

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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Chapter 1

Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

1.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

1.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

datatype $'v$ *propo* =
 FT | FF | $FVar$ $'v$ | $FNot$ $'v$ *propo* | $FAnd$ $'v$ *propo* $'v$ *propo* | FOr $'v$ *propo* $'v$ *propo*
 | $FImp$ $'v$ *propo* $'v$ *propo* | FEq $'v$ *propo* $'v$ *propo*

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

datatype $'v$ *connective* = CT | CF | $CVar$ $'v$ | $CNot$ | $CAnd$ | COr | $CImp$ | CEq

abbreviation *nullary-connective* \equiv $\{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

definition *binary-connectives* \equiv $\{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

lemma *propo-induct-arity*[*case-names nullary unary binary*]:

fixes φ ψ :: $'v$ *propo*
 assumes *nullary*: $\bigwedge \varphi\ x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$
 and *unary*: $\bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$
 and *binary*: $\bigwedge \varphi\ \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1$
 $\psi2$
 $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$
 shows $P\ \psi$
 <proof>

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```

fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF

```

We will often use case distinction, based on the arity of the 'v connective, thus we define our own splitting principle.

```

lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
<proof>

```

```

lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
<proof>

```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```

inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c [] |$ 
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi] |$ 
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct

```

```

lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
<proof>

```

1.1.2 Properties of the Abstraction

First we can define simplification rules.

```

lemma wf-conn-conn[simp]:

```

$wf\text{-conn } CT \ l \implies conn \ CT \ l = FT$
 $wf\text{-conn } CF \ l \implies conn \ CF \ l = FF$
 $wf\text{-conn } (CVar \ x) \ l \implies conn \ (CVar \ x) \ l = FVar \ x$
 <proof>

lemma *wf-conn-list-decomp[simp]*:

$wf\text{-conn } CT \ l \longleftrightarrow l = []$
 $wf\text{-conn } CF \ l \longleftrightarrow l = []$
 $wf\text{-conn } (CVar \ x) \ l \longleftrightarrow l = []$
 $wf\text{-conn } CNot \ (\xi \ @ \ \varphi \ \# \ \xi') \longleftrightarrow \xi = [] \wedge \xi' = []$
 <proof>

lemma *wf-conn-list*:

$wf\text{-conn } c \ l \implies conn \ c \ l = FT \longleftrightarrow (c = CT \wedge l = [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FF \longleftrightarrow (c = CF \wedge l = [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FVar \ x \longleftrightarrow (c = CVar \ x \wedge l = [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FAnd \ a \ b \longleftrightarrow (c = CAnd \wedge l = a \ \# \ b \ \# \ [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FOr \ a \ b \longleftrightarrow (c = COr \wedge l = a \ \# \ b \ \# \ [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FEq \ a \ b \longleftrightarrow (c = CEq \wedge l = a \ \# \ b \ \# \ [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FImp \ a \ b \longleftrightarrow (c = CImp \wedge l = a \ \# \ b \ \# \ [])$
 $wf\text{-conn } c \ l \implies conn \ c \ l = FNot \ a \longleftrightarrow (c = CNot \wedge l = a \ \# \ [])$
 <proof>

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

lemma *list-length2-decomp*: $length \ l = 2 \implies (\exists \ a \ b. \ l = a \ \# \ b \ \# \ [])$
 <proof>

wf-conn for binary operators means that there are two arguments.

lemma *wf-conn-bin-list-length*:

fixes $l :: 'v \ propo \ list$
assumes $conn: c \in \text{binary-connectives}$
shows $length \ l = 2 \longleftrightarrow wf\text{-conn } c \ l$
 <proof>

lemma *wf-conn-not-list-length[iff]*:

fixes $l :: 'v \ propo \ list$
shows $wf\text{-conn } CNot \ l \longleftrightarrow length \ l = 1$
 <proof>

Decomposing the Not into an element is moreover very useful.

lemma *wf-conn-Not-decomp*:

fixes $l :: 'v \ propo \ list$ **and** $a :: 'v$
assumes $corr: wf\text{-conn } CNot \ l$
shows $\exists \ a. \ l = [a]$
 <proof>

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

lemma *wf-conn-no-arity-change*:

$length \ l = length \ l' \implies wf\text{-conn } c \ l \longleftrightarrow wf\text{-conn } c \ l'$
 <proof>

lemma *wf-conn-no-arity-change-helper*:
 $length (\xi @ \varphi \# \xi') = length (\xi @ \varphi' \# \xi')$
 $\langle proof \rangle$

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

lemma *conn-inj-not*:
assumes *correct*: $wf-conn\ c\ l$
and *conn*: $conn\ c\ l = FNot\ \psi$
shows $c = CNot$ **and** $l = [\psi]$
 $\langle proof \rangle$

lemma *conn-inj*:
fixes $c\ ca :: 'v\ connective$ **and** $l\ \psi s :: 'v\ propo\ list$
assumes *corr*: $wf-conn\ ca\ l$
and *corr'*: $wf-conn\ c\ \psi s$
and *eq*: $conn\ ca\ l = conn\ c\ \psi s$
shows $ca = c \wedge \psi s = l$
 $\langle proof \rangle$

1.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

inductive *subformula* $:: 'v\ propo \Rightarrow 'v\ propo \Rightarrow bool$ (**infix** \preceq 45) **for** φ **where**
subformula-refl[simp]: $\varphi \preceq \varphi$ |
subformula-into-subformula: $\psi \in set\ l \Longrightarrow wf-conn\ c\ l \Longrightarrow \varphi \preceq \psi \Longrightarrow \varphi \preceq conn\ c\ l$

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

lemma *subformula-in-subformula-not*:
shows $b: FNot\ \varphi \preceq \psi \Longrightarrow \varphi \preceq \psi$
 $\langle proof \rangle$

lemma *subformula-in-binary-conn*:
assumes *conn*: $c \in binary-connectives$
shows $f \preceq conn\ c\ [f, g]$
and $g \preceq conn\ c\ [f, g]$
 $\langle proof \rangle$

lemma *subformula-trans*:
 $\psi \preceq \psi' \Longrightarrow \varphi \preceq \psi \Longrightarrow \varphi \preceq \psi'$
 $\langle proof \rangle$

lemma *subformula-leaf*:
fixes $\varphi\ \psi :: 'v\ propo$
assumes *incl*: $\varphi \preceq \psi$
and *simple*: $\psi = FT \vee \psi = FF \vee \psi = FVar\ x$
shows $\varphi = \psi$
 $\langle proof \rangle$

lemma *subformula-not-incl-eq*:

assumes $\varphi \preceq \text{conn } c \ l$
and $\text{wf-conn } c \ l$
and $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$
shows $\varphi = \text{conn } c \ l$
 $\langle \text{proof} \rangle$

lemma *wf-subformula-conn-cases*:

$\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \iff (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$
 $\langle \text{proof} \rangle$

lemma *subformula-decomp-explicit[simp]*:

$\varphi \preceq \text{FAnd } \psi \ \psi' \iff (\varphi = \text{FAnd } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$ (**is** $?P \ \text{FAnd}$)
 $\varphi \preceq \text{FOr } \psi \ \psi' \iff (\varphi = \text{FOr } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\varphi \preceq \text{FEq } \psi \ \psi' \iff (\varphi = \text{FEq } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\varphi \preceq \text{FImp } \psi \ \psi' \iff (\varphi = \text{FImp } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$

$\langle \text{proof} \rangle$

lemma *wf-conn-helper-facts[iff]*:

$\text{wf-conn } \text{CNot } [\varphi]$
 $\text{wf-conn } \text{CT } []$
 $\text{wf-conn } \text{CF } []$
 $\text{wf-conn } (\text{CVar } x) []$
 $\text{wf-conn } \text{CAnd } [\varphi, \psi]$
 $\text{wf-conn } \text{COr } [\varphi, \psi]$
 $\text{wf-conn } \text{CImp } [\varphi, \psi]$
 $\text{wf-conn } \text{CEq } [\varphi, \psi]$
 $\langle \text{proof} \rangle$

lemma *exists-c-conn*: $\exists \ c \ l. \varphi = \text{conn } c \ l \wedge \text{wf-conn } c \ l$

$\langle \text{proof} \rangle$

lemma *subformula-conn-decomp[simp]*:

assumes $\text{wf}: \text{wf-conn } c \ l$
shows $\varphi \preceq \text{conn } c \ l \iff (\varphi = \text{conn } c \ l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi))$ (**is** $?A \iff ?B$)

$\langle \text{proof} \rangle$

lemma *subformula-leaf-explicit[simp]*:

$\varphi \preceq \text{FT} \iff \varphi = \text{FT}$
 $\varphi \preceq \text{FF} \iff \varphi = \text{FF}$
 $\varphi \preceq \text{FVar } x \iff \varphi = \text{FVar } x$
 $\langle \text{proof} \rangle$

The variables inside the formula gives precisely the variables that are needed for the formula.

primrec *vars-of-prop*:: $'v \ \text{prop} \Rightarrow 'v \ \text{set}$ **where**

$\text{vars-of-prop } \text{FT} = \{\} \mid$
 $\text{vars-of-prop } \text{FF} = \{\} \mid$
 $\text{vars-of-prop } (\text{FVar } x) = \{x\} \mid$
 $\text{vars-of-prop } (\text{FNot } \varphi) = \text{vars-of-prop } \varphi \mid$
 $\text{vars-of-prop } (\text{FAnd } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi \mid$
 $\text{vars-of-prop } (\text{FOr } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi \mid$
 $\text{vars-of-prop } (\text{FImp } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi \mid$
 $\text{vars-of-prop } (\text{FEq } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$

lemma *vars-of-prop-incl-conn*:

fixes $\xi \ \xi' :: 'v \ \text{prop}$ **list** **and** $\psi :: 'v \ \text{prop}$ **and** $c :: 'v \ \text{connective}$
assumes $\text{corr}: \text{wf-conn } c \ l$ **and** $\text{incl}: \psi \in \text{set } l$

shows $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$
 ⟨proof⟩

The set of variables is compatible with the subformula order.

lemma *subformula-vars-of-prop*:

$\varphi \preceq \psi \implies \text{vars-of-prop } \varphi \subseteq \text{vars-of-prop } \psi$
 ⟨proof⟩

1.1.4 Positions

Instead of 1 or 2 we use L or R

datatype $\text{sign} = L \mid R$

We use nil instead of ε .

fun $\text{pos} :: 'v \text{ propo} \Rightarrow \text{sign list set}$ **where**

$\text{pos } FF = \{\square\} \mid$
 $\text{pos } FT = \{\square\} \mid$
 $\text{pos } (FVar \ x) = \{\square\} \mid$
 $\text{pos } (FAnd \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FOr \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FEq \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FImp \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FNot \ \varphi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\}$

lemma *finite-pos*: $\text{finite } (\text{pos } \varphi)$

⟨proof⟩

lemma *finite-inj-comp-set*:

fixes $s :: 'v \text{ set}$
assumes $\text{finite}: \text{finite } s$
and $\text{inj}: \text{inj } f$
shows $\text{card } (\{f \ p \mid p. p \in s\}) = \text{card } s$
 ⟨proof⟩

lemma *cons-inject*:

$\text{inj } ((\#) \ s)$
 ⟨proof⟩

lemma *finite-insert-nil-cons*:

$\text{finite } s \implies \text{card } (\text{insert } \square \ \{L \ \# \ p \mid p. p \in s\}) = 1 + \text{card } \{L \ \# \ p \mid p. p \in s\}$
 ⟨proof⟩

lemma *card-not[simp]*:

$\text{card } (\text{pos } (FNot \ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$
 ⟨proof⟩

lemma *card-seperate*:

assumes $\text{finite } s1$ **and** $\text{finite } s2$
shows $\text{card } (\{L \ \# \ p \mid p. p \in s1\} \cup \{R \ \# \ p \mid p. p \in s2\}) = \text{card } (\{L \ \# \ p \mid p. p \in s1\})$
 $+ \text{card } (\{R \ \# \ p \mid p. p \in s2\})$ (**is** $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$)
 ⟨proof⟩

definition *prop-size* **where** $\text{prop-size } \varphi = \text{card } (\text{pos } \varphi)$

lemma *prop-size-vars-of-prop*:
fixes $\varphi :: 'v \text{ propo}$
shows $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

$\langle \text{proof} \rangle$

value *pos* (*FImp* (*FAnd* (*FVar* *P*) (*FVar* *Q*)) (*FOr* (*FVar* *P*) (*FVar* *Q*)))

inductive *path-to* :: *sign list* \Rightarrow *'v propo* \Rightarrow *'v propo* \Rightarrow *bool* **where**
path-to-refl[*intro*]: *path-to* [] $\varphi \varphi$ |
path-to-l: $c \in \text{binary-connectives} \vee c = \text{CNot} \implies \text{wf-conn } c (\varphi \# l) \implies \text{path-to } p \varphi \varphi' \implies$
path-to (*L* # *p*) (*conn* *c* ($\varphi \# l$)) φ' |
path-to-r: $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \varphi \# []) \implies \text{path-to } p \varphi \varphi' \implies$
path-to (*R* # *p*) (*conn* *c* ($\psi \# \varphi \# []$)) φ'

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

lemma *path-to-subformula*:
path-to *p* $\varphi \varphi' \implies \varphi' \preceq \varphi$
 $\langle \text{proof} \rangle$

lemma *subformula-path-exists*:
fixes $\varphi \varphi' :: 'v \text{ propo}$
shows $\varphi' \preceq \varphi \implies \exists p. \text{path-to } p \varphi \varphi'$
 $\langle \text{proof} \rangle$

fun *replace-at* :: *sign list* \Rightarrow *'v propo* \Rightarrow *'v propo* \Rightarrow *'v propo* **where**
replace-at [] - $\psi = \psi$ |
replace-at (*L* # *l*) (*FAnd* $\varphi \varphi'$) $\psi = \text{FAnd } (\text{replace-at } l \varphi \psi) \varphi'$ |
replace-at (*R* # *l*) (*FAnd* $\varphi \varphi'$) $\psi = \text{FAnd } \varphi (\text{replace-at } l \varphi' \psi)$ |
replace-at (*L* # *l*) (*FOr* $\varphi \varphi'$) $\psi = \text{FOr } (\text{replace-at } l \varphi \psi) \varphi'$ |
replace-at (*R* # *l*) (*FOr* $\varphi \varphi'$) $\psi = \text{FOr } \varphi (\text{replace-at } l \varphi' \psi)$ |
replace-at (*L* # *l*) (*FEq* $\varphi \varphi'$) $\psi = \text{FEq } (\text{replace-at } l \varphi \psi) \varphi'$ |
replace-at (*R* # *l*) (*FEq* $\varphi \varphi'$) $\psi = \text{FEq } \varphi (\text{replace-at } l \varphi' \psi)$ |
replace-at (*L* # *l*) (*FImp* $\varphi \varphi'$) $\psi = \text{FImp } (\text{replace-at } l \varphi \psi) \varphi'$ |
replace-at (*R* # *l*) (*FImp* $\varphi \varphi'$) $\psi = \text{FImp } \varphi (\text{replace-at } l \varphi' \psi)$ |
replace-at (*L* # *l*) (*FNot* φ) $\psi = \text{FNot } (\text{replace-at } l \varphi \psi)$

1.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

fun *eval* :: (*'v* \Rightarrow *bool*) \Rightarrow *'v propo* \Rightarrow *bool* (**infix** \models 50) **where**
 $\mathcal{A} \models \text{FT} = \text{True}$ |
 $\mathcal{A} \models \text{FF} = \text{False}$ |
 $\mathcal{A} \models \text{FVar } v = (\mathcal{A} \ v)$ |
 $\mathcal{A} \models \text{FNot } \varphi = (\neg (\mathcal{A} \models \varphi))$ |
 $\mathcal{A} \models \text{FAnd } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \wedge \mathcal{A} \models \varphi_2)$ |
 $\mathcal{A} \models \text{FOr } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \vee \mathcal{A} \models \varphi_2)$ |
 $\mathcal{A} \models \text{FImp } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longrightarrow \mathcal{A} \models \varphi_2)$ |
 $\mathcal{A} \models \text{FEq } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longleftrightarrow \mathcal{A} \models \varphi_2)$

definition *evalf* (**infix** \models_f 50) **where**
 $\text{evalf } \varphi \psi = (\forall A. A \models \varphi \longrightarrow A \models \psi)$

The deduction rule is in the book. And the proof looks like to the one of the book.

theorem *deduction-theorem*:

$\varphi \models_f \psi \iff (\forall A. A \models FImp \varphi \psi)$
<proof>

A shorter proof:

lemma $\varphi \models_f \psi \iff (\forall A. A \models FImp \varphi \psi)$
<proof>

definition *same-over-set*:: $(\text{'}v \Rightarrow \text{bool}) \Rightarrow (\text{'}v \Rightarrow \text{bool}) \Rightarrow \text{'}v \text{ set} \Rightarrow \text{bool}$ **where**
same-over-set $A B S = (\forall c \in S. A c = B c)$

If two mapping A and B have the same value over the variables, then the same formula are satisfiable.

lemma *same-over-set-eval*:

assumes *same-over-set* $A B$ (*vars-of-prop* φ)

shows $A \models \varphi \iff B \models \varphi$

<proof>

end

theory *Prop-Abstract-Transformation*

imports *Prop-Logic Weidenbach-Book-Base.Wellfounded-More*

begin

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

1.3 Rewrite Systems and Properties

1.3.1 Lifting of Rewrite Rules

We can lift a rewrite relation r over a full formula: the relation r works on terms, while *propo-rew-step* works on formulas.

inductive *propo-rew-step* :: $(\text{'}v \text{ propo} \Rightarrow \text{'}v \text{ propo} \Rightarrow \text{bool}) \Rightarrow \text{'}v \text{ propo} \Rightarrow \text{'}v \text{ propo} \Rightarrow \text{bool}$

for $r :: \text{'}v \text{ propo} \Rightarrow \text{'}v \text{ propo} \Rightarrow \text{bool}$ **where**

global-rel: $r \varphi \psi \implies \text{propo-rew-step } r \varphi \psi$ |

propo-rew-one-step-lift: $\text{propo-rew-step } r \varphi \varphi' \implies \text{wf-conn } c (\psi s @ \varphi \# \psi s')$

$\implies \text{propo-rew-step } r (\text{conn } c (\psi s @ \varphi \# \psi s')) (\text{conn } c (\psi s @ \varphi' \# \psi s'))$

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between φ and φ' , then there are two subformulas ψ in φ and ψ' in φ' , ψ' is the result of the rewriting of r on ψ .

This lemma is only a health condition:

lemma *propo-rew-step-subformula-imp*:

shows $\text{propo-rew-step } r \varphi \varphi' \implies \exists \psi \psi'. \psi \preceq \varphi \wedge \psi' \preceq \varphi' \wedge r \psi \psi'$

<proof>

The converse is moreover true: if there is a ψ and ψ' , then every formula φ containing ψ , can be rewritten into a formula φ' , such that it contains ψ' .

lemma *propo-rew-step-subformula-rec*:

fixes $\psi \psi' \varphi :: 'v \text{ propo}$
shows $\psi \preceq \varphi \implies r \psi \psi' \implies (\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \varphi \varphi')$
 $\langle \text{proof} \rangle$

lemma *propo-rew-step-subformula*:
 $(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi') \longleftrightarrow (\exists \varphi'. \text{propo-rew-step } r \varphi \varphi')$
 $\langle \text{proof} \rangle$

lemma *consistency-decompose-into-list*:
assumes $wf: wf\text{-conn } c \ l$ **and** $wf': wf\text{-conn } c \ l'$
and same: $\forall n. A \models l ! n \longleftrightarrow (A \models l' ! n)$
shows $A \models \text{conn } c \ l \longleftrightarrow A \models \text{conn } c \ l'$
 $\langle \text{proof} \rangle$

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step* $r \varphi \varphi'$ means that we rewrite ψ inside φ (ie at a path p) into ψ' .

lemma *propo-rew-step-rewrite*:
fixes $\varphi \varphi' :: 'v \text{ propo}$ **and** $r :: 'v \text{ propo} \implies 'v \text{ propo} \implies \text{bool}$
assumes *propo-rew-step* $r \varphi \varphi'$
shows $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p \varphi \psi \wedge \text{replace-at } p \varphi \psi' = \varphi'$
 $\langle \text{proof} \rangle$

1.3.2 Consistency Preservation

We define *preserve-models*: it means that a relation preserves consistency.

definition *preserve-models* **where**
preserve-models $r \longleftrightarrow (\forall \varphi \psi. r \varphi \psi \longrightarrow (\forall A. A \models \varphi \longleftrightarrow A \models \psi))$

lemma *propo-rew-step-preservers-val-explicit*:
propo-rew-step $r \varphi \psi \implies \text{preserve-models } r \implies \text{propo-rew-step } r \varphi \psi \implies (\forall A. A \models \varphi \longleftrightarrow A \models \psi)$
 $\langle \text{proof} \rangle$

lemma *propo-rew-step-preservers-val'*:
assumes *preserve-models* r
shows *preserve-models* (*propo-rew-step* r)
 $\langle \text{proof} \rangle$

lemma *preserve-models-OO[intro]*:
preserve-models $f \implies \text{preserve-models } g \implies \text{preserve-models } (f \text{ OO } g)$
 $\langle \text{proof} \rangle$

lemma *star-consistency-preservation-explicit*:
assumes $(\text{propo-rew-step } r)^\wedge^{**} \varphi \psi$ **and** *preserve-models* r
shows $\forall A. A \models \varphi \longleftrightarrow A \models \psi$
 $\langle \text{proof} \rangle$

lemma *star-consistency-preservation*:
preserve-models $r \implies \text{preserve-models } (\text{propo-rew-step } r)^\wedge^{**}$
 $\langle \text{proof} \rangle$

1.3.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

lemma *full-ropo-rew-step-preservers-val*[simp]:
preserve-models $r \implies \text{preserve-models } (\text{full } (\text{propo-rew-step } r))$
 ⟨proof⟩

lemma *full-propo-rew-step-subformula*:
 $\text{full } (\text{propo-rew-step } r) \varphi' \varphi \implies \neg(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi')$
 ⟨proof⟩

1.4 Transformation testing

1.4.1 Definition and first Properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

definition *all-subformula-st* :: ('a propo \implies bool) \implies 'a propo \implies bool **where**
all-subformula-st test-symb $\varphi \equiv \forall \psi. \psi \preceq \varphi \longrightarrow \text{test-symb } \psi$

lemma *test-symb-imp-all-subformula-st*[simp]:
 $\text{test-symb } FT \implies \text{all-subformula-st test-symb } FT$
 $\text{test-symb } FF \implies \text{all-subformula-st test-symb } FF$
 $\text{test-symb } (FVar\ x) \implies \text{all-subformula-st test-symb } (FVar\ x)$
 ⟨proof⟩

lemma *all-subformula-st-test-symb-true-phi*:
 $\text{all-subformula-st test-symb } \varphi \implies \text{test-symb } \varphi$
 ⟨proof⟩

lemma *all-subformula-st-decomp-imp*:
 $\text{wf-conn } c\ l \implies (\text{test-symb } (\text{conn } c\ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$
 $\implies \text{all-subformula-st test-symb } (\text{conn } c\ l)$
 ⟨proof⟩

To ease the finding of proofs, we give some explicit theorem about the decomposition.

lemma *all-subformula-st-decomp-rec*:
 $\text{all-subformula-st test-symb } (\text{conn } c\ l) \implies \text{wf-conn } c\ l$
 $\implies (\text{test-symb } (\text{conn } c\ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$
 ⟨proof⟩

lemma *all-subformula-st-decomp*:
fixes $c :: 'v$ connective **and** $l :: 'v$ propo list
assumes $\text{wf-conn } c\ l$
shows $\text{all-subformula-st test-symb } (\text{conn } c\ l)$
 $\longleftrightarrow (\text{test-symb } (\text{conn } c\ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$
 ⟨proof⟩

lemma *helper-fact*: $c \in \text{binary-connectives} \longleftrightarrow (c = COr \vee c = CAnd \vee c = CEq \vee c = CImp)$

<proof>

lemma *all-subformula-st-decomp-explicit[simp]*:

fixes $\varphi \psi :: 'v \text{ propo}$

shows *all-subformula-st test-symb* (FAnd $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FAnd \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

and *all-subformula-st test-symb* (FOr $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FOr \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

and *all-subformula-st test-symb* (FNot φ)

$\longleftrightarrow (\text{test-symb } (FNot \varphi) \wedge \text{all-subformula-st test-symb } \varphi)$

and *all-subformula-st test-symb* (FEq $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FEq \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

and *all-subformula-st test-symb* (FImp $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FImp \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

<proof>

As *all-subformula-st* tests recursively, the function is true on every subformula.

lemma *subformula-all-subformula-st*:

$\psi \preceq \varphi \implies \text{all-subformula-st test-symb } \varphi \implies \text{all-subformula-st test-symb } \psi$

<proof>

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation *r*: if we assume that if every time *test-symb* is true, then a *r* can be applied, finally as long as $\neg \text{all-subformula-st test-symb } \varphi$, then something can be rewritten in φ .

lemma *no-test-symb-step-exists*:

fixes $r :: 'v \text{ propo} \implies 'v \text{ propo} \implies \text{bool}$ **and** *test-symb* :: $'v \text{ propo} \implies \text{bool}$ **and** $x :: 'v$

and $\varphi :: 'v \text{ propo}$

assumes

test-symb-false-nullary: $\forall x. \text{test-symb } FF \wedge \text{test-symb } FT \wedge \text{test-symb } (FVar x)$ **and**

$\forall \varphi'. \varphi' \preceq \varphi \longrightarrow (\neg \text{test-symb } \varphi') \longrightarrow (\exists \psi. r \varphi' \psi)$ **and**

$\neg \text{all-subformula-st test-symb } \varphi$

shows $\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi'$

<proof>

1.4.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption $\forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$ means that rewriting with *r* does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from *r* to *propo-rew-step r*: we have to add the assumption that rewriting inside does not mess up the term: $\forall c \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$

Invariant while lifting of the Rewriting Relation

The condition $\varphi \preceq \Phi$ (that will be used with $\Phi = \varphi$ most of the time) is here to ensure that the recursive conditions on Φ will moreover hold for the subterm we are rewriting. For example if

there is no equivalence symbol in Φ , we do not have to care about equivalence symbols in the two previous assumptions.

lemma *propo-rew-step-inv-stay'*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi \Phi:: 'v \text{ propo}$
assumes $H: \forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi'$
 $\longrightarrow \text{all-subformula-st test-symb } \psi$
and $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$
 $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ **and**
 $\text{propo-rew-step } r \varphi \psi$ **and**
 $\varphi \preceq \Phi$ **and**
 $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

The need for $\varphi \preceq \Phi$ is not always necessary, hence we moreover have a version without inclusion.

lemma *propo-rew-step-inv-stay*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi:: 'v \text{ propo}$
assumes
 $H: \forall \varphi' \psi. r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ **and**
 $\text{propo-rew-step } r \varphi \psi$ **and**
 $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

The lemmas can be lifted to *propo-rew-step* r^\perp instead of *propo-rew-step*

Invariant after all Rewriting

lemma *full-propo-rew-step-inv-stay-with-inc*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi:: 'v \text{ propo}$
assumes
 $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$
 $\longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$
 $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ **and**
 $\varphi \preceq \Phi$ **and**
 $\text{full: full } (\text{propo-rew-step } r) \varphi \psi$ **and**
 $\text{init: all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

lemma *full-propo-rew-step-inv-stay'*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi:: 'v \text{ propo}$
assumes
 $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$
 $\longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi')$

$\longrightarrow \text{test-symb } (\text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c \ (\xi \ @ \ \varphi' \ \# \ \xi'))$ **and**
full: $\text{full } (\text{propo-rew-step } r) \ \varphi \ \psi$ **and**
init: $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

lemma *full-propo-rew-step-inv-stay:*

fixes $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb} :: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x :: 'v$
and $\varphi \ \psi :: 'v \text{ propo}$
assumes
 $H: \forall \varphi \ \psi. r \ \varphi \ \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c :: 'v \text{ connective}) \ \xi \ \varphi \ \xi' \ \varphi'. \text{wf-conn } c \ (\xi \ @ \ \varphi \ \# \ \xi') \longrightarrow \text{test-symb } (\text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi'))$
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c \ (\xi \ @ \ \varphi' \ \# \ \xi'))$ **and**
full: $\text{full } (\text{propo-rew-step } r) \ \varphi \ \psi$ **and**
init: $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

lemma *full-propo-rew-step-inv-stay-conn:*

fixes $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb} :: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x :: 'v$
and $\varphi \ \psi :: 'v \text{ propo}$
assumes
 $H: \forall \varphi \ \psi. r \ \varphi \ \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c :: 'v \text{ connective}) \ l \ l'. \text{wf-conn } c \ l \longrightarrow \text{wf-conn } c \ l'$
 $\longrightarrow (\text{test-symb } (\text{conn } c \ l) \longleftrightarrow \text{test-symb } (\text{conn } c \ l'))$ **and**
full: $\text{full } (\text{propo-rew-step } r) \ \varphi \ \psi$ **and**
init: $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

end

theory *Prop-Normalisation*

imports *Prop-Logic Prop-Abstract-Transformation Nested-Multisets-Ordinals.Multiset-More*

begin

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

1.5 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalences, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation separately.

1.5.1 Elimination of the Equivalences

The first transformation consists in removing every equivalence symbol.

inductive *elim-equiv* :: $'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **where**
 $\text{elim-equiv}[\text{simp}]: \text{elim-equiv } (\text{FEq } \varphi \ \psi) \ (\text{FAnd } (\text{FImp } \varphi \ \psi) \ (\text{FImp } \psi \ \varphi))$

lemma *elim-equiv-transformation-consistent:*

$A \models \text{FEq } \varphi \ \psi \longleftrightarrow A \models \text{FAnd } (\text{FImp } \varphi \ \psi) \ (\text{FImp } \psi \ \varphi)$

⟨proof⟩

lemma *elim-equiv-explicit*: $\text{elim-equiv } \varphi \ \psi \implies \forall A. A \models \varphi \iff A \models \psi$

⟨proof⟩

lemma *elim-equiv-consistent*: *preserve-models elim-equiv*

⟨proof⟩

lemma *elimEqv-lifted-consistant*:

preserve-models (full (propo-rew-step elim-equiv))

⟨proof⟩

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

fun *no-equiv-symb* :: 'v propo \Rightarrow bool **where**

no-equiv-symb (FEq -) = False |

no-equiv-symb - = True

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

lemma *no-equiv-symb-conn-characterization[simp]*:

fixes $c :: 'v$ connective **and** $l :: 'v$ propo list

assumes *wf*: wf-conn c l

shows $\text{no-equiv-symb } (\text{conn } c \ l) \iff c \neq \text{CEq}$

⟨proof⟩

definition *no-equiv* **where** *no-equiv* = all-subformula-st *no-equiv-symb*

lemma *no-equiv-eq[simp]*:

fixes $\varphi \ \psi :: 'v$ propo

shows

$\neg \text{no-equiv } (\text{FEq } \varphi \ \psi)$

no-equiv FT

no-equiv FF

⟨proof⟩

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

lemma *all-subformula-st-decomp-explicit-no-equiv[iff]*:

fixes $\varphi \ \psi :: 'v$ propo

shows

$\text{no-equiv } (\text{FNot } \varphi) \iff \text{no-equiv } \varphi$

$\text{no-equiv } (\text{FAnd } \varphi \ \psi) \iff (\text{no-equiv } \varphi \ \wedge \ \text{no-equiv } \psi)$

$\text{no-equiv } (\text{FOr } \varphi \ \psi) \iff (\text{no-equiv } \varphi \ \wedge \ \text{no-equiv } \psi)$

$\text{no-equiv } (\text{FImp } \varphi \ \psi) \iff (\text{no-equiv } \varphi \ \wedge \ \text{no-equiv } \psi)$

⟨proof⟩

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*.

This theorem is one of the assumption we need to characterize the transformation.

lemma *no-equiv-elim-equiv-step*:

fixes $\varphi :: 'v$ propo

assumes *no-equiv*: $\neg \text{no-equiv } \varphi$

shows $\exists \psi \ \psi'. \ \psi \preceq \varphi \ \wedge \ \text{elim-equiv } \psi \ \psi'$

⟨proof⟩

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

lemma *no-equiv-full-propo-rew-step-elim-equiv*:

full (propo-rew-step elim-equiv) $\varphi \psi \implies$ no-equiv ψ
<proof>

1.5.2 Eliminate Implication

After that, we can eliminate the implication symbols.

inductive *elim-imp* :: 'v propo \implies 'v propo \implies bool **where**

[simp]: *elim-imp (FImp $\varphi \psi$) (FOr (FNot φ) ψ)*

lemma *elim-imp-transformation-consistent*:

A \models FImp $\varphi \psi \iff$ A \models FOr (FNot φ) ψ
<proof>

lemma *elim-imp-explicit*: *elim-imp $\varphi \psi \implies \forall A. A \models \varphi \iff A \models \psi$*

<proof>

lemma *elim-imp-consistent*: *preserve-models elim-imp*

<proof>

lemma *elim-imp-lifted-consistant*:

preserve-models (full (propo-rew-step elim-imp))
<proof>

fun *no-imp-symb* **where**

no-imp-symb (FImp -) = False |

no-imp-symb - = True

lemma *no-imp-symb-conn-characterization*:

wf-conn c l \implies no-imp-symb (conn c l) \iff c \neq CImp
<proof>

definition *no-imp* **where** *no-imp \equiv all-subformula-st no-imp-symb*

declare *no-imp-def*[simp]

lemma *no-imp-Imp*[simp]:

\neg no-imp (FImp $\varphi \psi$)

no-imp FT

no-imp FF

<proof>

lemma *all-subformula-st-decomp-explicit-imp*[simp]:

fixes *$\varphi \psi$:: 'v propo*

shows

no-imp (FNot φ) \iff no-imp φ

no-imp (FAnd $\varphi \psi$) \iff (no-imp $\varphi \wedge$ no-imp ψ)

no-imp (FOr $\varphi \psi$) \iff (no-imp $\varphi \wedge$ no-imp ψ)

<proof>

Invariant of the *elim-imp* transformation

lemma *elim-imp-no-equiv*:

elim-imp $\varphi \psi \implies$ no-equiv $\varphi \implies$ no-equiv ψ

$\langle \text{proof} \rangle$

lemma *elim-imp-inv*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step elim-imp*) $\varphi \psi$ **and** *no-equiv* φ

shows *no-equiv* ψ

$\langle \text{proof} \rangle$

lemma *no-no-imp-elim-imp-step-exists*:

fixes $\varphi :: 'v \text{ propo}$

assumes *no-equiv*: $\neg \text{no-imp } \varphi$

shows $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elim-imp } \psi \psi'$

$\langle \text{proof} \rangle$

lemma *no-imp-full-propo-rew-step-elim-imp*: *full* (*propo-rew-step elim-imp*) $\varphi \psi \implies \text{no-imp } \psi$

$\langle \text{proof} \rangle$

1.5.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

inductive *elimTB* **where**

ElimTB1: *elimTB* (*FAnd* φ *FT*) φ |

ElimTB1': *elimTB* (*FAnd* *FT* φ) φ |

ElimTB2: *elimTB* (*FAnd* φ *FF*) *FF* |

ElimTB2': *elimTB* (*FAnd* *FF* φ) *FF* |

ElimTB3: *elimTB* (*FOr* φ *FT*) *FT* |

ElimTB3': *elimTB* (*FOr* *FT* φ) *FT* |

ElimTB4: *elimTB* (*FOr* φ *FF*) φ |

ElimTB4': *elimTB* (*FOr* *FF* φ) φ |

ElimTB5: *elimTB* (*FNot* *FT*) *FF* |

ElimTB6: *elimTB* (*FNot* *FF*) *FT*

lemma *elimTB-consistent*: *preserve-models elimTB*

$\langle \text{proof} \rangle$

inductive *no-T-F-symb* :: $'v \text{ propo} \implies \text{bool}$ **where**

no-T-F-symb-comp: $c \neq \text{CF} \implies c \neq \text{CT} \implies \text{wf-conn } c \ l \implies (\forall \varphi \in \text{set } l. \varphi \neq \text{FT} \wedge \varphi \neq \text{FF})$
 $\implies \text{no-T-F-symb } (\text{conn } c \ l)$

lemma *wf-conn-no-T-F-symb-iff[simp]*:

wf-conn $c \ \psi s \implies$

no-T-F-symb (*conn* $c \ \psi s$) $\longleftrightarrow (c \neq \text{CF} \wedge c \neq \text{CT} \wedge (\forall \psi \in \text{set } \psi s. \psi \neq \text{FF} \wedge \psi \neq \text{FT}))$

$\langle \text{proof} \rangle$

lemma *wf-conn-no-T-F-symb-iff-explicit[simp]*:

no-T-F-symb (*FAnd* $\varphi \ \psi$) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq \text{FF} \wedge \chi \neq \text{FT})$

no-T-F-symb (*FOr* $\varphi \ \psi$) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq \text{FF} \wedge \chi \neq \text{FT})$

no-T-F-symb (*FEq* $\varphi \ \psi$) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq \text{FF} \wedge \chi \neq \text{FT})$

no-T-F-symb (*FImp* φ ψ) \longleftrightarrow ($\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT$)
 ⟨*proof*⟩

lemma *no-T-F-symb-false[simp]*:

fixes $c :: 'v$ *connective*

shows

\neg *no-T-F-symb* (*FT* $:: 'v$ *propo*)

\neg *no-T-F-symb* (*FF* $:: 'v$ *propo*)

⟨*proof*⟩

lemma *no-T-F-symb-bool[simp]*:

fixes $x :: 'v$

shows *no-T-F-symb* (*FVar* x)

⟨*proof*⟩

lemma *no-T-F-symb-fnot-imp*:

\neg *no-T-F-symb* (*FNot* φ) $\implies \varphi = FT \vee \varphi = FF$

⟨*proof*⟩

lemma *no-T-F-symb-fnot[simp]*:

no-T-F-symb (*FNot* φ) $\longleftrightarrow \neg(\varphi = FT \vee \varphi = FF)$

⟨*proof*⟩

Actually it is not possible to remove every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

inductive *no-T-F-symb-except-toplevel where*

no-T-F-symb-except-toplevel-true[simp]: *no-T-F-symb-except-toplevel* *FT* |

no-T-F-symb-except-toplevel-false[simp]: *no-T-F-symb-except-toplevel* *FF* |

noTrue-no-T-F-symb-except-toplevel[simp]: *no-T-F-symb* $\varphi \implies$ *no-T-F-symb-except-toplevel* φ

lemma *no-T-F-symb-except-toplevel-bool*:

fixes $x :: 'v$

shows *no-T-F-symb-except-toplevel* (*FVar* x)

⟨*proof*⟩

lemma *no-T-F-symb-except-toplevel-not-decom*:

$\varphi \neq FT \implies \varphi \neq FF \implies$ *no-T-F-symb-except-toplevel* (*FNot* φ)

⟨*proof*⟩

lemma *no-T-F-symb-except-toplevel-bin-decom*:

fixes $\varphi \psi :: 'v$ *propo*

assumes $\varphi \neq FT$ **and** $\varphi \neq FF$ **and** $\psi \neq FT$ **and** $\psi \neq FF$

and $c \in$ *binary-connectives*

shows *no-T-F-symb-except-toplevel* (*conn* c $[\varphi, \psi]$)

⟨*proof*⟩

lemma *no-T-F-symb-except-toplevel-if-is-a-true-false*:

fixes $l :: 'v$ *propo list* **and** $c :: 'v$ *connective*

assumes *corr*: *wf-conn* c l

and $FT \in \text{set } l \vee FF \in \text{set } l$

shows \neg *no-T-F-symb-except-toplevel* (*conn* c l)

⟨*proof*⟩

lemma *no-T-F-symb-except-top-level-false-example[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$
shows
 $\neg \text{no-T-F-symb-except-toplevel } (FAnd \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FOr \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FImp \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FEq \ \varphi \ \psi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-top-level-false-not[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \varphi = FF$
shows
 $\neg \text{no-T-F-symb-except-toplevel } (FNot \ \varphi)$
 $\langle \text{proof} \rangle$

This is the local extension of *no-T-F-symb-except-toplevel*.

definition *no-T-F-except-top-level where*
no-T-F-except-top-level \equiv *all-subformula-st no-T-F-symb-except-toplevel*

This is another property we will use. While this version might seem to be the one we want to prove, it is not since *FT* can not be reduced.

definition *no-T-F where*
no-T-F \equiv *all-subformula-st no-T-F-symb*

lemma *no-T-F-except-top-level-false*:
fixes $l :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$
assumes *wf-conn* $c \ l$
and $FT \in \text{set } l \vee FF \in \text{set } l$
shows $\neg \text{no-T-F-except-top-level } (\text{conn } c \ l)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-false-example[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$
shows
 $\neg \text{no-T-F-except-top-level } (FAnd \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FOr \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FEq \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FImp \ \varphi \ \psi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-no-T-F-symb*:
 $\text{no-T-F-symb-except-toplevel } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-T-F-symb } \varphi$
 $\langle \text{proof} \rangle$

The two following lemmas give the precise link between the two definitions.

lemma *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*:
 $\text{no-T-F-except-top-level } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-T-F } \varphi$
 $\langle \text{proof} \rangle$

lemma *no-T-F-no-T-F-except-top-level*:
 $\text{no-T-F } \varphi \implies \text{no-T-F-except-top-level } \varphi$

$\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-simp*[simp]: *no-T-F-except-top-level FF no-T-F-except-top-level FT*
 $\langle \text{proof} \rangle$

lemma *no-T-F-no-T-F-except-top-level'*[simp]:
no-T-F-except-top-level $\varphi \longleftrightarrow (\varphi = FF \vee \varphi = FT \vee \text{no-T-F } \varphi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-bin-decomp*[simp]:
assumes *c*: *c* \in *binary-connectives*
shows *no-T-F* (*conn c* [φ , ψ]) \longleftrightarrow (*no-T-F* $\varphi \wedge$ *no-T-F* ψ)
 $\langle \text{proof} \rangle$

lemma *no-T-F-bin-decomp-expanded*[simp]:
assumes *c*: *c* = *CAnd* \vee *c* = *COr* \vee *c* = *CEq* \vee *c* = *CImp*
shows *no-T-F* (*conn c* [φ , ψ]) \longleftrightarrow (*no-T-F* $\varphi \wedge$ *no-T-F* ψ)
 $\langle \text{proof} \rangle$

lemma *no-T-F-comp-expanded-explicit*[simp]:
fixes $\varphi \psi :: 'v \text{ propo}$
shows
no-T-F (*FAnd* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge$ *no-T-F* ψ)
no-T-F (*FOr* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge$ *no-T-F* ψ)
no-T-F (*FEq* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge$ *no-T-F* ψ)
no-T-F (*FImp* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge$ *no-T-F* ψ)
 $\langle \text{proof} \rangle$

lemma *no-T-F-comp-not*[simp]:
fixes $\varphi \psi :: 'v \text{ propo}$
shows *no-T-F* (*FNot* φ) \longleftrightarrow *no-T-F* φ
 $\langle \text{proof} \rangle$

lemma *no-T-F-decomp*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes φ : *no-T-F* (*FAnd* $\varphi \psi$) \vee *no-T-F* (*FOr* $\varphi \psi$) \vee *no-T-F* (*FEq* $\varphi \psi$) \vee *no-T-F* (*FImp* $\varphi \psi$)
shows *no-T-F* ψ **and** *no-T-F* φ
 $\langle \text{proof} \rangle$

lemma *no-T-F-decomp-not*:
fixes $\varphi :: 'v \text{ propo}$
assumes φ : *no-T-F* (*FNot* φ)
shows *no-T-F* φ
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-step-exists*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes *no-equiv* φ **and** *no-imp* φ
shows $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \psi'$
 $\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-rew*:
fixes $\varphi :: 'v \text{ propo}$
assumes *noTB*: $\neg \text{no-T-F-except-top-level } \varphi$ **and** *no-equiv*: *no-equiv* φ **and** *no-imp*: *no-imp* φ
shows $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTB } \psi \psi'$
 $\langle \text{proof} \rangle$

lemma *elimTB-inv*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step elimTB*) $\varphi \psi$

and *no-equiv* φ **and** *no-imp* φ

shows *no-equiv* ψ **and** *no-imp* ψ

<proof>

lemma *elimTB-full-propo-rew-step*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *no-equiv* φ **and** *no-imp* φ **and** *full* (*propo-rew-step elimTB*) $\varphi \psi$

shows *no-T-F-except-top-level* ψ

<proof>

1.5.4 PushNeg

Push the negation inside the formula, until the literal.

inductive *pushNeg* **where**

PushNeg1[simp]: *pushNeg* (*FNot* (*FAnd* $\varphi \psi$)) (*FOr* (*FNot* φ) (*FNot* ψ)) |

PushNeg2[simp]: *pushNeg* (*FNot* (*FOr* $\varphi \psi$)) (*FAnd* (*FNot* φ) (*FNot* ψ)) |

PushNeg3[simp]: *pushNeg* (*FNot* (*FNot* φ)) φ

lemma *pushNeg-transformation-consistent*:

$A \models \text{FNot } (\text{FAnd } \varphi \psi) \longleftrightarrow A \models (\text{FOr } (\text{FNot } \varphi) (\text{FNot } \psi))$

$A \models \text{FNot } (\text{FOr } \varphi \psi) \longleftrightarrow A \models (\text{FAnd } (\text{FNot } \varphi) (\text{FNot } \psi))$

$A \models \text{FNot } (\text{FNot } \varphi) \longleftrightarrow A \models \varphi$

<proof>

lemma *pushNeg-explicit*: *pushNeg* $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$

<proof>

lemma *pushNeg-consistent*: *preserve-models pushNeg*

<proof>

lemma *pushNeg-lifted-consistant*:

preserve-models (*full* (*propo-rew-step pushNeg*))

<proof>

fun *simple* **where**

simple *FT* = *True* |

simple *FF* = *True* |

simple (*FVar* $-$) = *True* |

simple $-$ = *False*

lemma *simple-decomp*:

simple $\varphi \longleftrightarrow (\varphi = \text{FT} \vee \varphi = \text{FF} \vee (\exists x. \varphi = \text{FVar } x))$

<proof>

lemma *subformula-conn-decomp-simple*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *s*: *simple* ψ

shows $\varphi \preceq \text{FNot } \psi \longleftrightarrow (\varphi = \text{FNot } \psi \vee \varphi = \psi)$

<proof>

lemma *subformula-conn-decomp-explicit[simp]*:

fixes $\varphi :: 'v \text{ propo}$ **and** $x :: 'v$

shows

$\varphi \preceq \text{FNot } FT \iff (\varphi = \text{FNot } FT \vee \varphi = FT)$

$\varphi \preceq \text{FNot } FF \iff (\varphi = \text{FNot } FF \vee \varphi = FF)$

$\varphi \preceq \text{FNot } (\text{FVar } x) \iff (\varphi = \text{FNot } (\text{FVar } x) \vee \varphi = \text{FVar } x)$

<proof>

fun *simple-not-symb* **where**

simple-not-symb ($\text{FNot } \varphi$) = (*simple* φ) |

simple-not-symb - = *True*

definition *simple-not* **where**

simple-not = *all-subformula-st simple-not-symb*

declare *simple-not-def[simp]*

lemma *simple-not-Not[simp]*:

$\neg \text{simple-not } (\text{FNot } (\text{FAnd } \varphi \ \psi))$

$\neg \text{simple-not } (\text{FNot } (\text{FOr } \varphi \ \psi))$

<proof>

lemma *simple-not-step-exists*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

assumes *no-equiv* φ **and** *no-imp* φ

shows $\psi \preceq \varphi \implies \neg \text{simple-not-symb } \psi \implies \exists \psi'. \text{pushNeg } \psi \ \psi'$

<proof>

lemma *simple-not-rew*:

fixes $\varphi :: 'v \text{ propo}$

assumes *noTB*: $\neg \text{simple-not } \varphi$ **and** *no-equiv*: *no-equiv* φ **and** *no-imp*: *no-imp* φ

shows $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{pushNeg } \psi \ \psi'$

<proof>

lemma *no-T-F-except-top-level-pushNeg1*:

no-T-F-except-top-level ($\text{FNot } (\text{FAnd } \varphi \ \psi)$) \implies *no-T-F-except-top-level* ($\text{FOr } (\text{FNot } \varphi) (\text{FNot } \psi)$)

<proof>

lemma *no-T-F-except-top-level-pushNeg2*:

no-T-F-except-top-level ($\text{FNot } (\text{FOr } \varphi \ \psi)$) \implies *no-T-F-except-top-level* ($\text{FAnd } (\text{FNot } \varphi) (\text{FNot } \psi)$)

<proof>

lemma *no-T-F-symb-pushNeg*:

no-T-F-symb ($\text{FOr } (\text{FNot } \varphi') (\text{FNot } \psi')$)

no-T-F-symb ($\text{FAnd } (\text{FNot } \varphi') (\text{FNot } \psi')$)

no-T-F-symb ($\text{FNot } (\text{FNot } \varphi')$)

<proof>

lemma *propo-rew-step-pushNeg-no-T-F-symb*:

propo-rew-step pushNeg $\varphi \ \psi \implies$ *no-T-F-except-top-level* $\varphi \implies$ *no-T-F-symb* $\varphi \implies$ *no-T-F-symb* ψ

<proof>

lemma *propo-rew-step-pushNeg-no-T-F*:

propo-rew-step pushNeg $\varphi \ \psi \implies$ *no-T-F* $\varphi \implies$ *no-T-F* ψ

<proof>

lemma *pushNeg-inv*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step pushNeg*) $\varphi \psi$

and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ

shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ

<proof>

lemma *pushNeg-full-propo-rew-step*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes

no-equiv φ **and**

no-imp φ **and**

full (*propo-rew-step pushNeg*) $\varphi \psi$ **and**

no-T-F-except-top-level φ

shows *simple-not* ψ

<proof>

1.5.5 Push Inside

inductive *push-conn-inside* :: $'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$

for $c c' :: 'v \text{ connective}$ **where**

push-conn-inside-l[simp]: $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$

$\Longrightarrow \text{push-conn-inside } c \ c' \ (\text{conn } c \ [\text{conn } c' \ [\varphi 1, \varphi 2], \psi])$

$(\text{conn } c' \ [\text{conn } c \ [\varphi 1, \psi], \text{conn } c \ [\varphi 2, \psi]]) \mid$

push-conn-inside-r[simp]: $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$

$\Longrightarrow \text{push-conn-inside } c \ c' \ (\text{conn } c \ [\psi, \text{conn } c' \ [\varphi 1, \varphi 2]])$

$(\text{conn } c' \ [\text{conn } c \ [\psi, \varphi 1], \text{conn } c \ [\psi, \varphi 2]])$

lemma *push-conn-inside-explicit*: $\text{push-conn-inside } c \ c' \ \varphi \ \psi \Longrightarrow \forall A. A \models \varphi \longleftrightarrow A \models \psi$

<proof>

lemma *push-conn-inside-consistent*: *preserve-models* (*push-conn-inside* $c \ c'$)

<proof>

lemma *propo-rew-step-push-conn-inside[simp]*:

$\neg \text{propo-rew-step } (\text{push-conn-inside } c \ c') \ FT \ \psi \ \neg \text{propo-rew-step } (\text{push-conn-inside } c \ c') \ FF \ \psi$

<proof>

inductive *not-c-in-c'-symb*:: $'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **for** $c \ c'$ **where**

not-c-in-c'-symb-l[simp]: $\text{wf-conn } c \ [\text{conn } c' \ [\varphi, \varphi'], \psi] \Longrightarrow \text{wf-conn } c' \ [\varphi, \varphi']$

$\Longrightarrow \text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\text{conn } c' \ [\varphi, \varphi'], \psi]) \mid$

not-c-in-c'-symb-r[simp]: $\text{wf-conn } c \ [\psi, \text{conn } c' \ [\varphi, \varphi']] \Longrightarrow \text{wf-conn } c' \ [\varphi, \varphi']$

$\Longrightarrow \text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \text{conn } c' \ [\varphi, \varphi']])$

abbreviation *c-in-c'-symb* $c \ c' \ \varphi \equiv \neg \text{not-c-in-c'-symb } c \ c' \ \varphi$

lemma *c-in-c'-symb-simp*:

$\text{not-c-in-c'-symb } c \ c' \ \xi \Longrightarrow \xi = FF \vee \xi = FT \vee \xi = FVar \ x \vee \xi = FNot \ FF \vee \xi = FNot \ FT$

$\vee \xi = FNot \ (FVar \ x) \Longrightarrow \text{False}$

$\langle \text{proof} \rangle$

lemma *c-in-c'-symb-simp*^[simp]:

$\neg \text{not-c-in-c'-symb } c \ c' \ FF$
 $\neg \text{not-c-in-c'-symb } c \ c' \ FT$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FVar \ x)$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ FF)$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ FT)$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ (FVar \ x))$
 $\langle \text{proof} \rangle$

definition *c-in-c'-only* **where**

c-in-c'-only $c \ c' \equiv$ all-subformula-st (*c-in-c'-symb* $c \ c'$)

lemma *c-in-c'-only-simp*^[simp]:

c-in-c'-only $c \ c' \ FF$
c-in-c'-only $c \ c' \ FT$
c-in-c'-only $c \ c' \ (FVar \ x)$
c-in-c'-only $c \ c' \ (FNot \ FF)$
c-in-c'-only $c \ c' \ (FNot \ FT)$
c-in-c'-only $c \ c' \ (FNot \ (FVar \ x))$
 $\langle \text{proof} \rangle$

lemma *not-c-in-c'-symb-commute*:

$\text{not-c-in-c'-symb } c \ c' \ \xi \Longrightarrow \text{wf-conn } c \ [\varphi, \psi] \Longrightarrow \xi = \text{conn } c \ [\varphi, \psi]$
 $\Longrightarrow \text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$

$\langle \text{proof} \rangle$

lemma *not-c-in-c'-symb-commute'*:

$\text{wf-conn } c \ [\varphi, \psi] \Longrightarrow \text{c-in-c'-symb } c \ c' \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow \text{c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$
 $\langle \text{proof} \rangle$

lemma *not-c-in-c'-comm*:

assumes *wf*: $\text{wf-conn } c \ [\varphi, \psi]$
shows *c-in-c'-only* $c \ c' \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow \text{c-in-c'-only } c \ c' \ (\text{conn } c \ [\psi, \varphi])$ (**is** $?A \longleftrightarrow ?B$)
 $\langle \text{proof} \rangle$

lemma *not-c-in-c'-simp*^[simp]:

fixes $\varphi1 \ \varphi2 \ \psi :: 'v \ \text{propo}$ **and** $x :: 'v$
shows
c-in-c'-symb $c \ c' \ FT$
c-in-c'-symb $c \ c' \ FF$
c-in-c'-symb $c \ c' \ (FVar \ x)$
 $\text{wf-conn } c \ [\text{conn } c' \ [\varphi1, \varphi2], \psi] \Longrightarrow \text{wf-conn } c' \ [\varphi1, \varphi2]$
 $\Longrightarrow \neg \text{c-in-c'-only } c \ c' \ (\text{conn } c \ [\text{conn } c' \ [\varphi1, \varphi2], \psi])$
 $\langle \text{proof} \rangle$

lemma *c-in-c'-symb-not*^[simp]:

fixes $c \ c' :: 'v \ \text{connective}$ **and** $\psi :: 'v \ \text{propo}$
shows *c-in-c'-symb* $c \ c' \ (FNot \ \psi)$
 $\langle \text{proof} \rangle$

lemma *c-in-c'-symb-step-exists*:

fixes $\varphi :: 'v \ \text{propo}$
assumes $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$

shows $\psi \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \ c' \ \psi \implies \exists \psi'. \text{push-conn-inside } c \ c' \ \psi \ \psi'$
 ⟨proof⟩

lemma *c-in-c'-symb-rew*:

fixes $\varphi :: 'v \text{ propo}$

assumes *noTB*: $\neg c\text{-in-}c'\text{-only } c \ c' \ \varphi$

and $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$

shows $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{push-conn-inside } c \ c' \ \psi \ \psi'$

⟨proof⟩

lemma *push-conn-insidec-in-c'-symb-no-T-F*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

shows *propo-rew-step* (*push-conn-inside* $c \ c'$) $\varphi \ \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$

⟨proof⟩

lemma *simple-propo-rew-step-push-conn-inside-inv*:

propo-rew-step (*push-conn-inside* $c \ c'$) $\varphi \ \psi \implies \text{simple } \varphi \implies \text{simple } \psi$

⟨proof⟩

lemma *simple-propo-rew-step-inv-push-conn-inside-simple-not*:

fixes $c \ c' :: 'v \text{ connective}$ **and** $\varphi \ \psi :: 'v \text{ propo}$

shows *propo-rew-step* (*push-conn-inside* $c \ c'$) $\varphi \ \psi \implies \text{simple-not } \varphi \implies \text{simple-not } \psi$

⟨proof⟩

lemma *propo-rew-step-push-conn-inside-simple-not*:

fixes $\varphi \ \varphi' :: 'v \text{ propo}$ **and** $\xi \ \xi' :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$

assumes

propo-rew-step (*push-conn-inside* $c \ c'$) $\varphi \ \varphi'$ **and**

wf-conn $c \ (\xi \ @ \ \varphi \ \# \ \xi')$ **and**

simple-not-symb (*conn* $c \ (\xi \ @ \ \varphi \ \# \ \xi')$) **and**

simple-not-symb φ'

shows *simple-not-symb* (*conn* $c \ (\xi \ @ \ \varphi' \ \# \ \xi')$)

⟨proof⟩

lemma *push-conn-inside-not-true-false*:

push-conn-inside $c \ c' \ \varphi \ \psi \implies \psi \neq FT \wedge \psi \neq FF$

⟨proof⟩

lemma *push-conn-inside-inv*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step* (*push-conn-inside* $c \ c'$)) $\varphi \ \psi$

and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ

shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ

⟨proof⟩

lemma *push-conn-inside-full-propo-rew-step*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

assumes

no-equiv φ **and**

no-imp φ **and**

full (*propo-rew-step* (*push-conn-inside* $c \ c'$)) $\varphi \ \psi$ **and**

no-T-F-except-top-level φ **and**

simple-not φ **and**
 $c = CAnd \vee c = COr$ **and**
 $c' = CAnd \vee c' = COr$
shows *c-in-c'-only* c c' ψ
 ⟨proof⟩

Only one type of connective in the formula (+ not)

inductive *only-c-inside-symb* :: '*v* connective \Rightarrow '*v* propo \Rightarrow bool **for** c :: '*v* connective **where**
simple-only-c-inside[simp]: *simple* $\varphi \Longrightarrow$ *only-c-inside-symb* c φ |
simple-cnot-only-c-inside[simp]: *simple* $\varphi \Longrightarrow$ *only-c-inside-symb* c (*FNot* φ) |
only-c-inside-into-only-c-inside: *wf-conn* c $l \Longrightarrow$ *only-c-inside-symb* c (*conn* c l)

lemma *only-c-inside-symb-simp*[simp]:
only-c-inside-symb c *FF* *only-c-inside-symb* c *FT* *only-c-inside-symb* c (*FVar* x) ⟨proof⟩

definition *only-c-inside* **where** *only-c-inside* $c =$ *all-subformula-st* (*only-c-inside-symb* c)

lemma *only-c-inside-symb-decomp*:
only-c-inside-symb c $\psi \longleftrightarrow$ (*simple* ψ
 $\vee (\exists \varphi'. \psi = FNot \varphi' \wedge$ *simple* $\varphi')$
 $\vee (\exists l. \psi =$ *conn* c $l \wedge$ *wf-conn* c $l))$
 ⟨proof⟩

lemma *only-c-inside-symb-decomp-not*[simp]:
fixes c :: '*v* connective
assumes $c: c \neq CNot$
shows *only-c-inside-symb* c (*FNot* ψ) \longleftrightarrow *simple* ψ
 ⟨proof⟩

lemma *only-c-inside-decomp-not*[simp]:
assumes $c: c \neq CNot$
shows *only-c-inside* c (*FNot* ψ) \longleftrightarrow *simple* ψ
 ⟨proof⟩

lemma *only-c-inside-decomp*:
only-c-inside c $\varphi \longleftrightarrow$
 $(\forall \psi. \psi \preceq \varphi \longrightarrow ($ *simple* $\psi \vee (\exists \varphi'. \psi = FNot \varphi' \wedge$ *simple* $\varphi')$
 $\vee (\exists l. \psi =$ *conn* c $l \wedge$ *wf-conn* c $l)))$
 ⟨proof⟩

lemma *only-c-inside-c-c'-false*:
fixes c c' :: '*v* connective **and** l :: '*v* propo list **and** φ :: '*v* propo
assumes $cc': c \neq c'$ **and** $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$
and *only*: *only-c-inside* c φ **and** *incl*: *conn* c' $l \preceq \varphi$ **and** *wf*: *wf-conn* c' l
shows *False*
 ⟨proof⟩

lemma *only-c-inside-implies-c-in-c'-symb*:
assumes $\delta: c \neq c'$ **and** $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$
shows *only-c-inside* c $\varphi \Longrightarrow$ *c-in-c'-symb* c c' φ
 ⟨proof⟩

lemma *c-in-c'-symb-decomp-level1*:

fixes $l :: 'v$ propo list **and** $c\ c' ca :: 'v$ connective

shows $wf\text{-}conn\ ca\ l \implies ca \neq c \implies c\text{-in-}c'\text{-symb}\ c\ c' (conn\ ca\ l)$

<proof>

lemma *only-c-inside-implies-c-in-c'-only*:

assumes $\delta: c \neq c'$ **and** $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$

shows $only\text{-}c\text{-inside}\ c\ \varphi \implies c\text{-in-}c'\text{-only}\ c\ c'\ \varphi$

<proof>

lemma *c-in-c'-symb-c-implies-only-c-inside*:

assumes $\delta: c = CAnd \vee c = COr\ c' = CAnd \vee c' = COr\ c \neq c'$ **and** $wf: wf\text{-}conn\ c\ [\varphi, \psi]$

and $inv: no\text{-equiv}\ (conn\ c\ l)\ no\text{-imp}\ (conn\ c\ l)\ simple\text{-not}\ (conn\ c\ l)$

shows $wf\text{-}conn\ c\ l \implies c\text{-in-}c'\text{-only}\ c\ c' (conn\ c\ l) \implies (\forall \psi \in set\ l. only\text{-}c\text{-inside}\ c\ \psi)$

<proof>

Push Conjunction

definition *pushConj* **where** $pushConj = push\text{-}conn\text{-}inside\ CAnd\ COr$

lemma *pushConj-consistent: preserve-models pushConj*

<proof>

definition *and-in-or-symb* **where** $and\text{-in-}or\text{-symb} = c\text{-in-}c'\text{-symb}\ CAnd\ COr$

definition *and-in-or-only* **where**

$and\text{-in-}or\text{-only} = all\text{-subformula-st}\ (c\text{-in-}c'\text{-symb}\ CAnd\ COr)$

lemma *pushConj-inv*:

fixes $\varphi\ \psi :: 'v$ propo

assumes $full\ (propo\text{-rew-step}\ pushConj)\ \varphi\ \psi$

and $no\text{-equiv}\ \varphi$ **and** $no\text{-imp}\ \varphi$ **and** $no\text{-T-F-except-top-level}\ \varphi$ **and** $simple\text{-not}\ \varphi$

shows $no\text{-equiv}\ \psi$ **and** $no\text{-imp}\ \psi$ **and** $no\text{-T-F-except-top-level}\ \psi$ **and** $simple\text{-not}\ \psi$

<proof>

lemma *pushConj-full-propo-rew-step*:

fixes $\varphi\ \psi :: 'v$ propo

assumes

$no\text{-equiv}\ \varphi$ **and**

$no\text{-imp}\ \varphi$ **and**

$full\ (propo\text{-rew-step}\ pushConj)\ \varphi\ \psi$ **and**

$no\text{-T-F-except-top-level}\ \varphi$ **and**

$simple\text{-not}\ \varphi$

shows $and\text{-in-}or\text{-only}\ \psi$

<proof>

Push Disjunction

definition *pushDisj* **where** $pushDisj = push\text{-}conn\text{-}inside\ COr\ CAnd$

lemma *pushDisj-consistent: preserve-models pushDisj*

<proof>

definition *or-in-and-symb* **where** *or-in-and-symb* = *c-in-c'-symb* *COr* *CAnd*

definition *or-in-and-only* **where**

or-in-and-only = *all-subformula-st* (*c-in-c'-symb* *COr* *CAnd*)

lemma *not-or-in-and-only-or-and[simp]*:

\sim *or-in-and-only* (*FOr* (*FAnd* ψ_1 ψ_2) φ')

\langle *proof* \rangle

lemma *pushDisj-inv*:

fixes φ ψ :: 'v *propo*

assumes *full* (*propo-rew-step* *pushDisj*) φ ψ

and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ

shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ

\langle *proof* \rangle

lemma *pushDisj-full-propo-rew-step*:

fixes φ ψ :: 'v *propo*

assumes

no-equiv φ **and**

no-imp φ **and**

full (*propo-rew-step* *pushDisj*) φ ψ **and**

no-T-F-except-top-level φ **and**

simple-not φ

shows *or-in-and-only* ψ

\langle *proof* \rangle

1.6 The Full Transformations

1.6.1 Abstract Definition

The normal form is a super group of groups

inductive *grouped-by* :: 'a *connective* \Rightarrow 'a *propo* \Rightarrow *bool* **for** *c* **where**

simple-is-grouped[simp]: *simple* $\varphi \Longrightarrow$ *grouped-by* *c* φ |

simple-not-is-grouped[simp]: *simple* $\varphi \Longrightarrow$ *grouped-by* *c* (*FNot* φ) |

connected-is-group[simp]: *grouped-by* *c* $\varphi \Longrightarrow$ *grouped-by* *c* $\psi \Longrightarrow$ *wf-conn* *c* [φ , ψ]
 \Longrightarrow *grouped-by* *c* (*conn* *c* [φ , ψ])

lemma *simple-clause[simp]*:

grouped-by *c* *FT*

grouped-by *c* *FF*

grouped-by *c* (*FVar* *x*)

grouped-by *c* (*FNot* *FT*)

grouped-by *c* (*FNot* *FF*)

grouped-by *c* (*FNot* (*FVar* *x*))

\langle *proof* \rangle

lemma *only-c-inside-symb-c-eq-c'*:

only-c-inside-symb *c* (*conn* *c'* [φ_1 , φ_2]) \Longrightarrow $c' = CAnd \vee c' = COr \Longrightarrow$ *wf-conn* *c'* [φ_1 , φ_2]

\Longrightarrow $c' = c$

\langle *proof* \rangle

lemma *only-c-inside-c-eq-c'*:

only-c-inside c (*conn* c' [$\varphi 1$, $\varphi 2$]) $\implies c' = CAnd \vee c' = COr \implies$ *wf-conn* c' [$\varphi 1$, $\varphi 2$] $\implies c = c'$
 ⟨*proof*⟩

lemma *only-c-inside-imp-grouped-by*:

assumes $c: c \neq CNot$ **and** $c': c' = CAnd \vee c' = COr$

shows *only-c-inside* $c \varphi \implies$ *grouped-by* $c \varphi$ (**is** $?O \varphi \implies ?G \varphi$)

⟨*proof*⟩

lemma *grouped-by-false*:

grouped-by c (*conn* c' [φ , ψ]) $\implies c \neq c' \implies$ *wf-conn* c' [φ , ψ] $\implies False$

⟨*proof*⟩

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas in CNF form can be related by an and.

inductive *super-grouped-by*: 'a *connective* \implies 'a *connective* \implies 'a *propo* \implies *bool* **for** $c \ c'$ **where**

grouped-is-super-grouped[*simp*]: *grouped-by* $c \varphi \implies$ *super-grouped-by* $c \ c' \varphi$ |

connected-is-super-group: *super-grouped-by* $c \ c' \varphi \implies$ *super-grouped-by* $c \ c' \psi \implies$ *wf-conn* c [φ , ψ]

\implies *super-grouped-by* $c \ c'$ (*conn* c' [φ , ψ])

lemma *simple-cnf*[*simp*]:

super-grouped-by $c \ c'$ *FT*

super-grouped-by $c \ c'$ *FF*

super-grouped-by $c \ c'$ (*FVar* x)

super-grouped-by $c \ c'$ (*FNot* *FT*)

super-grouped-by $c \ c'$ (*FNot* *FF*)

super-grouped-by $c \ c'$ (*FNot* (*FVar* x))

⟨*proof*⟩

lemma *c-in-c'-only-super-grouped-by*:

assumes $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$ **and** $cc': c \neq c'$

shows *no-equiv* $\varphi \implies$ *no-imp* $\varphi \implies$ *simple-not* $\varphi \implies$ *c-in-c'-only* $c \ c' \varphi$

\implies *super-grouped-by* $c \ c' \varphi$

(**is** $?NE \varphi \implies ?NI \varphi \implies ?SN \varphi \implies ?C \varphi \implies ?S \varphi$)

⟨*proof*⟩

1.6.2 Conjunctive Normal Form

Definition

definition *is-conj-with-TF* **where** *is-conj-with-TF* $==$ *super-grouped-by* *COr* *CAnd*

lemma *or-in-and-only-conjunction-in-disj*:

shows *no-equiv* $\varphi \implies$ *no-imp* $\varphi \implies$ *simple-not* $\varphi \implies$ *or-in-and-only* $\varphi \implies$ *is-conj-with-TF* φ

⟨*proof*⟩

definition *is-cnf* **where**

is-cnf $\varphi \equiv$ *is-conj-with-TF* $\varphi \wedge$ *no-T-F-except-top-level* φ

Full CNF transformation

The full CNF transformation consists simply in chaining all the transformation defined before.

definition *cnf-rew* **where** *cnf-rew* $=$

(*full* (*propo-rew-step* *elim-equiv*)) *OO*

(*full* (*propo-rew-step* *elim-imp*)) *OO*

(full (propo-rew-step elimTB)) OO
 (full (propo-rew-step pushNeg)) OO
 (full (propo-rew-step pushDisj))

lemma *cnf-rew-equivalent: preserve-models cnf-rew*
 ⟨proof⟩

lemma *cnf-rew-is-cnf: cnf-rew φ φ' \implies is-cnf φ'*
 ⟨proof⟩

1.6.3 Disjunctive Normal Form

Definition

definition *is-disj-with-TF* **where** *is-disj-with-TF* \equiv *super-grouped-by CAnd COr*

lemma *and-in-or-only-conjunction-in-disj:*

shows *no-equiv $\varphi \implies$ no-imp $\varphi \implies$ simple-not $\varphi \implies$ and-in-or-only $\varphi \implies$ is-disj-with-TF φ*
 ⟨proof⟩

definition *is-dnf :: 'a propo \Rightarrow bool* **where**

is-dnf $\varphi \longleftrightarrow$ is-disj-with-TF $\varphi \wedge$ no-T-F-except-top-level φ

Full DNF transform

The full DNF transformation consists simply in chaining all the transformation defined before.

definition *dnf-rew* **where** *dnf-rew* \equiv

(full (propo-rew-step elim-equiv)) OO
 (full (propo-rew-step elim-imp)) OO
 (full (propo-rew-step elimTB)) OO
 (full (propo-rew-step pushNeg)) OO
 (full (propo-rew-step pushConj))

lemma *dnf-rew-consistent: preserve-models dnf-rew*
 ⟨proof⟩

theorem *dnf-transformation-correction:*

dnf-rew φ $\varphi' \implies$ is-dnf φ'
 ⟨proof⟩

1.7 More aggressive simplifications: Removing true and false at the beginning

1.7.1 Transformation

We should remove *FT* and *FF* at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

inductive *elimTBFull* **where**

ElimTBFull1[simp]: elimTBFull (FAnd φ FT) φ |
ElimTBFull1'[simp]: elimTBFull (FAnd FT φ) φ |

ElimTBFull2[simp]: elimTBFull (FAnd φ FF) FF |
ElimTBFull2'[simp]: elimTBFull (FAnd FF φ) FF |

$ElimTBFull3[simp]: elimTBFull (FOr \varphi FT) FT \mid$
 $ElimTBFull3'[simp]: elimTBFull (FOr FT \varphi) FT \mid$

$ElimTBFull4[simp]: elimTBFull (FOr \varphi FF) \varphi \mid$
 $ElimTBFull4'[simp]: elimTBFull (FOr FF \varphi) \varphi \mid$

$ElimTBFull5[simp]: elimTBFull (FNot FT) FF \mid$
 $ElimTBFull5'[simp]: elimTBFull (FNot FF) FT \mid$

$ElimTBFull6-l[simp]: elimTBFull (FImp FT \varphi) \varphi \mid$
 $ElimTBFull6-l'[simp]: elimTBFull (FImp FF \varphi) FT \mid$
 $ElimTBFull6-r[simp]: elimTBFull (FImp \varphi FT) FT \mid$
 $ElimTBFull6-r'[simp]: elimTBFull (FImp \varphi FF) (FNot \varphi) \mid$

$ElimTBFull7-l[simp]: elimTBFull (FEq FT \varphi) \varphi \mid$
 $ElimTBFull7-l'[simp]: elimTBFull (FEq FF \varphi) (FNot \varphi) \mid$
 $ElimTBFull7-r[simp]: elimTBFull (FEq \varphi FT) \varphi \mid$
 $ElimTBFull7-r'[simp]: elimTBFull (FEq \varphi FF) (FNot \varphi) \mid$

The transformation is still consistent.

lemma *elimTBFull-consistent: preserve-models elimTBFull*
 $\langle proof \rangle$

Contrary to the theorem *no-T-F-symb-except-toplevel-step-exists*, we do not need the assumption *no-equiv* φ and *no-imp* φ , since our transformation is more general.

lemma *no-T-F-symb-except-toplevel-step-exists'*:

fixes $\varphi :: 'v \text{ propo}$

shows $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTBFull } \psi \psi'$

$\langle proof \rangle$

The same applies here. We do not need the assumption, but the deep link between $\neg \text{no-T-F-except-top-level}$ φ and the existence of a rewriting step, still exists.

lemma *no-T-F-except-top-level-rew'*:

fixes $\varphi :: 'v \text{ propo}$

assumes *noTB*: $\neg \text{no-T-F-except-top-level } \varphi$

shows $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTBFull } \psi \psi'$

$\langle proof \rangle$

lemma *elimTBFull-full-propo-rew-step*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step elimTBFull*) $\varphi \psi$

shows *no-T-F-except-top-level* ψ

$\langle proof \rangle$

1.7.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

lemma *propo-rew-step-ElimEquiv-no-T-F*: *propo-rew-step elim-equiv* $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$
 $\langle proof \rangle$

lemma *elim-equiv-inv'*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes *full (propo-rew-step elim-equiv) $\varphi \psi$ and no-T-F-except-top-level φ*
shows *no-T-F-except-top-level ψ*
 $\langle \text{proof} \rangle$

lemma *propo-rew-step-ElimImp-no-T-F*: *propo-rew-step elim-imp $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$*
 $\langle \text{proof} \rangle$

lemma *elim-imp-inv'*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes *full (propo-rew-step elim-imp) $\varphi \psi$ and no-T-F-except-top-level φ*
shows *no-T-F-except-top-level ψ*
 $\langle \text{proof} \rangle$

1.7.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

definition *dnf-rew' :: 'a propo \Rightarrow 'a propo \Rightarrow bool where*
dnf-rew' =

(full (propo-rew-step elimTBFULL)) OO
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushConj))

lemma *dnf-rew'-consistent: preserve-models dnf-rew'*
 $\langle \text{proof} \rangle$

theorem *cnf-transformation-correction*:
dnf-rew' $\varphi \varphi' \implies \text{is-dnf } \varphi'$
 $\langle \text{proof} \rangle$

Given all the lemmas before the CNF transformation is easy to prove:

definition *cnf-rew' :: 'a propo \Rightarrow 'a propo \Rightarrow bool where*
cnf-rew' =

(full (propo-rew-step elimTBFULL)) OO
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushDisj))

lemma *cnf-rew'-consistent: preserve-models cnf-rew'*
 $\langle \text{proof} \rangle$

theorem *cnf'-transformation-correction*:
cnf-rew' $\varphi \varphi' \implies \text{is-cnf } \varphi'$
 $\langle \text{proof} \rangle$

end

theory *Prop-Logic-Multiset*

imports *Nested-Multisets-Ordinals.Multiset-More Prop-Normalisation*

Entailment-Definition.Partial-Herbrand-Interpretation
begin

1.8 Link with Multiset Version

1.8.1 Transformation to Multiset

fun *mset-of-conj* :: 'a propo \Rightarrow 'a literal multiset **where**
mset-of-conj (FOr φ ψ) = *mset-of-conj* φ + *mset-of-conj* ψ |
mset-of-conj (FVar v) = {# Pos v #} |
mset-of-conj (FNot (FVar v)) = {# Neg v #} |
mset-of-conj FF = {#}

fun *mset-of-formula* :: 'a propo \Rightarrow 'a literal multiset set **where**
mset-of-formula (FAnd φ ψ) = *mset-of-formula* φ \cup *mset-of-formula* ψ |
mset-of-formula (FOr φ ψ) = {*mset-of-conj* (FOr φ ψ)} |
mset-of-formula (FVar ψ) = {*mset-of-conj* (FVar ψ)} |
mset-of-formula (FNot ψ) = {*mset-of-conj* (FNot ψ)} |
mset-of-formula FF = {{#}} |
mset-of-formula FT = {}

1.8.2 Equisatisfiability of the two Versions

lemma *is-conj-with-TF-FNot*:

is-conj-with-TF (FNot φ) \longleftrightarrow ($\exists v. \varphi =$ FVar $v \vee \varphi =$ FF $\vee \varphi =$ FT)
 \langle proof \rangle

lemma *grouped-by-COr-FNot*:

grouped-by COr (FNot φ) \longleftrightarrow ($\exists v. \varphi =$ FVar $v \vee \varphi =$ FF $\vee \varphi =$ FT)
 \langle proof \rangle

lemma

shows *no-T-F-FF[simp]*: \neg *no-T-F FF* **and**
no-T-F-FT[simp]: \neg *no-T-F FT*
 \langle proof \rangle

lemma *grouped-by-CAnd-FAnd*:

grouped-by CAnd (FAnd $\varphi1$ $\varphi2$) \longleftrightarrow *grouped-by CAnd* $\varphi1 \wedge$ *grouped-by CAnd* $\varphi2$
 \langle proof \rangle

lemma *grouped-by-COr-FOr*:

grouped-by COr (FOr $\varphi1$ $\varphi2$) \longleftrightarrow *grouped-by COr* $\varphi1 \wedge$ *grouped-by COr* $\varphi2$
 \langle proof \rangle

lemma *grouped-by-COr-FAnd[simp]*: \neg *grouped-by COr* (FAnd $\varphi1$ $\varphi2$)

\langle proof \rangle

lemma *grouped-by-COr-FEq[simp]*: \neg *grouped-by COr* (FEq $\varphi1$ $\varphi2$)

\langle proof \rangle

lemma [simp]: \neg *grouped-by COr* (FImp φ ψ)

\langle proof \rangle

lemma [simp]: \neg *is-conj-with-TF* (FImp φ ψ)

\langle proof \rangle

lemma *[simp]: \neg is-conj-with-TF (FEq φ ψ)*
 ⟨proof⟩

lemma *is-conj-with-TF-Fand:*

is-conj-with-TF (FAnd φ_1 φ_2) \implies is-conj-with-TF $\varphi_1 \wedge$ is-conj-with-TF φ_2
 ⟨proof⟩

lemma *is-conj-with-TF-FOr:*

is-conj-with-TF (FOr φ_1 φ_2) \implies grouped-by COr $\varphi_1 \wedge$ grouped-by COr φ_2
 ⟨proof⟩

lemma *grouped-by-COr-mset-of-formula:*

grouped-by COr $\varphi \implies$ mset-of-formula $\varphi =$ (if $\varphi = FT$ then $\{\}$ else $\{\text{mset-of-conj } \varphi\}$)
 ⟨proof⟩

When a formula is in CNF form, then there is equisatisfiability between the multiset version and the CNF form. Remark that the definition for the entailment are slightly different: (\models) uses a function assigning *True* or *False*, while (\models_s) uses a set where being in the list means entailment of a literal.

theorem *cnf-eval-true-cls:*

fixes $\varphi :: 'v$ propo

assumes *is-cnf φ*

shows *eval A $\varphi \longleftrightarrow$ Partial-Herbrand-Interpretation.true-cls ($\{\text{Pos } v \mid v. A \ v\} \cup \{\text{Neg } v \mid v. \neg A \ v\}$)*
 (*mset-of-formula φ*)

⟨proof⟩

function *formula-of-mset :: 'a clause \Rightarrow 'a propo where*

⟨formula-of-mset $\varphi =$

(if $\varphi = \{\#\}$ then FF

else

let $v = (\text{SOME } v. v \in \# \ \varphi);$

$v' = (\text{if is-pos } v \text{ then FVar (atm-of } v) \text{ else FNot (FVar (atm-of } v)))$ in

if remove1-mset $v \ \varphi = \{\#\}$ then v'

else FOr $v' (\text{formula-of-mset (remove1-mset } v \ \varphi))$)⟩

⟨proof⟩

termination

⟨proof⟩

lemma *formula-of-mset-empty[simp]: $\langle \text{formula-of-mset } \{\#\} = FF \rangle$*

⟨proof⟩

lemma *formula-of-mset-empty-iff[iff]: $\langle \text{formula-of-mset } \varphi = FF \longleftrightarrow \varphi = \{\#\} \rangle$*

⟨proof⟩

declare *formula-of-mset.simps[simp del]*

function *formula-of-msets :: 'a literal multiset set \Rightarrow 'a propo where*

⟨formula-of-msets $\varphi_s =$

(if $\varphi_s = \{\}$ \vee infinite φ_s then FT

else

let $v = (\text{SOME } v. v \in \varphi_s);$

$v' = \text{formula-of-mset } v$ in

if $\varphi_s - \{v\} = \{\}$ then v'

else FAnd $v' (\text{formula-of-msets } (\varphi_s - \{v\}))$)⟩

$\langle \text{proof} \rangle$
termination
 $\langle \text{proof} \rangle$

declare *formula-of-msets.simps*[simp del]

lemma *remove1-mset-empty-iff*:
 $\langle \text{remove1-mset } v \ \varphi = \{\#\} \longleftrightarrow (\varphi = \{\#\} \vee \varphi = \{\#v\#\}) \rangle$
 $\langle \text{proof} \rangle$

definition *fun-of-set where*
 $\langle \text{fun-of-set } A \ x = (\text{if } \text{Pos } x \in A \text{ then } \text{True} \text{ else if } \text{Neg } x \in A \text{ then } \text{False} \text{ else } \text{undefined}) \rangle$

lemma *grouped-by-COr-formula-of-mset*: $\langle \text{grouped-by } \text{COr} \ (\text{formula-of-mset } \varphi) \rangle$
 $\langle \text{proof} \rangle$

lemma *no-T-F-formula-of-mset*: $\langle \text{no-T-F} \ (\text{formula-of-mset } \varphi) \rangle$ **if** $\langle \text{formula-of-mset } \varphi \neq \text{FF} \rangle$ **for** φ
 $\langle \text{proof} \rangle$

lemma *mset-of-conj-formula-of-mset*[simp]: $\langle \text{mset-of-conj}(\text{formula-of-mset } \varphi) = \varphi \rangle$ **for** φ
 $\langle \text{proof} \rangle$

lemma *mset-of-formula-formula-of-mset* [simp]: $\langle \text{mset-of-formula} \ (\text{formula-of-mset } \varphi) = \{\varphi\} \rangle$ **for** φ
 $\langle \text{proof} \rangle$

lemma *formula-of-mset-is-cnf*: $\langle \text{is-cnf} \ (\text{formula-of-mset } \varphi) \rangle$
 $\langle \text{proof} \rangle$

lemma *eval-cls-iff*:
assumes $\langle \text{consistent-interp } A \rangle$ **and** $\langle \text{total-over-set } A \ \text{UNIV} \rangle$
shows $\langle \text{eval} \ (\text{fun-of-set } A) \ (\text{formula-of-mset } \varphi) \longleftrightarrow \text{Partial-Herbrand-Interpretation.true-cls } A \ \{\varphi\} \rangle$
 $\langle \text{proof} \rangle$

lemma *is-conj-with-TF-Fand-iff*:
 $\langle \text{is-conj-with-TF} \ (\text{FAnd } \varphi_1 \ \varphi_2) \longleftrightarrow \text{is-conj-with-TF } \varphi_1 \wedge \text{is-conj-with-TF } \varphi_2 \rangle$
 $\langle \text{proof} \rangle$

lemma *is-CNF-Fand*:
 $\langle \text{is-cnf} \ (\text{FAnd } \varphi \ \psi) \longleftrightarrow (\text{is-cnf } \varphi \wedge \text{no-T-F } \varphi) \wedge \text{is-cnf } \psi \wedge \text{no-T-F } \psi \rangle$
 $\langle \text{proof} \rangle$

lemma *no-T-F-formula-of-mset-iff*: $\langle \text{no-T-F} \ (\text{formula-of-mset } \varphi) \longleftrightarrow \varphi \neq \{\#\} \rangle$
 $\langle \text{proof} \rangle$

lemma *no-T-F-formula-of-msets*:
assumes $\langle \text{finite } \varphi \rangle$ **and** $\langle \{\#\} \notin \varphi \rangle$ **and** $\langle \varphi \neq \{\} \rangle$
shows $\langle \text{no-T-F} \ (\text{formula-of-msets} \ (\varphi)) \rangle$
 $\langle \text{proof} \rangle$

lemma *is-cnf-formula-of-msets*:
assumes $\langle \text{finite } \varphi \rangle$ **and** $\langle \{\#\} \notin \varphi \rangle$
shows $\langle \text{is-cnf} \ (\text{formula-of-msets} \ \varphi) \rangle$
 $\langle \text{proof} \rangle$

lemma *mset-of-formula-formula-of-msets*:
assumes $\langle \text{finite } \varphi \rangle$
shows $\langle \text{mset-of-formula} \ (\text{formula-of-msets} \ \varphi) = \varphi \rangle$

<proof>

lemma

assumes *<consistent-interp A>* **and** *<total-over-set A UNIV>* **and** *<finite $\varphi **and** *<{#} \notin φ*$*

shows *<eval (fun-of-set A) (formula-of-msets φ) \longleftrightarrow Partial-Herbrand-Interpretation.true-cls A φ*

<proof>

end

theory *Prop-Resolution*

imports *Entailment-Definition.Partial-Herbrand-Interpretation*

Weidenbach-Book-Base.WB-List-More

Weidenbach-Book-Base.Wellfounded-More

begin

Chapter 2

Resolution-based techniques

This chapter contains the formalisation of resolution and superposition.

2.1 Resolution

2.1.1 Simplification Rules

inductive *simplify* :: 'v clause-set \Rightarrow 'v clause-set \Rightarrow bool **for** $N ::$ 'v clause set **where**
tautology-deletion:

$add\text{-}mset (Pos P) (add\text{-}mset (Neg P) A) \in N \implies simplify\ N (N - \{add\text{-}mset (Pos P) (add\text{-}mset (Neg P) A)\})$ |

condensation:

$add\text{-}mset L (add\text{-}mset L A) \in N \implies simplify\ N (N - \{add\text{-}mset L (add\text{-}mset L A)\} \cup \{add\text{-}mset L A\})$ |

subsumption:

$A \in N \implies A \subset\# B \implies B \in N \implies simplify\ N (N - \{B\})$

lemma *simplify-preserve-models'*:

fixes $N\ N' ::$ 'v clause-set

assumes *simplify* $N\ N'$

and *total-over-m* $I\ N$

shows $I \models N' \longrightarrow I \models N$

<proof>

lemma *simplify-preserve-models*:

fixes $N\ N' ::$ 'v clause-set

assumes *simplify* $N\ N'$

and *total-over-m* $I\ N$

shows $I \models N \longrightarrow I \models N'$

<proof>

lemma *simplify-preserve-models''*:

fixes $N\ N' ::$ 'v clause-set

assumes *simplify* $N\ N'$

and *total-over-m* $I\ N'$

shows $I \models N \longrightarrow I \models N'$

<proof>

lemma *simplify-preserve-models-eq*:

fixes $N\ N' ::$ 'v clause-set

assumes *simplify* $N\ N'$

and *total-over-m* $I N$
shows $I \models N \longleftrightarrow I \models N'$
 $\langle \text{proof} \rangle$

lemma *simplify-preserves-finite*:
assumes *simplify* $\psi \psi'$
shows *finite* $\psi \longleftrightarrow \text{finite } \psi'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-simplify-preserves-finite*:
assumes *rtranclp simplify* $\psi \psi'$
shows *finite* $\psi \longleftrightarrow \text{finite } \psi'$
 $\langle \text{proof} \rangle$

lemma *simplify-atms-of-ms*:
assumes *simplify* $\psi \psi'$
shows *atms-of-ms* $\psi' \subseteq \text{atms-of-ms } \psi$
 $\langle \text{proof} \rangle$

lemma *rtranclp-simplify-atms-of-ms*:
assumes *rtranclp simplify* $\psi \psi'$
shows *atms-of-ms* $\psi' \subseteq \text{atms-of-ms } \psi$
 $\langle \text{proof} \rangle$

lemma *factoring-imp-simplify*:
assumes $\{\#L, L\# \} + C \in N$
shows $\exists N'. \text{simplify } N N'$
 $\langle \text{proof} \rangle$

2.1.2 Unconstrained Resolution

type-synonym *'v uncon-state* = *'v clause-set*

inductive *uncon-res* :: *'v uncon-state* \Rightarrow *'v uncon-state* \Rightarrow *bool* **where**
resolution:

$\{\#Pos\ p\#\} + C \in N \Longrightarrow \{\#Neg\ p\#\} + D \in N \Longrightarrow (\text{add-mset } (Pos\ p)\ C, \text{add-mset } (Neg\ P)\ D) \notin$
already-used
 $\Longrightarrow \text{uncon-res } N (N \cup \{C + D\}) \mid$

factoring: $\{\#L\#\} + \{\#L\#\} + C \in N \Longrightarrow \text{uncon-res } N (\text{insert } (\text{add-mset } L\ C)\ N)$

lemma *uncon-res-increasing*:
assumes *uncon-res* $S S'$ **and** $\psi \in S$
shows $\psi \in S'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-uncon-inference-increasing*:
assumes *rtranclp uncon-res* $S S'$ **and** $\psi \in S$
shows $\psi \in S'$
 $\langle \text{proof} \rangle$

Subsumption

definition *subsumes* :: *'a literal multiset* \Rightarrow *'a literal multiset* \Rightarrow *bool* **where**
subsumes $\chi \chi' \longleftrightarrow$

$(\forall I. \text{total-over-m } I \{\chi'\} \longrightarrow \text{total-over-m } I \{\chi\})$
 $\wedge (\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

lemma *subsumes-refl[simp]*:

subsumes χ χ
 \langle proof \rangle

lemma *subsumes-subsumption*:

assumes *subsumes* D χ
and $C \subset\# D$ **and** \neg tautology χ
shows *subsumes* C χ \langle proof \rangle

lemma *subsumes-tautology*:

assumes *subsumes* (add-mset (Pos P) (add-mset (Neg P) C)) χ
shows tautology χ
 \langle proof \rangle

2.1.3 Inference Rule

type-synonym *'v state* = *'v clause-set* \times (*'v clause* \times *'v clause*) *set*

inductive *inference-clause* :: *'v state* \Rightarrow *'v clause* \times (*'v clause* \times *'v clause*) *set* \Rightarrow *bool*

(**infix** $\Rightarrow_{\text{Res } 100}$) **where**

resolution:

$\{\# \text{Pos } p\# \} + C \in N \Longrightarrow \{\# \text{Neg } p\# \} + D \in N \Longrightarrow (\{\# \text{Pos } p\# \} + C, \{\# \text{Neg } p\# \} + D) \notin$
already-used

\Longrightarrow *inference-clause* (N , *already-used*) ($C + D$, *already-used* \cup $\{(\{\# \text{Pos } p\# \} + C, \{\# \text{Neg } p\# \} + D)\}$) |

factoring: $\{\# L, L\# \} + C \in N \Longrightarrow$ *inference-clause* (N , *already-used*) ($C + \{\# L\# \}$, *already-used*)

inductive *inference* :: *'v state* \Rightarrow *'v state* \Rightarrow *bool* **where**

inference-step: *inference-clause* S (*clause*, *already-used*)

\Longrightarrow *inference* S (*fst* $S \cup \{\text{clause}\}$, *already-used*)

abbreviation *already-used-inv*

:: *'a literal multiset set* \times (*'a literal multiset* \times *'a literal multiset*) *set* \Rightarrow *bool* **where**

already-used-inv state \equiv

$(\forall (A, B) \in \text{snd state}. \exists p. \text{Pos } p \in\# A \wedge \text{Neg } p \in\# B \wedge$
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi ((A - \{\# \text{Pos } p\# \}) + (B - \{\# \text{Neg } p\# \})))$
 $\vee \text{tautology } ((A - \{\# \text{Pos } p\# \}) + (B - \{\# \text{Neg } p\# \}))))$

lemma *inference-clause-preserves-already-used-inv*:

assumes *inference-clause* S S'

and *already-used-inv* S

shows *already-used-inv* (*fst* $S \cup \{\text{fst } S'\}$, *snd* S')

\langle proof \rangle

lemma *inference-preserves-already-used-inv*:

assumes *inference* S S'

and *already-used-inv* S

shows *already-used-inv* S'

\langle proof \rangle

lemma *rtranclp-inference-preserves-already-used-inv*:

assumes *rtranclp inference* S S'

and *already-used-inv* S

shows *already-used-inv* S'
<proof>

lemma *subsumes-condensation*:
assumes *subsumes* $(C + \{\#L\# \} + \{\#L\# \}) D$
shows *subsumes* $(C + \{\#L\# \}) D$
<proof>

lemma *simplify-preserved-already-used-inv*:
assumes *simplify* $N N'$
and *already-used-inv* $(N, \text{already-used})$
shows *already-used-inv* $(N', \text{already-used})$
<proof>

lemma
factoring-satisfiable: $I \models \text{add-mset } L (\text{add-mset } L C) \longleftrightarrow I \models \text{add-mset } L C$ **and**
resolution-satisfiable:
consistent-interp $I \implies I \models \text{add-mset } (\text{Pos } p) C \implies I \models \text{add-mset } (\text{Neg } p) D \implies I \models C + D$ **and**
factoring-same-vars: $\text{atms-of } (\text{add-mset } L (\text{add-mset } L C)) = \text{atms-of } (\text{add-mset } L C)$
<proof>

lemma *inference-increasing*:
assumes *inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
<proof>

lemma *rtranclp-inference-increasing*:
assumes *rtranclp inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
<proof>

lemma *inference-clause-already-used-increasing*:
assumes *inference-clause* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
<proof>

lemma *inference-already-used-increasing*:
assumes *inference* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
<proof>

lemma *inference-clause-preserve-models*:
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference-clause* $T T'$
and *total-over-m* $I (\text{fst } T)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T \cup \{\text{fst } T'\}$
<proof>

lemma *inference-preserve-models*:
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference* $T T'$
and *total-over-m* $I (\text{fst } T)$

and consistent: *consistent-interp I*
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-atms-of-ms:*
assumes *inference-clause S S'*
shows $\text{atms-of-ms } (\text{fst } (S \cup \{\text{fst } S'\}, \text{snd } S')) \subseteq \text{atms-of-ms } (\text{fst } S)$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-atms-of-ms:*
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference T T'*
shows $\text{atms-of-ms } (\text{fst } T') \subseteq \text{atms-of-ms } (\text{fst } T)$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-total:*
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference (N, already-used) (N', already-used')*
shows $\text{total-over-m } I N \implies \text{total-over-m } I N'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-total:*
assumes *rtranclp inference T T'*
shows $\text{total-over-m } I (\text{fst } T) \implies \text{total-over-m } I (\text{fst } T')$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserve-models:*
assumes *rtranclp inference N N'*
and $\text{total-over-m } I (\text{fst } N)$
and consistent: *consistent-interp I*
shows $I \models_s \text{fst } N \longleftrightarrow I \models_s \text{fst } N'$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite:*
assumes *inference $\psi \psi'$ and finite (fst ψ)*
shows *finite (fst ψ')*
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-finite-snd:*
assumes *inference-clause $\psi \psi'$ and finite (snd ψ)*
shows *finite (snd ψ')*
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite-snd:*
assumes *inference $\psi \psi'$ and finite (snd ψ)*
shows *finite (snd ψ')*
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-finite:*
assumes *rtranclp inference $\psi \psi'$ and finite (fst ψ)*
shows *finite (fst ψ')*
 $\langle \text{proof} \rangle$

lemma *consistent-interp-insert*:
assumes *consistent-interp I*
and *atm-of P* \notin *atm-of 'I*
shows *consistent-interp (insert P I)*
 \langle *proof* \rangle

lemma *simplify-clause-preserves-sat*:
assumes *simp: simplify* ψ ψ'
and *satisfiable* ψ'
shows *satisfiable* ψ
 \langle *proof* \rangle

lemma *simplify-preserves-unsat*:
assumes *inference* ψ ψ'
shows *satisfiable (fst ψ')* \longrightarrow *satisfiable (fst ψ)*
 \langle *proof* \rangle

lemma *inference-preserves-unsat*:
assumes *inference** S S'*
shows *satisfiable (fst S')* \longrightarrow *satisfiable (fst S)*
 \langle *proof* \rangle

datatype *'v sem-tree* = *Node 'v 'v sem-tree 'v sem-tree | Leaf*

fun *sem-tree-size* :: *'v sem-tree* \Rightarrow *nat* **where**
sem-tree-size Leaf = 0 |
sem-tree-size (Node - ag ad) = 1 + *sem-tree-size ag* + *sem-tree-size ad*

lemma *sem-tree-size[case-names bigger]*:
 $(\bigwedge xs:: 'v \text{ sem-tree. } (\bigwedge ys:: 'v \text{ sem-tree. } \text{sem-tree-size } ys < \text{sem-tree-size } xs \implies P \text{ } ys) \implies P \text{ } xs)$
 $\implies P \text{ } xs$
 \langle *proof* \rangle

fun *partial-interps* :: *'v sem-tree* \Rightarrow *'v partial-interp* \Rightarrow *'v clause-set* \Rightarrow *bool* **where**
partial-interps Leaf I ψ = $(\exists \chi. \neg I \models \chi \wedge \chi \in \psi \wedge \text{total-over-}m \text{ } I \{ \chi \})$ |
partial-interps (Node v ag ad) I ψ \longleftrightarrow
 $(\text{partial-interps } ag \text{ } (I \cup \{Pos \text{ } v\}) \text{ } \psi \wedge \text{partial-interps } ad \text{ } (I \cup \{Neg \text{ } v\}) \text{ } \psi)$

lemma *simplify-preserve-partial-leaf*:
simplify N N' \implies *partial-interps Leaf I N* \implies *partial-interps Leaf I N'*
 \langle *proof* \rangle

lemma *simplify-preserve-partial-tree*:
assumes *simplify N N'*
and *partial-interps t I N*
shows *partial-interps t I N'*
 \langle *proof* \rangle

lemma *inference-preserve-partial-tree*:
assumes *inference S S'*
and *partial-interps t I (fst S)*
shows *partial-interps t I (fst S')*

$\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserve-partial-tree*:

assumes *rtranclp_inference* $N N'$

and *partial-interps* $t I$ (*fst* N)

shows *partial-interps* $t I$ (*fst* N')

$\langle \text{proof} \rangle$

function *build-sem-tree* :: $'v :: \text{linorder set} \Rightarrow 'v \text{ clause-set} \Rightarrow 'v \text{ sem-tree}$ **where**

build-sem-tree $\text{atms } \psi =$

(if $\text{atms} = \{\}$ $\vee \neg \text{finite atms}$

then *Leaf*

else *Node* (*Min* atms) (*build-sem-tree* (*Set.remove* (*Min* atms) atms) ψ)

(*build-sem-tree* (*Set.remove* (*Min* atms) atms) ψ))

$\langle \text{proof} \rangle$

termination

$\langle \text{proof} \rangle$

declare *build-sem-tree.induct*[*case-names tree*]

lemma *unsatisfiable-empty[simp]*:

$\neg \text{unsatisfiable } \{\}$

$\langle \text{proof} \rangle$

lemma *partial-interps-build-sem-tree-atms-general*:

fixes $\psi :: 'v :: \text{linorder clause-set}$ **and** $p :: 'v \text{ literal list}$

assumes *unsat*: *unsatisfiable* ψ **and** *finite* ψ **and** *consistent-interp* I

and *finite atms*

and *atms-of-ms* $\psi = \text{atms} \cup \text{atms-of-s } I$ **and** $\text{atms} \cap \text{atms-of-s } I = \{\}$

shows *partial-interps* (*build-sem-tree* $\text{atms } \psi$) $I \psi$

$\langle \text{proof} \rangle$

lemma *partial-interps-build-sem-tree-atms*:

fixes $\psi :: 'v :: \text{linorder clause-set}$ **and** $p :: 'v \text{ literal list}$

assumes *unsat*: *unsatisfiable* ψ **and** *finite*: *finite* ψ

shows *partial-interps* (*build-sem-tree* (*atms-of-ms* ψ) ψ) $\{\}$ ψ

$\langle \text{proof} \rangle$

lemma *can-decrease-count*:

fixes $\psi'' :: 'v \text{ clause-set} \times ('v \text{ clause} \times 'v \text{ clause} \times 'v) \text{ set}$

assumes *count* $\chi L = n$

and $L \in \# \chi$ **and** $\chi \in \text{fst } \psi$

shows $\exists \psi' \chi'. \text{inference}^{**} \psi \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$

$\wedge \text{count } \chi' L = 1$

$\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$

$\wedge (I \models \chi \longleftrightarrow I \models \chi')$

$\wedge (\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi'\})$

$\langle \text{proof} \rangle$

lemma *can-decrease-tree-size*:

fixes $\psi :: 'v \text{ state}$ **and** $\text{tree} :: 'v \text{ sem-tree}$

assumes *finite* (*fst* ψ) **and** *already-used-inv* ψ

and *partial-interps* $\text{tree } I$ (*fst* ψ)

shows $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{inference}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' I$ (*fst* ψ')

$\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0)$
 <proof>

lemma *inference-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes

unsat: $\neg \text{satisfiable (fst } \psi)$ **and**

finite: $\text{finite (fst } \psi)$ **and**

a-u-v: *already-used-inv* ψ

shows $\exists \psi'. (\text{inference}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$

<proof>

lemma *inference-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes *unsat*: $\neg \text{satisfiable (fst } \psi)$

and *finite*: $\text{finite (fst } \psi)$

and *snd* $\psi = \{\}$

shows $\exists \psi'. (\text{rtranclp inference } \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$

<proof>

lemma *inference-soundness*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes *rtranclp inference* $\psi \psi'$ **and** $\{\#\} \in \text{fst } \psi'$

shows $\text{unsatisfiable (fst } \psi)$

<proof>

lemma *inference-soundness-and-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes *finite*: $\text{finite (fst } \psi)$

and *snd* $\psi = \{\}$

shows $(\exists \psi'. (\text{inference}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable (fst } \psi)$

<proof>

2.1.4 Lemma about the Simplified State

abbreviation *simplified* $\psi \equiv (\text{no-step simplify } \psi)$

lemma *simplified-count*:

assumes *simp*: *simplified* ψ **and** $\chi: \chi \in \psi$

shows $\text{count } \chi L \leq 1$

<proof>

lemma *simplified-no-both*:

assumes *simp*: *simplified* ψ **and** $\chi: \chi \in \psi$

shows $\neg (L \in \# \chi \wedge \neg L \in \# \chi)$

<proof>

lemma *add-mset-Neg-Pos-commute*[*simp*]:

$\text{add-mset (Neg } P) (\text{add-mset (Pos } P) C) = \text{add-mset (Pos } P) (\text{add-mset (Neg } P) C)$

<proof>

lemma *simplified-not-tautology*:

assumes *simplified* $\{\psi\}$

shows $\sim \text{tautology } \psi$

<proof>

lemma *simplified-remove*:
assumes *simplified* $\{\psi\}$
shows *simplified* $\{\psi - \{\#l\#\}\}$
 \langle *proof* \rangle

lemma *in-simplified-simplified*:
assumes *simp*: *simplified* ψ **and** *incl*: $\psi' \subseteq \psi$
shows *simplified* ψ'
 \langle *proof* \rangle

lemma *simplified-in*:
assumes *simplified* ψ
and $N \in \psi$
shows *simplified* $\{N\}$
 \langle *proof* \rangle

lemma *subsumes-imp-formula*:
assumes $\psi \leq \# \varphi$
shows $\{\psi\} \models_p \varphi$
 \langle *proof* \rangle

lemma *simplified-imp-distinct-mset-tauto*:
assumes *simp*: *simplified* ψ'
shows *distinct-mset-set* ψ' **and** $\forall \chi \in \psi'. \neg \text{tautology } \chi$
 \langle *proof* \rangle

lemma *simplified-no-more-full1-simplified*:
assumes *simplified* ψ
shows $\neg \text{full1 simplify } \psi \psi'$
 \langle *proof* \rangle

2.1.5 Resolution and Invariants

inductive *resolution* :: *'v state* \Rightarrow *'v state* \Rightarrow *bool* **where**
full1-simp: *full1 simplify* $N N' \Longrightarrow \text{resolution } (N, \text{already-used}) (N', \text{already-used}) \mid$
inferring: *inference* $(N, \text{already-used}) (N', \text{already-used}') \Longrightarrow \text{simplified } N$
 $\Longrightarrow \text{full simplify } N' N'' \Longrightarrow \text{resolution } (N, \text{already-used}) (N'', \text{already-used}')$

Invariants

lemma *resolution-finite*:
assumes *resolution* $\psi \psi'$ **and** *finite* (*fst* ψ)
shows *finite* (*fst* ψ')
 \langle *proof* \rangle

lemma *rtranclp-resolution-finite*:
assumes *resolution*** $\psi \psi'$ **and** *finite* (*fst* ψ)
shows *finite* (*fst* ψ')
 \langle *proof* \rangle

lemma *resolution-finite-snd*:
assumes *resolution* $\psi \psi'$ **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')
 \langle *proof* \rangle

lemma *rtranclp-resolution-finite-snd*:
assumes *resolution*** $\psi \ \psi'$ **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')
 \langle *proof* \rangle

lemma *resolution-always-simplified*:
assumes *resolution* $\psi \ \psi'$
shows *simplified* (*fst* ψ')
 \langle *proof* \rangle

lemma *tranclp-resolution-always-simplified*:
assumes *tranclp resolution* $\psi \ \psi'$
shows *simplified* (*fst* ψ')
 \langle *proof* \rangle

lemma *resolution-atms-of*:
assumes *resolution* $\psi \ \psi'$ **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)
 \langle *proof* \rangle

lemma *rtranclp-resolution-atms-of*:
assumes *resolution*** $\psi \ \psi'$ **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)
 \langle *proof* \rangle

lemma *resolution-include*:
assumes *res: resolution* $\psi \ \psi'$ **and** *finite: finite* (*fst* ψ)
shows *fst* $\psi' \subseteq$ *simple-cls* (*atms-of-ms* (*fst* ψ))
 \langle *proof* \rangle

lemma *rtranclp-resolution-include*:
assumes *res: tranclp resolution* $\psi \ \psi'$ **and** *finite: finite* (*fst* ψ)
shows *fst* $\psi' \subseteq$ *simple-cls* (*atms-of-ms* (*fst* ψ))
 \langle *proof* \rangle

abbreviation *already-used-all-simple*
 $:: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
already-used-all-simple *already-used vars* \equiv
 $(\forall (A, B) \in \text{already-used. simplified } \{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars})$

lemma *already-used-all-simple-vars-incl*:
assumes *vars* \subseteq *vars'*
shows *already-used-all-simple* *a vars* \implies *already-used-all-simple* *a vars'*
 \langle *proof* \rangle

lemma *inference-clause-preserves-already-used-all-simple*:
assumes *inference-clause* $S \ S'$
and *already-used-all-simple* (*snd* S) *vars*
and *simplified* (*fst* S)
and *atms-of-ms* (*fst* S) \subseteq *vars*
shows *already-used-all-simple* (*snd* (*fst* $S \cup \{\text{fst } S'\}$, *snd* S')) *vars*
 \langle *proof* \rangle

lemma *inference-preserves-already-used-all-simple*:
assumes *inference* $S \ S'$
and *already-used-all-simple* (*snd* S) *vars*

and *simplified* (*fst S*)
and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
 \langle *proof* \rangle

lemma *already-used-all-simple-inv*:
assumes *resolution* *S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
 \langle *proof* \rangle

lemma *rtranclp-already-used-all-simple-inv*:
assumes *resolution*** *S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
and *finite* (*fst S*)
shows *already-used-all-simple* (*snd S'*) *vars*
 \langle *proof* \rangle

lemma *inference-clause-simplified-already-used-subset*:
assumes *inference-clause* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

lemma *inference-simplified-already-used-subset*:
assumes *inference* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

lemma *resolution-simplified-already-used-subset*:
assumes *resolution* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

lemma *tranclp-resolution-simplified-already-used-subset*:
assumes *tranclp resolution* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

abbreviation *already-used-top vars* \equiv *simple-clss vars* \times *simple-clss vars*

lemma *already-used-all-simple-in-already-used-top*:
assumes *already-used-all-simple* *s vars* **and** *finite vars*
shows *s* \subseteq *already-used-top vars*
 \langle *proof* \rangle

lemma *already-used-top-finite*:
assumes *finite vars*
shows *finite* (*already-used-top vars*)
 \langle *proof* \rangle

lemma *already-used-top-increasing*:
assumes $var \subseteq var'$ **and** *finite var'*
shows *already-used-top var* \subseteq *already-used-top var'*
 $\langle proof \rangle$

lemma *already-used-all-simple-finite*:
fixes $s :: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set}$ **and** $vars :: 'a \text{ set}$
assumes *already-used-all-simple s vars* **and** *finite vars*
shows *finite s*
 $\langle proof \rangle$

abbreviation *card-simple vars* $\psi \equiv \text{card} (\text{already-used-top vars} - \psi)$

lemma *resolution-card-simple-decreasing*:
assumes *res: resolution $\psi \psi'$*
and *a-u-s: already-used-all-simple (snd ψ) vars*
and *finite-v: finite vars*
and *finite-fst: finite (fst ψ)*
and *finite-snd: finite (snd ψ)*
and *simp: simplified (fst ψ)*
and *atms-of-ms (fst ψ) \subseteq vars*
shows *card-simple vars (snd ψ')* $<$ *card-simple vars (snd ψ)*
 $\langle proof \rangle$

lemma *tranclp-resolution-card-simple-decreasing*:
assumes *tranclp resolution $\psi \psi'$* **and** *finite-fst: finite (fst ψ)*
and *already-used-all-simple (snd ψ) vars*
and *atms-of-ms (fst ψ) \subseteq vars*
and *finite-v: finite vars*
and *finite-snd: finite (snd ψ)*
and *simplified (fst ψ)*
shows *card-simple vars (snd ψ')* $<$ *card-simple vars (snd ψ)*
 $\langle proof \rangle$

lemma *tranclp-resolution-card-simple-decreasing-2*:
assumes *tranclp resolution $\psi \psi'$*
and *finite-fst: finite (fst ψ)*
and *empty-snd: snd $\psi = \{\}$*
and *simplified (fst ψ)*
shows *card-simple (atms-of-ms (fst ψ)) (snd $\psi')$* $<$ *card-simple (atms-of-ms (fst ψ)) (snd ψ)*
 $\langle proof \rangle$

Well-Foundness of the Relation

lemma *wf-simplified-resolution*:
assumes *f-vars: finite vars*
shows *wf* $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars}) \wedge \text{resolution } x \ y)\}$
 $\langle proof \rangle$

lemma *wf-simplified-resolution'*:
assumes *f-vars: finite vars*
shows *wf* $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \neg \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars}) \wedge \text{resolution } x \ y)\}$

$\langle \text{proof} \rangle$

lemma *wf-resolution*:

assumes *f-vars*: *finite vars*

shows *wf* ($\{(y: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (fst\ x) \subseteq \text{vars} \wedge \text{simplified } (fst\ x) \wedge \text{finite } (snd\ x) \wedge \text{finite } (fst\ x) \wedge \text{already-used-all-simple } (snd\ x)\ \text{vars}) \wedge \text{resolution } x\ y\} \cup \{(y, x). (\text{atms-of-ms } (fst\ x) \subseteq \text{vars} \wedge \neg \text{simplified } (fst\ x) \wedge \text{finite } (snd\ x) \wedge \text{finite } (fst\ x) \wedge \text{already-used-all-simple } (snd\ x)\ \text{vars}) \wedge \text{resolution } x\ y\}$) **is** *wf* ($?R \cup ?S$)

$\langle \text{proof} \rangle$

lemma *rtranclp-simplify-already-used-inv*:

assumes *simplify*** *S S'*

and *already-used-inv* (*S*, *N*)

shows *already-used-inv* (*S'*, *N*)

$\langle \text{proof} \rangle$

lemma *full1-simplify-already-used-inv*:

assumes *full1 simplify* *S S'*

and *already-used-inv* (*S*, *N*)

shows *already-used-inv* (*S'*, *N*)

$\langle \text{proof} \rangle$

lemma *full-simplify-already-used-inv*:

assumes *full simplify* *S S'*

and *already-used-inv* (*S*, *N*)

shows *already-used-inv* (*S'*, *N*)

$\langle \text{proof} \rangle$

lemma *resolution-already-used-inv*:

assumes *resolution* *S S'*

and *already-used-inv* *S*

shows *already-used-inv* *S'*

$\langle \text{proof} \rangle$

lemma *rtranclp-resolution-already-used-inv*:

assumes *resolution*** *S S'*

and *already-used-inv* *S*

shows *already-used-inv* *S'*

$\langle \text{proof} \rangle$

lemma *rtanclp-simplify-preserves-unsat*:

assumes *simplify*** $\psi\ \psi'$

shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$

$\langle \text{proof} \rangle$

lemma *full1-simplify-preserves-unsat*:

assumes *full1 simplify* $\psi\ \psi'$

shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$

$\langle \text{proof} \rangle$

lemma *full-simplify-preserves-unsat*:

assumes *full simplify* $\psi\ \psi'$

shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$

$\langle \text{proof} \rangle$

lemma *resolution-preserves-unsat*:

assumes *resolution* $\psi\ \psi'$

shows *satisfiable* (*fst* ψ') \longrightarrow *satisfiable* (*fst* ψ)
<proof>

lemma *rtranclp-resolution-preserves-unsat*:
assumes *resolution*** ψ ψ'
shows *satisfiable* (*fst* ψ') \longrightarrow *satisfiable* (*fst* ψ)
<proof>

lemma *rtranclp-simplify-preserve-partial-tree*:
assumes *simplify*** N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
<proof>

lemma *full1-simplify-preserve-partial-tree*:
assumes *full1 simplify* N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
<proof>

lemma *full-simplify-preserve-partial-tree*:
assumes *full simplify* N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
<proof>

lemma *resolution-preserve-partial-tree*:
assumes *resolution* S S'
and *partial-interps* t I (*fst* S)
shows *partial-interps* t I (*fst* S')
<proof>

lemma *rtranclp-resolution-preserve-partial-tree*:
assumes *resolution*** S S'
and *partial-interps* t I (*fst* S)
shows *partial-interps* t I (*fst* S')
<proof>
thm *nat-less-induct nat.induct*

lemma *nat-ge-induct[case-names 0 Suc]*:
assumes P 0
and $\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P m) \implies P (\text{Suc } n)$
shows P n
<proof>

lemma *wf-always-more-step-False*:
assumes *wf* R
shows $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$
<proof>

lemma *finite-finite-mset-element-of-mset[simp]*:
assumes *finite* N
shows *finite* $\{f \ \varphi \ L \mid \varphi \ L. \varphi \in N \wedge L \in \# \varphi \wedge P \ \varphi \ L\}$
<proof>

definition *sum-count-ge-2* :: 'a multiset set \Rightarrow nat (Ξ) **where**
sum-count-ge-2 \equiv *folding.F* ($\lambda\varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\#\}) 0$)

interpretation *sum-count-ge-2*:

folding $\lambda\varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\#\}) 0$

rewrites

folding.F ($\lambda\varphi. (+)(\text{sum-mset } \{\#\text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\#\}) 0$) = *sum-count-ge-2*
 <proof>

lemma *finite-incl-le-setsum*:

finite ($B::'a$ multiset set) $\Longrightarrow A \subseteq B \Longrightarrow \Xi A \leq \Xi B$

<proof>

lemma *simplify-finite-measure-decrease*:

simplify $N N' \Longrightarrow \text{finite } N \Longrightarrow \text{card } N' + \Xi N' < \text{card } N + \Xi N$

<proof>

lemma *simplify-terminates*:

wf $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\}$

<proof>

lemma *wf-terminates*:

assumes *wf* r

shows $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$

<proof>

lemma *rtranclp-simplify-terminates*:

assumes *fin*: *finite* N

shows $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$

<proof>

lemma *finite-simplified-full1-simp*:

assumes *finite* N

shows $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$

<proof>

lemma *finite-simplified-full-simp*:

assumes *finite* N

shows $\exists N'. \text{full simplify } N N'$

<proof>

lemma *can-decrease-tree-size-resolution*:

fixes $\psi :: 'v$ state **and** *tree* :: 'v sem-tree

assumes *finite* (*fst* ψ) **and** *already-used-inv* ψ

and *partial-interps tree* I (*fst* ψ)

and *simplified* (*fst* ψ)

shows $\exists (\text{tree}':: 'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps tree}' I (\text{fst } \psi')$

$\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0)$

<proof>

lemma *resolution-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder}$ state

assumes

unsat: $\neg \text{satisfiable}$ (*fst* ψ) **and**

finite: *finite* (*fst* ψ) **and**
a-u-v: *already-used-inv* ψ
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 <proof>

lemma *resolution-preserves-already-used-inv*:
assumes *resolution* $S S'$
and *already-used-inv* S
shows *already-used-inv* S'
 <proof>

lemma *rtranclp-resolution-preserves-already-used-inv*:
assumes *resolution*^{**} $S S'$
and *already-used-inv* S
shows *already-used-inv* S'
 <proof>

lemma *resolution-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *unsat*: $\neg \text{satisfiable } (\text{fst } \psi)$
and *finite*: *finite* (*fst* ψ)
and *snd* $\psi = \{\}$
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 <proof>

lemma *rtranclp-preserves-sat*:
assumes *simplify*^{**} $S S'$
and *satisfiable* S
shows *satisfiable* S'
 <proof>

lemma *resolution-preserves-sat*:
assumes *resolution* $S S'$
and *satisfiable* (*fst* S)
shows *satisfiable* (*fst* S')
 <proof>

lemma *rtranclp-resolution-preserves-sat*:
assumes *resolution*^{**} $S S'$
and *satisfiable* (*fst* S)
shows *satisfiable* (*fst* S')
 <proof>

lemma *resolution-soundness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *resolution*^{**} $\psi \psi'$ **and** $\{\#\} \in \text{fst } \psi'$
shows *unsatisfiable* (*fst* ψ)
 <proof>

lemma *resolution-soundness-and-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *finite*: *finite* (*fst* ψ)
and *snd*: *snd* $\psi = \{\}$
shows $(\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable } (\text{fst } \psi)$
 <proof>

lemma *simplified-falsity*:

assumes *simp*: *simplified* ψ
and $\{\#\} \in \psi$
shows $\psi = \{\{\#\}\}$

<proof>

lemma *simplify-falsity-in-preserved*:

assumes *simplify* $\chi s \chi s'$
and $\{\#\} \in \chi s$
shows $\{\#\} \in \chi s'$

<proof>

lemma *rtranclp-simplify-falsity-in-preserved*:

assumes *simplify*** $\chi s \chi s'$
and $\{\#\} \in \chi s$
shows $\{\#\} \in \chi s'$

<proof>

lemma *resolution-falsity-get-falsity-alone*:

assumes *finite* (*fst* ψ)
shows $(\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow (\exists a-u-v. \text{resolution}^{**} \psi (\{\{\#\}\}, a-u-v))$
(is $?A \longleftrightarrow ?B$)

<proof>

theorem *resolution-soundness-and-completeness'*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes

finite: *finite* (*fst* ψ) **and**

snd: *snd* $\psi = \{\}$

shows $(\exists a-u-v. (\text{resolution}^{**} \psi (\{\{\#\}\}, a-u-v)) \longleftrightarrow \text{unsatisfiable } (\text{fst } \psi))$

<proof>

end

theory *Prop-Superposition*

imports *Entailment-Definition.Partial-Herbrand-Interpretation Ordered-Resolution-Prover.Herbrand-Interpretation*

begin

2.2 Superposition

no-notation *Herbrand-Interpretation.true-cls* (**infix** \models 50)

notation *Herbrand-Interpretation.true-cls* (**infix** \models^h 50)

no-notation *Herbrand-Interpretation.true-cls* (**infix** \models^s 50)

notation *Herbrand-Interpretation.true-cls* (**infix** \models^{hs} 50)

lemma *herbrand-interp-iff-partial-interp-cls*:

$S \models^h C \longleftrightarrow \{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\} \models C$

<proof>

lemma *herbrand-consistent-interp*:

consistent-interp $(\{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\})$

<proof>

lemma *herbrand-total-over-set*:

total-over-set ($\{\text{Pos } P|P. P \in S\} \cup \{\text{Neg } P|P. P \notin S\}$) T
 $\langle \text{proof} \rangle$

lemma *herbrand-total-over-m*:

total-over-m ($\{\text{Pos } P|P. P \in S\} \cup \{\text{Neg } P|P. P \notin S\}$) T
 $\langle \text{proof} \rangle$

lemma *herbrand-interp-iff-partial-interp-cls*:

$S \models_{hs} C \iff \{\text{Pos } P|P. P \in S\} \cup \{\text{Neg } P|P. P \notin S\} \models_s C$
 $\langle \text{proof} \rangle$

definition *cls-lt* :: 'a::wellorder clause-set \Rightarrow 'a clause \Rightarrow 'a clause-set **where**
cls-lt $N C = \{D \in N. D < C\}$

notation (*latex output*)

cls-lt ($-\langle \hat{b}sup \rangle - \langle \hat{e}sup \rangle$)

locale *selection* =

fixes $S :: 'a \text{ clause} \Rightarrow 'a \text{ clause}$

assumes

$S\text{-selects-subseteq}: \bigwedge C. S C \leq\# C$ **and**

$S\text{-selects-neg-lits}: \bigwedge C L. L \in\# S C \implies \text{is-neg } L$

locale *ground-resolution-with-selection* =

selection S **for** $S :: ('a :: \text{wellorder}) \text{ clause} \Rightarrow 'a \text{ clause}$

begin

context

fixes $N :: 'a \text{ clause set}$

begin

We do not create an equivalent of δ , but we directly defined N_C by inlining the definition.

function

production :: 'a clause \Rightarrow 'a interp

where

production $C =$

$\{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max-mset } C = \text{Pos } A \wedge \text{count } C (\text{Pos } A) \leq 1$
 $\wedge \neg (\bigcup D \in \{D. D < C\}. \text{production } D) \models_h C \wedge S C = \{\#\}\}$

$\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$

declare *production.simps*[*simp del*]

definition *interp* :: 'a clause \Rightarrow 'a interp **where**

interp $C = (\bigcup D \in \{D. D < C\}. \text{production } D)$

lemma *production-unfold*:

$\text{production } C = \{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max-mset } C = \text{Pos } A \wedge \text{count } C (\text{Pos } A) \leq 1 \wedge \neg \text{interp } C \models_h C \wedge S C = \{\#\}\}$

$\langle \text{proof} \rangle$

abbreviation *productive* $A \equiv (\text{production } A \neq \{\})$

abbreviation *produces* :: 'a clause \Rightarrow 'a \Rightarrow bool **where**

produces $C A \equiv \text{production } C = \{A\}$

lemma *producesD*:

$produces\ C\ A \implies C \in N \wedge C \neq \{\#\} \wedge Pos\ A = Max\text{-}mset\ C \wedge count\ C\ (Pos\ A) \leq 1 \wedge$
 $\neg\ interp\ C \models_h C \wedge S\ C = \{\#\}$
<proof>

lemma *produces C A \implies Pos A \in # C*

<proof>

lemma *interp'-def-in-set*:

$interp\ C = (\bigcup D \in \{D \in N. D < C\}. production\ D)$
<proof>

lemma *production-iff-produces*:

$produces\ D\ A \longleftrightarrow A \in production\ D$
<proof>

definition *Interp* :: 'a clause \Rightarrow 'a interp **where**

$Interp\ C = interp\ C \cup production\ C$

lemma

assumes *produces C P*

shows $Interp\ C \models_h C$

<proof>

definition *INTERP* :: 'a interp **where**

$INTERP = (\bigcup D \in N. production\ D)$

lemma *interp-subseteq-Interp[simp]*: $interp\ C \subseteq Interp\ C$

<proof>

lemma *Interp-as-UNION*: $Interp\ C = (\bigcup D \in \{D. D \leq C\}. production\ D)$

<proof>

lemma *productive-not-empty*: $productive\ C \implies C \neq \{\#\}$

<proof>

lemma *productive-imp-produces-Max-literal*: $productive\ C \implies produces\ C\ (atm\text{-}of\ (Max\text{-}mset\ C))$

<proof>

lemma *productive-imp-produces-Max-atom*: $productive\ C \implies produces\ C\ (Max\ (atms\text{-}of\ C))$

<proof>

lemma *produces-imp-Max-literal*: $produces\ C\ A \implies A = atm\text{-}of\ (Max\text{-}mset\ C)$

<proof>

lemma *produces-imp-Max-atom*: $produces\ C\ A \implies A = Max\ (atms\text{-}of\ C)$

<proof>

lemma *produces-imp-Pos-in-lits*: $produces\ C\ A \implies Pos\ A \in\# C$

<proof>

lemma *productive-in-N*: $productive\ C \implies C \in N$

<proof>

lemma *produces-imp-atms-leq*: $produces\ C\ A \implies B \in atms\text{-}of\ C \implies B \leq A$

<proof>

lemma *produces-imp-neg-notin-lits*: $\text{produces } C \ A \implies \text{Neg } A \notin\# \ C$

<proof>

lemma *less-eq-imp-interp-subseteq-interp*: $C \leq D \implies \text{interp } C \subseteq \text{interp } D$

<proof>

lemma *less-eq-imp-interp-subseteq-Interp*: $C \leq D \implies \text{interp } C \subseteq \text{Interp } D$

<proof>

lemma *less-imp-production-subseteq-interp*: $C < D \implies \text{production } C \subseteq \text{interp } D$

<proof>

lemma *less-eq-imp-production-subseteq-Interp*: $C \leq D \implies \text{production } C \subseteq \text{Interp } D$

<proof>

lemma *less-imp-Interp-subseteq-interp*: $C < D \implies \text{Interp } C \subseteq \text{interp } D$

<proof>

lemma *less-eq-imp-Interp-subseteq-Interp*: $C \leq D \implies \text{Interp } C \subseteq \text{Interp } D$

<proof>

lemma *false-Interp-to-true-interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{interp } D \implies C < D$

<proof>

lemma *false-interp-to-true-interp-imp-less-multiset*: $A \notin \text{interp } C \implies A \in \text{interp } D \implies C < D$

<proof>

lemma *false-Interp-to-true-Interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{Interp } D \implies C < D$

<proof>

lemma *false-interp-to-true-Interp-imp-le-multiset*: $A \notin \text{interp } C \implies A \in \text{Interp } D \implies C \leq D$

<proof>

lemma *interp-subseteq-INTERP*: $\text{interp } C \subseteq \text{INTERP}$

<proof>

lemma *production-subseteq-INTERP*: $\text{production } C \subseteq \text{INTERP}$

<proof>

lemma *Interp-subseteq-INTERP*: $\text{Interp } C \subseteq \text{INTERP}$

<proof>

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book.

lemma *produces-imp-in-interp*:

assumes *a-in-c*: $\text{Neg } A \in\# \ C$ **and** *d*: $\text{produces } D \ A$

shows $A \in \text{interp } C$

<proof>

lemma *neg-notin-Interp-not-produce*: $\text{Neg } A \in\# \ C \implies A \notin \text{Interp } D \implies C \leq D \implies \neg \text{produces } D''$

A

<proof>

lemma *in-production-imp-produces*: $A \in \text{production } C \implies \text{produces } C \ A$

<proof>

lemma *not-produces-imp-notin-production*: \neg produces $C A \implies A \notin$ production C
 ⟨proof⟩

lemma *not-produces-imp-notin-interp*: $(\bigwedge D. \neg$ produces $D A) \implies A \notin$ interp C
 ⟨proof⟩

The results below corresponds to Lemma 3.4.

Nitpicking 0.1. *If $D = D'$ and D is productive, $I^D \subseteq I_{D'}$ does not hold.*

lemma *true-Interp-imp-general*:

assumes

c-le-d: $C \leq D$ **and**

d-lt-d': $D < D'$ **and**

c-at-d: $\text{Interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

⟨proof⟩

lemma *true-Interp-imp-interp*: $C \leq D \implies D < D' \implies \text{Interp } D \models_h C \implies \text{interp } D' \models_h C$
 ⟨proof⟩

lemma *true-Interp-imp-Interp*: $C \leq D \implies D < D' \implies \text{Interp } D \models_h C \implies \text{Interp } D' \models_h C$
 ⟨proof⟩

lemma *true-Interp-imp-INTERP*: $C \leq D \implies \text{Interp } D \models_h C \implies \text{INTERP} \models_h C$
 ⟨proof⟩

lemma *true-interp-imp-general*:

assumes

c-le-d: $C \leq D$ **and**

d-lt-d': $D < D'$ **and**

c-at-d: $\text{interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

⟨proof⟩

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book. Here the strict maximality is important

lemma *true-interp-imp-interp*: $C \leq D \implies D < D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$
 ⟨proof⟩

lemma *true-interp-imp-Interp*: $C \leq D \implies D < D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$
 ⟨proof⟩

lemma *true-interp-imp-INTERP*: $C \leq D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$
 ⟨proof⟩

lemma *productive-imp-false-interp*: $\text{productive } C \implies \neg \text{interp } C \models_h C$
 ⟨proof⟩

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book. Here the strict maximality is important

lemma *cls-gt-double-pos-no-production*:
assumes $D: \{\#Pos P, Pos P\# \} < C$
shows $\neg produces C P$
 $\langle proof \rangle$

This lemma corresponds to theorem 2.7.7 page 77 of Weidenbach's book.

lemma
assumes $D: C + \{\#Neg P\# \} < D$
shows $production D \neq \{P\}$
 $\langle proof \rangle$

lemma *in-interp-is-produced*:
assumes $P \in INTERP$
shows $\exists D. D + \{\#Pos P\# \} \in N \wedge produces (D + \{\#Pos P\# \}) P$
 $\langle proof \rangle$

end
end

2.2.1 We can now define the rules of the calculus

inductive *superposition-rules* :: 'a clause \Rightarrow 'a clause \Rightarrow 'a clause \Rightarrow bool **where**
factoring: *superposition-rules* $(C + \{\#Pos P\# \} + \{\#Pos P\# \}) B (C + \{\#Pos P\# \}) |$
superposition-l: *superposition-rules* $(C_1 + \{\#Pos P\# \}) (C_2 + \{\#Neg P\# \}) (C_1 + C_2)$

inductive *superposition* :: 'a clause-set \Rightarrow 'a clause-set \Rightarrow bool **where**
superposition: $A \in N \Longrightarrow B \in N \Longrightarrow superposition-rules A B C$
 $\Longrightarrow superposition N (N \cup \{C\})$

definition *abstract-red* :: 'a::wellorder clause \Rightarrow 'a clause-set \Rightarrow bool **where**
abstract-red $C N = (cls-lt N C \models_p C)$

lemma *herbrand-true-clss-true-clss-cls-herbrand-true-clss*:
assumes
 $AB: A \models_{hs} B$ **and**
 $BC: B \models_p C$
shows $A \models_h C$
 $\langle proof \rangle$

lemma *abstract-red-subset-mset-abstract-red*:
assumes
 $abstr: abstract-red C N$ **and**
 $c-lt-d: C \subseteq\# D$
shows $abstract-red D N$
 $\langle proof \rangle$

lemma *true-clss-cls-extended*:
assumes
 $A \models_p B$ **and**
 $tot: total-over-m I A$ **and**
 $cons: consistent-interp I$ **and**
 $I-A: I \models_s A$
shows $I \models B$
 $\langle proof \rangle$

lemma

assumes

$CP: \neg \text{class-lt } N (\{C\# \} + \{E\# \}) \models_p \{C\# \} + \{\text{Neg } P\# \}$ **and**
 $\text{class-lt } N (\{C\# \} + \{E\# \}) \models_p \{E\# \} + \{\text{Pos } P\# \} \vee \text{class-lt } N (\{C\# \} + \{E\# \}) \models_p$
 $\{C\# \} + \{\text{Neg } P\# \}$
shows $\text{class-lt } N (\{C\# \} + \{E\# \}) \models_p \{E\# \} + \{\text{Pos } P\# \}$

<proof>

locale *ground-ordered-resolution-with-redundancy* =

ground-resolution-with-selection +

fixes *redundant* :: 'a::wellorder clause \Rightarrow 'a clause-set \Rightarrow bool

assumes

redundant-iff-abstract: $\text{redundant } A N \longleftrightarrow \text{abstract-red } A N$

begin

definition *saturated* :: 'a clause-set \Rightarrow bool **where**

saturated $N \longleftrightarrow$

$(\forall A B C. A \in N \longrightarrow B \in N \longrightarrow \neg \text{redundant } A N \longrightarrow \neg \text{redundant } B N \longrightarrow$
superposition-rules $A B C \longrightarrow \text{redundant } C N \vee C \in N)$

lemma (**in** $-$)

assumes $\langle A \models_p C + E \rangle$

shows $\langle A \models_p \text{add-mset } L C \vee A \models_p \text{add-mset } (-L) E \rangle$

<proof>

lemma

assumes

saturated: *saturated* N **and**

finite: *finite* N **and**

empty: $\{\#\} \notin N$

shows *INTERP* $N \models_{hs} N$

<proof>

end

lemma *tautology-is-redundant*:

assumes *tautology* C

shows *abstract-red* $C N$

<proof>

lemma *subsumed-is-redundant*:

assumes *AB*: $A \subset\# B$

and *AN*: $A \in N$

shows *abstract-red* $B N$

<proof>

inductive *redundant* :: 'a clause \Rightarrow 'a clause-set \Rightarrow bool **where**

subsumption: $A \in N \Longrightarrow A \subset\# B \Longrightarrow \text{redundant } B N$

lemma *redundant-is-redundancy-criterion*:

fixes $A :: 'a :: \text{wellorder clause}$ **and** $N :: 'a :: \text{wellorder clause-set}$

assumes *redundant* $A N$

shows *abstract-red* $A N$

<proof>

lemma *redundant-mono*:

redundant A N \implies $A \subseteq\# B$ \implies *redundant B N*
<proof>

locale *truc* =
 selection S for S :: nat clause \implies *nat clause*
begin

end

end