\exists x \in A. x \neq a \wedge P \ x)$  by auto
  } note ex-member-remove = this
  {
    fix a a0 :: 'v clause and A :: 'v clause-set and y
    assume  $a \in A$  and  $a0 \subset\# a$ 
    then have  $(\exists x \in A. \text{subsumes } x \ y) \longleftrightarrow (\text{subsumes } a \ y \vee (\exists x \in A. x \neq a \wedge \text{subsumes } x \ y))$ 
      by auto
  } note tt2 = this
case (subsumption A B) note  $A = \text{this}(1)$  and  $AB = \text{this}(2)$  and  $B = \text{this}(3)$  and  $\text{inv} = \text{this}(4)$ 
show ?case
proof (standard, standard)
  fix x a b
  assume  $x: x \in \text{snd } (N - \{B\}, \text{already-used})$  and [simp]:  $x = (a, b)$ 
  obtain p where  $p: \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$  and
     $q: (\exists \chi \in N. \text{subsumes } \chi (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \})))$ 
     $\vee \text{tautology } (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \}))$ 
  using inv x by fastforce
  consider (taut)  $\text{tautology } (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \})) \mid$ 
     $(\chi) \chi$  where  $\chi \in N$   $\text{subsumes } \chi (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \}))$ 
     $\neg \text{tautology } (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \}))$ 
  using q by auto
  then show
     $\exists p. \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$ 
     $\wedge ((\exists \chi \in \text{fst } (N - \{B\}, \text{already-used}). \text{subsumes } \chi (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \})))$ 
       $\vee \text{tautology } (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \})))$ 
  proof cases
    case taut
    then show ?thesis using p by auto
  next
    case  $\chi$  note  $H = \text{this}$ 
    show ?thesis using p A AB B subsumes-subsumption[OF - AB H(3)] H(1,2) by fastforce
  qed
qed
next
case (tautology-deletion P C)
then show ?case
proof clarify
  fix a b
  assume  $\text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) C) \in N$ 
  assume already-used-inv  $(N, \text{already-used})$ 
  and  $(a, b) \in \text{snd } (N - \{\text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) C)\}, \text{already-used})$ 
  then obtain p where
     $\text{Pos } p \in\# a \wedge \text{Neg } p \in\# b \wedge$ 
     $((\exists \chi \in \text{fst } (N \cup \{\text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) C)\}, \text{already-used}).$ 
       $\text{subsumes } \chi (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \})))$ 
       $\vee \text{tautology } (a - \{\#\text{Pos } p\# \} + (b - \{\#\text{Neg } p\# \})))$ 
    by fastforce
  moreover have  $\text{tautology } (\text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) C))$  by auto

```

**ultimately show**

$\exists p. \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b \wedge$   
 $((\exists \chi \in \text{fst } (N - \{\text{add-mset } (P\text{os } P) (\text{add-mset } (N\text{eg } P) C)\}, \text{already-used}).$   
 $\text{subsumes } \chi (\text{remove1-mset } (P\text{os } p) a + \text{remove1-mset } (N\text{eg } p) b)) \vee$   
 $\text{tautology } (\text{remove1-mset } (P\text{os } p) a + \text{remove1-mset } (N\text{eg } p) b))$   
**by** (*metis* (*no-types*) *Diff-iff Un-insert-right empty-iff fst-conv insertE subsumes-tautology*  
*sup-bot.right-neutral*)

**qed**

**qed**

**lemma**

*factoring-satisfiable*:  $I \models \text{add-mset } L (\text{add-mset } L C) \longleftrightarrow I \models \text{add-mset } L C$  **and**

*resolution-satisfiable*:

*consistent-interp*  $I \implies I \models \text{add-mset } (P\text{os } p) C \implies I \models \text{add-mset } (N\text{eg } p) D \implies I \models C + D$  **and**

*factoring-same-vars*:  $\text{atms-of } (\text{add-mset } L (\text{add-mset } L C)) = \text{atms-of } (\text{add-mset } L C)$

**unfolding** *true-cls-def consistent-interp-def* **by** (*fastforce split: if-split-asm*)+

**lemma** *inference-increasing*:

**assumes** *inference*  $S S'$  **and**  $\psi \in \text{fst } S$

**shows**  $\psi \in \text{fst } S'$

**using** *assms* **by** (*induct rule: inference.induct, auto*)

**lemma** *rtranclp-inference-increasing*:

**assumes** *rtranclp inference*  $S S'$  **and**  $\psi \in \text{fst } S$

**shows**  $\psi \in \text{fst } S'$

**using** *assms* **by** (*induct rule: rtranclp-induct, auto simp add: inference-increasing*)

**lemma** *inference-clause-already-used-increasing*:

**assumes** *inference-clause*  $S S'$

**shows**  $\text{snd } S \subseteq \text{snd } S'$

**using** *assms* **by** (*induct rule: inference-clause.induct, auto*)

**lemma** *inference-already-used-increasing*:

**assumes** *inference*  $S S'$

**shows**  $\text{snd } S \subseteq \text{snd } S'$

**using** *assms* **apply** (*induct rule: inference.induct*)

**using** *inference-clause-already-used-increasing* **by** *fastforce*

**lemma** *inference-clause-preserve-models*:

**fixes**  $N N' :: 'v \text{ clause-set}$

**assumes** *inference-clause*  $T T'$

**and** *total-over-m*  $I$  (*fst*  $T$ )

**and** *consistent: consistent-interp*  $I$

**shows**  $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T \cup \{\text{fst } T'\}$

**using** *assms* **apply** (*induct rule: inference-clause.induct*)

**unfolding** *consistent-interp-def true-clss-def* **by** *auto force+*

**lemma** *inference-preserve-models*:

**fixes**  $N N' :: 'v \text{ clause-set}$

**assumes** *inference*  $T T'$

**and** *total-over-m*  $I$  (*fst*  $T$ )

**and** *consistent: consistent-interp*  $I$

**shows**  $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T'$

**using** *assms* **apply** (*induct rule: inference.induct*)  
**using** *inference-clause-preserve-models* **by** *fastforce*

**lemma** *inference-clause-preserves-atms-of-ms*:  
**assumes** *inference-clause*  $S S'$   
**shows**  $\text{atms-of-ms } (\text{fst } (S \cup \{\text{fst } S'\}), \text{snd } S') \subseteq \text{atms-of-ms } (\text{fst } S)$   
**using** *assms* **by** (*induct rule: inference-clause.induct*) (*auto dest!: atms-of-atms-of-ms-mono*)

**lemma** *inference-preserves-atms-of-ms*:  
**fixes**  $N N' :: 'v \text{ clause-set}$   
**assumes** *inference*  $T T'$   
**shows**  $\text{atms-of-ms } (\text{fst } T') \subseteq \text{atms-of-ms } (\text{fst } T)$   
**using** *assms* **apply** (*induct rule: inference.induct*)  
**using** *inference-clause-preserves-atms-of-ms* **by** *fastforce*

**lemma** *inference-preserves-total*:  
**fixes**  $N N' :: 'v \text{ clause-set}$   
**assumes** *inference*  $(N, \text{already-used}) (N', \text{already-used}')$   
**shows**  $\text{total-over-m } I N \implies \text{total-over-m } I N'$   
**using** *assms* *inference-preserves-atms-of-ms* **unfolding** *total-over-m-def total-over-set-def*  
**by** *fastforce*

**lemma** *rtranclp-inference-preserves-total*:  
**assumes** *rtranclp inference*  $T T'$   
**shows**  $\text{total-over-m } I (\text{fst } T) \implies \text{total-over-m } I (\text{fst } T')$   
**using** *assms* **by** (*induct rule: rtranclp-induct, auto simp add: inference-preserves-total*)

**lemma** *rtranclp-inference-preserve-models*:  
**assumes** *rtranclp inference*  $N N'$   
**and**  $\text{total-over-m } I (\text{fst } N)$   
**and** *consistent: consistent-interp*  $I$   
**shows**  $I \models_s \text{fst } N \iff I \models_s \text{fst } N'$   
**using** *assms* **apply** (*induct rule: rtranclp-induct*)  
**apply** (*simp add: inference-preserve-models*)  
**using** *inference-preserve-models rtranclp-inference-preserves-total* **by** *blast*

**lemma** *inference-preserves-finite*:  
**assumes** *inference*  $\psi \psi'$  **and** *finite*  $(\text{fst } \psi)$   
**shows** *finite*  $(\text{fst } \psi')$   
**using** *assms* **by** (*induct rule: inference.induct, auto simp add: simplify-preserves-finite*)

**lemma** *inference-clause-preserves-finite-snd*:  
**assumes** *inference-clause*  $\psi \psi'$  **and** *finite*  $(\text{snd } \psi)$   
**shows** *finite*  $(\text{snd } \psi')$   
**using** *assms* **by** (*induct rule: inference-clause.induct, auto*)

**lemma** *inference-preserves-finite-snd*:  
**assumes** *inference*  $\psi \psi'$  **and** *finite*  $(\text{snd } \psi)$   
**shows** *finite*  $(\text{snd } \psi')$   
**using** *assms* *inference-clause-preserves-finite-snd* **by** (*induct rule: inference.induct, fastforce*)

**lemma** *rtranclp-inference-preserves-finite*:

**assumes** *rtranclp inference*  $\psi$   $\psi'$  **and** *finite* (*fst*  $\psi$ )  
**shows** *finite* (*fst*  $\psi'$ )  
**using** *assms* **by** (*induct rule: rtranclp-induct*)  
*(auto simp add: simplify-preserves-finite inference-preserves-finite)*

**lemma** *consistent-interp-insert:*

**assumes** *consistent-interp*  $I$   
**and** *atm-of*  $P \notin \text{atm-of } I$   
**shows** *consistent-interp* (*insert*  $P$   $I$ )

**proof** –

**have**  $P: \text{insert } P \ I = I \cup \{P\}$  **by** *auto*  
**show** *?thesis* **unfolding**  $P$   
**apply** (*rule consistent-interp-disjoint*)  
**using** *assms* **by** (*auto simp: image-iff*)

**qed**

**lemma** *simplify-clause-preserves-sat:*

**assumes** *simp: simplify*  $\psi$   $\psi'$   
**and** *satisfiable*  $\psi'$   
**shows** *satisfiable*  $\psi$   
**using** *assms*

**proof** *induction*

**case** (*tautology-deletion*  $P$   $A$ ) **note**  $AP = \text{this}(1)$  **and**  $\text{sat} = \text{this}(2)$   
**let**  $?A' = \text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) \ A)$   
**let**  $?\psi' = \psi - \{?A'\}$

**obtain**  $I$  **where**

$I: I \models_s ?\psi'$  **and**  
 $\text{cons}: \text{consistent-interp } I$  **and**  
 $\text{tot}: \text{total-over-m } I \ ?\psi'$   
**using** *sat* **unfolding** *satisfiable-def* **by** *auto*

**{** **assume**  $\text{Pos } P \in I \vee \text{Neg } P \in I$

**then** **have**  $I \models ?A'$  **by** *auto*

**then** **have**  $I \models_s \psi$  **using**  $I$  **by** (*metis insert-Diff tautology-deletion.hyps true-clss-insert*)

**then** **have** *?case* **using**  $\text{cons}$   $\text{tot}$  **by** *auto*

**}**

**moreover** **{**

**assume**  $\text{Pos}: \text{Pos } P \notin I$  **and**  $\text{Neg}: \text{Neg } P \notin I$

**then** **have** *consistent-interp* ( $I \cup \{\text{Pos } P\}$ ) **using**  $\text{cons}$  **by** *simp*

**moreover** **have**  $I'A: I \cup \{\text{Pos } P\} \models ?A'$  **by** *auto*

**have**  $\{\text{Pos } P\} \cup I \models_s \psi - \{?A'\}$

**using** ( $I \models_s \psi - \{?A'\}$ ) *true-clss-union-increase'* **by** *blast*

**then** **have**  $I \cup \{\text{Pos } P\} \models_s \psi$

**by** (*metis (no-types) Un-empty-right Un-insert-left Un-insert-right I'A insert-Diff sup-bot.left-neutral tautology-deletion.hyps true-clss-insert*)

**ultimately** **have** *?case* **using** *satisfiable-carac'* **by** *blast*

**}**

**ultimately** **show** *?case* **by** *blast*

**next**

**case** (*condensation*  $L$   $A$ ) **note**  $AL = \text{this}(1)$  **and**  $\text{sat} = \text{this}(2)$

**let**  $?A' = \text{add-mset } L \ A$

**let**  $?A = \text{add-mset } L (\text{add-mset } L \ A)$

**have**  $f3: \text{simplify } \psi (\psi - \{?A\} \cup \{?A'\})$

**using**  $AL$  *simplify.condensation* **by** *blast*

**obtain**  $LL :: 'a$  *literal set* **where**

$f4: LL \models_s \psi - \{?A\} \cup \{?A'\}$

$\wedge \text{consistent-interp } LL$



```

     $\wedge$  total-over-m LL ( $\psi - \{?A\} \cup \{?A'\}$ )
  using sat by (meson satisfiable-def)
  have f5: insert (A + {#L#} + {#L#}) ( $\psi - \{A + \{#L\#} + \{#L\#\}$ ) =  $\psi$ 
  using AL by fastforce
  have atms-of (?A') = atms-of (?A)
  by simp
  then show ?case
  using f5 f4 f3 by (metis Un-insert-right add-mset-add-single atms-of-ms-insert satisfiable-carac
    simplify-preserve-models' sup-bot.right-neutral total-over-m-def)
next
  case (subsumption A B) note A = this(1) and AB = this(2) and B = this(3) and sat = this(4)
  let ? $\psi'$  =  $\psi - \{B\}$ 
  obtain I where I: I  $\models$  s ? $\psi'$  and cons: consistent-interp I and tot: total-over-m I ? $\psi'$ 
  using sat unfolding satisfiable-def by auto
  have I  $\models$  A using A I by (metis AB Diff-iff subset-mset.less-irrefl singletonD true-clss-def)
  then have I  $\models$  B using AB subset-mset.less-imp-le true-cls-mono-leD by blast
  then have I  $\models$  s  $\psi$  using I by (metis insert-Diff-single true-clss-insert)
  then show ?case using cons satisfiable-carac' by blast
qed

```

**lemma** *simplify-preserves-unsat*:

```

  assumes inference  $\psi$   $\psi'$ 
  shows satisfiable (fst  $\psi'$ )  $\longrightarrow$  satisfiable (fst  $\psi$ )
  using assms apply (induct rule: inference.induct)
  using satisfiable-decreasing by (metis fst-conv)+

```

**lemma** *inference-preserves-unsat*:

```

  assumes inference** S S'
  shows satisfiable (fst S')  $\longrightarrow$  satisfiable (fst S)
  using assms apply (induct rule: rtranclp-induct)
  apply simp-all
  using simplify-preserves-unsat by blast

```

**datatype** 'v sem-tree = Node 'v 'v sem-tree 'v sem-tree | Leaf

**fun** *sem-tree-size* :: 'v sem-tree  $\Rightarrow$  nat **where**

```

  sem-tree-size Leaf = 0 |
  sem-tree-size (Node - ag ad) = 1 + sem-tree-size ag + sem-tree-size ad

```

**lemma** *sem-tree-size[case-names bigger]*:

```

  ( $\wedge$ xs:: 'v sem-tree. ( $\wedge$ ys:: 'v sem-tree. sem-tree-size ys < sem-tree-size xs  $\implies$  P ys)  $\implies$  P xs)
   $\implies$  P xs
  by (fact Nat.measure-induct-rule)

```

**fun** *partial-interps* :: 'v sem-tree  $\Rightarrow$  'v partial-interp  $\Rightarrow$  'v clause-set  $\Rightarrow$  bool **where**

```

  partial-interps Leaf I  $\psi$  = ( $\exists$  $\chi$ .  $\neg$  I  $\models$   $\chi$   $\wedge$   $\chi \in \psi$   $\wedge$  total-over-m I { $\chi$ }) |
  partial-interps (Node v ag ad) I  $\psi$   $\longleftrightarrow$ 
  (partial-interps ag (I  $\cup$  {Pos v})  $\psi$   $\wedge$  partial-interps ad (I  $\cup$  {Neg v})  $\psi$ )

```

**lemma** *simplify-preserve-partial-leaf*:

```

  simplify N N'  $\implies$  partial-interps Leaf I N  $\implies$  partial-interps Leaf I N'
  apply (induct rule: simplify.induct)
  using union-lcomm apply auto[1]
  apply (simp)

```

**apply** (*metis atms-of-remdups-mset remdups-mset-singleton-sum true-cls-add-mset union-single-eq-member*)  
**apply** *auto*  
**by** (*metis atms-of-ms-empty-set subsumption-total-over-m total-over-m-def total-over-m-insert total-over-set-empty true-cls-mono-leD*)

**lemma** *simplify-preserve-partial-tree*:  
**assumes** *simplify N N'*  
**and** *partial-interps t I N*  
**shows** *partial-interps t I N'*  
**using** *assms apply (induct t arbitrary: I, simp)*  
**using** *simplify-preserve-partial-leaf by metis*

**lemma** *inference-preserve-partial-tree*:  
**assumes** *inference S S'*  
**and** *partial-interps t I (fst S)*  
**shows** *partial-interps t I (fst S')*  
**using** *assms apply (induct t arbitrary: I, simp-all)*  
**by** (*meson inference-increasing*)

**lemma** *rtranclp-inference-preserve-partial-tree*:  
**assumes** *rtranclp inference N N'*  
**and** *partial-interps t I (fst N)*  
**shows** *partial-interps t I (fst N')*  
**using** *assms apply (induct rule: rtranclp-induct, auto)*  
**using** *inference-preserve-partial-tree by force*

**function** *build-sem-tree* :: '*v* :: *linorder set*  $\Rightarrow$  '*v* *clause-set*  $\Rightarrow$  '*v* *sem-tree* **where**  
*build-sem-tree atms  $\psi$  =*  
*(if atms = {}  $\vee$   $\neg$  finite atms*  
*then Leaf*  
*else Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )*  
*(build-sem-tree (Set.remove (Min atms) atms)  $\psi$ ))*  
**by** *auto*  
**termination**  
**apply** (*relation measure ( $\lambda(A, -). \text{card } A$ ), simp-all*)  
**apply** (*metis Min-in card-Diff1-less remove-def*)+  
**done**  
**declare** *build-sem-tree.induct[case-names tree]*

**lemma** *unsatisfiable-empty[simp]*:  
 $\neg$ *unsatisfiable {}*  
**unfolding** *satisfiable-def apply auto*  
**using** *consistent-interp-def unfolding total-over-m-def total-over-set-def atms-of-ms-def by blast*

**lemma** *partial-interps-build-sem-tree-atms-general*:  
**fixes**  $\psi$  :: '*v* :: *linorder clause-set* **and**  $p$  :: '*v* *literal list*  
**assumes** *unsat: unsatisfiable  $\psi$  and finite  $\psi$  and consistent-interp I*  
**and** *finite atms*  
**and** *atms-of-ms  $\psi = \text{atms} \cup \text{atms-of-s } I$  and  $\text{atms} \cap \text{atms-of-s } I = \{\}$*   
**shows** *partial-interps (build-sem-tree atms  $\psi$ ) I  $\psi$*   
**using** *assms*  
**proof** (*induct arbitrary: I rule: build-sem-tree.induct*)  
**case** (*1 atms  $\psi$  Ia*) **note** *IH1 = this(1) and IH2 = this(2) and unsat = this(3) and finite = this(4)*

```

and cons = this(5) and f = this(6) and un = this(7) and disj = this(8)
{
  assume atms: atms = {}
  then have atmsIa: atms-of-ms  $\psi$  = atms-of-s Ia using un by auto
  then have total-over-m Ia  $\psi$  unfolding total-over-m-def atmsIa by auto
  then have  $\chi$ :  $\exists \chi \in \psi. \neg Ia \models \chi$ 
    using unsat cons unfolding true-clss-def satisfiable-def by auto
  then have build-sem-tree atms  $\psi$  = Leaf using atms by auto
  moreover
    have tot:  $\bigwedge \chi. \chi \in \psi \implies total-over-m Ia \{\chi\}$ 
    unfolding total-over-m-def total-over-set-def atms-of-ms-def atms-of-s-def
    using atmsIa atms-of-ms-def by fastforce
  have partial-interps Leaf Ia  $\psi$ 
    using  $\chi$  tot by (auto simp add: total-over-m-def total-over-set-def atms-of-ms-def)

  ultimately have ?case by metis
}
moreover {
  assume atms: atms  $\neq \{\}$ 
  have build-sem-tree atms  $\psi$  = Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    using build-sem-tree.simps[of atms  $\psi$ ] f atms by metis

  have consistent-interp (Ia  $\cup \{Pos (Min atms)\}$ ) unfolding consistent-interp-def
    by (metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff
      f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
      uminus-Neg uminus-Pos)
  moreover have atms-of-ms  $\psi$  = Set.remove (Min atms) atms  $\cup$  atms-of-s (Ia  $\cup \{Pos (Min atms)\}$ )
    using Min-in atms f un by fastforce
  moreover have disj': Set.remove (Min atms) atms  $\cap$  atms-of-s (Ia  $\cup \{Pos (Min atms)\}$ ) = {}
    by simp (metis disj disjoint-iff-not-equal member-remove)
  moreover have finite (Set.remove (Min atms) atms) using f by (simp add: remove-def)
  ultimately have subtree1: partial-interps (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    (Ia  $\cup \{Pos (Min atms)\}$ )  $\psi$ 
    using IH1[of Ia  $\cup \{Pos (Min (atms))\}$ ] atms f unsat finite by metis

  have consistent-interp (Ia  $\cup \{Neg (Min atms)\}$ ) unfolding consistent-interp-def
    by (metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff
      f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
      uminus-Neg)
  moreover have atms-of-ms  $\psi$  = Set.remove (Min atms) atms  $\cup$  atms-of-s (Ia  $\cup \{Neg (Min atms)\}$ )
    using  $\langle$ atms-of-ms  $\psi$  = Set.remove (Min atms) atms  $\cup$  atms-of-s (Ia  $\cup \{Pos (Min atms)\}$ ) $\rangle$  by
blast

  moreover have disj': Set.remove (Min atms) atms  $\cap$  atms-of-s (Ia  $\cup \{Neg (Min atms)\}$ ) = {}
    using disj by auto
  moreover have finite (Set.remove (Min atms) atms) using f by (simp add: remove-def)
  ultimately have subtree2: partial-interps (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    (Ia  $\cup \{Neg (Min atms)\}$ )  $\psi$ 
    using IH2[of Ia  $\cup \{Neg (Min (atms))\}$ ] atms f unsat finite by metis

  then have ?case
    using IH1 subtree1 subtree2 f local.finite unsat atms by simp
}
ultimately show ?case by metis
qed

```

**lemma** *partial-interps-build-sem-tree-atms:*

**fixes**  $\psi :: 'v :: \text{linorder clause-set}$  **and**  $p :: 'v \text{ literal list}$   
**assumes** *unsat: unsatisfiable  $\psi$*  **and** *finite: finite  $\psi$*   
**shows** *partial-interps (build-sem-tree (atms-of-ms  $\psi$ )  $\psi$ ) {}  $\psi$*

**proof** –

**have** *consistent-interp {} unfolding consistent-interp-def by auto*  
**moreover have** *atms-of-ms  $\psi = \text{atms-of-ms } \psi \cup \text{atms-of-s } \{\}$  unfolding atms-of-s-def by auto*  
**moreover have** *atms-of-ms  $\psi \cap \text{atms-of-s } \{\} = \{\}$  unfolding atms-of-s-def by auto*  
**moreover have** *finite (atms-of-ms  $\psi$ ) unfolding atms-of-ms-def using finite by simp*  
**ultimately show** *partial-interps (build-sem-tree (atms-of-ms  $\psi$ )  $\psi$ ) {}  $\psi$*   
**using** *partial-interps-build-sem-tree-atms-general[of  $\psi$  {} atms-of-ms  $\psi$ ] assms by metis*  
**qed**

**lemma** *can-decrease-count:*

**fixes**  $\psi'' :: 'v \text{ clause-set} \times ('v \text{ clause} \times 'v \text{ clause} \times 'v) \text{ set}$   
**assumes** *count  $\chi L = n$*   
**and**  $L \in \# \chi$  **and**  $\chi \in \text{fst } \psi$   
**shows**  $\exists \psi' \chi'. \text{inference}^{**} \psi \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$   
 $\wedge \text{count } \chi' L = 1$   
 $\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$   
 $\wedge (I \models \chi \longleftrightarrow I \models \chi')$   
 $\wedge (\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi'\})$

**using** *assms*

**proof** (*induct n arbitrary:  $\chi \psi$* )

**case**  $0$

**then show** *?case by (simp add: not-in-iff[symmetric])*

**next**

**case** (*Suc n  $\chi$* )

**note**  $IH = \text{this}(1)$  **and**  $\text{count} = \text{this}(2)$  **and**  $L = \text{this}(3)$  **and**  $\chi = \text{this}(4)$

{

**assume**  $n = 0$

**then have** *inference\*\*  $\psi \psi$*

**and**  $\chi \in \text{fst } \psi$

**and**  $\forall L. (L \in \# \chi) \longleftrightarrow (L \in \# \chi)$

**and**  $\text{count } \chi L = (1::\text{nat})$

**and**  $\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi$

**by** (*auto simp add: count L  $\chi$* )

**then have** *?case by metis*

}

**moreover** {

**assume**  $n > 0$

**then have**  $\exists C. \chi = C + \{\#L, L\# \}$

**by** (*metis Suc-inject union-mset-add-mset-right add-mset-add-single count-add-mset count-inI less-not-refl3 local.count mset-add zero-less-Suc*)

**then obtain**  $C$  **where**  $C: \chi = C + \{\#L, L\# \}$  **by** *metis*

**let**  $?\chi' = C + \{\#L\# \}$

**let**  $?\psi' = (\text{fst } \psi \cup \{?\chi'\}, \text{snd } \psi)$

**have**  $\varphi: \forall \varphi \in \text{fst } \psi. (\varphi \in \text{fst } \psi \vee \varphi \neq ?\chi') \longleftrightarrow \varphi \in \text{fst } ?\psi'$  **unfolding**  $C$  **by** *auto*

**have** *inf: inference  $\psi ?\psi'$*

**using**  $C$  *factoring  $\chi$  prod.collapse union-commute inference-step*

**by** (*metis add-mset-add-single*)

**moreover have** *count': count  $?\chi' L = n$  using  $C$  count by auto*

**moreover have**  $L\chi': L \in \# ?\chi'$  **by** *auto*

**moreover have**  $\chi'\psi': ?\chi' \in \text{fst } ?\psi'$  **by** *auto*

ultimately obtain  $\psi''$  and  $\chi''$

where

*inference\*\**  $?\psi' \psi''$  and

$\alpha: \chi'' \in \text{fst } \psi''$  and

$\forall La. (La \in \# ?\chi') \longleftrightarrow (La \in \# \chi'')$  and

$\beta: \text{count } \chi'' L = (1::\text{nat})$  and

$\varphi': \forall \varphi. \varphi \in \text{fst } ?\psi' \longrightarrow \varphi \in \text{fst } \psi''$  and

$I\chi: I \models ?\chi' \longleftrightarrow I \models \chi''$  and

*tot*:  $\forall I'. \text{total-over-m } I' \{?\chi'\} \longrightarrow \text{total-over-m } I' \{\chi''\}$

using *IH*[of  $?\chi' ?\psi'$ ] *count'*  $L\chi' \chi'\psi'$  by *blast*

then have *inference\*\**  $\psi \psi''$

and  $\forall La. (La \in \# \chi) \longleftrightarrow (La \in \# \chi'')$

using *inf unfolding* *C* by *auto*

moreover have  $\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi''$  using  $\varphi \varphi'$  by *metis*

moreover have  $I \models \chi \longleftrightarrow I \models \chi''$  using  $I\chi$  *unfolding true-cls-def* *C* by *auto*

moreover have  $\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi''\}$

using *tot unfolding* *C* *total-over-m-def* by *auto*

ultimately have *?case* using  $\varphi \varphi' \alpha \beta$  by *metis*

}

ultimately show *?case* by *auto*

qed

*lemma can-decrease-tree-size:*

*fixes*  $\psi :: 'v \text{ state}$  and *tree*  $:: 'v \text{ sem-tree}$

*assumes* *finite* (*fst*  $\psi$ ) and *already-used-inv*  $\psi$

and *partial-interps* *tree*  $I$  (*fst*  $\psi$ )

*shows*  $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{inference** } \psi \psi' \wedge \text{partial-interps } \text{tree}' I (\text{fst } \psi')$

$\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0)$

using *assms*

*proof* (*induct arbitrary: I rule: sem-tree-size*)

*case* (*bigger xs I*) *note* *IH* = *this(1)* and *finite* = *this(2)* and *a-u-i* = *this(3)* and *part* = *this(4)*

{

*assume* *sem-tree-size*  $xs = 0$

then have *?case* using *part* by *blast*

}

moreover {

*assume* *sn0*: *sem-tree-size*  $xs > 0$

*obtain* *ag ad v* where  $xs = \text{Node } v \text{ ag ad}$  using *sn0* by (*cases xs, auto*)

{

*assume* *sem-tree-size*  $ag = 0$  and *sem-tree-size*  $ad = 0$

then have *ag*:  $ag = \text{Leaf}$  and *ad*:  $ad = \text{Leaf}$  by (*cases ag, auto*) (*cases ad, auto*)

then obtain  $\chi \chi'$  where

$\chi: \neg I \cup \{\text{Pos } v\} \models \chi$  and

*tot* $\chi$ : *total-over-m*  $(I \cup \{\text{Pos } v\}) \{\chi\}$  and

$\chi\psi$ :  $\chi \in \text{fst } \psi$  and

$\chi': \neg I \cup \{\text{Neg } v\} \models \chi'$  and

*tot* $\chi'$ : *total-over-m*  $(I \cup \{\text{Neg } v\}) \{\chi'\}$  and

$\chi'\psi$ :  $\chi' \in \text{fst } \psi$

using *part unfolding*  $xs$  by *auto*

have *Posv*:  $\text{Pos } v \notin \# \chi$  using  $\chi$  *unfolding true-cls-def true-lit-def* by *auto*

have *Negv*:  $\text{Neg } v \notin \# \chi'$  using  $\chi'$  *unfolding true-cls-def true-lit-def* by *auto*

{

```

assume Negχ: Neg v ∉ # χ
have ¬ I ⊨ χ using χ Posv unfolding true-cls-def true-lit-def by auto
moreover have total-over-m I {χ}
  using Posv Negχ atm-imp-pos-or-neg-lit totχ unfolding total-over-m-def total-over-set-def
  by fastforce
ultimately have partial-interps Leaf I (fst ψ)
and sem-tree-size Leaf < sem-tree-size xs
and inference** ψ ψ
  unfolding xs by (auto simp add: χψ)
}
moreover {
assume Posχ: Pos v ∉ # χ'
then have Iχ: ¬ I ⊨ χ' using χ' Posv unfolding true-cls-def true-lit-def by auto
moreover have total-over-m I {χ'}
  using Negv Posχ atm-imp-pos-or-neg-lit totχ'
  unfolding total-over-m-def total-over-set-def by fastforce
ultimately have partial-interps Leaf I (fst ψ) and
  sem-tree-size Leaf < sem-tree-size xs and
  inference** ψ ψ
  using χ'ψ Iχ unfolding xs by auto
}
moreover {
assume neg: Neg v ∈ # χ and pos: Pos v ∈ # χ'
then obtain ψ' χ2 where inf: rtranclp inference ψ ψ' and χ2incl: χ2 ∈ fst ψ'
  and χχ2incl: ∀ L. L ∈ # χ ↔ L ∈ # χ2
  and countχ2: count χ2 (Neg v) = 1
  and φ: ∀ φ::'v literal multiset. φ ∈ fst ψ → φ ∈ fst ψ'
  and Iχ: I ⊨ χ ↔ I ⊨ χ2
  and tot-impχ: ∀ I'. total-over-m I' {χ} → total-over-m I' {χ2}
  using can-decrease-count[of χ Neg v count χ (Neg v) ψ I] χψ χ'ψ by auto

have χ' ∈ fst ψ' by (simp add: χ'ψ φ)
with pos
obtain ψ'' χ2' where
inf': inference** ψ' ψ''
and χ2'-incl: χ2' ∈ fst ψ''
and χ'χ2'-incl: ∀ L::'v literal. (L ∈ # χ') = (L ∈ # χ2')
and countχ2': count χ2' (Pos v) = (1::nat)
and φ': ∀ φ::'v literal multiset. φ ∈ fst ψ' → φ ∈ fst ψ''
and Iχ': I ⊨ χ' ↔ I ⊨ χ2'
and tot-impχ': ∀ I'. total-over-m I' {χ'} → total-over-m I' {χ2'}
using can-decrease-count[of χ' Pos v count χ' (Pos v) ψ' I] by auto

define C where C: C = χ2 - {#Neg v#}

then have χ2: χ2 = C + {#Neg v#} and negC: Neg v ∉ # C and posC: Pos v ∉ # C
  using χχ2incl neg apply auto[]
  using C χχ2incl neg countχ2 count-eq-zero-iff apply fastforce
  using C Posv χχ2incl in-diffD by fastforce

obtain C' where
χ2': χ2' = C' + {#Pos v#} and
posC': Pos v ∉ # C' and
negC': Neg v ∉ # C'
proof -
  assume a1: ∧ C'. [χ2' = C' + {#Pos v#}; Pos v ∉ # C'; Neg v ∉ # C'] ⇒ thesis

```

```

have f2:  $\bigwedge n. (n::nat) - n = 0$ 
  by simp
have Neg v  $\notin \# \chi^{2'} - \{\#Pos v\}$ 
  using Negv  $\chi^{2'}\text{-incl}$  by (auto simp: not-in-iff)
have count  $\{\#Pos v\} (Pos v) = 1$ 
  by simp
then show ?thesis
  by (metis  $\chi^{2'}\text{-incl}$   $\langle Neg v \notin \# \chi^{2'} - \{\#Pos v\} \rangle$  a1 count $\chi^{2'}$  count-diff f2
      insert-DiffM2 less-numeral-extra(3) mem-Collect-eq pos set-mset-def)
qed

have already-used-inv  $\psi'$ 
  using rtranclp-inference-preserves-already-used-inv[of  $\psi \psi'$ ] a-u-i inf by blast
then have a-u-i- $\psi''$ : already-used-inv  $\psi''$ 
  using rtranclp-inference-preserves-already-used-inv a-u-i inf' unfolding tautology-def
  by simp

have totC: total-over-m I {C}
  using tot-imp $\chi$  tot $\chi$  total-over-m-remove[of I Pos v C] negC posC unfolding  $\chi^2$ 
  by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
have totC': total-over-m I {C'}
  using tot-imp $\chi'$  tot $\chi'$  total-over-m-sum total-over-m-remove[of I Neg v C'] negC' posC'
  unfolding  $\chi^{2'}$  by (metis total-over-m-sum uminus-Neg)
have  $\neg I \models C + C'$ 
  using  $\chi$  I $\chi$   $\chi'$  I $\chi'$  unfolding  $\chi^2$   $\chi^{2'}$  true-cls-def by auto
then have part-I- $\psi'''$ : partial-interps Leaf I (fst  $\psi'' \cup \{C + C'\}$ )
  using totC totC' by simp
  (metis  $\langle \neg I \models C + C' \rangle$  atms-of-ms-singleton total-over-m-def total-over-m-sum)
{
  assume ( $\{\#Pos v\} + C', \{\#Neg v\} + C) \notin \text{snd } \psi''$ 
  then have inf'': inference  $\psi''$  (fst  $\psi'' \cup \{C + C'\}$ , snd  $\psi'' \cup \{\chi^{2'}, \chi^2\}$ )
    using add.commute  $\varphi' \chi^2\text{incl}$   $\langle \chi^{2'} \in \text{fst } \psi'' \rangle$  unfolding  $\chi^2$   $\chi^{2'}$ 
    by (metis prod.collapse inference-step resolution)
  have inference**  $\psi$  (fst  $\psi'' \cup \{C + C'\}$ , snd  $\psi'' \cup \{\chi^{2'}, \chi^2\}$ )
    using inf inf' inf'' rtranclp-trans by auto
  moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
  ultimately have ?case using part-I- $\psi'''$  by (metis fst-conv)
}
moreover {
  assume a: ( $\{\#Pos v\} + C', \{\#Neg v\} + C) \in \text{snd } \psi''$ 
  then have ( $\exists \chi \in \text{fst } \psi''.$  ( $\forall I. \text{total-over-m I } \{C + C'\} \longrightarrow \text{total-over-m I } \{\chi\}$ )
     $\wedge$  ( $\forall I. \text{total-over-m I } \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C$ ))
     $\vee$  tautology (C' + C)
  proof -
    obtain p where p: Pos p  $\in \# (\{\#Pos v\} + C')$  and
      n: Neg p  $\in \# (\{\#Neg v\} + C)$  and
      decomp: ( $\exists \chi \in \text{fst } \psi''.$ 
        ( $\forall I. \text{total-over-m I } \{(\{\#Pos v\} + C') - \{\#Pos p\}$ 
           $+ ((\{\#Neg v\} + C) - \{\#Neg p\})\}$ 
           $\longrightarrow \text{total-over-m I } \{\chi\}$ )
           $\wedge$  ( $\forall I. \text{total-over-m I } \{\chi\} \longrightarrow I \models \chi$ 
           $\longrightarrow I \models (\{\#Pos v\} + C') - \{\#Pos p\} + ((\{\#Neg v\} + C) - \{\#Neg p\})$ )
          )
           $\vee$  tautology ( $(\{\#Pos v\} + C') - \{\#Pos p\} + ((\{\#Neg v\} + C) - \{\#Neg p\})$ ))
        using a by (blast intro: allE[OF a-u-i- $\psi''$ ][unfolding subsumes-def Ball-def],
          of ( $\{\#Pos v\} + C', \{\#Neg v\} + C$ ))
  }
}

```

```

{ assume  $p \neq v$ 
  then have  $Pos\ p \in \# C' \wedge Neg\ p \in \# C$  using  $p\ n$  by force
  then have ?thesis unfolding Bex-def by auto
}
moreover {
  assume  $p = v$ 
  then have ?thesis using decomp by (metis add.commute add-diff-cancel-left')
}
ultimately show ?thesis by auto
qed
moreover {
  assume  $\exists \chi \in fst\ \psi''$ . ( $\forall I$ .  $total\text{-}over\text{-}m\ I\ \{C+C'\} \longrightarrow total\text{-}over\text{-}m\ I\ \{\chi\}$ )
   $\wedge (\forall I$ .  $total\text{-}over\text{-}m\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C$ )
  then obtain  $\vartheta$  where  $\vartheta$ :  $\vartheta \in fst\ \psi''$  and
   $tot\text{-}\vartheta\text{-}CC'$ :  $\forall I$ .  $total\text{-}over\text{-}m\ I\ \{C+C'\} \longrightarrow total\text{-}over\text{-}m\ I\ \{\vartheta\}$  and
   $\vartheta\text{-}inv$ :  $\forall I$ .  $total\text{-}over\text{-}m\ I\ \{\vartheta\} \longrightarrow I \models \vartheta \longrightarrow I \models C' + C$  by blast
  have partial-interps Leaf I (fst  $\psi''$ )
  using  $tot\text{-}\vartheta\text{-}CC'\ \vartheta\ \vartheta\text{-}inv\ totC\ totC' \langle \neg I \models C + C' \rangle total\text{-}over\text{-}m\text{-}sum$  by fastforce
  moreover have  $sem\text{-}tree\text{-}size\ Leaf < sem\text{-}tree\text{-}size\ xs$  unfolding xs by auto
  ultimately have ?case by (metis inf inf' rtranclp-trans)
}
moreover {
  assume  $tautCC'$ :  $tautology\ (C' + C)$ 
  have  $total\text{-}over\text{-}m\ I\ \{C'+C\}$  using  $totC\ totC'\ total\text{-}over\text{-}m\text{-}sum$  by auto
  then have  $\neg tautology\ (C' + C)$ 
  using  $\langle \neg I \models C + C' \rangle$  unfolding add.commute[of C C'] total-over-m-def
  unfolding tautology-def by auto
  then have False using  $tautCC'$  unfolding tautology-def by auto
}
ultimately have ?case by auto
}
ultimately have ?case by auto
}
ultimately have ?case using part by (metis (no-types) sem-tree-size.simps(1))
}
moreover {
  assume  $size\text{-}ag$ :  $sem\text{-}tree\text{-}size\ ag > 0$ 
  have  $sem\text{-}tree\text{-}size\ ag < sem\text{-}tree\text{-}size\ xs$  unfolding xs by auto
  moreover have partial-interps ag ( $I \cup \{Pos\ v\}$ ) (fst  $\psi$ )
  and partad: partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi$ )
  using part partial-interps.simps(2) unfolding xs by metis+
  moreover have  $sem\text{-}tree\text{-}size\ ag < sem\text{-}tree\text{-}size\ xs \longrightarrow finite\ (fst\ \psi) \longrightarrow already\text{-}used\text{-}inv\ \psi$ 
 $\longrightarrow (partial\text{-}interps\ ag\ (I \cup \{Pos\ v\})\ (fst\ \psi) \longrightarrow$ 
 $(\exists tree'\ \psi'. inference^{**}\ \psi\ \psi' \wedge partial\text{-}interps\ tree'\ (I \cup \{Pos\ v\})\ (fst\ \psi')$ 
 $\wedge (sem\text{-}tree\text{-}size\ tree' < sem\text{-}tree\text{-}size\ ag \vee sem\text{-}tree\text{-}size\ ag = 0)))$ 
  using IH by auto
  ultimately obtain  $\psi' :: 'v\ state$  and  $tree' :: 'v\ sem\text{-}tree$  where
   $inf$ :  $inference^{**}\ \psi\ \psi'$ 
  and  $part$ :  $partial\text{-}interps\ tree'\ (I \cup \{Pos\ v\})\ (fst\ \psi')$ 
  and  $size$ :  $sem\text{-}tree\text{-}size\ tree' < sem\text{-}tree\text{-}size\ ag \vee sem\text{-}tree\text{-}size\ ag = 0$ 
  using finite part rtranclp.rtrancl-refl a-u-i by blast

  have partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi'$ )
  using rtranclp-inference-preserve-partial-tree inf partad by metis
  then have partial-interps (Node v tree' ad) I (fst  $\psi'$ ) using part by auto
  then have ?case using inf size size-ag part unfolding xs by fastforce
}

```



```

}
moreover {
  assume size-ad: sem-tree-size ad > 0
  have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
  moreover have partag: partial-interps ag ( $I \cup \{Pos\ v\}$ ) (fst  $\psi$ ) and
    partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi$ )
  using part partial-interps.simps(2) unfolding xs by metis+
  moreover have sem-tree-size ad < sem-tree-size xs  $\longrightarrow$  finite (fst  $\psi$ )  $\longrightarrow$  already-used-inv  $\psi$ 
   $\longrightarrow$  (partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi$ )
   $\longrightarrow$  ( $\exists$  tree'  $\psi'$ . inference**  $\psi\ \psi' \wedge$  partial-interps tree' ( $I \cup \{Neg\ v\}$ ) (fst  $\psi'$ )
     $\wedge$  (sem-tree-size tree' < sem-tree-size ad  $\vee$  sem-tree-size ad = 0)))
  using IH by auto
  ultimately obtain  $\psi' :: 'v$  state and tree' :: 'v sem-tree where
    inf: inference**  $\psi\ \psi'$ 
    and part: partial-interps tree' ( $I \cup \{Neg\ v\}$ ) (fst  $\psi'$ )
    and size: sem-tree-size tree' < sem-tree-size ad  $\vee$  sem-tree-size ad = 0
    using finite part rtranclp.rtrancl_refl a-u-i by blast

  have partial-interps ag ( $I \cup \{Pos\ v\}$ ) (fst  $\psi'$ )
    using rtranclp-inference-preserve-partial-tree inf partag by metis
  then have partial-interps (Node v ag tree') I (fst  $\psi'$ ) using part by auto
  then have ?case using inf size size-ad unfolding xs by fastforce
}
ultimately have ?case by auto
}
ultimately show ?case by auto
qed

```

**lemma** *inference-completeness-inv*:

**fixes**  $\psi :: 'v :: linorder$  *state*

**assumes**

*unsat*:  $\neg$ *satisfiable* (*fst*  $\psi$ ) **and**

*finite*: *finite* (*fst*  $\psi$ ) **and**

*a-u-v*: *already-used-inv*  $\psi$

**shows**  $\exists \psi'. (inference^{**} \psi\ \psi' \wedge \{\#\} \in fst\ \psi')$

**proof** –

**obtain** *tree* **where** *partial-interps tree*  $\{\}$  (*fst*  $\psi$ )

**using** *partial-interps-build-sem-tree-atms assms* **by** *metis*

**then show** *?thesis*

**using** *unsat finite a-u-v*

**proof** (*induct tree arbitrary:  $\psi$  rule: sem-tree-size*)

**case** (*bigger tree*  $\psi$ ) **note** *H* = *this*

{

**fix**  $\chi$

**assume** *tree*: *tree* = *Leaf*

**obtain**  $\chi$  **where**  $\chi: \neg \{\} \models \chi$  **and** *tot $\chi$* : *total-over-m*  $\{\} \{\chi\}$  **and**  $\chi\psi: \chi \in fst\ \psi$

**using** *H* **unfolding** *tree* **by** *auto*

**moreover have**  $\{\#\} = \chi$

**using** *tot $\chi$*  **unfolding** *total-over-m-def total-over-set-def* **by** *fastforce*

**moreover have** *inference\*\**  $\psi\ \psi$  **by** *auto*

**ultimately have** *?case* **by** *metis*

}

**moreover** {

**fix** *v tree1 tree2*

**assume** *tree*: *tree* = *Node v tree1 tree2*

**obtain**

```

    tree'  $\psi'$  where inf: inference**  $\psi$   $\psi'$  and
    part': partial-interps tree' {} (fst  $\psi'$ ) and
    decrease: sem-tree-size tree' < sem-tree-size tree  $\vee$  sem-tree-size tree = 0
    using can-decrease-tree-size[of  $\psi$ ] H(2,4,5) unfolding tautology-def by meson
    have sem-tree-size tree' < sem-tree-size tree using decrease unfolding tree by auto
    moreover have finite (fst  $\psi'$ ) using rtranclp-inference-preserves-finite inf H(4) by metis
    moreover have unsatisfiable (fst  $\psi'$ )
    using inference-preserves-unsat inf bigger.premis(2) by blast
    moreover have already-used-inv  $\psi'$ 
    using H(5) inf rtranclp-inference-preserves-already-used-inv[of  $\psi$   $\psi'$ ] by auto
    ultimately have ?case using inf rtranclp-trans part' H(1) by fastforce
  }
  ultimately show ?case by (cases tree, auto)
qed
qed

```

```

lemma inference-completeness:
  fixes  $\psi$  :: 'v :: linorder state
  assumes unsat:  $\neg$ satisfiable (fst  $\psi$ )
  and finite: finite (fst  $\psi$ )
  and snd  $\psi$  = {}
  shows  $\exists \psi'$ . (rtranclp inference  $\psi$   $\psi' \wedge \{\#\} \in$  fst  $\psi'$ )
proof –
  have already-used-inv  $\psi$  unfolding assms by auto
  then show ?thesis using assms inference-completeness-inv by blast
qed

```

```

lemma inference-soundness:
  fixes  $\psi$  :: 'v :: linorder state
  assumes rtranclp inference  $\psi$   $\psi'$  and  $\{\#\} \in$  fst  $\psi'$ 
  shows unsatisfiable (fst  $\psi$ )
  using assms by (meson rtranclp-inference-preserve-models satisfiable-def true-cls-empty
    true-cls-def)

```

```

lemma inference-soundness-and-completeness:
fixes  $\psi$  :: 'v :: linorder state
assumes finite: finite (fst  $\psi$ )
and snd  $\psi$  = {}
shows ( $\exists \psi'$ . (inference**  $\psi$   $\psi' \wedge \{\#\} \in$  fst  $\psi'$ ))  $\longleftrightarrow$  unsatisfiable (fst  $\psi$ )
  using assms inference-completeness inference-soundness by metis

```

## 2.1.4 Lemma about the Simplified State

**abbreviation** *simplified*  $\psi \equiv$  (*no-step simplify*  $\psi$ )

```

lemma simplified-count:
  assumes simp: simplified  $\psi$  and  $\chi$ :  $\chi \in$   $\psi$ 
  shows count  $\chi$  L  $\leq$  1
proof –
  {
    let ? $\chi'$  =  $\chi - \{\#L, L\#}$ 
    assume count  $\chi$  L  $\geq$  2
    then have f1: count ( $\chi - \{\#L, L\#\} + \{\#L, L\#\}$ ) L = count  $\chi$  L
      by simp
    then have  $L \in \#$   $\chi - \{\#L\#}$ 
      by (metis (no-types) add.left-neutral add-diff-cancel-left' count-union diff-diff-add)
  }

```

*diff-single-trivial insert-DiffM mem-Collect-eq multi-member-this not-gr0 set-mset-def*  
**then have**  $\chi': \{\#L, L\# \} + ?\chi' = \chi$   
**using** *f1 in-diffD insert-DiffM* **by** *fastforce*  
  
**have**  $\exists \psi'. \text{ simplify } \psi \ \psi'$   
**by** *(metis (no-types, hide-lams)  $\chi \ \chi'$  factoring-imp-simplify)*  
**then have** *False* **using** *simp* **by** *auto*  
**}**  
**then show** *?thesis* **by** *arith*  
**qed**

**lemma** *simplified-no-both*:  
**assumes** *simp: simplified  $\psi$*  **and**  $\chi: \chi \in \psi$   
**shows**  $\neg (L \in\# \chi \wedge \neg L \in\# \chi)$   
**proof** *(rule ccontr)*  
**assume**  $\neg \neg (L \in\# \chi \wedge \neg L \in\# \chi)$   
**then have**  $L \in\# \chi \wedge \neg L \in\# \chi$  **by** *metis*  
**then obtain**  $\chi'$  **where**  $\chi = \text{add-mset } (Pos \ (atm\text{-of } L)) \ (\text{add-mset } (Neg \ (atm\text{-of } L)) \ \chi')$   
**by** *(cases L) (auto dest!: multi-member-split simp: add-eq-conv-ex)*  
**then show** *False* **using**  $\chi$  *simp tautology-deletion* **by** *fast*  
**qed**

**lemma** *add-mset-Neg-Pos-commute[simp]*:  
 $\text{add-mset } (Neg \ P) \ (\text{add-mset } (Pos \ P) \ C) = \text{add-mset } (Pos \ P) \ (\text{add-mset } (Neg \ P) \ C)$   
**by** *(rule add-mset-commute)*

**lemma** *simplified-not-tautology*:  
**assumes** *simplified  $\{\psi\}$*   
**shows**  $\sim \text{tautology } \psi$   
**proof** *(rule ccontr)*  
**assume**  $\sim ?thesis$   
**then obtain**  $p$  **where**  $Pos \ p \in\# \psi \wedge Neg \ p \in\# \psi$  **using** *tautology-decomp* **by** *metis*  
**then obtain**  $\chi$  **where**  $\psi = \chi + \{\#Pos \ p\# \} + \{\#Neg \ p\# \}$   
**by** *(auto dest!: multi-member-split simp: add-eq-conv-ex)*  
**then have**  $\sim \text{simplified } \{\psi\}$  **by** *(auto intro: tautology-deletion)*  
**then show** *False* **using** *assms* **by** *auto*  
**qed**

**lemma** *simplified-remove*:  
**assumes** *simplified  $\{\psi\}$*   
**shows** *simplified  $\{\psi - \{\#l\#\}\}$*   
**proof** *(rule ccontr)*  
**assume**  $ns: \neg \text{simplified } \{\psi - \{\#l\#\}\}$   
**{**  
**assume**  $l \notin\# \psi$   
**then have**  $\psi - \{\#l\#\} = \psi$  **by** *simp*  
**then have** *False* **using** *ns assms* **by** *auto*  
**}**  
**moreover** **{**  
**assume**  $l\psi: l \in\# \psi$   
**have**  $A: \bigwedge A. A \in \{\psi - \{\#l\#\}\} \longleftrightarrow \text{add-mset } l \ A \in \{\psi\}$  **by** *(auto simp add: l $\psi$ )*  
**obtain**  $l'$  **where**  $l': \text{simplify } \{\psi - \{\#l\#\}\} \ l'$  **using** *ns* **by** *metis*  
**then have**  $\exists l'. \text{simplify } \{\psi\} \ l'$   
**proof** *(induction rule: simplify.induct)*  
**case** *(tautology-deletion P A)*  
**then have**  $\{\#Neg \ P\# \} + (\{\#Pos \ P\# \} + (A + \{\#l\#\})) \in \{\psi\}$

```

    using A by auto
  then show ?thesis
    using simplified-no-both by fastforce
next
case (condensation L A)
have add-mset l (add-mset L (add-mset L A)) ∈ {ψ}
  using condensation.hyps unfolding A by blast
then have {#L, L#} + (A + {#l#}) ∈ {ψ}
  by auto
then show ?case
  using factoring-imp-simplify by blast
next
case (subsumption A B)
then show ?case by blast
qed
then have False using assms(1) by blast
}
ultimately show False by auto
qed

```

**lemma** *in-simplified-simplified*:

assumes *simp: simplified ψ* and *incl: ψ' ⊆ ψ*  
 shows *simplified ψ'*

**proof** (*rule ccontr*)

assume  $\neg$  *?thesis*

then obtain  $\psi''$  where *simplify ψ' ψ''* by *metis*

then have  $\exists l'. \textit{simplify } \psi l'$

**proof** (*induction rule: simplify.induct*)

case (*tautology-deletion A P*)

then show *?thesis* using *simplify.tautology-deletion[of A P ψ] incl* by *blast*

next

case (*condensation A L*)

then show *?case* using *simplify.condensation[of A L ψ] incl* by *blast*

next

case (*subsumption A B*)

then show *?case* using *simplify.subsumption[of A ψ B] incl* by *auto*

qed

then show *False* using *assms(1)* by *blast*

qed

**lemma** *simplified-in*:

assumes *simplified ψ*

and  $N \in \psi$

shows *simplified {N}*

using *assms* by (*metis Set.set-insert empty-subsetI in-simplified-simplified insert-mono*)

**lemma** *subsumes-imp-formula*:

assumes  $\psi \leq\# \varphi$

shows  $\{\psi\} \models_p \varphi$

**unfolding** *true-clss-cls-def* **apply** *auto*

using *assms true-cls-mono-leD* by *blast*

**lemma** *simplified-imp-distinct-mset-tauto*:

assumes *simp: simplified ψ'*

shows *distinct-mset-set ψ'* and  $\forall \chi \in \psi'. \neg \textit{tautology } \chi$

**proof** –

**show**  $\forall \chi \in \psi'. \neg \text{tautology } \chi$   
**using** *simp* **by** (*auto simp add: simplified-in simplified-not-tautology*)

**show** *distinct-mset-set*  $\psi'$

**proof** (*rule ccontr*)

**assume**  $\neg ?thesis$

**then obtain**  $\chi$  **where**  $\chi \in \psi'$  **and**  $\neg \text{distinct-mset } \chi$  **unfolding** *distinct-mset-set-def* **by** *auto*

**then obtain**  $L$  **where**  $\text{count } \chi L \geq 2$

**unfolding** *distinct-mset-def*

**by** (*meson count-greater-eq-one-iff le-antisym simp simplified-count*)

**then show** *False* **by** (*metis Suc-1 ‹ $\chi \in \psi'$ › not-less-eq-eq simp simplified-count*)

**qed**

**qed**

**lemma** *simplified-no-more-full1-simplified*:

**assumes** *simplified*  $\psi$

**shows**  $\neg \text{full1 simplify } \psi \psi'$

**using** *assms* **unfolding** *full1-def* **by** (*meson tranclpD*)

## 2.1.5 Resolution and Invariants

**inductive** *resolution* :: *'v state*  $\Rightarrow$  *'v state*  $\Rightarrow$  *bool* **where**

*full1-simp*: *full1 simplify*  $N N' \Longrightarrow \text{resolution } (N, \text{already-used}) (N', \text{already-used}) \mid$

*inferring*: *inference*  $(N, \text{already-used}) (N', \text{already-used}') \Longrightarrow \text{simplified } N$

$\Longrightarrow \text{full simplify } N' N'' \Longrightarrow \text{resolution } (N, \text{already-used}) (N'', \text{already-used}')$

### Invariants

**lemma** *resolution-finite*:

**assumes** *resolution*  $\psi \psi'$  **and** *finite* (*fst*  $\psi$ )

**shows** *finite* (*fst*  $\psi'$ )

**using** *assms* **by** (*induct rule: resolution.induct*)

(*auto simp add: full1-def full-def rtranclp-simplify-preserves-finite*

*dest: tranclp-into-rtranclp inference-preserves-finite*)

**lemma** *rtranclp-resolution-finite*:

**assumes** *resolution*\*\*  $\psi \psi'$  **and** *finite* (*fst*  $\psi$ )

**shows** *finite* (*fst*  $\psi'$ )

**using** *assms* **by** (*induct rule: rtranclp-induct, auto simp add: resolution-finite*)

**lemma** *resolution-finite-snd*:

**assumes** *resolution*  $\psi \psi'$  **and** *finite* (*snd*  $\psi$ )

**shows** *finite* (*snd*  $\psi'$ )

**using** *assms* **apply** (*induct rule: resolution.induct, auto simp add: inference-preserves-finite-snd*)

**using** *inference-preserves-finite-snd snd-conv* **by** *metis*

**lemma** *rtranclp-resolution-finite-snd*:

**assumes** *resolution*\*\*  $\psi \psi'$  **and** *finite* (*snd*  $\psi$ )

**shows** *finite* (*snd*  $\psi'$ )

**using** *assms* **by** (*induct rule: rtranclp-induct, auto simp add: resolution-finite-snd*)

**lemma** *resolution-always-simplified*:

**assumes** *resolution*  $\psi \psi'$

**shows** *simplified* (*fst*  $\psi'$ )

**using** *assms* **by** (*induct rule: resolution.induct*)

(*auto simp add: full1-def full-def*)

**lemma** *tranclp-resolution-always-simplified:*

**assumes** *tranclp resolution  $\psi \psi'$*

**shows** *simplified (fst  $\psi'$ )*

**using** *assms by (induct rule: tranclp.induct, auto simp add: resolution-always-simplified)*

**lemma** *resolution-atms-of:*

**assumes** *resolution  $\psi \psi'$  and finite (fst  $\psi$ )*

**shows** *atms-of-ms (fst  $\psi'$ )  $\subseteq$  atms-of-ms (fst  $\psi$ )*

**using** *assms apply (induct rule: resolution.induct)*

**apply**(*simp add: rtranclp-simplify-atms-of-ms tranclp-into-rtranclp full1-def*)

**by** (*metis (no-types, lifting) contra-subsetD fst-conv full-def*

*inference-preserves-atms-of-ms rtranclp-simplify-atms-of-ms subsetI*)

**lemma** *rtranclp-resolution-atms-of:*

**assumes** *resolution\*\*  $\psi \psi'$  and finite (fst  $\psi$ )*

**shows** *atms-of-ms (fst  $\psi'$ )  $\subseteq$  atms-of-ms (fst  $\psi$ )*

**using** *assms apply (induct rule: rtranclp-induct)*

**using** *resolution-atms-of rtranclp-resolution-finite by blast+*

**lemma** *resolution-include:*

**assumes** *res: resolution  $\psi \psi'$  and finite: finite (fst  $\psi$ )*

**shows** *fst  $\psi' \subseteq$  simple-cls (atms-of-ms (fst  $\psi$ ))*

**proof** –

**have** *finite': finite (fst  $\psi'$ ) using local.finite res resolution-finite by blast*

**have** *simplified (fst  $\psi'$ ) using res finite' resolution-always-simplified by blast*

**then have** *fst  $\psi' \subseteq$  simple-cls (atms-of-ms (fst  $\psi'$ ))*

**using** *simplified-in-simple-cls finite' simplified-imp-distinct-mset-tauto[of fst  $\psi'$ ] by auto*

**moreover have** *atms-of-ms (fst  $\psi'$ )  $\subseteq$  atms-of-ms (fst  $\psi$ )*

**using** *res finite resolution-atms-of[of  $\psi \psi'$ ] by auto*

**ultimately show** *?thesis by (meson atms-of-ms-finite local.finite order.trans rev-finite-subset simple-cls-mono)*

**qed**

**lemma** *rtranclp-resolution-include:*

**assumes** *res: tranclp resolution  $\psi \psi'$  and finite: finite (fst  $\psi$ )*

**shows** *fst  $\psi' \subseteq$  simple-cls (atms-of-ms (fst  $\psi$ ))*

**using** *assms apply (induct rule: tranclp.induct)*

**apply** (*simp add: resolution-include*)

**by** (*meson simple-cls-mono order-trans resolution-include*

*rtranclp-resolution-atms-of rtranclp-resolution-finite tranclp-into-rtranclp*)

**abbreviation** *already-used-all-simple*

*:: ('a literal multiset  $\times$  'a literal multiset) set  $\Rightarrow$  'a set  $\Rightarrow$  bool where*

*already-used-all-simple already-used vars  $\equiv$*

*( $\forall (A, B) \in$  already-used. *simplified*  $\{A\} \wedge$  *simplified*  $\{B\} \wedge$  *atms-of*  $A \subseteq$  *vars*  $\wedge$  *atms-of*  $B \subseteq$  *vars*)*

**lemma** *already-used-all-simple-vars-incl:*

**assumes** *vars  $\subseteq$  vars'*

**shows** *already-used-all-simple a vars  $\implies$  already-used-all-simple a vars'*

**using** *assms by fast*

**lemma** *inference-clause-preserves-already-used-all-simple:*

**assumes** *inference-clause S S'*

**and** *already-used-all-simple (snd S) vars*

**and** *simplified* (*fst S*)  
**and** *atms-of-ms* (*fst S*)  $\subseteq$  *vars*  
**shows** *already-used-all-simple* (*snd* (*fst S*  $\cup$  {*fst S'*}), *snd S'*) *vars*  
**using** *assms*  
**proof** (*induct rule: inference-clause.induct*)  
**case** (*factoring L C N already-used*)  
**then show** ?*case* **by** (*simp add: simplified-in factoring-imp-simplify*)  
**next**  
**case** (*resolution P C N D already-used*) **note** *H = this*  
**show** ?*case* **apply** *clarify*  
**proof** –  
**fix** *A B v*  
**assume** (*A, B*)  $\in$  *snd* (*fst* (*N, already-used*)  
 $\cup$  {*fst* (*C + D, already-used*  $\cup$  {({#Pos *P*#} + *C*, {#Neg *P*#} + *D*))},  
*snd* (*C + D, already-used*  $\cup$  {({#Pos *P*#} + *C*, {#Neg *P*#} + *D*))})  
**then have** (*A, B*)  $\in$  *already-used*  $\vee$  (*A, B*) = ({#Pos *P*#} + *C*, {#Neg *P*#} + *D*) **by** *auto*  
**moreover** {  
**assume** (*A, B*)  $\in$  *already-used*  
**then have** *simplified* {*A*}  $\wedge$  *simplified* {*B*}  $\wedge$  *atms-of A*  $\subseteq$  *vars*  $\wedge$  *atms-of B*  $\subseteq$  *vars*  
**using** *H(4)* **by** *auto*  
**}**  
**moreover** {  
**assume** *eq: (A, B) = ({#Pos P#} + C, {#Neg P#} + D)*  
**then have** *simplified* {*A*} **using** *simplified-in H(1,5)* **by** *auto*  
**moreover have** *simplified* {*B*} **using** *eq simplified-in H(2,5)* **by** *auto*  
**moreover have** *atms-of A*  $\subseteq$  *atms-of-ms N*  
**using** *eq H(1)*  
**using** *atms-of-atms-of-ms-mono[of A N]* **by** *auto*  
**moreover have** *atms-of B*  $\subseteq$  *atms-of-ms N*  
**using** *eq H(2) atms-of-atms-of-ms-mono[of B N]* **by** *auto*  
**ultimately have** *simplified* {*A*}  $\wedge$  *simplified* {*B*}  $\wedge$  *atms-of A*  $\subseteq$  *vars*  $\wedge$  *atms-of B*  $\subseteq$  *vars*  
**using** *H(6)* **by** *auto*  
**}**  
**ultimately show** *simplified* {*A*}  $\wedge$  *simplified* {*B*}  $\wedge$  *atms-of A*  $\subseteq$  *vars*  $\wedge$  *atms-of B*  $\subseteq$  *vars*  
**by** *fast*  
**qed**  
**qed**

**lemma** *inference-preserves-already-used-all-simple*:  
**assumes** *inference S S'*  
**and** *already-used-all-simple* (*snd S*) *vars*  
**and** *simplified* (*fst S*)  
**and** *atms-of-ms* (*fst S*)  $\subseteq$  *vars*  
**shows** *already-used-all-simple* (*snd S'*) *vars*  
**using** *assms*  
**proof** (*induct rule: inference.induct*)  
**case** (*inference-step S clause already-used*)  
**then show** ?*case*  
**using** *inference-clause-preserves-already-used-all-simple[of S (clause, already-used) vars]*  
**by** *auto*  
**qed**

**lemma** *already-used-all-simple-inv*:  
**assumes** *resolution S S'*  
**and** *already-used-all-simple* (*snd S*) *vars*  
**and** *atms-of-ms* (*fst S*)  $\subseteq$  *vars*

**shows** *already-used-all-simple* (*snd S'*) *vars*  
**using** *assms*  
**proof** (*induct rule: resolution.induct*)  
**case** (*full1-simp N N'*)  
**then show** *?case* **by** *simp*  
**next**  
**case** (*inferring N already-used N' already-used' N''*)  
**then show** *already-used-all-simple* (*snd (N'', already-used')*) *vars*  
**using** *inference-preserves-already-used-all-simple*[*of (N, already-used)*] **by** *simp*  
**qed**

**lemma** *rtranclp-already-used-all-simple-inv*:  
**assumes** *resolution\*\* S S'*  
**and** *already-used-all-simple* (*snd S*) *vars*  
**and** *atms-of-ms* (*fst S*)  $\subseteq$  *vars*  
**and** *finite* (*fst S*)  
**shows** *already-used-all-simple* (*snd S'*) *vars*  
**using** *assms*  
**proof** (*induct rule: rtranclp-induct*)  
**case** *base*  
**then show** *?case* **by** *simp*  
**next**  
**case** (*step S' S''*) **note** *infstar = this(1)* **and** *IH = this(3)* **and** *res = this(2)* **and**  
*already = this(4)* **and** *atms = this(5)* **and** *finite = this(6)*  
**have** *already-used-all-simple* (*snd S'*) *vars* **using** *IH already atms finite* **by** *simp*  
**moreover have** *atms-of-ms* (*fst S'*)  $\subseteq$  *atms-of-ms* (*fst S*)  
**by** (*simp add: infstar local.finite rtranclp-resolution-atms-of*)  
**then have** *atms-of-ms* (*fst S'*)  $\subseteq$  *vars* **using** *atms* **by** *auto*  
**ultimately show** *?case*  
**using** *already-used-all-simple-inv*[*OF res*] **by** *simp*  
**qed**

**lemma** *inference-clause-simplified-already-used-subset*:  
**assumes** *inference-clause S S'*  
**and** *simplified* (*fst S*)  
**shows** *snd S*  $\subset$  *snd S'*  
**using** *assms* **apply** (*induct rule: inference-clause.induct*)  
**using** *factoring-imp-simplify* **apply** (*simp; blast*)  
**using** *factoring-imp-simplify* **by** *force*

**lemma** *inference-simplified-already-used-subset*:  
**assumes** *inference S S'*  
**and** *simplified* (*fst S*)  
**shows** *snd S*  $\subset$  *snd S'*  
**using** *assms* **apply** (*induct rule: inference.induct*)  
**by** (*metis inference-clause-simplified-already-used-subset snd-conv*)

**lemma** *resolution-simplified-already-used-subset*:  
**assumes** *resolution S S'*  
**and** *simplified* (*fst S*)  
**shows** *snd S*  $\subset$  *snd S'*  
**using** *assms* **apply** (*induct rule: resolution.induct, simp-all add: full1-def*)  
**apply** (*meson tranclpD*)  
**by** (*metis inference-simplified-already-used-subset fst-conv snd-conv*)

**lemma** *tranclp-resolution-simplified-already-used-subset*:



**assumes** *tranclp resolution S S'*  
**and** *simplified (fst S)*  
**shows** *snd S  $\subset$  snd S'*  
**using** *assms apply (induct rule: tranclp.induct)*  
**using** *resolution-simplified-already-used-subset apply metis*  
**by** (*meson tranclp-resolution-always-simplified resolution-simplified-already-used-subset less-trans*)

**abbreviation** *already-used-top vars  $\equiv$  simple-clss vars  $\times$  simple-clss vars*

**lemma** *already-used-all-simple-in-already-used-top:*  
**assumes** *already-used-all-simple s vars and finite vars*  
**shows** *s  $\subseteq$  already-used-top vars*

**proof**

**fix** *x*  
**assume** *x-s: x  $\in$  s*  
**obtain** *A B where x: x = (A, B) by (cases x, auto)*  
**then have** *simplified {A} and atms-of A  $\subseteq$  vars using assms(1) x-s by fastforce+*  
**then have** *A: A  $\in$  simple-clss vars*  
**using** *simple-clss-mono[of atms-of A vars] x assms(2)*  
*simplified-imp-distinct-mset-tauto[of {A}]*  
*distinct-mset-not-tautology-implies-in-simple-clss by fast*  
**moreover have** *simplified {B} and atms-of B  $\subseteq$  vars using assms(1) x-s x by fast+*  
**then have** *B: B  $\in$  simple-clss vars*  
**using** *simplified-imp-distinct-mset-tauto[of {B}]*  
*distinct-mset-not-tautology-implies-in-simple-clss*  
*simple-clss-mono[of atms-of B vars] x assms(2) by fast*  
**ultimately show** *x  $\in$  simple-clss vars  $\times$  simple-clss vars*  
**unfolding** *x by auto*

**qed**

**lemma** *already-used-top-finite:*  
**assumes** *finite vars*  
**shows** *finite (already-used-top vars)*  
**using** *simple-clss-finite assms by auto*

**lemma** *already-used-top-increasing:*  
**assumes** *var  $\subseteq$  var' and finite var'*  
**shows** *already-used-top var  $\subseteq$  already-used-top var'*  
**using** *assms simple-clss-mono by auto*

**lemma** *already-used-all-simple-finite:*  
**fixes** *s :: ('a literal multiset  $\times$  'a literal multiset) set and vars :: 'a set*  
**assumes** *already-used-all-simple s vars and finite vars*  
**shows** *finite s*  
**using** *assms already-used-all-simple-in-already-used-top[OF assms(1)]*  
*rev-finite-subset[OF already-used-top-finite[of vars]] by auto*

**abbreviation** *card-simple vars  $\psi \equiv$  card (already-used-top vars  $- \psi$ )*

**lemma** *resolution-card-simple-decreasing:*  
**assumes** *res: resolution  $\psi \psi'$*   
**and** *a-u-s: already-used-all-simple (snd  $\psi$ ) vars*  
**and** *finite-v: finite vars*  
**and** *finite-fst: finite (fst  $\psi$ )*  
**and** *finite-snd: finite (snd  $\psi$ )*

**and** *simp*: *simplified* (*fst*  $\psi$ )  
**and** *atms-of-ms* (*fst*  $\psi$ )  $\subseteq$  *vars*  
**shows** *card-simple vars* (*snd*  $\psi'$ )  $<$  *card-simple vars* (*snd*  $\psi$ )  
**proof** –  
**let** *?vars* = *vars*  
**let** *?top* = *simple-cls* *?vars*  $\times$  *simple-cls* *?vars*  
**have** 1: *card-simple vars* (*snd*  $\psi$ ) = *card* *?top* – *card* (*snd*  $\psi$ )  
**using** *card-Diff-subset finite-snd already-used-all-simple-in-already-used-top*[*OF a-u-s*]  
*finite-v* **by** *metis*  
**have** *a-u-s'*: *already-used-all-simple* (*snd*  $\psi'$ ) *vars*  
**using** *already-used-all-simple-inv res a-u-s assms*(7) **by** *blast*  
**have** *f*: *finite* (*snd*  $\psi'$ ) **using** *already-used-all-simple-finite a-u-s' finite-v* **by** *auto*  
**have** 2: *card-simple vars* (*snd*  $\psi'$ ) = *card* *?top* – *card* (*snd*  $\psi'$ )  
**using** *card-Diff-subset*[*OF f*] *already-used-all-simple-in-already-used-top*[*OF a-u-s' finite-v*]  
**by** *auto*  
**have** *card* (*already-used-top vars*)  $\geq$  *card* (*snd*  $\psi'$ )  
**using** *already-used-all-simple-in-already-used-top*[*OF a-u-s' finite-v*]  
*card-mono*[*of already-used-top vars snd*  $\psi'$ ] *already-used-top-finite*[*OF finite-v*] **by** *metis*  
**then show** *?thesis*  
**using** *psubset-card-mono*[*OF f resolution-simplified-already-used-subset*[*OF res simp*]]  
**unfolding** 1 2 **by** *linarith*  
**qed**

**lemma** *tranclp-resolution-card-simple-decreasing*:

**assumes** *tranclp resolution*  $\psi$   $\psi'$  **and** *finite-fst*: *finite* (*fst*  $\psi$ )  
**and** *already-used-all-simple* (*snd*  $\psi$ ) *vars*  
**and** *atms-of-ms* (*fst*  $\psi$ )  $\subseteq$  *vars*  
**and** *finite-v*: *finite vars*  
**and** *finite-snd*: *finite* (*snd*  $\psi$ )  
**and** *simplified* (*fst*  $\psi$ )  
**shows** *card-simple vars* (*snd*  $\psi'$ )  $<$  *card-simple vars* (*snd*  $\psi$ )  
**using** *assms*  
**proof** (*induct rule*: *tranclp-induct*)  
**case** (*base*  $\psi'$ )  
**then show** *?case* **by** (*simp add*: *resolution-card-simple-decreasing*)  
**next**  
**case** (*step*  $\psi'$   $\psi''$ ) **note** *res* = *this*(1) **and** *res'* = *this*(2) **and** *a-u-s* = *this*(5) **and**  
*atms* = *this*(6) **and** *f-v* = *this*(7) **and** *f-fst* = *this*(4) **and** *H* = *this*  
**then have** *card-simple vars* (*snd*  $\psi'$ )  $<$  *card-simple vars* (*snd*  $\psi$ ) **by** *auto*  
**moreover have** *a-u-s'*: *already-used-all-simple* (*snd*  $\psi'$ ) *vars*  
**using** *rtranclp-already-used-all-simple-inv*[*OF tranclp-into-rtranclp*[*OF res*] *a-u-s atms f-fst*].  
**have** *finite* (*fst*  $\psi'$ )  
**by** (*meson finite-fst res rtranclp-resolution-finite tranclp-into-rtranclp*)  
**moreover have** *finite* (*snd*  $\psi'$ ) **using** *already-used-all-simple-finite*[*OF a-u-s' f-v*].  
**moreover have** *simplified* (*fst*  $\psi'$ ) **using** *res tranclp-resolution-always-simplified* **by** *blast*  
**moreover have** *atms-of-ms* (*fst*  $\psi'$ )  $\subseteq$  *vars*  
**by** (*meson atms f-fst order.trans res rtranclp-resolution-atms-of tranclp-into-rtranclp*)  
**ultimately show** *?case*  
**using** *resolution-card-simple-decreasing*[*OF res' a-u-s' f-v*] *f-v*  
*less-trans*[*of card-simple vars* (*snd*  $\psi''$ ) *card-simple vars* (*snd*  $\psi'$ )  
*card-simple vars* (*snd*  $\psi$ )]  
**by** *blast*  
**qed**

**lemma** *tranclp-resolution-card-simple-decreasing-2*:  
**assumes** *tranclp resolution  $\psi \psi'$*   
**and** *finite-fst: finite (fst  $\psi$ )*  
**and** *empty-snd: snd  $\psi = \{\}$*   
**and** *simplified (fst  $\psi$ )*  
**shows** *card-simple (atms-of-ms (fst  $\psi$ )) (snd  $\psi'$ ) < card-simple (atms-of-ms (fst  $\psi$ )) (snd  $\psi$ )*  
**proof** –  
**let** *?vars = atms-of-ms (fst  $\psi$ )*  
**have** *already-used-all-simple (snd  $\psi$ ) ?vars unfolding empty-snd by auto*  
**moreover** **have** *atms-of-ms (fst  $\psi$ )  $\subseteq$  ?vars by auto*  
**moreover** **have** *finite-v: finite ?vars using finite-fst by auto*  
**moreover** **have** *finite-snd: finite (snd  $\psi$ ) unfolding empty-snd by auto*  
**ultimately** **show** *?thesis*  
**using** *assms(1,2,4) tranclp-resolution-card-simple-decreasing[of  $\psi \psi'$ ] by presburger*  
**qed**

## Well-Foundedness of the Relation

**lemma** *wf-simplified-resolution*:  
**assumes** *f-vars: finite vars*  
**shows** *wf  $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars}) \wedge \text{resolution } x y\}$*   
**proof** –  
{  
**fix** *a b :: 'v::linorder state*  
**assume** *(b, a)  $\in \{(y, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars}) \wedge \text{resolution } x y\}$*   
**then** **have**  
*atms-of-ms (fst a)  $\subseteq$  vars and*  
*simp: simplified (fst a) and*  
*finite (snd a) and*  
*finite (fst a) and*  
*a-u-v: already-used-all-simple (snd a) vars and*  
*res: resolution a b by auto*  
**have** *finite (already-used-top vars) using f-vars already-used-top-finite by blast*  
**moreover** **have** *already-used-top vars  $\subseteq$  already-used-top vars by auto*  
**moreover** **have** *snd b  $\subseteq$  already-used-top vars*  
**using** *already-used-all-simple-in-already-used-top[of snd b vars]*  
*a-u-v already-used-all-simple-inv[OF res] (finite (fst a)) (atms-of-ms (fst a)  $\subseteq$  vars) f-vars*  
**by** *presburger*  
**moreover** **have** *snd a  $\subset$  snd b using resolution-simplified-already-used-subset[OF res simp] .*  
**ultimately** **have** *finite (already-used-top vars)  $\wedge$  already-used-top vars  $\subseteq$  already-used-top vars*  
 *$\wedge$  snd b  $\subseteq$  already-used-top vars  $\wedge$  snd a  $\subset$  snd b by metis*  
}  
**then** **show** *?thesis using wf-bounded-set[of  $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars}) \wedge \text{resolution } x y\}$   $\lambda$ -. already-used-top vars snd] by auto*  
**qed**

**lemma** *wf-simplified-resolution'*:  
**assumes** *f-vars: finite vars*  
**shows** *wf  $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \neg \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars}) \wedge \text{resolution } x y\}$*   
**unfolding** *wf-def*  
**apply** *(simp add: resolution-always-simplified)*

by (metis (mono-tags, hide-lams) fst-conv resolution-always-simplified)

**lemma** *wf-resolution*:

**assumes** *f-vars*: finite vars

**shows**  $wf \{ \{ (y: 'v:: linorder\ state, x). (atms-of-ms\ (fst\ x) \subseteq vars \wedge simplified\ (fst\ x) \wedge finite\ (snd\ x) \wedge finite\ (fst\ x) \wedge already-used-all-simple\ (snd\ x)\ vars) \wedge resolution\ x\ y \} \cup \{ (y, x). (atms-of-ms\ (fst\ x) \subseteq vars \wedge \neg\ simplified\ (fst\ x) \wedge finite\ (snd\ x) \wedge finite\ (fst\ x) \wedge already-used-all-simple\ (snd\ x)\ vars) \wedge resolution\ x\ y \} \}$  (is wf (?R  $\cup$  ?S))

**proof** –

**have** Domain ?R Int Range ?S = {} **using** resolution-always-simplified **by** auto blast

**then show** wf (?R  $\cup$  ?S)

**using** wf-simplified-resolution[OF *f-vars*] wf-simplified-resolution'[OF *f-vars*] wf-Un[of ?R ?S]

**by** fast

**qed**

**lemma** *rtranclp-simplify-already-used-inv*:

**assumes** simplify\*\* *S S'*

**and** already-used-inv (*S, N*)

**shows** already-used-inv (*S', N*)

**using** assms **apply** induction

**using** simplify-preserves-already-used-inv **by** fast+

**lemma** *full1-simplify-already-used-inv*:

**assumes** full1 simplify *S S'*

**and** already-used-inv (*S, N*)

**shows** already-used-inv (*S', N*)

**using** assms tranclp-into-rtranclp[of simplify *S S'*] rtranclp-simplify-already-used-inv

**unfolding** full1-def **by** fast

**lemma** *full-simplify-already-used-inv*:

**assumes** full simplify *S S'*

**and** already-used-inv (*S, N*)

**shows** already-used-inv (*S', N*)

**using** assms rtranclp-simplify-already-used-inv **unfolding** full-def **by** fast

**lemma** *resolution-already-used-inv*:

**assumes** resolution *S S'*

**and** already-used-inv *S*

**shows** already-used-inv *S'*

**using** assms

**proof** induction

**case** (full1-simp *N N'* already-used)

**then show** ?case **using** full1-simplify-already-used-inv **by** fast

**next**

**case** (inferring *N* already-used *N'* already-used' *N''*) **note** inf = this(1) **and** full = this(3) **and** a-u-v = this(4)

**then show** ?case

**using** inference-preserves-already-used-inv[OF inf a-u-v] full-simplify-already-used-inv full

**by** fast

**qed**

**lemma** *rtranclp-resolution-already-used-inv*:

**assumes** resolution\*\* *S S'*

**and** already-used-inv *S*

**shows** already-used-inv *S'*

**using** assms **apply** induction

**using** resolution-already-used-inv **by** fast+

**lemma** *rtanclp-simplify-preserves-unsat*:  
**assumes** *simplify\*\**  $\psi \psi'$   
**shows** *satisfiable*  $\psi' \longrightarrow$  *satisfiable*  $\psi$   
**using** *assms* **apply** *induction*  
**using** *simplify-clause-preserves-sat* **by** *blast+*

**lemma** *full1-simplify-preserves-unsat*:  
**assumes** *full1 simplify*  $\psi \psi'$   
**shows** *satisfiable*  $\psi' \longrightarrow$  *satisfiable*  $\psi$   
**using** *assms* *rtanclp-simplify-preserves-unsat*[*of*  $\psi \psi'$ ] *tranclp-into-rtranclp*  
**unfolding** *full1-def* **by** *metis*

**lemma** *full-simplify-preserves-unsat*:  
**assumes** *full simplify*  $\psi \psi'$   
**shows** *satisfiable*  $\psi' \longrightarrow$  *satisfiable*  $\psi$   
**using** *assms* *rtanclp-simplify-preserves-unsat*[*of*  $\psi \psi'$ ] **unfolding** *full-def* **by** *metis*

**lemma** *resolution-preserves-unsat*:  
**assumes** *resolution*  $\psi \psi'$   
**shows** *satisfiable* (*fst*  $\psi'$ )  $\longrightarrow$  *satisfiable* (*fst*  $\psi$ )  
**using** *assms* **apply** (*induct* *rule*: *resolution.induct*)  
**using** *full1-simplify-preserves-unsat* **apply** (*metis* *fst-conv*)  
**using** *full-simplify-preserves-unsat* *simplify-preserves-unsat* **by** *fastforce*

**lemma** *rtranclp-resolution-preserves-unsat*:  
**assumes** *resolution\*\**  $\psi \psi'$   
**shows** *satisfiable* (*fst*  $\psi'$ )  $\longrightarrow$  *satisfiable* (*fst*  $\psi$ )  
**using** *assms* **apply** *induction*  
**using** *resolution-preserves-unsat* **by** *fast+*

**lemma** *rtranclp-simplify-preserve-partial-tree*:  
**assumes** *simplify\*\**  $N N'$   
**and** *partial-interps*  $t I N$   
**shows** *partial-interps*  $t I N'$   
**using** *assms* **apply** (*induction*, *simp*)  
**using** *simplify-preserve-partial-tree* **by** *metis*

**lemma** *full1-simplify-preserve-partial-tree*:  
**assumes** *full1 simplify*  $N N'$   
**and** *partial-interps*  $t I N$   
**shows** *partial-interps*  $t I N'$   
**using** *assms* *rtranclp-simplify-preserve-partial-tree*[*of*  $N N' t I$ ] *tranclp-into-rtranclp*  
**unfolding** *full1-def* **by** *fast*

**lemma** *full-simplify-preserve-partial-tree*:  
**assumes** *full simplify*  $N N'$   
**and** *partial-interps*  $t I N$   
**shows** *partial-interps*  $t I N'$   
**using** *assms* *rtranclp-simplify-preserve-partial-tree*[*of*  $N N' t I$ ] *tranclp-into-rtranclp*  
**unfolding** *full-def* **by** *fast*

**lemma** *resolution-preserve-partial-tree*:  
**assumes** *resolution*  $S S'$   
**and** *partial-interps*  $t I$  (*fst*  $S$ )  
**shows** *partial-interps*  $t I$  (*fst*  $S'$ )

**using** *assms* **apply** *induction*  
**using** *full1-simplify-preserve-partial-tree fst-conv* **apply** *metis*  
**using** *full-simplify-preserve-partial-tree inference-preserve-partial-tree* **by** *fastforce*

**lemma** *rtranclp-resolution-preserve-partial-tree*:  
**assumes** *resolution\*\* S S'*  
**and** *partial-interps t I (fst S)*  
**shows** *partial-interps t I (fst S')*  
**using** *assms* **apply** *induction*  
**using** *resolution-preserve-partial-tree* **by** *fast+*  
**thm** *nat-less-induct nat.induct*

**lemma** *nat-ge-induct[case-names 0 Suc]*:  
**assumes** *P 0*  
**and**  $\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P m) \implies P (\text{Suc } n)$   
**shows** *P n*  
**using** *assms* **apply** (*induct rule: nat-less-induct*)  
**by** (*rename-tac n, case-tac n*) *auto*

**lemma** *wf-always-more-step-False*:  
**assumes** *wf R*  
**shows**  $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$   
**using** *assms* **unfolding** *wf-def* **by** (*meson Domain.DomainI assms wfE-min*)

**lemma** *finite-finite-mset-element-of-mset[simp]*:  
**assumes** *finite N*  
**shows** *finite {f  $\varphi$  L |  $\varphi$  L.  $\varphi \in N \wedge L \in \# \varphi \wedge P \varphi L$ }*  
**using** *assms*

**proof** (*induction N rule: finite-induct*)

**case** *empty*  
**show** *?case* **by** *auto*

**next**

**case** (*insert x N*) **note** *finite = this(1)* **and** *IH = this(3)*

**have**  $\{f \varphi L \mid \varphi L. (\varphi = x \vee \varphi \in N) \wedge L \in \# \varphi \wedge P \varphi L\} \subseteq \{f x L \mid L. L \in \# x \wedge P x L\}$   
 $\cup \{f \varphi L \mid \varphi L. \varphi \in N \wedge L \in \# \varphi \wedge P \varphi L\}$  **by** *auto*

**moreover** **have** *finite {f x L | L. L  $\in$  # x}* **by** *auto*

**ultimately** **show** *?case* **using** *IH finite-subset* **by** *fastforce*

**qed**

**definition** *sum-count-ge-2* :: '*a multiset set  $\Rightarrow$  nat ( $\Xi$ ) where*

*sum-count-ge-2  $\equiv$  folding.F ( $\lambda \varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\}) 0$*

**interpretation** *sum-count-ge-2*:

*folding  $\lambda \varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\}) 0$*

**rewrites**

*folding.F ( $\lambda \varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\}) 0 = \text{sum-count-ge-2}$*

**proof** –

**show** *folding ( $\lambda \varphi. (+) (\text{sum-mset } (\text{image-mset } (\text{count } \varphi) \{\# L \in \# \varphi. 2 \leq \text{count } \varphi L\}\}))$*   
**by** *standard auto*

**then interpret** *sum-count-ge-2*:

*folding  $\lambda \varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\}) 0$ .*

**show** *folding.F ( $\lambda \varphi. (+) (\text{sum-mset } (\text{image-mset } (\text{count } \varphi) \{\# L \in \# \varphi. 2 \leq \text{count } \varphi L\}\})) 0$*   
 $= \text{sum-count-ge-2}$  **by** (*auto simp add: sum-count-ge-2-def*)

**qed**

**lemma** *finite-incl-le-setsum*:

*finite* ( $B :: 'a$  multiset set)  $\implies A \subseteq B \implies \Xi A \leq \Xi B$

**proof** (*induction arbitrary:A rule: finite-induct*)

case *empty*

then show *?case* by *simp*

next

case (*insert a F*) **note** *finite = this(1)* and *aF = this(2)* and *IH = this(3)* and *AF = this(4)*

show *?case*

**proof** (*cases a ∈ A*)

assume  $a \notin A$

then have  $A \subseteq F$  using *AF* by *auto*

then show *?case* using *IH[of A]* by (*simp add: aF local.finite*)

next

assume  $aA: a \in A$

then have  $A - \{a\} \subseteq F$  using *AF* by *auto*

then have  $\Xi (A - \{a\}) \leq \Xi F$  using *IH* by *blast*

then show *?case*

**proof** –

**obtain**  $nn :: nat \Rightarrow nat \Rightarrow nat$  **where**

$\forall x0\ x1. (\exists v2. x0 = x1 + v2) = (x0 = x1 + nn\ x0\ x1)$

by *moura*

then have  $\Xi F = \Xi (A - \{a\}) + nn (\Xi F) (\Xi (A - \{a\}))$

by (*meson*  $\langle \Xi (A - \{a\}) \leq \Xi F \rangle$  *le-iff-add*)

then show *?thesis*

by (*metis* (*no-types*) *le-iff-add aA aF add.assoc finite.insertI finite-subset insert.premis local.finite sum-count-ge-2.insert sum-count-ge-2.remove*)

qed

qed

qed

**lemma** *simplify-finite-measure-decrease*:

*simplify*  $N\ N' \implies \text{finite } N \implies \text{card } N' + \Xi N' < \text{card } N + \Xi N$

**proof** (*induction rule: simplify.induct*)

case (*tautology-deletion P A*) **note** *an = this(1)* and *fin = this(2)*

let  $?N' = N - \{\text{add-mset } (Pos\ P)\ (\text{add-mset } (Neg\ P)\ A)\}$

have  $\text{card } ?N' < \text{card } N$

by (*meson card-Diff1-less tautology-deletion.hyps tautology-deletion.premis*)

moreover have  $?N' \subseteq N$  by *auto*

then have  $\text{sum-count-ge-2 } ?N' \leq \text{sum-count-ge-2 } N$  using *finite-incl-le-setsum[OF fin]* by *blast*

ultimately show *?case* by *linarith*

next

case (*condensation L A*) **note** *AN = this(1)* and *fin = this(2)*

let  $?C' = \text{add-mset } L\ A$

let  $?C = \text{add-mset } L\ ?C'$

let  $?N' = N - \{?C\} \cup \{?C'\}$

have  $\text{card } ?N' \leq \text{card } N$

using *AN* by (*metis* (*no-types, lifting*) *Diff-subset Un-empty-right Un-insert-right card.remove card-insert-if card-mono fin finite-Diff order-refl*)

moreover have  $\Xi \{?C'\} < \Xi \{?C\}$

**proof** –

have *mset-decomp*:

$\{\# L a \in \# A. (L = L a \longrightarrow L a \in \# A) \wedge (L \neq L a \longrightarrow 2 \leq \text{count } A\ L a)\#\}$

$= \{\# L a \in \# A. L \neq L a \wedge 2 \leq \text{count } A\ L a\#\} +$

$\{\# L a \in \# A. L = L a \wedge \text{Suc } 0 \leq \text{count } A\ L\#\}$

by (*auto simp: multiset-eq-iff ac-simps*)

```

have mset-decomp2: {# La ∈# A. L ≠ La → 2 ≤ count A La#} =
  {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} + replicate-mset (count A L) L
  by (auto simp: multiset-eq-iff)
have *: (∑ x∈#B. if L = x then Suc (count A x) else count A x) ≤
  (∑ x∈#B. if L = x then Suc (count (add-mset L A) x) else count (add-mset L A) x)
  for B
  by (auto intro!: sum-mset-mono)
show ?thesis
  using *[of {#La ∈# A. L ≠ La ∧ 2 ≤ count A La#}]
  by (auto simp: mset-decomp mset-decomp2 filter-mset-eq)
qed
have ∃ ?N' < ∃ N
  proof cases
    assume a1: ?C' ∈ N
    then show ?thesis
      proof -
        have f2: ∧m M. insert (m::'a literal multiset) (M - {m}) = M ∪ {} ∨ m ∉ M
          using Un-empty-right insert-Diff by blast
        have f3: ∧m M Ma. insert (m::'a literal multiset) M - insert m Ma = M - insert m Ma
          by simp
        then have f4: ∧M m. M - {m::'a literal multiset} = M ∪ {} ∨ m ∈ M
          using Diff-insert-absorb Un-empty-right by fastforce
        have f5: insert ?C N = N
          using f3 f2 Un-empty-right condensation.hyps insert-iff by fastforce
        have ∧m M. insert (m::'a literal multiset) M = M ∪ {} ∨ m ∉ M
          using f3 f2 Un-empty-right add.right-neutral insert-iff by fastforce
        then have ∃ (N - {?C}) < ∃ N
          using f5 f4 by (metis Un-empty-right ⟨∃ {?C'} < ∃ {?C}⟩
            add.right-neutral add-diff-cancel-left' add-gr-0 diff-less fin finite.emptyI not-le
            sum-count-ge-2.empty sum-count-ge-2.insert-remove trans-le-add2)
        then show ?thesis
          using f3 f2 a1 by (metis (no-types) Un-empty-right Un-insert-right condensation.hyps
            insert-iff multi-self-add-other-not-self)
      qed
    next
      assume ?C' ∉ N
      have mset-decomp:
        {# La ∈# A. (L = La → Suc 0 ≤ count A La) ∧ (L ≠ La → 2 ≤ count A La)#}
        = {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} +
          {# La ∈# A. L = La ∧ Suc 0 ≤ count A L#}
          by (auto simp: multiset-eq-iff ac-simps)
      have mset-decomp2: {# La ∈# A. L ≠ La → 2 ≤ count A La#} =
        {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} + replicate-mset (count A L) L
        by (auto simp: multiset-eq-iff)

      show ?thesis
        using ⟨∃ {?C'} < ∃ {?C}⟩ condensation.hyps fin
          sum-count-ge-2.remove[of - ?C] ⟨?C' ∉ N⟩
        by (auto simp: mset-decomp mset-decomp2 filter-mset-eq)
      qed
    ultimately show ?case by linarith
  next
    case (subsumption A B) note AN = this(1) and AB = this(2) and BN = this(3) and fin = this(4)
    have card (N - {B}) < card N using BN by (meson card-Diff1-less subsumption.prem)
    moreover have ∃ (N - {B}) ≤ ∃ N
      by (simp add: Diff-subset finite-incl-le-setsum subsumption.prem)
  end

```



ultimately show ?case by linarith  
qed

lemma *simplify-terminates*:

wf  $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\}$   
 apply (rule wfP-if-measure[of finite simplify  $\lambda N. \text{card } N + \exists N$ ])  
 using simplify-finite-measure-decrease by blast

lemma *wf-terminates*:

assumes wf r  
 shows  $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$

proof –

let  $?P = \lambda N. (\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r))$

have  $\forall x. (\forall y. (y, x) \in r \longrightarrow ?P y) \longrightarrow ?P x$

proof clarify

fix x

assume H:  $\forall y. (y, x) \in r \longrightarrow ?P y$

{ assume  $\exists y. (y, x) \in r$

then obtain y where  $y: (y, x) \in r$  by blast

then have  $?P y$  using H by blast

then have  $?P x$  using y by (meson rtrancl.rtrancl-into-rtrancl)

}

moreover {

assume  $\neg(\exists y. (y, x) \in r)$

then have  $?P x$  by auto

}

ultimately show  $?P x$  by blast

qed

moreover have  $(\forall x. (\forall y. (y, x) \in r \longrightarrow ?P y) \longrightarrow ?P x) \longrightarrow \text{All } ?P$

using assms unfolding wf-def by (rule allE)

ultimately have  $\text{All } ?P$  by blast

then show  $?P N$  by blast

qed

lemma *rtranclp-simplify-terminates*:

assumes fin: finite N

shows  $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$

proof –

have H:  $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\} = \{(N', N). \text{simplify } N N' \wedge \text{finite } N\}$  by auto

then have wf: wf  $\{(N', N). \text{simplify } N N' \wedge \text{finite } N\}$

using simplify-terminates by (simp add: H)

obtain N' where  $N': (N', N) \in \{(b, a). \text{simplify } a b \wedge \text{finite } a\}^*$  and

more:  $\forall N''. (N'', N') \notin \{(b, a). \text{simplify } a b \wedge \text{finite } a\}$

using Prop-Resolution.wf-terminates[OF wf, of N] by blast

have 1:  $\text{simplify}^{**} N N'$

using N' by (induction rule: rtrancl.induct) auto

then have finite N' using fin rtranclp-simplify-preserves-finite by blast

then have 2:  $\forall N''. \neg \text{simplify } N' N''$  using more by auto

show ?thesis using 1 2 by blast

qed

lemma *finite-simplified-full1-simp*:

assumes finite N

shows  $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$

**using** *rtranclp-simplify-terminates*[*OF assms*] **unfolding** *full1-def*  
**by** (*metis Nitpick.rtranclp-unfold*)

**lemma** *finite-simplified-full-simp*:

**assumes** *finite N*

**shows**  $\exists N'. \text{full simplify } N N'$

**using** *rtranclp-simplify-terminates*[*OF assms*] **unfolding** *full-def* **by** *metis*

**lemma** *can-decrease-tree-size-resolution*:

**fixes**  $\psi :: 'v \text{ state}$  **and**  $\text{tree} :: 'v \text{ sem-tree}$

**assumes** *finite (fst  $\psi$ )* **and** *already-used-inv  $\psi$*

**and** *partial-interps tree I (fst  $\psi$ )*

**and** *simplified (fst  $\psi$ )*

**shows**  $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps tree}' I (\text{fst } \psi')$

$\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0)$

**using** *assms*

**proof** (*induct arbitrary: I rule: sem-tree-size*)

**case** (*bigger xs I*) **note** *IH = this(1)* **and** *finite = this(2)* **and** *a-u-i = this(3)* **and** *part = this(4)*

**and** *simp = this(5)*

{ **assume** *sem-tree-size xs = 0*  
**then have** *?case using part by blast*  
}

**moreover** {

**assume** *sn0: sem-tree-size xs > 0*

**obtain** *ag ad v* **where** *xs: xs = Node v ag ad* **using** *sn0* **by** (*cases xs, auto*)

{

**assume** *sem-tree-size ag = 0  $\wedge$  sem-tree-size ad = 0*

**then have** *ag: ag = Leaf* **and** *ad: ad = Leaf* **by** (*cases ag, auto, cases ad, auto*)

**then obtain**  $\chi \chi'$  **where**

$\chi: \neg I \cup \{\text{Pos } v\} \models \chi$  **and**

*tot $\chi$ : total-over-m (I  $\cup$  {Pos v}) { $\chi$ }* **and**

$\chi\psi: \chi \in \text{fst } \psi$  **and**

$\chi': \neg I \cup \{\text{Neg } v\} \models \chi'$  **and**

*tot $\chi'$ : total-over-m (I  $\cup$  {Neg v}) { $\chi'$ }* **and**  $\chi'\psi: \chi' \in \text{fst } \psi$

**using** *part unfolding xs by auto*

**have** *Posv: Pos v  $\notin$  #  $\chi$*  **using**  $\chi$  **unfolding** *true-cls-def true-lit-def* **by** *auto*

**have** *Negv: Neg v  $\notin$  #  $\chi'$*  **using**  $\chi'$  **unfolding** *true-cls-def true-lit-def* **by** *auto*

{

**assume** *Neg $\chi$ : Neg v  $\notin$  #  $\chi$*

**then have**  $\neg I \models \chi$  **using**  $\chi$  *Posv* **unfolding** *true-cls-def true-lit-def* **by** *auto*

**moreover have** *total-over-m I { $\chi$ }*

**using** *Posv Neg $\chi$  atm-imp-pos-or-neg-lit tot $\chi$*  **unfolding** *total-over-m-def total-over-set-def*  
**by** *fastforce*

**ultimately have** *partial-interps Leaf I (fst  $\psi$ )*

**and** *sem-tree-size Leaf < sem-tree-size xs*

**and** *resolution<sup>\*\*</sup>  $\psi \psi$*

**unfolding** *xs* **by** (*auto simp add:  $\chi\psi$* )

}

**moreover** {

**assume** *Pos $\chi$ : Pos v  $\notin$  #  $\chi'$*

**then have**  $I\chi: \neg I \models \chi'$  **using**  $\chi'$  *Posv* **unfolding** *true-cls-def true-lit-def* **by** *auto*

**moreover have** *total-over-m I { $\chi'$ }*

**using** *Negv Pos $\chi$  atm-imp-pos-or-neg-lit tot $\chi'$*

```

    unfolding total-over-m-def total-over-set-def by fastforce
ultimately have partial-interps Leaf I (fst  $\psi$ )
and sem-tree-size Leaf < sem-tree-size xs
and resolution**  $\psi$   $\psi$ 
using  $\chi'$  $\psi$   $I\chi$  unfolding xs by auto
}
moreover {
assume neg: Neg v  $\in\#$   $\chi$  and pos: Pos v  $\in\#$   $\chi'$ 
have count  $\chi$  (Neg v) = 1
using simplified-count[OF simp  $\chi\psi$ ] neg
by (simp add: dual-order.antisym)
have count  $\chi'$  (Pos v) = 1
using simplified-count[OF simp  $\chi'\psi$ ] pos
by (simp add: dual-order.antisym)

C obtain C where  $\chi C$ :  $\chi = \text{add-mset (Neg v) C}$  and negC: Neg v  $\notin\#$  C and posC: Pos v  $\notin\#$ 

by (metis (no-types, lifting) One-nat-def Posv  $\langle$ count  $\chi$  (Neg v) = 1 $\rangle$ 
 $\langle$ count  $\chi'$  (Pos v) = 1 $\rangle$  count-add-mset count-greater-eq-Suc-zero-iff insert-DiffM
le-numeral-extra(2) nat.inject pos)

obtain C' where
 $\chi C'$ :  $\chi' = \text{add-mset (Pos v) C'}$  and
posC': Pos v  $\notin\#$  C' and
negC': Neg v  $\notin\#$  C'
by (metis (no-types, lifting) Negv One-nat-def  $\langle$ count  $\chi'$  (Pos v) = 1 $\rangle$  count-add-mset
count-eq-zero-iff mset-add nat.inject pos)

have totC: total-over-m I {C}
using tot $\chi$  total-over-m-remove[of I Pos v C] negC posC unfolding  $\chi C$  by auto
have totC': total-over-m I {C'}
using tot $\chi'$  total-over-m-sum total-over-m-remove[of I Neg v C'] negC' posC'
unfolding  $\chi C'$  by auto
have  $\neg I \models C + C'$ 
using  $\chi$   $\chi'$   $\chi C$   $\chi C'$  by auto
then have part-I- $\psi'''$ : partial-interps Leaf I (fst  $\psi \cup \{C + C'\}$ )
using totC totC'  $\langle$  $\neg I \models C + C'$  $\rangle$  by (metis Un-insert-right insertII
partial-interps.simps(1) total-over-m-sum)
{
assume (add-mset (Pos v) C', add-mset (Neg v) C)  $\notin$  snd  $\psi$ 
then have inf'': inference  $\psi$  (fst  $\psi \cup \{C + C'\}$ , snd  $\psi \cup \{(\chi', \chi)\}$ )
by (metis  $\chi'\psi$   $\chi C$   $\chi C'$   $\chi\psi$  add-mset-add-single inference-clause.resolution
inference-step prod.collapse union-commute)
obtain N' where full: full simplify (fst  $\psi \cup \{C + C'\}$ ) N'
by (metis finite-simplified-full-simp fst-conv inf'' inference-preserves-finite
local.finite)
have resolution  $\psi$  (N', snd  $\psi \cup \{(\chi', \chi)\}$ )
using resolution.intros(2)[OF - simp full, of snd  $\psi$  snd  $\psi \cup \{(\chi', \chi)\}$ ] inf''
by (metis surjective-pairing)
moreover have partial-interps Leaf I N'
using full-simplify-preserve-partial-tree[OF full part-I- $\psi'''$ ] .
moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
ultimately have ?case
by (metis (no-types) prod.sel(1) rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
}
}
moreover {

```

**assume**  $a: (\{\#Pos\ v\# \} + C', \{\#Neg\ v\# \} + C) \in \text{snd } \psi$   
**then have**  $(\exists \chi \in \text{fst } \psi. (\forall I. \text{total-over-m } I \{C+C'\} \longrightarrow \text{total-over-m } I \{\chi\})$   
 $\wedge (\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C)) \vee \text{tautology } (C' + C)$   
**proof** –  
**obtain**  $p$  **where**  $p: Pos\ p \in\# (\{\#Pos\ v\# \} + C') \wedge Neg\ p \in\# (\{\#Neg\ v\# \} + C)$   
 $\wedge ((\exists \chi \in \text{fst } \psi. (\forall I. \text{total-over-m } I \{(\{\#Pos\ v\# \} + C') - \{\#Pos\ p\# \} + ((\{\#Neg\ v\# \} + C)$   
 $+ C) - \{\#Neg\ p\# \})) \longrightarrow \text{total-over-m } I \{\chi\}) \wedge (\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models (\{\#Pos\ v\# \} + C')$   
 $- \{\#Pos\ p\# \} + ((\{\#Neg\ v\# \} + C) - \{\#Neg\ p\# \}))) \vee \text{tautology } ((\{\#Pos\ v\# \} + C') -$   
 $\{\#Pos\ p\# \} + ((\{\#Neg\ v\# \} + C) - \{\#Neg\ p\# \})))$   
**using**  $a$  **by** (*blast intro: allE[OF a-u-i[unfolding subsumes-def Ball-def],*  
*of*  $(\{\#Pos\ v\# \} + C', \{\#Neg\ v\# \} + C)$ )  
**{ assume**  $p \neq v$   
**then have**  $Pos\ p \in\# C' \wedge Neg\ p \in\# C$  **using**  $p$  **by force**  
**then have** *?thesis* **by auto**  
**}**  
**moreover** **{**  
**assume**  $p = v$   
**then have** *?thesis* **using**  $p$  **by** (*metis add.commute add-diff-cancel-left'*)  
**}**  
**ultimately show** *?thesis* **by auto**  
**qed**  
**moreover** **{**  
**assume**  $\exists \chi \in \text{fst } \psi. (\forall I. \text{total-over-m } I \{C+C'\} \longrightarrow \text{total-over-m } I \{\chi\})$   
 $\wedge (\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C)$   
**then obtain**  $\vartheta$  **where**  
 $\vartheta: \vartheta \in \text{fst } \psi$  **and**  
 $\text{tot-}\vartheta\text{-}CC': \forall I. \text{total-over-m } I \{C+C'\} \longrightarrow \text{total-over-m } I \{\vartheta\}$  **and**  
 $\vartheta\text{-inv}: \forall I. \text{total-over-m } I \{\vartheta\} \longrightarrow I \models \vartheta \longrightarrow I \models C' + C$  **by blast**  
**have** *partial-interps Leaf I (fst ψ)*  
**using**  $\text{tot-}\vartheta\text{-}CC' \vartheta \vartheta\text{-inv totC totC' } (\neg I \models C + C')$  **total-over-m-sum** **by fastforce**  
**moreover have** *sem-tree-size Leaf < sem-tree-size xs* **unfolding**  $xs$  **by auto**  
**ultimately have** *?case* **by blast**  
**}**  
**moreover** **{**  
**assume**  $\text{tautCC}': \text{tautology } (C' + C)$   
**have**  $\text{total-over-m } I \{C'+C\}$  **using**  $\text{totC totC' total-over-m-sum}$  **by auto**  
**then have**  $\neg \text{tautology } (C' + C)$   
**using**  $(\neg I \models C + C')$  **unfolding** *add.commute[of C C'] total-over-m-def*  
**unfolding** *tautology-def* **by auto**  
**then have** *False* **using**  $\text{tautCC}'$  **unfolding** *tautology-def* **by auto**  
**}**  
**ultimately have** *?case* **by auto**  
**}**  
**ultimately have** *?case* **by auto**  
**}**  
**ultimately have** *?case* **using part** **by** (*metis (no-types) sem-tree-size.simps(1)*)  
**}**  
**moreover** **{**  
**assume**  $\text{size-ag}: \text{sem-tree-size } ag > 0$   
**have**  $\text{sem-tree-size } ag < \text{sem-tree-size } xs$  **unfolding**  $xs$  **by auto**  
**moreover have** *partial-interps ag (I ∪ {Pos v}) (fst ψ)*  
**and partad:** *partial-interps ad (I ∪ {Neg v}) (fst ψ)*  
**using part partial-interps.simps(2)** **unfolding**  $xs$  **by metis+**  
**moreover**  
**have**  $\text{sem-tree-size } ag < \text{sem-tree-size } xs \implies \text{finite } (fst\ \psi) \implies \text{already-used-inv } \psi$   
 $\implies \text{partial-interps } ag (I \cup \{Pos\ v\}) (fst\ \psi) \implies \text{simplified } (fst\ \psi)$

$\implies \exists \text{tree}' \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps tree}' (I \cup \{\text{Pos } v\}) (\text{fst } \psi')$   
 $\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size ag} \vee \text{sem-tree-size ag} = 0)$   
**using** *IH*[of *ag*  $I \cup \{\text{Pos } v\}$ ] **by** *auto*  
**ultimately obtain**  $\psi' :: 'v \text{ state and tree}' :: 'v \text{ sem-tree where}$   
*inf*:  $\text{resolution}^{**} \psi \psi'$   
**and part**:  $\text{partial-interps tree}' (I \cup \{\text{Pos } v\}) (\text{fst } \psi')$   
**and size**:  $\text{sem-tree-size tree}' < \text{sem-tree-size ag} \vee \text{sem-tree-size ag} = 0$   
**using** *finite part rtranclp.rtrancl-refl a-u-i simp* **by** *blast*

**have**  $\text{partial-interps ad} (I \cup \{\text{Neg } v\}) (\text{fst } \psi')$   
**using** *rtranclp-resolution-preserve-partial-tree inf partad* **by** *fast*  
**then have**  $\text{partial-interps} (\text{Node } v \text{ tree}' \text{ ad}) I (\text{fst } \psi')$  **using** *part* **by** *auto*  
**then have**  $?case$  **using** *inf size size-ag part unfolding xs* **by** *fastforce*

**}**  
**moreover** {  
**assume** *size-ad*:  $\text{sem-tree-size ad} > 0$   
**have**  $\text{sem-tree-size ad} < \text{sem-tree-size xs}$  **unfolding** *xs* **by** *auto*  
**moreover**  
**have**  
*partag*:  $\text{partial-interps ag} (I \cup \{\text{Pos } v\}) (\text{fst } \psi)$  **and**  
*partial-interps ad*  $(I \cup \{\text{Neg } v\}) (\text{fst } \psi)$   
**using** *part partial-interps.simps(2) unfolding xs* **by** *metis+*  
**moreover have**  $\text{sem-tree-size ad} < \text{sem-tree-size xs} \longrightarrow \text{finite} (\text{fst } \psi) \longrightarrow \text{already-used-inv } \psi$   
 $\longrightarrow (\text{partial-interps ad} (I \cup \{\text{Neg } v\}) (\text{fst } \psi) \longrightarrow \text{simplified} (\text{fst } \psi))$   
 $\longrightarrow (\exists \text{tree}' \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps tree}' (I \cup \{\text{Neg } v\}) (\text{fst } \psi')$   
 $\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size ad} \vee \text{sem-tree-size ad} = 0))$   
**using** *IH* **by** *blast*  
**ultimately obtain**  $\psi' :: 'v \text{ state and tree}' :: 'v \text{ sem-tree where}$   
*inf*:  $\text{resolution}^{**} \psi \psi'$   
**and part**:  $\text{partial-interps tree}' (I \cup \{\text{Neg } v\}) (\text{fst } \psi')$   
**and size**:  $\text{sem-tree-size tree}' < \text{sem-tree-size ad} \vee \text{sem-tree-size ad} = 0$   
**using** *finite part rtranclp.rtrancl-refl a-u-i simp* **by** *blast*

**have**  $\text{partial-interps ag} (I \cup \{\text{Pos } v\}) (\text{fst } \psi')$   
**using** *rtranclp-resolution-preserve-partial-tree inf partag* **by** *fast*  
**then have**  $\text{partial-interps} (\text{Node } v \text{ ag tree}') I (\text{fst } \psi')$  **using** *part* **by** *auto*  
**then have**  $?case$  **using** *inf size size-ad unfolding xs* **by** *fastforce*

**}**  
**ultimately have**  $?case$  **by** *auto*

**}**  
**ultimately show**  $?case$  **by** *auto*

**qed**

**lemma** *resolution-completeness-inv*:

**fixes**  $\psi :: 'v :: \text{linorder state}$

**assumes**

*unsat*:  $\neg \text{satisfiable} (\text{fst } \psi)$  **and**

*finite*:  $\text{finite} (\text{fst } \psi)$  **and**

*a-u-v*:  $\text{already-used-inv } \psi$

**shows**  $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$

**proof** –

**obtain** *tree* **where**  $\text{partial-interps tree} \{\}$   $(\text{fst } \psi)$

**using** *partial-interps-build-sem-tree-atms assms* **by** *metis*

**then show**  $?thesis$

**using** *unsat finite a-u-v*

**proof** (*induct tree arbitrary:  $\psi$  rule: sem-tree-size*)

```

case (bigger tree  $\psi$ ) note  $H = \text{this}$ 
{
  fix  $\chi$ 
  assume  $\text{tree}: \text{tree} = \text{Leaf}$ 
  obtain  $\chi$  where  $\chi: \neg \{\} \models \chi$  and  $\text{tot}\chi: \text{total-over-m } \{\} \{\chi\}$  and  $\chi\psi: \chi \in \text{fst } \psi$ 
    using  $H$  unfolding  $\text{tree}$  by  $\text{auto}$ 
  moreover have  $\{\#\} = \chi$ 
    using  $H$   $\text{atms-empty-iff-empty}$   $\text{tot}\chi$ 
    unfolding  $\text{true-cls-def}$   $\text{total-over-m-def}$   $\text{total-over-set-def}$  by  $\text{fastforce}$ 
  moreover have  $\text{resolution}^{**} \psi \psi$  by  $\text{auto}$ 
  ultimately have  $?case$  by  $\text{metis}$ 
}
moreover {
  fix  $v$   $\text{tree1}$   $\text{tree2}$ 
  assume  $\text{tree}: \text{tree} = \text{Node } v \text{ tree1 tree2}$ 
  obtain  $\psi_0$  where  $\psi_0: \text{resolution}^{**} \psi \psi_0$  and  $\text{simp}: \text{simplified } (\text{fst } \psi_0)$ 
  proof –
    { assume  $\text{simplified } (\text{fst } \psi)$ 
      moreover have  $\text{resolution}^{**} \psi \psi$  by  $\text{auto}$ 
      ultimately have  $\text{thesis}$  using  $\text{that}$  by  $\text{blast}$ 
    }
    moreover {
      assume  $\neg \text{simplified } (\text{fst } \psi)$ 
      then have  $\exists \psi'. \text{full1 simplify } (\text{fst } \psi) \psi'$ 
        by ( $\text{metis Nitpick.rtranclp-unfold bigger.prem}(3)$   $\text{full1-def}$ 
           $\text{rtranclp-simplify-terminates}$ )
      then obtain  $N$  where  $\text{full1 simplify } (\text{fst } \psi) N$  by  $\text{metis}$ 
      then have  $\text{resolution } \psi (N, \text{snd } \psi)$ 
        using  $\text{resolution.intros}(1)[\text{of } \text{fst } \psi N \text{snd } \psi]$  by  $\text{auto}$ 
      moreover have  $\text{simplified } N$ 
        using  $\langle \text{full1 simplify } (\text{fst } \psi) N \rangle$  unfolding  $\text{full1-def}$  by  $\text{blast}$ 
      ultimately have  $?thesis$  using  $\text{that}$  by  $\text{force}$ 
    }
    ultimately show  $?thesis$  by  $\text{auto}$ 
  }
qed

have  $p: \text{partial-interps tree } \{\} (\text{fst } \psi_0)$ 
and  $\text{uns}: \text{unsatisfiable } (\text{fst } \psi_0)$ 
and  $f: \text{finite } (\text{fst } \psi_0)$ 
and  $a-u-v: \text{already-used-inv } \psi_0$ 
  using  $\psi_0$   $\text{bigger.prem}(1)$   $\text{rtranclp-resolution-preserve-partial-tree}$  apply  $\text{blast}$ 
  using  $\psi_0$   $\text{bigger.prem}(2)$   $\text{rtranclp-resolution-preserves-unsat}$  apply  $\text{blast}$ 
  using  $\psi_0$   $\text{bigger.prem}(3)$   $\text{rtranclp-resolution-finite}$  apply  $\text{blast}$ 
  using  $\text{rtranclp-resolution-already-used-inv}[OF \psi_0 \text{bigger.prem}(4)]$  by  $\text{blast}$ 
obtain  $\text{tree}' \psi'$  where
   $\text{inf}: \text{resolution}^{**} \psi_0 \psi'$  and
   $\text{part}': \text{partial-interps tree}' \{\} (\text{fst } \psi')$  and
   $\text{decrease}: \text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0$ 
  using  $\text{can-decrease-tree-size-resolution}[OF f a-u-v p \text{simp}]$  unfolding  $\text{tautology-def}$ 
  by  $\text{meson}$ 
have  $s: \text{sem-tree-size tree}' < \text{sem-tree-size tree}$  using  $\text{decrease}$  unfolding  $\text{tree}$  by  $\text{auto}$ 
have  $\text{fin}: \text{finite } (\text{fst } \psi')$ 
  using  $f$   $\text{inf}$   $\text{rtranclp-resolution-finite}$  by  $\text{blast}$ 
have  $\text{unsat}: \text{unsatisfiable } (\text{fst } \psi')$ 
  using  $\text{rtranclp-resolution-preserves-unsat inf uns}$  by  $\text{metis}$ 

```

```

    have a-u-i': already-used-inv  $\psi'$ 
      using a-u-v inf rtranclp-resolution-already-used-inv[of  $\psi_0 \psi'$ ] by auto
    have ?case
      using inf rtranclp-trans[of resolution]  $H(1)[OF s part' unsat fin a-u-i'] \psi_0$  by blast
  }
  ultimately show ?case by (cases tree, auto)
qed
qed

```

```

lemma resolution-preserves-already-used-inv:
  assumes resolution  $S S'$ 
  and already-used-inv  $S$ 
  shows already-used-inv  $S'$ 
  using assms
  apply (induct rule: resolution.induct)
  apply (rule full1-simplify-already-used-inv; simp)
  apply (rule full-simplify-already-used-inv, simp)
  apply (rule inference-preserves-already-used-inv, simp)
  apply blast
done

```

```

lemma rtranclp-resolution-preserves-already-used-inv:
  assumes resolution**  $S S'$ 
  and already-used-inv  $S$ 
  shows already-used-inv  $S'$ 
  using assms
  apply (induct rule: rtranclp-induct)
  apply simp
  using resolution-preserves-already-used-inv by fast

```

```

lemma resolution-completeness:
  fixes  $\psi :: 'v :: linorder$  state
  assumes unsat:  $\neg$ satisfiable (fst  $\psi$ )
  and finite: finite (fst  $\psi$ )
  and snd  $\psi = \{\}$ 
  shows  $\exists \psi'. (resolution** \psi \psi' \wedge \{\#\} \in fst \psi')$ 
proof -
  have already-used-inv  $\psi$  unfolding assms by auto
  then show ?thesis using assms resolution-completeness-inv by blast
qed

```

```

lemma rtranclp-preserves-sat:
  assumes simplify**  $S S'$ 
  and satisfiable  $S$ 
  shows satisfiable  $S'$ 
  using assms apply induction
  apply simp
  by (meson satisfiable-carac satisfiable-def simplify-preserve-models-eq)

```

```

lemma resolution-preserves-sat:
  assumes resolution  $S S'$ 
  and satisfiable (fst  $S$ )
  shows satisfiable (fst  $S'$ )
  using assms apply (induction rule: resolution.induct)
  using rtranclp-preserves-sat tranclp-into-rtranclp unfolding full1-def apply fastforce
  by (metis fst-conv full-def inference-preserve-models rtranclp-preserves-sat)

```

*satisfiable-carac' satisfiable-def*)

**lemma** *rtranclp-resolution-preserves-sat*:  
 **assumes** *resolution\*\* S S'*  
 **and** *satisfiable (fst S)*  
 **shows** *satisfiable (fst S')*  
 **using** *assms apply (induction rule: rtranclp-induct)*  
 **apply** *simp*  
 **using** *resolution-preserves-sat by blast*

**lemma** *resolution-soundness*:  
 **fixes**  $\psi :: 'v :: \text{linorder state}$   
 **assumes** *resolution\*\*  $\psi \psi'$  and  $\{\#\} \in \text{fst } \psi'$*   
 **shows** *unsatisfiable (fst  $\psi$ )*  
 **using** *assms by (meson rtranclp-resolution-preserves-sat satisfiable-def true-cls-empty true-cls-def)*

**lemma** *resolution-soundness-and-completeness*:  
 **fixes**  $\psi :: 'v :: \text{linorder state}$   
 **assumes** *finite: finite (fst  $\psi$ )*  
 **and** *snd: snd  $\psi = \{\}$*   
 **shows**  $(\exists \psi'. (\text{resolution** } \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable (fst } \psi)$   
 **using** *assms resolution-completeness resolution-soundness by metis*

**lemma** *simplified-falsity*:  
 **assumes** *simp: simplified  $\psi$*   
 **and**  $\{\#\} \in \psi$   
 **shows**  $\psi = \{\{\#\}\}$   
**proof** (*rule ccontr*)  
 **assume**  $H: \neg \text{thesis}$   
 **then obtain**  $\chi$  **where**  $\chi \in \psi$  **and**  $\chi \neq \{\#\}$  **using** *assms(2) by blast*  
 **then have**  $\{\#\} \subset\# \chi$  **by** (*simp add: subset-mset.zero-less-iff-neq-zero*)  
 **then have** *simplify  $\psi (\psi - \{\chi\})$*   
 **using** *simplify.subsumption[OF assms(2)  $\langle\{\#\} \subset\# \chi\rangle \langle\chi \in \psi\rangle$ ]* **by blast**  
 **then show** *False using simp by blast*  
**qed**

**lemma** *simplify-falsity-in-preserved*:  
 **assumes** *simplify  $\chi s \chi s'$*   
 **and**  $\{\#\} \in \chi s$   
 **shows**  $\{\#\} \in \chi s'$   
 **using** *assms*  
 **by** *induction auto*

**lemma** *rtranclp-simplify-falsity-in-preserved*:  
 **assumes** *simplify\*\*  $\chi s \chi s'$*   
 **and**  $\{\#\} \in \chi s$   
 **shows**  $\{\#\} \in \chi s'$   
 **using** *assms*  
 **by** *induction (auto intro: simplify-falsity-in-preserved)*

**lemma** *resolution-falsity-get-falsity-alone*:  
 **assumes** *finite (fst  $\psi$ )*  
 **shows**  $(\exists \psi'. (\text{resolution** } \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow (\exists a-u-v. \text{resolution** } \psi (\{\{\#\}\}, a-u-v))$   
 (**is**  $?A \longleftrightarrow ?B$ )



```

proof
  assume ?B
  then show ?A by auto
next
  assume ?A
  then obtain  $\chi_s$  a-u-v where  $\chi_s$ : resolution**  $\psi$  ( $\chi_s$ , a-u-v) and  $F$ :  $\{\#\} \in \chi_s$  by auto
  { assume simplified  $\chi_s$ 
    then have ?B using simplified-falsity[OF - F]  $\chi_s$  by blast
  }
  moreover {
    assume  $\neg$  simplified  $\chi_s$ 
    then obtain  $\chi_{s'}$  where full1 simplify  $\chi_s$   $\chi_{s'}$ 
      by (metis  $\chi_s$  assms finite-simplified-full1-simp fst-conv rtranclp-resolution-finite)
    then have  $\{\#\} \in \chi_{s'}$ 
    unfolding full1-def by (meson F rtranclp-simplify-falsity-in-preserved
      tranclp-into-rtranclp)
    then have ?B
      by (metis  $\chi_s$  (full1 simplify  $\chi_s$   $\chi_{s'}$ ) fst-conv full1-simp resolution-always-simplified
        rtranclp.rtrancl-into-rtrancl simplified-falsity)
  }
  ultimately show ?B by blast
qed

```

```

theorem resolution-soundness-and-completeness':
  fixes  $\psi$  :: 'v :: linorder state
  assumes
    finite: finite (fst  $\psi$ ) and
    snd: snd  $\psi$  = {}
  shows  $(\exists$  a-u-v. (resolution**  $\psi$  ( $\{\#\}$ ), a-u-v))  $\longleftrightarrow$  unsatisfiable (fst  $\psi$ )
  using assms resolution-completeness resolution-soundness resolution-falsity-get-falsity-alone
  by metis

```

```

end
theory Prop-Superposition
imports Entailment-Definition.Partial-Herbrand-Interpretation Ordered-Resolution-Prover.Herbrand-Interpretation
begin

```

## 2.2 Superposition

```

no-notation Herbrand-Interpretation.true-cls (infix  $\models$  50)

```

```

notation Herbrand-Interpretation.true-cls (infix  $\models^h$  50)

```

```

no-notation Herbrand-Interpretation.true-clss (infix  $\models_s$  50)

```

```

notation Herbrand-Interpretation.true-clss (infix  $\models_{hs}$  50)

```

```

lemma herbrand-interp-iff-partial-interp-cls:

```

```

 $S \models^h C \longleftrightarrow \{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\} \models C$ 

```

```

unfolding Herbrand-Interpretation.true-cls-def Partial-Herbrand-Interpretation.true-cls-def

```

```

by auto

```

```

lemma herbrand-consistent-interp:

```

```

consistent-interp ( $\{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\}$ )

```

```

unfolding consistent-interp-def by auto

```

```

lemma herbrand-total-over-set:

```

*total-over-set* ( $\{\text{Pos } P|P. P \in S\} \cup \{\text{Neg } P|P. P \notin S\}$ ) *T*  
**unfolding** *total-over-set-def* **by** *auto*

**lemma** *herbrand-total-over-m*:

*total-over-m* ( $\{\text{Pos } P|P. P \in S\} \cup \{\text{Neg } P|P. P \notin S\}$ ) *T*  
**unfolding** *total-over-m-def* **by** (*auto simp add: herbrand-total-over-set*)

**lemma** *herbrand-interp-iff-partial-interp-clss*:

$S \models_{hs} C \iff \{\text{Pos } P|P. P \in S\} \cup \{\text{Neg } P|P. P \notin S\} \models_s C$   
**unfolding** *true-clss-def Ball-def herbrand-interp-iff-partial-interp-clss*  
*Partial-Herbrand-Interpretation.true-clss-def* **by** *auto*

**definition** *clss-lt* :: *'a::wellorder clause-set*  $\Rightarrow$  *'a clause*  $\Rightarrow$  *'a clause-set* **where**  
*clss-lt* *N C* =  $\{D \in N. D < C\}$

**notation** (*latex output*)

*clss-lt* ( $-\langle \hat{b}sup \rangle - \langle \hat{e}sup \rangle$ )

**locale** *selection* =

**fixes** *S* :: *'a clause*  $\Rightarrow$  *'a clause*  
**assumes**  
*S-selects-subseteq*:  $\bigwedge C. S C \leq\# C$  **and**  
*S-selects-neg-lits*:  $\bigwedge C L. L \in\# S C \implies is\_neg L$

**locale** *ground-resolution-with-selection* =

*selection S* **for** *S* :: (*'a* :: *wellorder*) *clause*  $\Rightarrow$  *'a clause*

**begin**

**context**

**fixes** *N* :: *'a clause set*

**begin**

We do not create an equivalent of  $\delta$ , but we directly defined  $N_C$  by inlining the definition.

**function**

*production* :: *'a clause*  $\Rightarrow$  *'a interp*

**where**

*production C* =  
 $\{A. C \in N \wedge C \neq \{\#\} \wedge Max\_mset C = Pos A \wedge count C (Pos A) \leq 1$   
 $\wedge \neg (\bigcup D \in \{D. D < C\}. production D) \models_h C \wedge S C = \{\#\}\}$

**by** *auto*

**termination by** (*relation*  $\{(D, C). D < C\}$ ) (*auto simp: wf-less-multiset*)

**declare** *production.simps*[*simp del*]

**definition** *interp* :: *'a clause*  $\Rightarrow$  *'a interp* **where**

*interp C* =  $(\bigcup D \in \{D. D < C\}. production D)$

**lemma** *production-unfold*:

*production C* =  $\{A. C \in N \wedge C \neq \{\#\} \wedge Max\_mset C = Pos A \wedge count C (Pos A) \leq 1 \wedge \neg interp$   
 $C \models_h C \wedge S C = \{\#\}\}$

**unfolding** *interp-def* **by** (*rule production.simps*)

**abbreviation** *productive A*  $\equiv$  (*production A*  $\neq \{\}$ )

**abbreviation** *produces* :: *'a clause*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool* **where**

*produces C A*  $\equiv$  *production C* =  $\{A\}$

**lemma** *producesD*:

*produces C A*  $\implies C \in N \wedge C \neq \{\#\} \wedge Pos A = Max\text{-mset } C \wedge count C (Pos A) \leq 1 \wedge$   
 $\neg interp C \models_h C \wedge S C = \{\#\}$

**unfolding** *production-unfold* **by** *auto*

**lemma** *produces C A*  $\implies Pos A \in\# C$

**by** (*simp add: Max-in-lits producesD*)

**lemma** *interp'-def-in-set*:

*interp C* =  $(\bigcup D \in \{D \in N. D < C\}. production D)$

**unfolding** *interp-def* **apply** *auto*

**unfolding** *production-unfold* **apply** *auto*

**done**

**lemma** *production-iff-produces*:

*produces D A*  $\longleftrightarrow A \in production D$

**unfolding** *production-unfold* **by** *auto*

**definition** *Interp* :: 'a clause  $\implies$  'a *interp* **where**

*Interp C* = *interp C*  $\cup$  *production C*

**lemma**

**assumes** *produces C P*

**shows** *Interp C*  $\models_h C$

**unfolding** *Interp-def* **assms** **using** *producesD[OF assms]*

**by** (*metis Max-in-lits Un-insert-right insertI1 pos-literal-in-imp-true-cls*)

**definition** *INTERP* :: 'a *interp* **where**

*INTERP* =  $(\bigcup D \in N. production D)$

**lemma** *interp-subseteq-Interp[simp]*: *interp C*  $\subseteq$  *Interp C*

**unfolding** *Interp-def* **by** *simp*

**lemma** *Interp-as-UNION*: *Interp C* =  $(\bigcup D \in \{D. D \leq C\}. production D)$

**unfolding** *Interp-def interp-def less-eq-multiset-def* **by** *fast*

**lemma** *productive-not-empty*: *productive C*  $\implies C \neq \{\#\}$

**unfolding** *production-unfold* **by** *auto*

**lemma** *productive-imp-produces-Max-literal*: *productive C*  $\implies produces C (atm\text{-of } (Max\text{-mset } C))$

**unfolding** *production-unfold* **by** (*auto simp del: atm-of-Max-lit*)

**lemma** *productive-imp-produces-Max-atom*: *productive C*  $\implies produces C (Max (atms\text{-of } C))$

**unfolding** *atms-of-def Max-atm-of-set-mset-commute[OF productive-not-empty]*

**by** (*rule productive-imp-produces-Max-literal*)

**lemma** *produces-imp-Max-literal*: *produces C A*  $\implies A = atm\text{-of } (Max\text{-mset } C)$

**by** (*metis Max-singleton insert-not-empty productive-imp-produces-Max-literal*)

**lemma** *produces-imp-Max-atom*: *produces C A*  $\implies A = Max (atms\text{-of } C)$

**by** (*metis Max-singleton insert-not-empty productive-imp-produces-Max-atom*)

**lemma** *produces-imp-Pos-in-lits*: *produces C A*  $\implies Pos A \in\# C$

**by** (*auto intro: Max-in-lits dest!: producesD*)

**lemma** *productive-in-N*:  $productive\ C \implies C \in N$   
**unfolding** *production-unfold* **by** *auto*

**lemma** *produces-imp-atms-leq*:  $produces\ C\ A \implies B \in atms-of\ C \implies B \leq A$   
**by** (*metis Max-ge finite-atms-of insert-not-empty productive-imp-produces-Max-atom singleton-inject*)

**lemma** *produces-imp-neg-notin-lits*:  $produces\ C\ A \implies Neg\ A \notin\# C$   
**by** (*rule pos-Max-imp-neg-notin*) (*auto dest: producesD*)

**lemma** *less-eq-imp-interp-subseteq-interp*:  $C \leq D \implies interp\ C \subseteq interp\ D$   
**unfolding** *interp-def* **by** *auto* (*metis order.strict-trans2*)

**lemma** *less-eq-imp-interp-subseteq-Interp*:  $C \leq D \implies interp\ C \subseteq Interp\ D$   
**unfolding** *Interp-def* **using** *less-eq-imp-interp-subseteq-interp* **by** *blast*

**lemma** *less-imp-production-subseteq-interp*:  $C < D \implies production\ C \subseteq interp\ D$   
**unfolding** *interp-def* **by** *fast*

**lemma** *less-eq-imp-production-subseteq-Interp*:  $C \leq D \implies production\ C \subseteq Interp\ D$   
**unfolding** *Interp-def* **using** *less-imp-production-subseteq-interp*  
**by** (*metis le-imp-less-or-eq le-supI1 sup-ge2*)

**lemma** *less-imp-Interp-subseteq-interp*:  $C < D \implies Interp\ C \subseteq interp\ D$   
**unfolding** *Interp-def*  
**by** (*auto simp: less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp*)

**lemma** *less-eq-imp-Interp-subseteq-Interp*:  $C \leq D \implies Interp\ C \subseteq Interp\ D$   
**using** *less-imp-Interp-subseteq-interp*  
**unfolding** *Interp-def* **by** (*metis le-imp-less-or-eq le-supI2 subset-refl sup-commute*)

**lemma** *false-Interp-to-true-interp-imp-less-multiset*:  $A \notin Interp\ C \implies A \in interp\ D \implies C < D$   
**using** *less-eq-imp-interp-subseteq-Interp not-less* **by** *blast*

**lemma** *false-interp-to-true-interp-imp-less-multiset*:  $A \notin interp\ C \implies A \in interp\ D \implies C < D$   
**using** *less-eq-imp-interp-subseteq-interp not-less* **by** *blast*

**lemma** *false-Interp-to-true-Interp-imp-less-multiset*:  $A \notin Interp\ C \implies A \in Interp\ D \implies C < D$   
**using** *less-eq-imp-Interp-subseteq-Interp not-less* **by** *blast*

**lemma** *false-interp-to-true-Interp-imp-le-multiset*:  $A \notin interp\ C \implies A \in Interp\ D \implies C \leq D$   
**using** *less-imp-Interp-subseteq-interp not-less* **by** *blast*

**lemma** *interp-subseteq-INTERP*:  $interp\ C \subseteq INTERP$   
**unfolding** *interp-def INTERP-def* **by** (*auto simp: production-unfold*)

**lemma** *production-subseteq-INTERP*:  $production\ C \subseteq INTERP$   
**unfolding** *INTERP-def* **using** *production-unfold* **by** *blast*

**lemma** *Interp-subseteq-INTERP*:  $Interp\ C \subseteq INTERP$   
**unfolding** *Interp-def* **by** (*auto intro!: interp-subseteq-INTERP production-subseteq-INTERP*)

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book.

**lemma** *produces-imp-in-interp*:  
**assumes** *a-in-c*:  $Neg\ A \in\# C$  **and** *d*:  $produces\ D\ A$

shows  $A \in \text{interp } C$   
**proof** –  
**from**  $d$  **have**  $\text{Max-mset } D = \text{Pos } A$   
**using**  $\text{production-unfold}$  **by**  $\text{blast}$   
**then have**  $D < \{\#\text{Neg } A\# \}$   
**by** ( $\text{meson Max-pos-neg-less-multiset multi-member-last}$ )  
**moreover have**  $\{\#\text{Neg } A\# \} \leq C$   
**by** ( $\text{rule subset-eq-imp-le-multiset}$ ) ( $\text{rule mset-subset-eq-single}[OF a-in-c]$ )  
**ultimately show**  $?thesis$   
**using**  $d$  **by** ( $\text{blast dest: less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp}$ )  
**qed**

**lemma**  $\text{neg-notin-Interp-not-produce: Neg } A \in \# C \implies A \notin \text{Interp } D \implies C \leq D \implies \neg \text{produces } D''$   
 $A$   
**by** ( $\text{auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-Interp}$ )

**lemma**  $\text{in-production-imp-produces: } A \in \text{production } C \implies \text{produces } C A$   
**by** ( $\text{metis insert-absorb productive-imp-produces-Max-atom singleton-insert-inj-eq'}$ )

**lemma**  $\text{not-produces-imp-notin-production: } \neg \text{produces } C A \implies A \notin \text{production } C$   
**by** ( $\text{metis in-production-imp-produces}$ )

**lemma**  $\text{not-produces-imp-notin-interp: } (\bigwedge D. \neg \text{produces } D A) \implies A \notin \text{interp } C$   
**unfolding**  $\text{interp-def}$  **by** ( $\text{fast intro!: in-production-imp-produces}$ )

The results below corresponds to Lemma 3.4.

**Nitpicking 0.1.** *If  $D = D'$  and  $D$  is productive,  $I^D \subseteq I_{D'}$  does not hold.*

**lemma**  $\text{true-Interp-imp-general:}$   
**assumes**  
 $c-le-d: C \leq D$  **and**  
 $d-lt-d': D < D'$  **and**  
 $c-at-d: \text{Interp } D \models_h C$  **and**  
 $\text{subs: } \text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$   
**shows**  $(\bigcup C \in CC. \text{production } C) \models_h C$   
**proof** ( $\text{cases } \exists A. \text{Pos } A \in \# C \wedge A \in \text{Interp } D$ )  
**case**  $\text{True}$   
**then obtain**  $A$  **where**  $a-in-c: \text{Pos } A \in \# C$  **and**  $a-at-d: A \in \text{Interp } D$   
**by**  $\text{blast}$   
**from**  $a-at-d$  **have**  $A \in \text{interp } D'$   
**using**  $d-lt-d'$   $\text{less-imp-Interp-subseteq-interp}$  **by**  $\text{blast}$   
**then show**  $?thesis$   
**using**  $\text{subs } a-in-c$  **by** ( $\text{blast dest: contra-subsetD}$ )  
**next**  
**case**  $\text{False}$   
**then obtain**  $A$  **where**  $a-in-c: \text{Neg } A \in \# C$  **and**  $A \notin \text{Interp } D$   
**using**  $c-at-d$  **unfolding**  $\text{true-cls-def}$  **by**  $\text{blast}$   
**then have**  $\bigwedge D''. \neg \text{produces } D'' A$   
**using**  $c-le-d$   $\text{neg-notin-Interp-not-produce}$  **by**  $\text{simp}$   
**then show**  $?thesis$   
**using**  $a-in-c$   $\text{subs not-produces-imp-notin-production}$  **by**  $\text{auto}$   
**qed**

**lemma**  $\text{true-Interp-imp-interp: } C \leq D \implies D < D' \implies \text{Interp } D \models_h C \implies \text{interp } D' \models_h C$

**using** *interp-def true-Interp-imp-general* **by** *simp*

**lemma** *true-Interp-imp-Interp*:  $C \leq D \implies D < D' \implies \text{Interp } D \models_h C \implies \text{Interp } D' \models_h C$   
**using** *Interp-as-UNION interp-subseteq-Interp true-Interp-imp-general* **by** *simp*

**lemma** *true-Interp-imp-INTERP*:  $C \leq D \implies \text{Interp } D \models_h C \implies \text{INTERP} \models_h C$   
**using** *INTERP-def interp-subseteq-INTERP*  
*true-Interp-imp-general[OF - le-multiset-right-total]*  
**by** *simp*

**lemma** *true-interp-imp-general*:

**assumes**

*c-le-d*:  $C \leq D$  **and**

*d-lt-d'*:  $D < D'$  **and**

*c-at-d*:  $\text{interp } D \models_h C$  **and**

*subs*:  $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

**shows**  $(\bigcup C \in CC. \text{production } C) \models_h C$

**proof** (*cases*  $\exists A. \text{Pos } A \in\# C \wedge A \in \text{interp } D$ )

**case** *True*

**then obtain** *A* **where** *a-in-c*:  $\text{Pos } A \in\# C$  **and** *a-at-d*:  $A \in \text{interp } D$   
**by** *blast*

**from** *a-at-d* **have**  $A \in \text{interp } D'$

**using** *d-lt-d' less-eq-imp-interp-subseteq-interp[OF less-imp-le]* **by** *blast*

**then show** *?thesis*

**using** *subs a-in-c* **by** (*blast dest: contra-subsetD*)

**next**

**case** *False*

**then obtain** *A* **where** *a-in-c*:  $\text{Neg } A \in\# C$  **and**  $A \notin \text{interp } D$

**using** *c-at-d unfolding true-cls-def* **by** *blast*

**then have**  $\bigwedge D''. \neg \text{produces } D'' A$

**using** *c-le-d* **by** (*auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-interp*)

**then show** *?thesis*

**using** *a-in-c subs not-produces-imp-notin-production* **by** *auto*

**qed**

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book. Here the strict maximality is important

**lemma** *true-interp-imp-interp*:  $C \leq D \implies D < D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$   
**using** *interp-def true-interp-imp-general* **by** *simp*

**lemma** *true-interp-imp-Interp*:  $C \leq D \implies D < D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$   
**using** *Interp-as-UNION interp-subseteq-Interp[of D'] true-interp-imp-general* **by** *simp*

**lemma** *true-interp-imp-INTERP*:  $C \leq D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$   
**using** *INTERP-def interp-subseteq-INTERP*  
*true-interp-imp-general[OF - le-multiset-right-total]*  
**by** *simp*

**lemma** *productive-imp-false-interp*:  $\text{productive } C \implies \neg \text{interp } C \models_h C$   
**unfolding** *production-unfold* **by** *auto*

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book. Here the strict maximality is important

**lemma** *cls-gt-double-pos-no-production*:  
**assumes** *D*:  $\{\# \text{Pos } P, \text{Pos } P\# \} < C$

**shows**  $\neg$ produces  $C P$   
**proof** –  
 let  $?D = \{\#Pos P, Pos P\# \}$   
 note  $D' = D[unfolding\ less-multiset_{HO}]$   
**consider**  
 (P) count  $C (Pos P) \geq 2$   
 | (Q)  $Q$  where  $Q > Pos P$  and  $Q \in\# C$   
 using  $HOL.spec[OF HOL.conjunct2[OF D], of Pos P]$  by (auto split: if-split-asm)  
**then show** ?thesis  
**proof** cases  
 case  $Q$   
 have  $Q \in set-mset C$   
 using  $Q(2)$  by (auto split: if-split-asm)  
 then have  $Max-mset C > Pos P$   
 using  $Q(1)$  Max-gr-iff by blast  
 then show ?thesis  
 unfolding production-unfold by auto  
 next  
 case  $P$   
 then show ?thesis  
 unfolding production-unfold by auto  
 qed  
 qed

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book.

**lemma**

assumes  $D: C + \{\#Neg P\# \} < D$   
 shows production  $D \neq \{P\}$

**proof** –

note  $D' = D[unfolding\ less-multiset_{HO}]$   
**consider**  
 (P)  $Neg P \in\# D$   
 | (Q)  $Q$  where  $Q > Neg P$  and count  $D Q > count (C + \{\#Neg P\# \}) Q$   
 using  $HOL.spec[OF HOL.conjunct2[OF D], of Neg P]$  count-greater-zero-iff by fastforce  
**then show** ?thesis  
**proof** cases  
 case  $Q$   
 have  $Q \in set-mset D$   
 using  $Q(2)$  gr-implies-not0 by fastforce  
 then have  $Max-mset D > Neg P$   
 using  $Q(1)$  Max-gr-iff by blast  
 then have  $Max-mset D > Pos P$   
 using less-trans[of Pos P Neg P Max-mset D] by auto  
 then show ?thesis  
 unfolding production-unfold by auto  
 next  
 case  $P$   
 then have  $Max-mset D > Pos P$   
 by (meson Max-ge finite-set-mset le-less-trans linorder-not-le pos-less-neg)  
 then show ?thesis  
 unfolding production-unfold by auto  
 qed  
 qed

**lemma** in-interp-is-produced:

assumes  $P \in INTERP$

**shows**  $\exists D. D + \{\#Pos P\} \in N \wedge produces (D + \{\#Pos P\}) P$   
**using** *assms unfolding INTERP-def UN-iff production-iff-produces Ball-def*  
**by** (*metis ground-resolution-with-selection.produces-imp-Pos-in-lits insert-DiffM2*  
*ground-resolution-with-selection-axioms not-produces-imp-notin-production*)

**end**  
**end**

### 2.2.1 We can now define the rules of the calculus

**inductive** *superposition-rules* :: 'a clause  $\Rightarrow$  'a clause  $\Rightarrow$  'a clause  $\Rightarrow$  bool **where**  
*factoring*: *superposition-rules* ( $C + \{\#Pos P\} + \{\#Pos P\}$ )  $B (C + \{\#Pos P\}) |$   
*superposition-l*: *superposition-rules* ( $C_1 + \{\#Pos P\}$ ) ( $C_2 + \{\#Neg P\}$ ) ( $C_1 + C_2$ )

**inductive** *superposition* :: 'a clause-set  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool **where**  
*superposition*:  $A \in N \Longrightarrow B \in N \Longrightarrow superposition-rules A B C$   
 $\Longrightarrow superposition N (N \cup \{C\})$

**definition** *abstract-red* :: 'a::wellorder clause  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool **where**  
*abstract-red*  $C N = (cls-lt N C \models_p C)$

**lemma** *herbrand-true-clss-true-clss-cls-herbrand-true-clss*:

**assumes**  
 $AB: A \models_{hs} B$  **and**  
 $BC: B \models_p C$   
**shows**  $A \models_h C$

**proof** –

**let**  $?I = \{Pos P \mid P. P \in A\} \cup \{Neg P \mid P. P \notin A\}$   
**have**  $B: ?I \models_s B$  **using**  $AB$   
**by** (*auto simp add: herbrand-interp-iff-partial-interp-clss*)

**have**  $IH: \bigwedge I. total-over-set I (atms-of C) \Longrightarrow total-over-m I B \Longrightarrow consistent-interp I$   
 $\Longrightarrow I \models_s B \Longrightarrow I \models C$  **using**  $BC$   
**by** (*auto simp add: true-clss-cls-def*)

**show** *?thesis*

**unfolding** *herbrand-interp-iff-partial-interp-cls*  
**by** (*auto intro: IH[of ?I] simp add: herbrand-total-over-set herbrand-total-over-m*  
*herbrand-consistent-interp B*)

**qed**

**lemma** *abstract-red-subset-mset-abstract-red*:

**assumes**  
 $abstr: abstract-red C N$  **and**  
 $c-lt-d: C \subseteq_{\#} D$   
**shows**  $abstract-red D N$

**proof** –

**have**  $\{D \in N. D < C\} \subseteq \{D' \in N. D' < D\}$   
**using** *subset-eq-imp-le-multiset[OF c-lt-d]*  
**by** (*metis (no-types, lifting) Collect-mono order.strict-trans2*)

**then show** *?thesis*

**using**  $abstr$  **unfolding** *abstract-red-def cls-lt-def*  
**by** (*metis (no-types, lifting) c-lt-d subset-mset.diff-add true-clss-cls-mono-r'*  
*true-clss-cls-subset*)

**qed**



**lemma** *true-clss-cls-extended*:

**assumes**

$A \models_p B$  **and**

*tot*: *total-over-m*  $I A$  **and**

*cons*: *consistent-interp*  $I$  **and**

*I-A*:  $I \models_s A$

**shows**  $I \models B$

**proof** –

**let**  $?I = I \cup \{Pos P \mid P. P \in \text{atms-of } B \wedge P \notin \text{atms-of-s } I\}$

**have** *consistent-interp*  $?I$

**using** *cons unfolding consistent-interp-def atms-of-s-def atms-of-def*

**apply** (*auto 1 5 simp add: image-iff*)

**by** (*metis atm-of-uminus literal.sel(1)*)

**moreover have** *tot-I*: *total-over-m*  $?I (A \cup \{B\})$

**proof** –

**obtain** *aa* :: '*a set*  $\Rightarrow$  '*a literal set*  $\Rightarrow$  '*a where*

*f2*:  $\forall x0 x1. (\exists v2. v2 \in x0 \wedge Pos v2 \notin x1 \wedge Neg v2 \notin x1)$

$\longleftrightarrow (aa x0 x1 \in x0 \wedge Pos (aa x0 x1) \notin x1 \wedge Neg (aa x0 x1) \notin x1)$

**by** *moura*

**have**  $\forall a. a \notin \text{atms-of-ms } A \vee Pos a \in I \vee Neg a \in I$

**using** *tot by (simp add: total-over-m-def total-over-set-def)*

**then have** *aa* (*atms-of-ms*  $A \cup \text{atms-of-ms } \{B\}$ ) ( $I \cup \{Pos a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$ )

$\notin \text{atms-of-ms } A \cup \text{atms-of-ms } \{B\} \vee Pos (aa (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\}))$

$(I \cup \{Pos a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}) \in I$

$\cup \{Pos a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$

$\vee Neg (aa (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\}))$

$(I \cup \{Pos a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}) \in I$

$\cup \{Pos a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$

**by** *auto*

**then have** *total-over-set* ( $I \cup \{Pos a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$ )

(*atms-of-ms*  $A \cup \text{atms-of-ms } \{B\}$ )

**using** *f2 by (meson total-over-set-def)*

**then show** *?thesis*

**by** (*simp add: total-over-m-def*)

**qed**

**moreover have**  $?I \models_s A$

**using** *I-A by auto*

**ultimately have** *1*:  $?I \models B$

**using**  $\langle A \models_p B \rangle$  **unfolding** *true-clss-cls-def* **by** *auto*

**let**  $?I' = I \cup \{Neg P \mid P. P \in \text{atms-of } B \wedge P \notin \text{atms-of-s } I\}$

**have** *consistent-interp*  $?I'$

**using** *cons unfolding consistent-interp-def atms-of-s-def atms-of-def*

**apply** (*auto 1 5 simp add: image-iff*)

**by** (*metis atm-of-uminus literal.sel(2)*)

**moreover have** *tot*: *total-over-m*  $?I' (A \cup \{B\})$

**by** (*smt Un-iff in-atms-of-s-decomp mem-Collect-eq tot total-over-m-empty total-over-m-insert total-over-m-union total-over-set-def total-union*)

**moreover have**  $?I' \models_s A$

**using** *I-A by auto*

**ultimately have** *2*:  $?I' \models B$

**using**  $\langle A \models_p B \rangle$  **unfolding** *true-clss-cls-def* **by** *auto*

**define** *BB* **where**

$\langle BB = \{P. P \in \text{atms-of } B \wedge P \notin \text{atms-of-s } I\} \rangle$

**have** *1*:  $(I \cup Pos \text{ ' } BB \models B)$

```

  using 1 unfolding BB-def by (simp add: setcompr-eq-image)
have 2:  $\langle I \cup \text{Neg } 'BB \models B \rangle$ 
  using 2 unfolding BB-def by (simp add: setcompr-eq-image)
have  $\langle \text{finite } BB \rangle$ 
  unfolding BB-def by auto
then show ?thesis
  using 1 2 apply (induction BB)
  subgoal by auto
  subgoal for  $x \ BB$ 
    using remove-literal-in-model-tautology[of  $\langle I \cup \text{Pos } 'BB \rangle$ ]
  apply -
  apply (rule ccontr)
  apply (auto simp: Partial-Herbrand-Interpretation.true-cls-def total-over-set-def total-over-m-def
    atms-of-ms-def)

```

oops

lemma

assumes

$CP: \neg \text{class-let } N (\{ \#C\# \} + \{ \#E\# \}) \models_p \{ \#C\# \} + \{ \#Neg P\# \}$  and  
 $\text{class-let } N (\{ \#C\# \} + \{ \#E\# \}) \models_p \{ \#E\# \} + \{ \#Pos P\# \} \vee \text{class-let } N (\{ \#C\# \} + \{ \#E\# \}) \models_p$   
 $\{ \#C\# \} + \{ \#Neg P\# \}$   
 shows  $\text{class-let } N (\{ \#C\# \} + \{ \#E\# \}) \models_p \{ \#E\# \} + \{ \#Pos P\# \}$

oops

locale ground-ordered-resolution-with-redundancy =

ground-resolution-with-selection +

fixes redundant :: 'a::wellorder clause  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool

assumes

redundant-iff-abstract:  $\text{redundant } A \ N \longleftrightarrow \text{abstract-red } A \ N$

begin

definition saturated :: 'a clause-set  $\Rightarrow$  bool where

saturated  $N \longleftrightarrow$

$(\forall A \ B \ C. A \in N \longrightarrow B \in N \longrightarrow \neg \text{redundant } A \ N \longrightarrow \neg \text{redundant } B \ N \longrightarrow$

$\text{superposition-rules } A \ B \ C \longrightarrow \text{redundant } C \ N \vee C \in N)$

lemma (in  $\neg$ )

assumes  $\langle A \models_p C + E \rangle$

shows  $\langle A \models_p \text{add-mset } L \ C \vee A \models_p \text{add-mset } (-L) \ E \rangle$

proof clarify

assume  $\langle \neg A \models_p \text{add-mset } (-L) \ E \rangle$

then obtain  $I'$  where

$tot'$ :  $\langle \text{total-over-m } I' (A \cup \{ \text{add-mset } (-L) \ E \}) \rangle$  and

$cons'$ :  $\langle \text{consistent-interp } I' \rangle$  and

$I'-A$ :  $\langle I' \models_s A \rangle$  and

$I'-uL-E$ :  $\langle \neg I' \models \text{add-mset } (-L) \ E \rangle$

unfolding true-class-cls-def by auto

have  $\langle \neg L \notin I' \ \neg I' \models E \rangle$

using  $I'-uL-E$  by auto

moreover have  $\langle \text{atm-of } L \in \text{atm-of } 'I' \rangle$

using  $tot'$  unfolding total-over-m-def total-over-set-def

by (cases  $L$ ) force+

ultimately have  $\langle L \in I' \rangle$

by (auto simp: image-iff atm-of-eq-atm-of)

show  $\langle A \models_p \text{add-mset } L \ C \rangle$

**unfolding** *true-clss-clss-def*  
**proof** (*intro allI impI conjI*)  
**fix**  $I$   
**assume**  
*tot*:  $\langle \text{total-over-}m\ I\ (A \cup \{\text{add-mset}\ L\ C\}) \rangle$  **and**  
*cons*:  $\langle \text{consistent-interp}\ I \rangle$  **and**  
*I-A*:  $\langle I \models_s A \rangle$   
**let**  $?I = I \cup \{Pos\ P \mid P. P \in \text{atms-of}\ E \wedge P \notin \text{atms-of-s}\ I\}$   
**have** *in-C-pm-I*:  $\langle L \in \# C \implies L \in I \vee -L \in I \rangle$  **for**  $L$   
**using** *tot* **by** (*cases L*) (*force simp: total-over-m-def total-over-set-def atms-of-def*)+  
**have** *consistent-interp ?I*  
**using** *cons* **unfolding** *consistent-interp-def atms-of-s-def atms-of-def*  
**apply** (*auto 1 5 simp add: image-iff*)  
**by** (*metis atm-of-uminus literal.sel(1)*)  
**moreover** {  
**have** *tot-I: total-over-m ?I (A  $\cup$  {E})*  
**using** *tot total-over-set-def total-union* **by** *force*  
**then have** *tot-I: total-over-m ?I (A  $\cup$  {C+E})*  
**using** *total-union[OF tot]* **by** *auto*}  
**moreover have**  $?I \models_s A$   
**using** *I-A* **by** *auto*  
**ultimately have**  $1: ?I \models C + E$   
**using** *assms* **unfolding** *true-clss-clss-def* **by** *auto*  
  
**then show**  $\langle I \models \text{add-mset}\ L\ C \rangle$   
**unfolding** *Partial-Herbrand-Interpretation.true-clss-def*  
**apply** (*auto simp: true-clss-def dest: in-C-pm-I*)  
**oops**

**lemma**

**assumes**

*saturated*: *saturated N* **and**

*finite*: *finite N* **and**

*empty*:  $\{\#\} \notin N$

**shows**  $INTERP\ N \models_{hs}\ N$

**proof** (*rule ccontr*)

**let**  $?N_{\mathcal{I}} = INTERP\ N$

**assume**  $\neg ?thesis$

**then have** *not-empty*:  $\{E \in N. \neg ?N_{\mathcal{I}} \models_h E\} \neq \{\}$

**unfolding** *true-clss-def Ball-def* **by** *auto*

**define**  $D$  **where**  $D = Min\ \{E \in N. \neg ?N_{\mathcal{I}} \models_h E\}$

**have** [*simp*]:  $D \in N$

**unfolding** *D-def*

**by** (*metis (mono-tags, lifting) Min-in not-empty finite mem-Collect-eq rev-finite-subset subsetI*)

**have** *not-d-interp*:  $\neg ?N_{\mathcal{I}} \models_h D$

**unfolding** *D-def*

**by** (*metis (mono-tags, lifting) Min-in finite mem-Collect-eq not-empty rev-finite-subset subsetI*)

**have** *cls-not-D*:  $\bigwedge E. E \in N \implies E \neq D \implies \neg ?N_{\mathcal{I}} \models_h E \implies D \leq E$

**using** *finite D-def* **by** *auto*

**obtain**  $C\ L$  **where**  $D: D = C + \{\#L\#\}$  **and** *LSD*:  $L \in \# S\ D \vee (S\ D = \{\#\} \wedge Max-mset\ D = L)$

**proof** (*cases S D = {#}*)

**case** *False*

**then obtain**  $L$  **where**  $L \in \# S\ D$

**using** *Max-in-lits* **by** *blast*

**moreover** {

**then have**  $L \in \# D$

```

    using S-selects-subseteq[of D] by auto
  then have  $D = (D - \{\#L\}) + \{\#L\}$ 
    by auto }
ultimately show ?thesis using that by blast
next
let  $?L = \text{Max-mset } D$ 
case True
moreover {
  have  $?L \in\# D$ 
    by (metis (no-types, lifting) Max-in-lits  $\langle D \in N \rangle$  empty)
  then have  $D = (D - \{\#?L\}) + \{\#?L\}$ 
    by auto }
ultimately show ?thesis using that by blast
qed
have red:  $\neg$ redundant D N
proof (rule ccontr)
  assume red[simplified]:  $\sim\sim$ redundant D N
  have  $\forall E < D. E \in N \longrightarrow ?N_{\mathcal{I}} \models_h E$ 
    using cls-not-D unfolding not-le[symmetric] by fastforce
  then have  $?N_{\mathcal{I}} \models_{hs} \text{clss-lt } N D$ 
    unfolding clss-lt-def true-clss-def Ball-def by blast
  then show False
    using red not-d-interp unfolding abstract-red-def redundant-iff-abstract
    using herbrand-true-clss-true-clss-cls-herbrand-true-clss by fast
qed

consider
  (L) P where  $L = \text{Pos } P$  and  $S D = \{\#\}$  and  $\text{Max-mset } D = \text{Pos } P$ 
| (Lneg) P where  $L = \text{Neg } P$ 
  using LSD S-selects-neg-lits[of L D] by (cases L) auto
then show False
proof cases
  case L note  $P = \text{this}(1)$  and  $S = \text{this}(2)$  and  $\text{max} = \text{this}(3)$ 
  have count  $D L > 1$ 
  proof (rule ccontr)
    assume  $\sim ?thesis$ 
    then have count:  $\text{count } D L = 1$ 
      unfolding D by (auto simp: not-in-iff)
    have  $\neg ?N_{\mathcal{I}} \models_h D$ 
      using not-d-interp true-interp-imp-INTERP ground-resolution-with-selection-axioms
      by blast
    then have produces  $N D P$ 
      using not-empty empty finite  $\langle D \in N \rangle$  count L
      true-interp-imp-INTERP unfolding production-iff-produces unfolding production-unfold
      by (auto simp add: max not-empty)
    then have INTERP  $N \models_h D$ 
      unfolding D
      by (metis pos-literal-in-imp-true-cls produces-imp-Pos-in-lits
        production-subseteq-INTERP singletonI subsetCE)
    then show False
      using not-d-interp by blast
  qed
then have  $\text{Pos } P \in\# C$ 
  by (simp add: P D)
then obtain C' where  $C': D = C' + \{\#\text{Pos } P\} + \{\#\text{Pos } P\}$ 
  unfolding D by (metis (full-types) P insert-DiffM2)

```

**have** *sup*: *superposition-rules*  $D D (D - \{\#L\#})$   
**unfolding**  $C' L$  **by** (*auto simp add: superposition-rules.simps*)  
**have**  $C' + \{\#Pos P\#} < C' + \{\#Pos P\#} + \{\#Pos P\#}$   
**by** *auto*  
**moreover** **have**  $\neg ?N_{\mathcal{I}} \models h (D - \{\#L\#})$   
**using** *not-d-interp* **unfolding**  $C' L$  **by** *auto*  
**ultimately** **have**  $C' + \{\#Pos P\#} \notin N$   
**using**  $C' P$  *cls-not-D* **by** *fastforce*  
**have**  $D - \{\#L\#} < D$   
**unfolding**  $C' L$  **by** *auto*  
**have** *c'-p-p*:  $C' + \{\#Pos P\#} + \{\#Pos P\#} - \{\#Pos P\#} = C' + \{\#Pos P\#}$   
**by** *auto*  
**have** *redundant*  $(C' + \{\#Pos P\#}) N$   
**using** *saturated red sup*  $\langle D \in N \rangle \langle C' + \{\#Pos P\#} \notin N \rangle$  **unfolding** *saturated-def*  $C' L$  *c'-p-p*  
**by** *blast*  
**moreover** **have**  $C' + \{\#Pos P\#} \subseteq \# C' + \{\#Pos P\#} + \{\#Pos P\#}$   
**by** *auto*  
**ultimately** **show** *False*  
**using** *red* **unfolding**  $C'$  *redundant-iff-abstract* **by** (*blast dest:*  
*abstract-red-subset-mset-abstract-red*)  
**next**  
**case** *Lneg* **note**  $L = this(1)$   
**have**  $P: P \in ?N_{\mathcal{I}}$   
**using** *not-d-interp* **unfolding**  $D$  *true-cls-def*  $L$  **by** (*auto split: if-split-asm*)  
**then obtain**  $E$  **where**  
 $DPN: E + \{\#Pos P\#} \in N$  **and**  
 $prod: production N (E + \{\#Pos P\#}) = \{P\}$   
**using** *in-interp-is-produced* **by** *blast*  
**have**  $\langle \neg ?N_{\mathcal{I}} \models h C \rangle$   
**using** *not-d-interp*  $P$  **unfolding**  $D$  *Lneg* **by** *auto*  
**then have**  $uL-C: \langle Pos P \notin \# C \rangle$   
**using**  $P$  **unfolding** *Lneg* **by** *blast*  
  
**have** *sup-EC*: *superposition-rules*  $(E + \{\#Pos P\#}) (C + \{\#Neg P\#}) (E + C)$   
**using** *superposition-l* **by** *fast*  
**then have** *superposition*  $N (N \cup \{E+C\})$   
**using**  $DPN \langle D \in N \rangle$  **unfolding**  $D L$  **by** (*auto simp add: superposition.simps*)  
**have**  
 $PMax: Pos P = Max-mset (E + \{\#Pos P\#})$  **and**  
 $count (E + \{\#Pos P\#}) (Pos P) \leq 1$  **and**  
 $S (E + \{\#Pos P\#}) = \{\#\}$  **and**  
 $\neg interp N (E + \{\#Pos P\#}) \models h E + \{\#Pos P\#}$   
**using** *prod* **unfolding** *production-unfold* **by** *auto*  
**have**  $Neg P \notin \# E$   
**using** *prod produces-imp-neg-notin-lits* **by** *force*  
**then have**  $\bigwedge y. y \in \# (E + \{\#Pos P\#}) \implies$   
 $count (E + \{\#Pos P\#}) (Neg P) < count (C + \{\#Neg P\#}) (Neg P)$   
**using** *count-greater-zero-iff* **by** *fastforce*  
**moreover** **have**  $\bigwedge y. y \in \# (E + \{\#Pos P\#}) \implies y < Neg P$   
**using**  $PMax$  **by** (*metis*  $DPN$  *Max-less-iff empty finite-set-mset pos-less-neg*  
*set-mset-eq-empty-iff*)  
**moreover** **have**  $E + \{\#Pos P\\#} \neq C + \{\#Neg P\#}$   
**using** *prod produces-imp-neg-notin-lits* **by** *force*  
**ultimately** **have**  $E + \{\#Pos P\#} < C + \{\#Neg P\#}$   
**unfolding** *less-multiset<sub>HO</sub>* **by** (*metis* *count-greater-zero-iff less-iff-Suc-add zero-less-Suc*)  
**have** *ce-lt-d*:  $C + E < D$

**unfolding**  $D L$  **by** (*simp add*:  $\langle \bigwedge y. y \in \# E + \{\#Pos P\} \implies y < Neg P \rangle$  *ex-gt-imp-less-multiset*)  
**have**  $?N_{\mathcal{I}} \models_h E + \{\#Pos P\}$   
**using**  $\langle P \in ?N_{\mathcal{I}} \rangle$  **by** *blast*  
**have**  $?N_{\mathcal{I}} \models_h C+E \vee C+E \notin N$   
**using** *ce-lt-d cls-not-D unfolding D-def* **by** *fastforce*  
**have**  $Pos-P-C-E: Pos P \notin \# C+E$   
**using**  $D \langle P \in \text{ground-resolution-with-selection.INTERP } S N \rangle$   
 $\langle \text{count } (E + \{\#Pos P\}) (Pos P) \leq 1 \rangle$  *multi-member-skip not-d-interp*  
**by** (*auto simp: not-in-iff*)  
**then have**  $\bigwedge y. y \in \# C+E \implies \text{count } (C+E) (Pos P) < \text{count } (E + \{\#Pos P\}) (Pos P)$   
**using** *set-mset-def* **by** *fastforce*  
**have**  $\neg \text{redundant } (C + E) N$   
**proof** (*rule ccontr*)  
**assume**  $\text{red}'[\text{simplified}]: \neg ?thesis$   
**have**  $\text{abs: } \text{class-lt } N (C + E) \models_p C + E$   
**using** *redundant-iff-abstract red' unfolding abstract-red-def* **by** *auto*  
**moreover**  
**have**  $\langle \text{class-lt } N (C + E) \subseteq \text{class-lt } N (E + \{\#Pos P\}) \rangle$   
**using** *ce-lt-d Pos-P-C-E uL-C apply (auto simp: class-lt-def D L)*  
  
**using** *Pos-P-C-E unfolding less-multiset<sub>HO</sub>*  
**apply** (*auto split: if-splits*)  
**sorry**  
**then have**  $\text{class-lt } N (E + \{\#Pos P\}) \models_p E + \{\#Pos P\} \vee$   
 $\text{class-lt } N (C + \{\#Neg P\}) \models_p C + \{\#Neg P\}$   
**proof** *clarify*  
**assume**  $CP: \neg \text{class-lt } N (C + \{\#Neg P\}) \models_p C + \{\#Neg P\}$   
**{ fix**  $I$   
**assume**  
 $\text{total-over-m } I (\text{class-lt } N (C + E) \cup \{E + \{\#Pos P\}\})$  **and**  
 $\text{consistent-interp } I$  **and**  
 $I \models_s \text{class-lt } N (C + E)$   
**then have**  $I \models C + E$   
**using** *abs sorry*  
**moreover have**  $\neg I \models C + \{\#Neg P\}$   
**using** *CP unfolding true-class-cls-def*  
**sorry**  
**ultimately have**  $I \models E + \{\#Pos P\}$  **by** *auto*  
**}**  
**then show**  $\text{class-lt } N (E + \{\#Pos P\}) \models_p E + \{\#Pos P\}$   
**unfolding** *true-class-cls-def* **sorry**  
**qed**  
**then have**  $\text{class-lt } N (C + E) \models_p E + \{\#Pos P\} \vee \text{class-lt } N (C + E) \models_p C + \{\#Neg P\}$   
**proof** *clarify*  
**assume**  $CP: \neg \text{class-lt } N (C + E) \models_p C + \{\#Neg P\}$   
**{ fix**  $I$   
**assume**  
 $\text{total-over-m } I (\text{class-lt } N (C + E) \cup \{E + \{\#Pos P\}\})$  **and**  
 $\text{consistent-interp } I$  **and**  
 $I \models_s \text{class-lt } N (C + E)$   
**then have**  $I \models C + E$   
**using** *abs sorry*  
**moreover have**  $\neg I \models C + \{\#Neg P\}$   
**using** *CP unfolding true-class-cls-def*  
**sorry**  
**ultimately have**  $I \models E + \{\#Pos P\}$  **by** *auto*

```

    }
    then show class-let  $N (C + E) \models_p E + \{\#Pos P\}$ 
      unfolding true-class-cls-def by auto
qed
moreover have class-let  $N (C + E) \subseteq \text{class-let } N (C + \{\#Neg P\})$ 
  using ce-let-d order.strict-trans2 unfolding class-let-def D L
  by (blast dest: less-imp-le)
ultimately have redundant  $(C + \{\#Neg P\}) N \vee \text{class-let } N (C + E) \models_p E + \{\#Pos P\}$ 
  unfolding redundant-iff-abstract abstract-red-def using true-class-cls-subset by blast
show False

  sorry
qed
moreover have  $\neg \text{redundant } (E + \{\#Pos P\}) N$ 
  sorry
ultimately have CEN:  $C + E \in N$ 
  using  $\langle D \in N \rangle \langle E + \{\#Pos P\} \in N \rangle$  saturated sup-EC red unfolding saturated-def D L
  by (metis union-commute)
have CED:  $C + E \neq D$ 
  using D ce-let-d by auto
have interp:  $\neg \text{INTERP } N \models_h C + E$ 
  sorry
show False
  using cls-not-D[OF CEN CED interp] ce-let-d unfolding INTERP-def less-eq-multiset-def by auto
qed
qed
end

lemma tautology-is-redundant:
  assumes tautology C
  shows abstract-red C N
  using assms unfolding abstract-red-def true-class-cls-def tautology-def by auto

lemma subsumed-is-redundant:
  assumes AB:  $A \subset\# B$ 
  and AN:  $A \in N$ 
  shows abstract-red B N
proof -
  have  $A \in \text{class-let } N B$  using AN AB unfolding class-let-def
  by (auto dest: subset-eq-imp-le-multiset simp add: dual-order.order-iff-strict)
  then show thesis
    using AB unfolding abstract-red-def true-class-cls-def Partial-Herbrand-Interpretation.true-class-def
    by blast
qed

inductive redundant :: 'a clause  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool where
  subsumption:  $A \in N \Longrightarrow A \subset\# B \Longrightarrow \text{redundant } B N$ 

lemma redundant-is-redundancy-criterion:
  fixes A :: 'a :: wellorder clause and N :: 'a :: wellorder clause-set
  assumes redundant A N
  shows abstract-red A N
  using assms
proof (induction rule: redundant.induct)
  case (subsumption A B N)

```

```
then show ?case
  using subsumed-is-redundant[of  $A N B$ ] unfolding abstract-red-def class-let-def by auto
qed
```

```
lemma redundant-mono:
```

```
redundant A N  $\implies$   $A \subseteq\# B \implies$  redundant B N
```

```
apply (induction rule: redundant.induct)
```

```
by (meson subset-mset.less-le-trans subsumption)
```

```
locale truc =
```

```
  selection S for S :: nat clause  $\implies$  nat clause
```

```
begin
```

```
end
```

```
end
```